# Hammer's GERMAN Grammar and Usage

fifth edition

Martin Durrell

"This book got me through Oxford"





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### **Abbreviations and Points for the User**

### **Points**

- 1. Lists of words are in general alphabetical, unless it appeared more helpful to the user to present them in a different order.
- 2. Where required, the plural of a noun is indicated within brackets after the noun, e.g. *das Lager* (-), i.e. *die Lager*; *der Hut* ("e), i.e. *die Hüte*, etc. (-en, -en) or (-n, -n) indicate a weak masculine noun, e.g. *der Mensch*, *des Menschen*, *die Menschen* (see 1.3.2).
- 3. If necessary, a stressed syllable in a word is indicated by the mark placed before the stressed syllable, and/or by the use of bold type, e.g. *die Dok'toren*, *unter'schreiben*. Where it is required, a stressed word in context is shown by underlining, e.g. *Wie bist du denn gekommen*?
- 4. Sentences used for illustration which are ungrammatical in German are indicated by an asterisk, e.g. \**Jedoch dann ist er nicht gekommen*.

### **Abbreviations**

In principle, abbreviations have been kept to a minimum. The following have been used where required by considerations of space.

abbreviated abbrev. Academic acad. Accusative A., acc. arch. archaic Austr. Austrian auxiliary aux. Bav. Bavarian ch. chapter cl. clause coll. colloquial conjunction conj. D., dat. dative elev. elevated especially esp. etw. etwas feminine fem. formal form. G., gen. genitive indic. Indicative inf. informal jd. jemand jdm. jemandem jdn. jemanden lang. language lit. literary masculine masc. nominative N., nom. neuter neut.

N.G. North German
obs. obsolete
occ. occasionally
o.s. oneself
part. participle
pej. pejorative
pl. plural

prep. preposition
S.G. South German
sb. somebody
sg., sing. singular

sub. cl. subordinate clause

sth. something
Sw. Switzerland
techn. technical
vb. verb
vulg. vulgar

# **Preface to the Fifth Edition**

The fourth edition of *Hammer's German Grammar and Usage* appeared in 2002, and it has become clear from comments and suggestions made by the numerous users, both teachers and learners, who have been kind enough to contact me that a revision would now be timely. However, it was equally clear from these comments that a thoroughgoing revision of the basic structure of the work would not be welcomed. Thus, the relatively traditional layout based on the parts of speech has been retained, since alternative approaches, however theoretically justifiable, would be unfamiliar to many potential users and could detract from the usefulness of the work for everyday reference. Similarly, considerations of the user prevailed again, despite my own reservations, in the decision to retain a separate chapter on expressions of time, although consistency would suggest that the material dealt with there 'really' belongs elsewhere, e.g. in the chapter on adverbs or the chapter on prepositions.

The revision is founded, like all previous revisions, on the basic principle that the work should be a comprehensive descriptive account of modern German for the use of the advanced learner or student of the language whose first language is English – or who can approach German through English, since I am aware that the book has been widely used in other countries. This aim implies that it should cover the whole gamut of variation in usage within modern German, not simply the most prestigious written form which is still, unfortunately, used too exclusively in many teaching manuals, even when they purport to present examples of spoken language. Thus, considerable attention has been paid to giving information on usage in registers other than formal writing or literature, and details given on everyday speech. This also reflects the greater emphasis paid to oral skills, both active and passive, in modern language teaching. The distinction between common spoken usage and the norms of formal writing norms is particularly marked in German, and clear indications are given in this work as to where spoken and written usage diverge, as also in respect of forms which, although they are considered to be grammatically 'correct', are felt to be stilted outside formal writing (and sometimes even there). Similarly, forms which are frequently heard in everyday speech but widely thought of as non-standard or incorrect are included here, as the foreign learner will encounter them every day, but with a clear indication of their status. Important regional variants within standard German are also included and marked accordingly, especially those commonly found in Swiss or Austrian usage, but purely dialectal forms have been ignored.

The major innovations in this edition can be subsumed under a few major headings. The first revision of Mr. Hammer's original work took place some twenty years ago against the background of momentous events in Germany, and many of the new examples were naturally taken from contemporary reports. Although they are not outdated from a linguistic point of view, they now give the work a historical context which is no longer appropriate. Thus, the opportunity has been taken to replace such dated examples by more recent ones. At same time all the information about specific points of grammar and usage has been checked fully against my own database of modern German, the most recent academic research (as reflected – necessarily selectively – in the bibliography) and the extensive corpus of spoken and written German at the Institut für Deutsche Sprache in Mannheim. This invaluable resource has grown exponentially since the preparation of the first revision, and is now available online (at www.ids-mannheim.de). It is naturally complemented now by the material which can be accessed through internet search engines, and these have also been used extensively – although with the appropriate degree of care, since they are not necessarily representative of widespread usage. Nevertheless, these sources can be invaluable in tracing and attesting some of the most recent developments in the language which are unlikely to find their way into conventional print media, notably demonstrating the continued vitality of the language in incorporating recent lexical material – often, although not only, from English. Thus, der Blog gives the verb bloggen, which is then adapted through the whole range of possible derivations to give ausbloggen, erbloggen, verbloggen, and many more. Information about such possibilities is given in Chapter 22.

In common with previous revisions, changes in the numbering and content of individual sections and subsections have been kept to a minimum in order to facilitate cross-reference between editions. Some such changes, however, proved unavoidable. This is naturally the case with Chapter 23, which now contains a section on pronunciation. This is the most important addition in this revision, and was requested by a number of users. Nevertheless, this is not a comprehensive description of German pronunciation, which would require a manual in its own right, but an account of the relationship between sounds and spelling, explaining the main principles of the spelling system and outlining those instances where the spelling does not reflect pronunciation unambiguously. In this chapter, too, details are given on the most recent developments in the controversial reform of German spelling, following the changes in the rules which

were implemented in 2006. Naturally, these revisions have been implemented throughout the rest of the book. The other most thoroughgoing revision is in the account of valency in Chapter 18, where it had become apparent that the account of verb complements was overly complex and gave too much prominence to minor complements, such as the genitive object.

# **Acknowledgements**

My debt to others in the preparation of this and previous revisions is immense, and I am immensely grateful, first, to all the native speakers of German, unfortunately too numerous to mention, who have answered questions, given advice and, often unwittingly, provided me with examples and other linguistic data. Many friends, colleagues and acquaintances in Britain, Germany and several other countries – some, sadly, no longer with us – have also assisted me over the years in preparing this and previous editions, and I must express my thanks to all of them, notably to Prof. W. Abraham, Prof. V. Ágel, Prof. J.O. Askedal, Dr. A. Auer, Dr J.S. Barbour, Ms. S. Bayer, Dr C. Beedham, Dr P. Bennett, Prof. D. Brée, Dr W. Brockhaus-Grand, Dr C. Chapman, Mr P.A. Coggle, Dr P. Cook, Prof. M. Dalmas, Mr. T. Despositos, Prof. D. Dobrovolsky, Dr B. Donaldson, Dr D. Duckworth, Prof. L. Eichinger, Prof. P. Eisenberg, Prof. C. Fandrych, Dr. K. Fischer, Prof. J.L. Flood, Prof. A. Gardt, Prof. S. Günthner, Dr P. Gupta, Prof. C. Gutknecht, Prof. C. Hall, Mr W. Hanson, Dr G. Hens, Mr P. Holgate, Mr D.H.R. Jones, Prof. R. Jones, Prof. W.J. Jones, Prof. K.M. Kohl, Ms. A. Krawanja, Prof. E. Lang, Dr. N. Langer, Prof. O. Leirbukt, Prof. E. Leiss, Mrs G. Loftus, Mr D.G. McCulloch, Dr J. Manton, Dr G.D.C. Martin, Dr V. Martin, Prof. U. Meinhof, Dr M. Minden, Prof. H. Ridley, Prof. J. Roche, Prof. D. Rösler, Dr. S. Scheible, Ms M. Schwab, Dr R.W. Sheppard, Prof. H.G. Siefken, Prof. G. Stickel, Dr. P. Storjohann, Ms Susan Tebbutt, Mrs E. Teubert, Prof. J.K.A. Thomaneck, Mrs A. Thompson, Dr B. Thompson, Prof. M.R. Townson, Mr B.A. Watson, Dr S. Watts, Prof. H. Wegener, Dr J. West, Prof. D.N. Yeandle and Prof. G. Zifonun. Thanks are also due to the German Academic Exchange Service, which made a number of visits possible to the Institut für Deutsche Sprache in Mannheim to consult material there and use its library facilities.

> Martin Durrell Manchester, 2011

# The Phonetic Alphabet

On those occasions (especially in Chapter 23) where it is necessary to indicate precise pronunciation the alphabet of the International Phonetic Association (IPA) is used. The following table gives all the IPA symbols used in this book, with examples from German, (British) English or French. Phonetic symbols are conventionally given between square brackets, e.g. *Mann*, pronounced [man]. Long vowels are indicated by the symbol: placed after the vowel sign.

### **Vowels**

1:	Ger bieten, Engl beat	u:	Ger Kuh, Fr trou
I	Ger bitten, Engl bit	Y	Ger Fülle
e:	Ger beten, Fr écouter	y:	Ger Mühle, Fr mur
ε	Ger Bett, Engl bed	œ	Ger Hölle
:3	Ger wäre, Fr scène	ø	Ger Höhle, Fr peu
a	Ger Band, Fr pas	aı	Ger fein, Engl fine
a:	Ger Vater, Engl father	au	Ger Maus, Engl mouse
0	Ger kommen, Engl caught	œY	Ger Mäuse
o:	Ger Boot, Fr eau	ə	Ger bitte, Engl china
U	Ger Butter, Engl butcher	в	Ger bitter

### **Consonants**

p	Ger passen, Engl pass	ſ	Ger schießen, Engl sheet
b	Ger bitte, Engl bit	x	Ger Buch, Scots loch
t	Ger tun, Engl ton	ç	Ger mich, Engl. Hugh
d	Ger dumm, Engl dumb	h	Ger holen, Engl hole
k	Ger kommen, Engl come	m	Ger mich, Engl mine
g	Ger gut, Engl good	n	Ger neun, Engl nine
f	Ger faul, Engl foul	η	Ger hing, Engl hung
v	Ger wann, Engl van	Ĩ	Ger laut, Engl loud
S	Ger lassen, Engl sat	R	Ger rot
Z	Ger saß, Engl zero	j	Ger ja, Engl year

# 1 Nouns

Nouns are words which name living creatures, things, places, ideas or processes. In German they are distinguished by being written with an initial capital letter (see 23.2). A noun is often preceded by an article or other determiner (see Chapters 4 and 5), and often also by one or more adjectives or a longer adjectival phrase (see Chapter 6). Together, these form the NOUN PHRASE:

Determiner	Adjective/adjectival phrase	Noun
		Gott
die		Erde
ein	ultramodernes	Raumschiff
der	seit vorgestern vermisste	Hund

In German there are **three** grammatical categories which relate to nouns, i.e. GENDER (whether the noun is masculine, feminine or neuter), NUMBER (whether the noun is singular or plural) and CASE (how the noun shows its function in the sentence). This chapter gives detailed information about these, i.e.:

- the **gender** of nouns (section 1.1)
- how nouns form their **plural** (section 1.2)
- how the form of nouns changes to show **case** (section 1.3)

### 1.1 Gender

**Every German noun is assigned to one of the three genders:** MASCULINE, FEMININE or NEUTER. Grammatical gender is a system for classifying nouns, and it is not the same as 'natural' gender (i.e. 'males', 'females' and 'things', as in English). In this way, the names of the genders in German are misleading and the classification can seem arbitrary, especially as words for 'things' can have any of the three genders:

MASCULINE: der Tisch, FEMININE: die Wand, NEUTER: das Fenster

Gender differences are only relevant in the singular of nouns in German, not the plural:

die Tische, die Wände, die Fenster

Foreign learners are usually recommended to learn German nouns together with their gender, which is shown by the relevant definite article – **der** Tisch; **die** Wand; **das** Fenster – and this is still the ideal. In practice, though, the meaning or the form (especially the ending) of a noun often gives a useful clue to its gender, as does the way the plural is formed. The gender of 80% of German nouns can be recognised in this way, and a knowledge of these, even if there are some exceptions, is a valuable assistance in learning the gender of nouns. This section shows:

- How gender can relate to the meaning of nouns (sections 1.1.1–1.1.4)
- How gender can be recognised from the form or ending of nouns (sections 1.1.5–1.1.8)
- The gender of compound words and abbreviations (section 1.1.9)
- The gender of loan- words from English (section 1.1.10)
- Nouns with varying or double gender (sections 1.1.11–1.1.12)
- Problems with gender agreement (section 1.1.13)

# A: Gender and Meaning

Sections 1.1.1–1.1.4 give detail on where the meaning of a noun is relevant for its gender. The most important cases are summarised in Table 1.1.

Table 1.1 Gender and meaning

Masculine	Examples
male humans and animals seasons, months, days of the week winds, weather, points of the compass rocks, minerals alcoholic and plant- based drinks makes of car rivers outside Germany monetary units mountains, mountain ranges	der Arzt, der Hahn, der Löwe, der Bock der Sommer, der Januar, der Montag der Föhn, der Nebel, der Schnee, der Norden der Granit, der Diamant, der Gin, der Kakao der BMW, der Audi, der Mercedes der Ganges, der Nil, der Severn der Euro, der Dollar, der Franken der Brocken, der Spessart
Feminine	
female humans and animals aeroplanes, motor- bikes, ships rivers inside Germany names of numerals	die Frau, die Henne, die Löwin, die Sau die Boeing, die BMW, die "Bismarck" die Weser, die Donau, die Maas, die Memel die Eins, die Vier, die Milliarde
Neuter	
young humans and animals metals, chemicals, scientific units letters of the alphabet, musical notes other parts of speech used as nouns hotels, cafés, restaurants, cinemas continents, countries, towns	das Baby, das Kind, das Ferkel, das Lamm das Gold, das Eisen, das Aspirin, das Volt das A, das Ypsilon, ein großes D, das hohe C das Stehen, das Aber, das moderne Deutsch das "Hilton", das "Kranzler", das "Kapitol" das alte Europa, das neue Polen, das geteilte Berlin

### 1.1.1 Nouns With the Following Meanings are Masculine

### a. Male persons and male animals

(see also 1.1.4)

der Arzt, der Ingenieur, der König, der Student, der Vater, der Bock, der Eber, der Hahn

NB: Diminutives in - chen and - lein are neuter (see 1.1.7), e.g.: das Büblein, das Karlchen, das Kerlchen.

### b. Seasons, months and days of the week

der Frühling, der Sommer, der Herbst, der Januar, der Mai, der Mittwoch, der Sonnabend

NB: (i) Compounds, e.g. das Frühjahr, die Jahreszeit, have the gender of the second element, see 1.1.9.

(ii) Exceptions: die Nacht, die Woche, das Jahr.

### c. Points of the compass and words referring to winds and kinds of weather

der Norden, der Osten, der Süden, der Westen

der Föhn, der Passat, der Taifun, der Wind

der Frost, der Hagel, der Nebel, der Regen, der Schnee, der Sturm, der Tau

NB: Exceptions: die Brise, das Eis, das Gewitter (see 1.1.8c), die Graupel, das Wetter, die Witterung (see 1.1.6).

### d. Rocks and minerals

der Diamant, der Granit, der Lehm, der Quarz, der Ton

NB: Exceptions: das Erz, die Kohle, die Kreide, das Mineral.

### e. Alcoholic drinks and plant- based drinks

der Cocktail, der Gin, der Kirsch, der Schnaps, der Wein, der Wodka

der Kakao, der Kaffee, der Most, der Saft, der Tee

NB: Exception: das Bier.

### f. Makes of car

der Audi, der BMW, der Citroën, der Polo, der Rolls- Royce, der Trabant

NB: der BMW is a car made by BMW, but die BMW is a motor- bike made by BMW (see 1.1.2b).

### g. Rivers outside Germany

(see 1.1.2c for those within Germany)

der Ganges, der Jordan, der Kongo, der Mississippi, der Nil, der Po, der Shannon, der Severn

NB: Those ending in - a or - e are feminine, e.g.: die Seine, die Themse 'the Thames', die Wolga. Also: die Liffey.

### h. Monetary units

der Cent, der Dollar, der Euro, der Franken, der Pfennig, der Rappen, der Schilling

NB: There are several exceptions, notably: die Mark, das Pfund.

### i. Mountains and mountain ranges

der Ätna, der Brocken, der Montblanc, der Mount Everest, der Säntis der Balkan, der Harz, der Himalaja, der Jura, der Spessart, der Taunus

NB: There are some exceptions, e.g.:

- (i) compounds: das Erzgebirge, das Matterhorn, die Zugspitze.
- (iii) die Eifel, die Haardt, die Rhön, die Sierra Nevada.

### 1.1.2 Nouns With the Following Meanings are Feminine

### a. Female persons and animals

(see also 1.1.4)

die Frau, die Gans, die Henne, die Köchin, die Kuh, die Mutter, die Sau, die Tante

NB: Exceptions: das Weib, das Fräulein, das Mädchen (and other diminutives in - chen and - lein, see 1.1.7).

### b. Aeroplanes, motorbikes and ships

die Boeing, die Cessna, die Tu- 154

die BMW (see 1.1.1f), die Honda

die "Bismarck", die "Bremen"

NB: Some names retain the gender of the base word, e.g.: der Airbus, der Storch; der "Albatros", das "Möwchen".

### c. Native German names of rivers

This includes many rivers in regions which are no longer in Germany, Austria or Switzerland.

die Donau, die Elbe, die Ems, die Fulda, die Isar, die Lahn, die Maas, die Memel,

die Mosel, die Neiße, die Oder, die Ruhr, die Saale, die Spree, die Weichsel, die Weser

NB: The most important exceptions are: der Inn, der Lech, der Main, der Neckar, der Rhein.

### d. Names of numerals

die Eins, die Vier, die Tausend, die Million, die Milliarde

NB: Note, as quantity expressions: das Dutzend, das Hundert, das Tausend (see 9.1.5b).

### 1.1.3 Nouns with the Following Meanings are Neuter

### a. Young persons and animals

(see also 1.1.4)

das Baby, das Ferkel, das Fohlen, das Junge (but see 1.1.12), das Kalb, das Kind, das Lamm

### b. Metals and chemical elements

das Blei, das Eisen, das Gold, das Kobalt, das Kupfer, das Messing, das Uran, das Zinn

NB: Exceptions: die Bronze, der Phosphor, der Schwefel, der Stahl (and compounds such as der Sauerstoff 'oxygen', see 1.1.9).

### c. Scientific units

das Ampere, das Atom, das Elektron, das Molekül, das Pfund, das Volt, das Watt

NB: Liter and Meter may be masculine or neuter, see 1.1.11b.

### d. Letters of the alphabet and musical notes

das A, ein großes D, das Ypsilon

das hohe C, das Cis, das Ges

NB: In Swiss usage letters are masculine, e.g.: der A.

### e. Other parts of speech used as nouns

This includes verb infinitives, colours, languages and English *ing*- forms, e.g.:

das Ach, das Blau des Himmels, das vertraute Du, das Inkrafttreten, das Jenseits, das Kommen, sein ewiges Nein, das moderne Spanisch, das Doping, das Meeting

### f. Hotels, cafés, restaurants and cinemas

das Hilton, das "Kranzler", das "Roxy"

### g. Names of continents, countries, provinces and towns

For the use of the article with these neuter names, see 4.4.1c.

das gärende Afrika, das viktorianische England, das alte Bayern, das zerstörte Frankfurt, das historische Neustadt (*despite*: die Stadt)

NB: Exceptions:

are: die Arktis, die Antarktis; die Lausitz, die Pfalz, die Schweiz and all ending in - a, - e, - ei and - ie (except Afrika, China), e.g.: die Riviera, die Bretagne, die Türkei, die Normandie.

(ii) A few are **masculine**; they are also commonly used with the definite article, see 4.4.1a: der Irak, der Iran, der Jemen, der Kongo, der Libanon, der Sudan.

### 1.1.4 The Gender of Nouns for Humans and Animals: Special Cases

### a. Professions, occupations, nationality, etc.

**i.** For many names denoting professions, occupations or nationality the basic designation is masculine, and a feminine may be formed from it with the suffix - *in* (see 22.2.1f):

der Engländer – die Engländerin der Lehrer – die Lehrerin der Koch – die Köchin der Türke – die Türkin

or by replacing - mann with - frau, e.g.:

der Kaufmann – die Kauffrau

der Milchmann – die Milchfrau

der Amtmann – die Amt**frau** (the form *Amtmännin* is obsolete)

ii. These forms are used where appropriate to refer to female persons:

Sie gilt als die beste **Kundin** von unserem Geschäft She is considered our best customer Heute Abend habe ich deine **Freundin** Anna gesehen I saw your friend Anna this evening

- **iii.** Whether the suffix *in* is used is in practice variable and uncertain nowadays. The use of undifferentiated masculine nouns to refer to women (or men and women) is often considered discriminatory, although it is quite common in practice, even with younger speakers. Indeed, some speakers (male and female) feel that terms like *Professorin* may be derogatory because they suggest that the masculine term is more basic. In general:
  - The feminine form is used if it is considered relevant in context:

Die neue Lehrer**in** scheint sehr beliebt zu sein (*Der neue Lehrer* would be odd if a woman is referred to)

• The feminine forms are usual to refer back to a woman (or women) already mentioned:

Meine beiden Schwestern sind Ärzt**innen** (NOT: Ärzte) Hanne Frisch, die Ärzt**in** (NOT: der Arzt), die ihn behandelt hatte Sie wurde die erste Professor**in** an einer deutschen Universität

• The masculine form is sometimes used in a general sense to refer to either sex, especially with titles and 'newer' professions (including those which were previously predominantly or exclusively male), or when the profession itself is emphasised, e.g.:

Sie ist Ingenieur, Autoschlosser, Informatiker

der damalige Minister für Volksbildung Margot Honecker

Frau Professor Dr. Hartmann

This usage is particularly common after the verb *sein*, and it was predominant in the former GDR. However, the use of the feminine suffix is becoming increasingly usual with titles. On the election of Angela Merkel as Federal Chancellor, it was established, after some debate, that the official form of address for her would be *Frau Bundeskanzlerin*.

- The feminine form has always been the norm if Frau is omitted, e.g.: Bundespräsidentin der Schweiz Doris Leuthard; Ute Hartmann ist Professorin für Neuere Deutsche Literatur.
- In advertisements for jobs, both forms are now usually given:

Wir suchen ab sofort eine(n) Musiklehrer(in)

We have an immediate vacancy for a music

teacher

Wir brauchen eine/n We have a vacancy for a social and

Mitarbeiter/in für Gemeinde und Jugendarbeit youth worker

• When no feminine form is available, the masculine is used despite the anomaly:

der Säugling hieß Anna der Teenager war schwanger unser werter Gast, Frau Dr. Schilling

• Especially in written German, the feminine form is sometimes used to refer to feminine nouns denoting things:

Die ehemalige Sowjetunion war die größte

The former Soviet Union was the largest

Produzentin von Personenwagen im Ostblock

Producer of private cars in the eastern bloc

In such contexts the masculine form (e.g. der größte Produzent) would be equally acceptable.

iv. In the plural, to refer to both men and women, various possibilities are current. It is not uncommon for the masculine form to be used:

Der Bürgermeister begrüßte die Besucher aus der Hauptstadt Wien

However, this may be considered discriminatory, especially where the feminine form is in common usage. Both forms may then be given:

liebe Zuschauer und Zuschauerinnen; die Studenten und Studentinnen

A relatively recent option is to use the feminine form with a capital *I* (sometimes spoken as long [i:]) to indicate both sexes:

die StudentInnen der Westfälischen Wilhelmsuniversität Münster

With some words, the problem can be avoided by using a word which is not inherently gender-specific, if one is available, e.g. *die Studierenden der Universität Passau*. However, these tend to be mainly used in official writing and have rarely been adopted in everyday speech.

### b. Animals

The names of species of animals can be masculine, feminine or neuter, e.g.:

der Fisch, die Ratte, das Pferd, etc.

Many familiar or domesticated animals have different masculine and feminine forms:

der Fuchs – die Füchsin der Gänserich – die Gans der Hahn – die Henne der Kater – die Katze

NB: die Drohne drone, der Weisel queen bee.

**c.** Usually, one of these designates the species (e.g. *der Fuchs*, *die Gans*, *die Katze*) and the other is only used if the sex is known or relevant in context. In the absence of a specific term, male or female animals and birds can be indicated by *das Männchen* or *das Weibchen*, e.g.:

das Zebramännchen; das Froschweibchen

d. Anomalous genders of names of human beings

die Geisel hostage das Genie genius

das Haupt head (of state, family)

das Individuum individual
das Mannequin mannequin
das Mitglied member
das Mündel (in legal language der Mündel) ward
die Person person
die Wache sentry
die Waise orphan

das Weib woman, wife (pej. or arch.)

In addition, all nouns in - *chen* and - *lein* are neuter, irrespective of sex, e.g.: *das Bübchen, das Fräulein*, etc. (see 1.1.7).

NB: zum Waisen machen 'to orphan'.

Problems of agreement if grammatical and natural gender are in conflict are dealt with in 1.1.13.

### B: Gender and Form

Sections 1.1.5–1.1.8 give detail on where the form, in particular the ending of nouns, indicates gender. The most frequent cases are summarised in Table 1.2.

Table 1.2 Gender and endings

Masculine endings				
-ant der Konsonant -ast der Kontrast -ich der Teppich -ig der Honig		-ismus -ling -or -us	der Sozialismus der Liebling der Motor der Rhythmus	
	Feminin	e endings		
-a -anz/-enz -ei -heit/-keit -ie -ik	die Pizza die Eleganz die Bücherei die Krankheit die Biologie die Panik	-in -schaft -sion/-tion -tät -ung -ur	die Freundin die Herrschaft die Explosion die Universität die Bedeutung die Natur	
Neuter endings				
-chen -lein -ma	das Mädchen das Büchlein das Drama	-tel -tum -um	das Viertel das Eigentum das Album	

### 1.1.5 The Form of Some Nouns Shows that They are Masculine

a. Nouns with the following endings are masculine:

```
    -ant der Konsonant
    -ig der Essig
    -or der Motor
    -ast der Kontrast
    -ismus der Idealismus
    -us der Rhythmus
    -ich der Teppich
    -ling der Feigling
```

NB: Common exceptions: das Labor, das Genus 'gender', das Tempus 'tense'.

### b. Nouns formed from strong verbs without a suffix are masculine

der Betrieb, der Biss, der Fall, der Gang, der Sprung, der Wurf

NB: Common exceptions: das Band, das Grab, das Leid 'harm, sorrow', das Maß 'measurement', das Schloss, das Verbot.

### 1.1.6 The Form of Some Nouns Shows that They are Feminine

### a. Nouns with the following endings are feminine

-a	die Villa	-ik	die Panik	-tion	die Revolution
-anz	die Eleganz	-in	die Freundin	-tät	die Universität
-ei	die Bücherei	-keit	die Heiterkeit	-ung	die Bedeutung
-enz	die Existenz	-schaft	die Botschaft	-ur	die Natur
-heit	die Gesundheit	-sion	die Explosion		
-ie	die Biologie	-sis	die Basis		

NB: Common exceptions are words in - ma (see 1.1.7), chemical terms in - in (see 1.1.7) and the following: das Sofa, das Genie, der Atlantik, der Katholik, das Mosaik, der Pazifik, das Abitur, das Futur, das Purpur.

### b. Most nouns ending in -t from verbs are feminine

die Ankunft, die Fahrt, die Flucht, die Macht, die Schlacht, die Sicht

NB: Some common exceptions are: der Dienst, der Durst, der Frost, das Gift, der Verdienst, der Verlust.

### 1.1.7 Nouns with the Following Endings are Neuter

-chen	das Mädchen	-lein	das Büchlein	-tel	das Viertel
-icht	das Dickicht	-ma	das Schema	-tum	das Eigentum
-il	das Ventil	-ment	das Appartement	-um	das Album
-it	das Dynamit				

Chemical terms in - in are also neuter: das Benzin, das Protein

NB: Common exceptions: der Profit, der Granit, die Firma, der Zement, der Irrtum, der Reichtum, der Konsum.

### 1.1.8 Some Other Noun Endings or Prefixes Give a clue to Gender

The endings - el, - er and - en; - e; - nis and - sal; and the prefix Ge- tend to be associated with particular genders and it is helpful to be aware of this. However, this is a matter of tendency rather than firm rule.

### a. Nouns in - el, - er and - en are predominantly (60%) masculine

der Flügel, der Schatten, der Fehler

All nouns in - er from verbs are masculine: der Bäcker, der Bohrer, der Lehrer

The rest fall into three groups:

- i. All nouns from verb infinitives in en are neuter (see 1.1.3e): das Essen, das Kaffeetrinken
- ii. About 25% of those in el and er (but none in en) are feminine: die Butter, die Regel, die Wurzel
- iii. Remaining nouns in el, en and er (some 15%) are neuter: das Fieber, das Segel, das Zeichen

### b. Nouns in - e are mainly (90%) feminine

die Biene, die Blume, die Bühne, die Garage, die Liebe, die Sahne

There are five major groups of exceptions:

i. Names of male persons and animals (see 1.3.2): der Affe, der Bote, der Junge, der Löwe

- ii. Eight irregular masculines (see 1.3.3): der Buchstabe, der Friede, der Funke, der Gedanke, der Glaube, der Name, der Same, der Wille
- iii. Two other masculine nouns: der Charme, der Käse
- iv. Most nouns with the prefix Ge- are neuter (see 1.1.8c), irrespective of whether they end in e or not: das Gebirge, das Gefälle, das Gemüse
- v. A few other neuter nouns: das Auge, das Ende, das Erbe 'inheritance' (see 1.1.12), das Finale, das Image, das Interesse, das Prestige, das Regime, das Repertoire

### c. Most nouns with the prefix Ge- [ga] are neuter

das Geäst, das Gebäude, das Gebot, das Gelübde, das Gesetz, das Gespräch

The exceptions fall into three groups:

### i. Names of male or female humans:

der Gehilfe/die Gehilfin	assistant
der Gemahl/die Gemahlin (elev.)	spouse
der Genosse/die Genossin	comrade
der Gevatter (arch.)	godfather

### ii. Eleven other masculines:

der Gebrauch	use	der Gehorsam	obedience	der Geschmack	taste
der Gedanke	thought	der Genuss	enjoyment	der Gestank	stink
der Gefallen	favour	der Geruch	smell	der Gewinn	profit
der Gehalt	content	der Gesang	singing		W 1993

NB: Gefallen and Gehalt are neuter in other meanings, see 1.1.12.

### **iii.** Eleven other feminines:

die Gebärde	gesture	die Gefahr	danger	die Geschwulst	tumour
die Gebühr	fee	die Gemeinde	community	die Gestalt	figure
die Geburt	birth	die Geschichte	history; story	die Gewähr	guarantee
die Geduld	patience			die Gewalt	force, violence

### d. Nouns with the suffixes - nis and - sal are mainly (about 70%) neuter

das Bedürfnis, das Ereignis, das Erlebnis, das Scheusal, das Schicksal

About 30% are feminine, including:

- i. all those in nis from adjectives: die Bitternis, die Finsternis
- ii. all those in nis from verbs denoting a state of mind: die Besorgnis, die Betrübnis
- iii. a few other common feminines: die Erkenntnis, die Erlaubnis, die Kenntnis, die Mühsal

### e. Nouns with certain endings are most often neuter if they refer to things

These endings are mainly of foreign origin:

-al	das Lineal	-at	das Sekretariat	-iv	das Adjektiv
-an	das Organ	-ent	das Talent	<b>-o</b>	das Büro
-ar	das Formular	-ett	das Etikett	-on	das Mikrophon
-är	das Militär	-ier	das Papier		

Nouns with these endings referring to persons are masculine, see 1.1.1.

NB: The most common exceptions are: der Kanal, die Moral, der Skandal, der Altar, der Kommentar, der Apparat, der Automat, der Salat, der Senat, die Manier, der Kanton, die Person.

### 1.1.9 The Gender of Compound Words and Abbreviations

### a. Compound nouns usually have the gender of the last component

der Fahrplan, die Bushaltestelle, das Hallenbad

There are a few exceptions to this rule:

- i. Some compounds of der Mut are feminine: die Anmut, die Armut, die Demut, die Großmut, die Langmut, die Sanftmut, die Schwermut, die Wehmut
- ii. For the compounds of der/das Teil, see 1.1.11c.
- iii. Others:

das Gift BUT die Mitgift dowry der Grat BUT das Rückgrat

die Scheu (cf. 1.1.11)

das Wort BUT die Antwort die Woche BUT der Mittwoch

### b. The gender of abbreviations is determined by the base word

der HSV (der Hamburger Sportverein)

die CDU (die Christlich- Demokratische Union)

das BAFöG (das Bundesausbildungsförderungsgesetz)

### c. Shortened words have the gender of the full form

der Akku (Akkumulator)

der Krimi (Kriminalroman)

das Labor (Laboratorium)

die Lok (Lokomotive)

die Uni (Universität)

NB: das Foto (despite: die Fotografie). In Switzerland, though, die Foto is usual.

### 1.1.10 The Gender of English loan- Words

Large- scale borrowing of words from English is a feature of modern German. These need to be given a gender, and this tends to be determined by the following principles (which sometimes conflict):

### a. Many English words adopt the gender of the nearest German equivalent

der Airbag (der Sack)die Band (die Kapelle)der Airport (der Flughafen)die Box (die Büchse)der Bob (der Schlitten)die Crew (die Mannschaft)der Lift (der Aufzug)das Baby (das Kind)der Shop (der Laden)das Bike (das Fahrrad)der Smog (der Nebel)das Handy (das Telefon)

This principle can result in a word having two genders in different meanings, e.g.: der Service 'service' (by analogy with der Dienst); das Service '(dinner/tea) service' (by analogy with das Geschirr).

### b. The ending or the form of some English words can determine the gender

i. Words with endings similar to German endings often adopt the gender associated with that ending:

der Broiler, der Container, der Computer, der Folder (- er is a masculine ending)

der Agitator, der Konduktor, der Rotor (- or is a masculine ending)

die Animation, die Supervision (- sion and - tion are feminine endings)

die City, die Lobby, die Publicity, die Party, die Story (- ie is a feminine ending)

das Klosett, das Pamphlet, das Ticket (- ett is a neuter ending)

das Advertisement, das Realignment, das Treatment (- ment is a neuter ending)

ii. Monosyllabic nouns from verbs are often masculine (cf. 1.1.5b):

der Hit, der Hype, der Link, der Look, der Raid, der Rock, der Streik, der Strip, der Talk

iii. Nouns from phrasal verbs or English *ing*- forms are usually neuter, see 1.1.3e:

das Blow- up, das Check- up, das Handout, das Teach- in

das Dumping, das Floating, das Meeting, das Merchandising

NB: There are some exceptions: der Fallout, die Holding (company).

### iv. If there is no other indication, monosyllabic nouns are most often masculine

der Chip, der Choke, der Lunch, der Sex, der Spot, der Trend

v. However, feminines and neuters are not uncommon:

die Bar, die Couch, die Farm, das Match, das Steak, das Team

### vi. No gender has yet become firmly established in a good number of cases

### Common examples are:

der/das Blackout	der/das Deal	der/das Ketchup	der/das Poster
der/das Break	der/die Forehand	der/das Looping	der/das Radar
der/das Cartoon	der/die Parka	die/das Soda	
der/das (coll. also: o	die) Jogurt		

Sometimes different German countries settle on different genders. *Blog* is most often *das Blog* in Germany, but *der Blog* in Austria and Switzerland. *Die E- Mail* is used in Germany (presumably because of *die Post*) but *das E- Mail* in Switzerland; whilst in Austria and much of South Germany both genders are found.

### 1.1.11 Nouns with Varying Gender

The gender of a few nouns is not fixed, although the variation is often linked to regional or register differences.

### a. Some common examples

Abscheu abhorrence	der (occ. die)	Mündel ward	das (legal der)
Aperitif aperitif	der (Sw. das)	Puff brothel	der (Au. das)
Backbord port side	das (Au. der)	(vulg.)	727 00 5 25 1
Barock Baroque	der or das	Pyjama <i>pyjamas</i>	der (Au./Sw. das)
Dotter yolk	der or das	Radio radio	das (S.G. der)
Dschungel jungle	der (occ. das,	Sakko jacket	der (Au. das)
	obs. die)	Sims	der or das
Fakt fact	der or das	(window-)sill,	
Filter filter	der (techn. das)	mantelpiece	
Foto photo	das (Sw. die)	Soda soda	die or das
Gelee jelly	das or der	Spargel	der (Sw. die)
Gischt spray	der or die	asparagus	
Katapult catapult	das or der	Steuerbord	das (Au. der)
Kehricht sweepings	der or das	starboard	
Keks biscuit	der (Au. das)	Taxi taxi	das (Sw. der)
Knäuel ball (wool)	der or das	(NB: also common:	die Taxe)
Kompromiss	der (Au. das)		
compromise		Tüpfel dot (on i)	der (Au. das)
Match match	das (Au./Sw. der)	Virus virus	der (medic. das)
Meteor meteor	der (astronom. das)	Zubehör accessories	das or der

### b. Liter and Meter

Both these words (and their compounds, e.g. *Zentimeter*) are officially neuter, notably in scientific terminology, i.e. *das Liter*, *das Meter*. However, they are regularly masculine in colloquial speech, and not infrequently in print, i.e. *der Liter*, *der Meter*. Written Swiss usage **always** prefers the masculine.

### c. Teil

Teil is most often masculine, der Teil, in all meanings:

dieser Teil von Deutschland; er behielt den größten Teil für sich

**d.** However, it may be neuter in a few set phrases:

- ich für mein (*or* meinen) Teil; das bessere (*or* den besseren) Teil wählen; sie trug ihr (*or* ihren) Teil dazu bei; er hat sein (*or* seinen) Teil getan
- **e.** The neuter *das Teil* is also usual in technical language, to refer to a detached part: jedes einzelne Teil, ein defektes Teil
- **f.** Compounds of *Teil* are mostly masculine, with the following exceptions:

das Abteil *compartment*das (*legal* der) Erbteil *inheritance*das Einzelteil *separate part*das Ersatzteil *replacement part* 

der Pony (no pl.) fringe (of hair)

das Gegenteil *opposite*das/der Oberteil *upper part*das Urteil *verdict* 

### 1.1.12 Double genders with Different Meanings

A number of words have two meanings differentiated by gender:

der Band ("e) volume, book das Band ("er) ribbon, tape NB: also: *die Band (- s)* (pron. [bent]) band, (pop) das Band (- e) bond, fetter (see 1.2.8) group. der Bulle (- n, - n) bull; cop (coll.) die Bulle (- n) (papal) bull der Bund ("e) union; waistband das Bund (- e) bundle, bunch der Ekel (no pl.) disgust das Ekel (-) (coll.) nasty person der Erbe (- n, - n) heir das Erbe (no pl.) inheritance, heritage der Flur (- e) entrance hall (N.G.) die Flur (- en) meadow (elev.) der Gefallen (- ) favour das Gefallen (no pl.) pleasure der Gehalt (- e) content das Gehalt ("er) salary NB: Au. *der Gehalt* also = 'salary' der Golf (- e) gulf das Golf (no pl.) golf der Gummi (- s) eraser das Gummi (no pl.) rubber (as material) der Harz Harz (mountains) das Harz (no pl.) resin der Heide (- n) heathen die Heide (- n) heath die Hut (no pl.) guard (e.g.: auf der Hut sein 'to be on der Hut ("e) hat one's guard') der Junge (- n, - n) boy das Junge (adj.) young (of animals) der Kiefer (- ) jaw die Kiefer (- n) pine der Kunde (- n, - n) customer die Kunde (no pl.) knowledge, news (elev.) der Lama (- s) lama das Lama (- s) *llama* der Laster (- ) *lorry* (coll.) das Laster (- ) vice der Leiter (- ) leader die Leiter (- n) ladder der Mangel (") lack die Mangel (- n) mangle die Mark (- ) mark (coin) das Mark (no pl.) marrow (bone) die Marsch (- en) fen (N.G.) der Marsch ("e) march das Mensch (- er) slut (coll., pej.) der Mensch (- en, - en) human being der Messer (- ) surveyor; gauge das Messer (- ) knife der Militär (- s) military man das Militär (no pl.) the military das Moment (- e) (determining) factor der Moment (- e) moment der Otter (- ) otter (also: der Fischotter) die Otter (- n) adder (also: die Kreuzotter) der Pack (- e or "e) package das Pack (no pl.) *mob*, *rabble* 

das Pony (- s) pony

der Schild (- e) *shield* das Schild (- er) *sign*, *plate* 

der See (- n) *lake* die See (no pl.) *sea* 

die Steuer (- n) tax das Steuer (- ) steering- wheel, helm

der Stift (- e) pen, stripling (coll.) das Stift (- e) foundation, home (e.g. for aged)

der Tau (no pl.) dew das Tau (- e) rope, hawser

der Tor (- en, - en) fool (lit.) das Tor (- e) gate

der Verdienst (no pl.) earnings das Verdienst (- e) merit, achievement

die Wehr (no pl.) defence das Wehr (- e) weir

### 1.1.13 Problems of Gender Agreement

Difficulty with gender agreement arises most often when grammatical gender and natural gender do not correspond, as in the nouns treated in 1.1.4.

# a. In formal written German, pronouns normally agree with the grammatical gender of the noun, irrespective of natural gender

Wir suchen eine männliche Fachkraft. **Sie** muss im Besitz eines Führer-scheins sein We are looking for a skilled male worker. He must have a clean driving licence

eines der Mitglieder dieses Vereins one of the members of this club

Ich kann mich jedoch an keine Person erinnern, **die** in *However, I cannot remember any person who lived* dem so benannten Vorort wohnte (*Grass*) in the suburb of that name

Es war einmal ein Mädchen aus Alaska, **das** war Sängerin in einem Club in San Diego. Vor drei Jahren, mit 19, nahm **es seine** erste CD auf (*Kurier*)

There was once a girl from Alaska, she was a singer in a club in San Diego. Three years ago, at the age of 19, she recorded her first CD

This rule is rarely adhered to consistently. In practice, the relative pronoun almost always agrees for grammatical gender, but personal pronouns often have the form appropriate to the natural gender of the person referred to (i.e. *sie* or *er*), especially in spoken German:

Das Mädchen da drüben? Sie hat doch rotes Haar! That girl over there? But she's got red hair!

Even in writing, natural gender tends to predominate if the pronoun is some distance from the noun it refers to, especially if it is in a different clause or sentence:

Das junge Mädchen ist gestern Abend angekommen.

Sie ist sehr liebenswürdig

The young girl arrived last night. She's very kind

Sie stürzten sich auf das Mädchen, **das** in der Ecke stand, und drohten **ihr** mit Erschießen (*Quick*)

They rushed upon the girl standing in the corner and threatened to shoot her

Fräulein (if it is still used) is treated in this way, i.e. as a neuter noun, when it is used on its own, e.g. das Fräulein, das ihn bediente. But when followed by a name, feminine pronouns are used: Fräulein Müller, die mich gestern bediente.

### b. Neuter singular pronouns are used to refer to male and female persons

Sie stehen eine Weile schweigend, **jedes** die Hand auf der Schulter des anderen (*Fallada*)

They stand silent for a while, each with their hand on the other's shoulder

# c. Adjectives and determiners always agree for grammatical gender

ein junges Mädchen, das unartige Bübchen, eine männliche Person

This also applies with *Fräulein* followed by a name, for instance at the start of a letter: *Liebes Fräulein Müller* (although, in practice the address *Fräulein* is very rarely used nowadays).

### d. Personal names in - chen and - lein are treated as neuter

Unser kleines Fritzchen spielt mit seiner Modelleisenbahn Das Mariechen konnte gestern nicht schlafen. Es dachte an seine kranke Mutter

In speech the pronouns appropriate to natural gender are often used.

NB: In colloquial South German speech neuter pronouns were often used to refer to a younger girl, whatever her name, and this usage may still be encountered in rural areas. It is considered to be a mark of affection.

### 1.2 Noun Plurals

In English, most nouns simply add - s to form their plural. There is no similar general rule in German, and foreign learners are advised to learn the plural of each noun with the noun. This section gives details on all aspects of plural formation and use in German, as follows:

- The formation of noun plurals (section 1.2.1)
- The regular plural of masculine, feminine and neuter nouns (sections 1.2.2–1.2.4)
- Plurals with the ending s (section 1.2.5)
- Unusual plural forms (sections 1.2.6–1.2.7)
- Words with two plurals with different meanings (section 1.2.8)
- Differences between English and German in the use of the plural (sections 1.2.9–1.2.13)
- The use of the plural with nouns of weight, measurement and value (section 1.2.14)

### 1.2.1 Seven Regular Ways of Forming Noun Plurals in German

These are shown in Table 1.3.

Table 1.3 How nouns form their plural in German

Formation of plural	Singular	Plural
no ending	der Lehrer	die Lehrer
(-)	das Segel	die Segel
no ending, with Umlaut	der Vogel der Bruder	die Vögel die Brüder
add – e	der Arm	die Arme
(- e)	das Jahr	die Jahre
add - e, with Umlaut	der Stuhl	die Stühle
("e)	die Hand	die Hände
add - er, with Umlaut if possible	das Tal	die Täler
(- er)/("er)	das Kind	die Kinder
add - n or – en	die Frau	die Frauen
(- n)/(- en)	die Wiese	die Wiesen
add - s	der Streik	die Streiks
(- s)	das Auto	die Autos

In practice, the gender of a noun often gives a clue as to how it forms its plural, as shown in Table 1.4.

Table 1.4 Plurals and gender

Plural formation	Masculine	Feminine	Neuter Those ending in -el, -en, -er, -chen, -lein Those in Ge e	
no ending (-)	Those ending in -el, -en, -er	none		
no ending, with Umlaut (¨)	About 20 ending in -el, -en, -er	two: Mutter, Tochter	one: Kloster	
add –e (-e)	most	Those in -nis Kenntnis -nisse	most	
add -e, with Umlaut (¨e)	Many <b>monosyllables</b> that can have <i>Umlaut</i>	about 30	one: Floß	
add -er, with Umlaut if poss. (-er)/("er)	About 12	none	Many monosyllables	
add -n or –en (-n)/(-en)	All in -e, and a few others	most	About 12	

### 1.2.2 The Plural of Masculine Nouns

### (a) Most masculine nouns have a plural in (- e) or ("e)

```
der Arm – die Arme
der Bart – die Bärte
der Hund – die Hunde
der Bock – die Böcke
der Punkt – die Punkte
der Fuß – die Füße
der Versuch – die Versuche
der Stuhl – die Stühle
```

*Umlaut* is found with nearly half the nouns where it would be possible. The following list gives some common masculine nouns which have a plural in (- e) without *Umlaut* even though the vowel could have *Umlaut*:

```
der Huf
                                                  der Schuh
der Aal
             eel
                                        hoof
                                                                 shoe
der Arm
                          der Hund
                                                  der Star
                                        dog
                                                                 starling
             arm
der Beruf
            profession
                          der Laut
                                        sound
                                                  der Stoff
                                                                 material
der Besuch
            visit
                          der Monat
                                        month
                                                  der Tag
                                                                 day
der Dolch
                          der Mond
                                                  der Takt
                                                                 beat (music)
             dagger
                                        moon
der Dom
             cathedral
                          der Ort
                                                  der Thron
                                                                throne
                                        place
der Druck
                          der Pfad
                                                  der Verlag
                                                                 publishing house
             pressure
                                        path
der Erfolg
             success
                          der Punkt
                                        point
                                                  der Verlust
                                                                loss
der Grad
                          der Ruf
                                        call
                                                  der Versuch
             degree
                                                                attempt
                          der Schluck
der Gurt
                                       gulp
```

Nouns ending in stressed - al, - an, - ar, - on and - or also usually have the plural ending (- e), without *Umlaut*: der Bibliothekar – die Bibliothekare der Major – die Majore

However, the following do have *Umlaut* in the plural:

```
der Altar – die Altäre altar der Kardinal – die Kardinäle cardinal der Kanal – die Kanäle canal der Tenor – die Tenöre tenor

NB: (i) der General, der Korporal and der Kran have either ("e) or (- e).
```

- (ii) der Erlass has (- e) in Germany, but ("e) in Austria and Switzerland.
- (iii) der Rest usually has the pl. (- e), but (- er) is frequent in coll. and commercialese, and (- en) in Swiss usage.
- (iv) der Pastor (usual pl. (- en)) may have ("e) in North German usage.
- (v) The plural of der Saal is die Säle, see 23.5.2.
- (b) Most masculine nouns ending in el, en or er form their plural without an ending or Umlaut

der Onkel – die Onkel der Bäcker – die Bäcker

### der Haken – die Haken der Computer – die Computer

NB:

Exceptions are the words with *Umlaut* dealt with in section 1.2.2c and the following:

```
der Bauer (- n, - n) farmer, peasant der Pantoffel (- n) slipper der Bayer (- n, - n) Bavarian der Stachel (- n) thorn; sting der Charakter (- e) character der Muskel (- n) muscle
```

# (c) About twenty masculine nouns ending in - el, - en or - er form their plural solely by umlauting the stressed vowel

der Apfel – die Äpfel der Bogen – die Bögen

### These are:

der Acker	field	der Magen	stomach
der Apfel	apple	der Mangel	lack
der Boden	floor	der Mantel	coat
der Bogen	arch	der Nagel	nail
der Bruder	brother	der Ofen	stove
der Faden	thread	der Sattel	saddle
der Garten	garden	der Schaden	damage
der Graben	ditch	der Schnabel	beak
der Hafen	harbour	der Schwager	brother-in-law
der Hammer	hammer	der Vater	father
der Kasten	box	der Vogel	bird
der Laden	shop; shutter	and amena a sector C state	

NB:

der Bogen and der Kasten may have the plural (-), without Umlaut, in North German. The compound der Ell(en)bogen always has (-).

der Laden sometimes has the plural (-), without Umlaut, in North German usage in the meaning 'shutter'.

In spoken South German der Kragen and der Wagen can have the plural ("). This usage is only fully accepted as standard in Austria.

### (d) About a dozen masculines have a plural in ("er)/(- er)

The vowel takes *Umlaut* if possible. These are:

der Bösewicht	villain	der Rand	edge
der Geist	spirit	der Reichtum	wealth
der Gott	god	der Ski	ski
der Irrtum	error	der Strauch	shrub
der Leib	body	der Wald	forest
der Mann	man	der Wiking	viking
der Mund	mouth	der Wurm	worm
ND.			

- NB:
- (i) For the plural of compounds in mann, see 1.2.7.
- (ii) Der Bösewicht has the plural (- e) in Austrian usage.

### (e) Some masculine nouns have the plural (- en)/(- n)

These fall into three groups, depending on the declension of the singular:

(i) The so- called 'weak' masculines which have - (e)n in the accusative, genitive and dative singular as well as in the plural. Full details about these are given in 1.3.2.

der Affe – die Affen der Mensch – die Menschen der Bär – die Bären der Student – die Studenten

(ii) Some irregular masculines, see 1.3.3. The following occur in the plural:

der Buchstabe	letter (of alphabet)	der Gedanke	thought
der Friede	peace	der Name	name
der Funke	spark	der Same	seed

(iii) A few other masculines with a regular singular (i.e. the genitive ending - (e)s):

der Dorn	thorn	der Schmerz	pain
der Fasan	pheasant	der See	lake
der Fleck	spot	der Staat	state
der Lorbeer	laurel	der Stachel	prickle
der Mast	mast	der Strahl	ray
der Muskel	muscle	der Typ	bloke, guy
der Nerv	nerve	der Untertan	subject
der Pantoffel	slipper	der Vetter	cousin
der Pfau	peacock	der Zeh	toe

Words in unstressed - on and - or also belong to this group, but shift the stress in the plural, see 23.1.6d:

der 'Dämon – die Dä'monen

der Pro'fessor – die Profes'soren

NB:

- (i) der Bau 'building' and der Sporn 'spur' have the irregular plurals die Bauten and die Sporen.
- (ii) die Seen is pronounced See- en [ze:ən], see 23.4.1.
- (iii) der Fleck has an alternative singular form der Flecken.
- (iv) der Zeh has the alternative (mainly North German) singular die Zehe.
- (v) der Typ may have the 'weak' singular declension in colloquial speech, see 1.3.2c.

### 1.2.3 The Plural of Feminine Nouns

### a. Over 90% of all feminine nouns have the plural (- en)/(- n)

The ending - n is used with nouns ending in - e, - el or - er.

die Arbeit – die Arbeiten die Regel – die Regeln die Last – die Lasten die Wiese – die Wiesen

Nouns in - *in* double the consonant in the plural:

die Studentin – die Studentinnen

NB: die Werkstatt has an irregular plural with Umlaut and the suffix - en: die Werkstätten.

### b. About a quarter of feminine monosyllables have a plural in ("e)

die Hand – die Hände die Nuss – die Nüsse

The following are the most common. Note that over half end in - t:

die Angst	fear	die Haut	skin	die Nacht	night
die Axt	axe	die Kraft	strength	die Naht	seam
die Bank	bench	die Kuh	cow	die Not	need, distress
die Braut	fiancée	die Kunst	art	die Nuss	nut
die Brust	breast	die Laus	louse	die Sau	sow
die Faust	fist	die Luft	air; breeze	die Schnur	string
die Frucht	fruit	die Lust	desire	die Stadt	town, city
die Gans	goose	die Macht	power	die Wand	wall
die Gruft	vault, tomb	die Magd	maid	die Wurst	sausage
die Hand	hand	die Maus	mouse	die Zunft	guild

Compounds of - *brunst*, - *flucht* and - *kunft* also have a plural in ("e):

die Feuersbrunst – die Feuersbrünste

die Auskunft – die Auskünfte

die Ausflucht – die Ausflüchte

NB:

die Sau and die Schnur can have the plural ending (- en) in technical registers.

### c. Feminine nouns in - nis and - sal have the plural (- e)

In practice few of these nouns have a plural. Those in - nis double the consonant in the plural:

die Kenntnis – die Kenntnisse

die Mühsal – die Mühsale

### d. Two feminine nouns have the plural (")

die Mutter – die Mütter (see 1.2.8); die Tochter – die Töchter

e. NO feminine nouns have plurals in (- ) or ("er).

### 1.2.4 The Plural of Neuter Nouns

### a. Roughly three quarters of neuter nouns have the plural (- e)

das Bein – die Beine	das Schaf – die Schafe
das Gefäß – die Gefäße	das Ventil – die Ventile
das Jahr – die Jahre	das Verbot – die Verbote

This group includes most neuters of more than one syllable, especially foreign words, with the exceptions listed under other groups.

NB: Nouns ending in - nis double the consonant in the plural: das Zeugnis - die Zeugnisse das Knie has the plural die Knie, pronounced Knie- e [kni:ə], see 23.4.1.

### b. About a quarter of neuter nouns have the plural ("er)/(-er).

*Umlaut* is used if possible. The majority are monosyllabic, e.g.:

das Blatt – die Blätter das Kind – die Kinder das Dorf – die Dörfer das Tal – die Täler

A few polysyllabic neuters also have this ending. The following are common:

das Gehalt salarydas Gesicht facedas Gemach chamber (elev.)das Gespenst ghostdas Gemüt mooddas Regiment regimentdas Geschlecht sexdas Spital hospice

In addition, all nouns in - tum take this plural:

das Altertum – die Altertümer

### c. NB:

- i. das Ross 'steed' (usual pl. die Rosse) commonly has the plural ("er), i.e. die Rösser, in Austria and Bavaria, where it is the everyday word for 'horse'.
- ii. A number of words are used colloquially with an (- er/"er) plural in a derogatory or facetious sense, e.g.: *die Dinger, die Scheusäler*.

### d. Neuter nouns ending in - el, - en, - er, diminutives in - chen and - lein and words in Ge...e have the plural (-)

```
das Segel – die Segeldas Mädchen – die Mädchendas Kissen – die Kissendas Büchlein – die Büchleindas Messer – die Messerdas Gebäude – die Gebäude
```

NB:

The only exceptions are the two nouns dealt with in 1.2.4d.

### e. Two neuter nouns have plurals in (")

das Kloster – die Klöster; das Wasser – die Wässer

das Wasser has the alternative plural die Wasser (though neither plural form is often used). Its compounds, e.g. das Abwasser 'effluent', always have the plural with Umlaut, e.g. die Abwässer.

### f. A few neuter nouns have the plural (-en)/(-n)

```
das Auge – die Augen das Hemd – die Hemden
```

The following are the most frequent:

```
das Hemd
                                  shirt
                                              das Juwel jewel
das Auge eye
das Bett
           bed
                   das Herz
                                  heart
                                              das Ohr
                                                          ear
das Ende
                   das Insekt
                                  insect
                                              das Statut statute
           end
das Fakt
           fact
                   das Interesse interest
                                              das Verb
                                                          verb
```

Scientific terms in - on also belong to this group, with a shift of stress in the plural:  $das\ E'lektron - die\ Elek'tronen$ .

NB.

- i. das Herz has an irregular singular, i.e.: das Herz, des Herzens, dem Herzen (see 1.3.4).
- ii. das Kleinod 'jewel' has the unusual plural die Kleinodien.

### g. One neuter noun has the plural ("e)

das Floß raft – die Flöße

### 1.2.5 The Plural Ending (- s)

The plural ending - s occurs with nouns of all three genders, but it is restricted to a few special cases.

### a. (-s) is used with many recent loan-words from English or French

```
das Atelier – die Ateliers
der Scheck – die Schecks
der Chef – die Chefs
das Sit- in – die Sit- ins
das Detail – die Details
das Hotel – die Hotels
das Labor – die Labors
der Waggon – die Waggons
der Park – die Parks
```

This ending has sometimes been frowned on as 'un-German', and attempts have been made in the past to foster the use of 'native' German plurals with foreign words, recommending forms like *die Parke*, *die Streike*, which many dictionaries and German grammar books still list. However, few such forms are widely used in practice. Only with English words in - *el* and - *er* (e.g.: *der Tunnel* – *die Tunnel*; *der Computer* – *die Computer*), which tend to have the regular endingless plural, is a plural formation other than - *s* common with loan- words from English. However, even for these plural forms with - *s* (e.g.: *die Tunnels*) are frequent.

English loan- words in - y have a plural in - ys, e.g.: die Babys, die Rowdys, i.e. not in - ies like English babies.

### b. (-s) is used with most words ending in a vowel other than unstressed - e

das Auto – die Autos der Ossi – die Ossis das Genie – die Genies der Uhu – die Uhus

### c. (- s) is used with abbreviations and shortened words

der PKW – die PKWs die Lok – die Loks

NB:

This ending is often omitted in speech, especially in South Germany: die PKW.

### d. (- s) is used with some north German seafaring words

The most frequent are:

das Deck – die Decks der Kai – die Kais
das Dock – die Docks das Wrack – die Wracks

### e. (-s) is used in colloquial speech with some words referring to persons

die Bengels, die Doktors, die Fräuleins, die Jungs (*older*: die Jungens), die Kerls, die Kumpels, die Mädels, die Onkels

This usage is typical of non-standard spoken North German, where some of them are very frequent.

The standard plural form (die Jungen, die Kumpel, die Mädel, etc.) is always preferred in writing.

### f. (- s) is used with family and other names

die Müllers, die Buddenbrooks, zwischen den beiden Deutschlands (Zeit)

### 1.2.6 Unusual Plural Forms

A number of words, particularly those borrowed into German from the classical languages or Italian, have retained unusual plural forms. Some of the more unusual ones are in practice restricted to formal written language.

### a. Most words in - us or - um replace this by - en in the plural

der Genius – die Geniendas Album – die Alben (coll.: Albums)der Organismus – die Organismendas Museum – die Museender Rhythmus – die Rhythmendas Visum – die Visen (or: Visa)der Zyklus – die Zyklendas Zentrum – die Zentren

There are a few exceptions, mainly of unusual words, but note *der 'Kaktus – die Kak'teen*, pronounced [kakte:ən] (colloquial: *die Kaktusse*); *das Tempus* 'tense' – *die Tempora*; *der Terminus* 'term' – *die Termini*. Some words in - *us* have adopted a native plural:

der Bonus – die Bonusse der Krokus – die Krokusse der Bus – die Busse (*rare*: die Krokus)

der Globus – die Globusse (rare: die Globen) der Zirkus – die Zirkusse

### b. Most words in - ma have a plural in - men

das Aroma – die Aromen (*or*: Aromas) das Paradigma – die Paradigmen

das Dogma – die Dogmen (acad.: Paradigmata)
das Drama – die Dramen das Thema – die Themen

die Firma – die Firmen (acad.: Themata)

A few have a plural in - mata:

das Dilemma – die Dilemmata (now commoner: das Komma – die Kommata (in speech usually:

Dilemmas) Kommas)

das Schema – die Schemata (*also*: Schemen *or* Schemas)

NB:

das Klima has the common plural die Klimas, but in technical usage die Klimate is found.

### c. A few words replace - a with - en

die Pizza – die Pizzen (*or*: die Pizzas) die Regatta – die Regatten

die Skala – die Skalen

die Razzia – die Razzien (or: die Razzias) die Veranda – die Veranden

die Villa – die Villen

### d. Other frequent words

Many of these have alternatives, with the foreign plural being used chiefly in more formal registers.

das Adverb – die Adverbien das Mineral – die Mineralien (*or*: Minerale)

der Atlas – die Atlanten (also coll.: Atlasse)
der Mythos – die Mythen
die Basis – die Basen
das Cello – die Celli (or: Cellos)
das Prinzip – die Prinzipien
das Epos – die Epen
das Privileg – die Privilegien

das Epos – die Epen das Privileg – die Privilegien das Examen – die Examina das Reptil – die Reptilien

(commoner: Examen) das Risiko – die Risiken (coll.: Risikos)

der Espresso – die Espressi (*or*: Espressos) der Saldo – die Salden das Fossil – die Fossilien (*also*: Saldos *or* Saldi)

das Fresko – die Fresken das Solo – die Soli (*or*: Solos) der Index – die Indices (*or*: Indexe) das Stadion – die Stadien

das Konto – die Konten (also: Konti or Kontos) das Tempo – die Tempi (or: Tempos)

das Lexikon – die Lexika (also: Lexiken, Lexikons) das Textil – die Textilien das Utensil – die Utensilien

das Material – die Materialien

### 1.2.7 The Plural of Nouns in - mann

Compounds of - mann usually replace this by - leute in the plural when they refer to the occupation as such or to the group as a whole:

der Fachmann – die Fachleute der Kaufmann – die Kaufleute

In cases where we think more in terms of individuals than a group, or where we are not dealing with persons, the plural is in - *männer*, e.g.:

die Ehrenmänner, Froschmänner, Schneemänner, Staatsmänner

With some nouns both are used:

die Feuerwehrleute/- männer

die Kameraleute/- männer

There is a slight difference between these in that plurals in - männer refer to a set of male individuals, whereas those in - leute can be used to refer to a collection of people which possibly includes females. A similar distinction applies with the following, where the forms in - leute typically denote groups including females:

die Ehemänner husbands, BUT: die Eheleute married couples (i.e. Ehemänner + Ehefrauen)

die Seemänner seamen (as individuals), BUT: die Seeleute seafaring folk (general)

## 1.2.8 A Few Nouns Have two Plurals with Different Meanings

The following are the most common:

der Abdruck die Abdrucke offprints

die Abdrücke impressions

das Band die Bande bonds (elev.)

die Bänder ribbons

die Bank die Bänke benches

die Banken banks

der Block<sup>i</sup> die Blöcke *lumps, blocks* 

die Blocks *blocks* (houses, paper)

das Ding die Dinge things

die Dinger things (coll.); girls (coll.)

der Effekt die Effekte effects (i.e. results)

die Effekten effects (i.e. valuables)

das Gesicht die Gesichter faces

die Gesichte visions

das Land die Länder *countries*, *provinces* 

die Lande regions (esp. in historical contexts)

der Mann die Männer men

die Mannen *vassals* (*hist*.)

die Mutter die Mütter *mothers* 

die Muttern nuts (for bolts)

der Rat die Räte councils, officials

die Ratschläge pieces of advice

der Stock die Stöcke sticks

die Stockwerke storeys (sg. also: das Stockwerk)

der Strauße ostriches

die Sträuße bunches (of flowers)

das Wort<sup>ii</sup> die Wörter *words (in isolation)* 

die Worte words (connected words, i.e. sayings)

NB:

<sup>(</sup>i) The plural die Blöcke can be used for any meaning of der Block, but die Blocks can only be used in the meaning 'blocks' of paper, houses, etc. In practice, it is less common.

<sup>(</sup>ii) The distinction between die Wörter and die Worte is sometimes ignored in practice, with Wörter being widely used in both senses, especially in less formal

German. However, there are contexts, especially after sprechen and its synonyms, where only Worte is possible, e.g. Am Grabe seines Vorgängers sprach der Bürgermeister einige Worte des Gedenkens.

#### 1.2.9 In Some Instances the Usual Equivalent of a German Singular Noun is an English Plural Noun

The following are frequent:

politics das Archiv archives die Politik die Asche ashes das Protokoll minutes (of meeting) das Aussehen looks der Pyjama pyjamas das Benehmen manners der Reichtum riches possessions der Besitz im Rückstand in arrears der Bodensatz der Schadenersatz damages (legal) dregs die Brille die Schere scissors spectacles das Schilf der Dank thanks reeds das Fernglas binoculars die Treppe (flight of) stairs, steps die Umgebung surroundings der Hafer oats das Hauptquartier headquarters die Waage scales die Hose trousers die Wahl elections der Inhalt das Werk works (factory) contents die Kaserne barracks die Zange tongs der Lohn wages der Ziegenpeter mumps der Zirkel das Mittel means (pair of) compasses das Mittelalter the Middle Ages

Many of these German words can be used in the plural in appropriate contexts:

Die meisten Löhne sind erhöht worden Most wages have been raised Er wohnt zwei Treppen hoch He lives on the second floor

#### 1.2.10 Some German Nouns are Used Only, or Predominantly, in the Plural

Usually, this corresponds to English usage, e.g.: die Ferien *holidays*, die Leute *people*.

#### a. With a few nouns German and English usage differs

die Flitterwochen	honeymoon	die Naturalien	natural produce
die Geschwister	brothers and sisters	die Pocken	smallpox
die Immobilien	property, real estate	die Ränke	intrigue (elev.)
die Kosten	cost(s)	die Trümmer	rubble
die Kurzwaren	haberdashery	die Wirren	turmoil
die Lebensmittel	food	die Zinsen	interest (on a loan)
die Möbel	furniture		

Note that *die Eltern* has no commonly used singular corresponding to English 'parent', although *ein Elternteil* is used in formal German.

#### b. Usage with the names of festivals

Ostern, Pfingsten and Weihnachten are generally treated as plurals:

Frohe Weihnachten! Sie hat uns letzte Ostern besucht

However, Weihnachten and Ostern can occur as neuter singulars, particularly with an indefinite article, e.g.:

Wir haben ein stilles Weihnachten verbracht We had a quiet Christmas
Hast du ein schönes Ostern gehabt? Did you have a nice Easter?

All are followed by a verb in the singular:

## 1.2.11 Some English Nouns Have Plurals, but their German Equivalents do not

In such cases a plural has to be expressed in other ways:

der Atem breath – die Atemzüge breaths

das Essen *meal* – die Mahlzeiten *meals* (occ.: die Essen)

die Furcht fear – die Befürchtungen fears

der Käse *cheese* – die Käsesorten *cheeses* (*occ.*: die Käse)

der Kohl cabbage – die Kohlköpfe cabbages

die Liebe *love* – die Liebschaften *loves* (occ.: die Lieben)

der Luxus *luxury* – die Luxusartikel *luxuries* 

das Obst fruit – die Obstsorten fruits

der Rasen lawn – die Rasenflächen lawns

der Raub robbery – die Raubüberfälle robberies

der Sport sport – die Sportarten sports

der Tod *death* – die Todesfälle *deaths* (*occ*.: die Tode)

das Unglück accident – die Unglücksfälle accidents

The following words are used in the singular only in German, and this corresponds to both singular and plural in English:

der Kummer care(s)

die Sehnsucht *longing(s)* 

der Verdacht suspicion(s)

### 1.2.12 Some German Nouns Have Singular and Plural Forms but their English Equivalents do Not

die Auskunft (piece of) information – die Auskünfte information

das Brot bread, loaf – die Brote loaves

der Blitz (flash of) lightning – die Blitze flashes of lightning

der Fortschritt advance – die Fortschritte progress

die Hausaufgabe (piece of) homework – die Hausaufgaben homework

die Information (piece of) information – die Informationen information

die Kenntnis (piece of) knowledge – die Kenntnisse knowledge

die Nachricht (piece of) news – die Nachrichten news

der Rat (piece of) advice – die Ratschläge (pieces of) advice

der Schaden damage – die Schäden (instances of) damage

# 1.2.13 German Normally Uses a Singular Noun for Items of Clothing and Parts of the Body if Each Individual Possesses only one of Each

Alle hoben die rechte Hand

They all raised their right hands

Ihnen klopfte das Herz

Their hearts were beating

To use the plural *die Herzen* in the last example could suggest that each person had more than one heart. Nevertheless, exceptions to this rule are not unknown, especially if the possessive is used rather than the definite article (see 4.6.1), e.g.:

Die Passagiere drehten **ihre Köpfe** (*Frisch*)

The passengers turned their heads

# 1.2.14 Masculine and Neuter Nouns of Weight, Measurement or Value, Preceded by a Numeral, Have the Singular form, not the Plural

zwei Pfund Kirschen, zwei Sack Kartoffeln, drei Dutzend Eier, zwei Paar Schuhe, zehn Fass Wein, zwanzig

englische Pfund, um ein paar Dollar mehr

zwei, drei, mehrere **Glas** Bier ein paar **Schluck** (Kaffee) Wir hatten zehn **Grad** Kälte zehn **Schritt** 

3 Schuss – ein Euro 50

two, three several glasses of beer a few mouthfuls (of coffee) We had ten degrees of frost ten paces

3 shots for one euro fifty

The singular is typically used when shopping or ordering in restaurants: Diese hier sind gerade das Richtige. Geben Sie mir bitte drei **Stück!** 

Bringen Sie mir bitte drei Erdbeereis und zwei Glas Bier!

Masculine and neuter nouns of measurement do have plural endings if they are seen as individual objects:

Auf dem Hof lagen zehn Fässer

There were ten barrels in the yard

Feminine nouns of measurement do take the plural form:

zehn Flaschen Wein

zwei Ladungen Holz

vier Tassen Kaffee

However, die Mark never has a plural ending: zwanzig Mark.

NB: For the agreement of the verb with measurement phrases, see 12.1.4f.

#### 1.3 Noun Declension

Case shows the relationship of a noun or noun phrase to the sentence as a whole (see Chapter 2). It is marked most clearly in German by inflections on the other words in the noun phrase, i.e. the determiner and adjectives, rather than on the noun itself, as shown in Chapters 4–6. However, there are one or two instances where German nouns have inflections for case, and these are explained in this section:

- Case endings for regular nouns (section 1.3.1)
- Case endings for 'weak' masculine and irregular nouns (sections 1.3.2–1.3.4)
- The dative singular ending e (section 1.3.5)
- The genitive singular ending (e)s (sections 1.3.6–1.3.7)
- The declension of names (section 1.3.8)

## 1.3.1 Case Endings with Regular Nouns in German

The majority of German nouns have only two endings which signal case. These are added to the basic singular or plural forms, giving the regular declension patterns summarised in Table 1.5, where the declension of two typical regular nouns of each gender is given, with the definite article.

Table 1.5 Case endings of regular nouns

	Masculine		Feminine		Neuter	
	Singular	Plural	Singular	Plural	Singular	Plural
Nom.	der Vater	die Väter	die Frau	die Frauen	das Kind	die Kinder
Acc.	den Vater	die Väter	die Frau	die Frauen	das Kind	die Kinder
Gen.	des Vaters	der Väter	der Frau	der Frauen	des Kindes	der Kinder
Dat.	dem Vater	den Vätern	der Frau	den Frauen	dem Kind	den Kindern
Nom.	der Park	die Parks	die Hand	die Hände	das Jahr	die Jahre
Acc.	den Park	die Parks	die Hand	die Hände	das Jahr	die Jahre
Gen.	des Parks	der Parks	der Hand	der Hände	des Jahres	der Jahre
Dat.	dem Park	den Parks	der Hand	den Händen	dem Jahr	den Jahren

These endings are:

### a. Masculine and neuter nouns add - s or - es in the genitive singular

des Bahnhofs, des Busches, des Fensters, des Mann(e)s, des Tal(e)s

For the use of - s and - es see 1.3.6. For the occasional omission of this ending, see 1.3.7.

#### b. -n is added in the dative plural if possible

den Kindern, den Fenstern, den Hunden, den Stühlen, den Töchtern

If the plural form of the noun ends in - *n* or - *s*, no ending can be added in the dative plural: den Gärten, den Frauen, den Autos, den Müllers

Other notes on the dative plural of nouns:

- **i.** Nouns of measurement often drop the n after numerals: eine Entfernung von zweihundert Kilometer(n).
- **ii.** In colloquial German this dative plural *n* is sometimes omitted, and one may even see notices such as *Eis mit Früchte*. This is considered incorrect.
- iii. No n is used in the set phrase aus aller Herren Länder 'from the four corners of the earth'

#### 1.3.2 'Weak' Masculine Nouns

A small group of masculine nouns, most of which denote living beings, have the ending - n or - en throughout the plural and in all singular cases except the nominative. These are called (for no good reason) 'weak' masculine nouns. Table 1.6 shows their declension:

Table 1.6 Declension of weak masculine nouns

	Singular	Plural	Singular	Plural	Singular	Plural
Acc.	den Jungen des Jungen	die Jungen der Jungen	TRANSPORTER BUILDING TO THE TOTAL TO THE	die Studenten der Studenten	des Herm	die Herren die Herren der Herren den Herren

In general, nouns ending in - e or - er have the ending - n, like  $der\ Junge$ , nouns ending in another consonant have the ending - en, like  $der\ Student$ . The noun  $der\ Herr$ , however, has the ending - n in the singular but - en in the plural.

#### a. Most of these 'weak' masculine nouns refer to male humans and animals

The following nouns belong to this group:

i. those which end in - e in the nominative singular: der Affe, der Bote, der Chinese, der Franzose, der Schwabe

NB: A few masculine nouns in - e follow other declension patterns. der Käse and der Charme are regular. The eight nouns which decline like der Name are irregular and explained in 1.3.3.

- ii. a large number of foreign nouns, in particular those ending in stressed and, ant, aph, arch, at, ent, -et, ist, krat, log, nom, on:
  - der Diamant, der Monarch, der Automat, der Student, der Komet, der Komponist, der Demokrat, der Psycholog(e), der Astronom, der Dämon
- iii. Also a number with other endings:
  - der Barbar, der Chirurg, der Kamerad, der Katholik, der Prinz, der Tyrann
- iv. a few native nouns which do not end in e in the nominative singular. The most frequent are:

der Bär	bear	der Hirt	shepherd
der Bauer	peasant	der Mensch	human being
der Bayer	Bavarian	der Nachbar	neighbour
der Bub	lad (S.G.)	der Narr	fool
der Fink	finch	der Oberst	colonel
der Fürst	prince	der Ochs	ox
der Graf	count	der Papagei	parrot
der Held	hero	der Spatz	sparrow
der Herr	gentleman	der Tor	fool (lit.)

#### b. Weak masculine nouns have no ending in the singular if they are used without a determiner

This avoids the possibility of ambiguity between singular and plural:

Die Situation war für Arzt und **Patient** kritisch Ich schrieb an Christian Schulze, **Präsident** des Gesangvereins The situation was critical for doctor and patient alike I wrote to Christian Schulze, the president of the choral society

However, the noun  $der\ Herr$  always keeps the ending - n in the singular even if used without a determiner, e.g. (when addressing an envelope):  $Herrn\ Alfred\ Bletzer$ .

#### c. The singular endings of weak masculine nouns are often dropped in colloquial German

i.e. they have the 'regular' forms: *den Bauer*, *des Bauers*, *dem Bauer*. For most of these nouns, this usage is not considered to be standard and is avoided in formal writing. However, it has come to be accepted with a few of them which are now in practice found as frequently with the 'regular' endings as with the 'weak' endings. This is the case with the following:

der Magnet, der Oberst, der Papagei, der Partisan, der Spatz (also, less frequently, with der Bauer and der Nachbar).

On the other hand, *der Typ* 'bloke, chap' (see 1.2.2e) is often heard with the 'weak' singular endings in colloquial speech: *den Typen*, *des Typen*, *dem Typen*. 'Weak' endings occasionally occur with other words, even in writing, e.g. *des Autoren*, *des Zwergen*, but these forms are considered incorrect.

#### d. 'Weak' masculine nouns should not be confused with adjectives used as nouns

e.g. der Beamte, der Vorsitzende. These seem to have similar endings, but in fact they are the endings of adjectives, see 6.4.

#### 1.3.3 Irregular Masculine Nouns

Eight masculine nouns are irregular. They have the ending - n in the plural and in the accusative and dative singular, but - ns in the genitive singular, as shown in Table 1.7.

Table 1.7 Declension of irregular nouns

	Masculine		Neuter	
	Singular	Plural	Singular	Plural
Nom. Acc. Gen. Dat.	der Name den Namen des Namens dem Namen	die Namen die Namen der Namen den Namen	das Herz das Herz des Herzens dem Herzen	die Herzen die Herzen der Herzen den Herzen

The following nouns belong to this group:

der Buchstabe	letter (of alphabet)	der Glaube	belief
der Friede	peace	der Name	name
der Funke	spark	der Same	seed
der Gedanke	thought	der Wille	will

The form of a number of these words is variable: der Friede, der Funke, der Glaube and der Same are often used with - n in the nominative singular, making them quite regular, i.e. der Frieden, der Funken, der Glauben, der Samen. Of these der Frieden, der Funken and der Samen are now commoner in practice than the forms without - n, especially in speech, but der Glaube is far more frequent than der Glauben.

#### 1.3.4 The Irregular Neuter das Herz

The neuter noun *das Herz* has forms which look like those of the irregular masculine nouns, as Table 1.7 shows, with the ending - *ens* in the genitive singular and - *en* in the dative singular. However, regular singular forms (*des Herzes*, *dem Herz*) are not uncommon in colloquial speech and medical writing.

#### 1.3.5 Dative Singular in - e

In older German, regular masculine and neuter nouns, particularly those of one syllable, regularly added -e in the dative singular, e.g.:

dem Flusse, dem Manne, dem Tage, dem Tale

This 'dative - e' is now uncommon. It is still used occasionally in formal writing, but even there it can sound old-fashioned or facetious. However, it is still current in a few set phrases:

das Kind mit dem Bade ausschütten to throw out the baby with the bathwater

im Falle, dass if/in the event that bis zu einem gewissen Grade to a certain extent

im Grunde genommen basically

jdm. zum Halse heraushängen to be sick of sth. jdm. im Halse stecken bleiben to stick in sb. 's throat

nach Hause home
zu Hause at home
im Jahre 2005
auf dem Lande in the country

im Laufe des Tages in the course of the day
bei Lichte betrachtet/besehen seen in the (cold) light of day

in gewissem Maße

jdn. zu Rate ziehen

in diesem Sinne

am Tage

to a certain extent

to consult sb.

in this sense

by day

unter Tage arbeiten to work below ground (nicht) zum Zuge kommen (not) to get a look- in

Many of these phrases are used equally commonly without the - e, e.g. im Lauf des Tages, am Tag.

#### 1.3.6 Genitive Singular in - es or - s?

Regular masculine and neuter nouns have the ending - s or - es in the genitive singular. The choice between these depends on style, rhythm and ease of pronunciation. The ending - es can be thought more formal or elevated, and it tends to be preferred with monosyllabic words, words with a stressed final syllable and those ending in more than one consonant. However, there is apparently quite arbitrary variation in frequency between individual words, so that, for example, des Sturms is twice as frequent as des Sturmes, but des Lärms is ten times more frequent than des Lärmes. In some cases, though, usage is more fixed:

#### a. - es MUST be used with nouns ending in - s, - $\beta$ , -x or -z

des Krebses, des Maßes, des Reflexes, des Kreuzes, etc.

It is also commonly used with nouns in - sch, - st or - zt:

des Tisches, des Dienstes, des Arztes, etc.

**b.** NB:

- i. Neuter nouns in nis have genitive singular nisses, e.g. des Ereignisses.
- ii. Foreign nouns in s and x usually lack the ending (see 1.3.7g).

#### c. - s is more usual

- i. with polysyllabic words ending in an unstressed syllable: des Abends, des Königs, des Lehrers, des Schicksals
- ii. with words ending in a vowel (or vowel + h): des Schnees, des Schuhs, des Uhus
- iii. with names and foreign words:

Schillers Dramen, des Hotels, des Klubs

#### 1.3.7 Lack of Inflection in the Genitive Singular

In some instances (apart from names, see 1.3.8) the ending - (e)s is omitted in the genitive singular of masculine and neuter nouns:

#### (a) Frequently with the names of the months and seasons

am Morgen des zehnten Januar(s) die kräftigste Zyklone des beginnenden Herbst (NZZ)

The months in - er more often keep the - s: in den ersten Tagen des Oktobers. The - s is also often omitted with the names of the weekdays, e.g. am Morgen des folgenden Mittwoch, although this is considered incorrect.

#### (b) Often with names of artistic styles and epochs

des Barock(s), des Empire(s), des Rokoko(s), etc.

#### (c) Optionally with abbreviations and other parts of speech used as nouns

ein Stück des eignen Ich(s)
eines gewissen Jemand(s)
des Lkw(s)
die Aussprache des modernen Deutsch(s)
meines Gegenüber(s)

#### (d) With many foreign nouns (and several native German words)

This is particularly prevalent with words seen as technical terms or specific names:

des Dativ, des Dynamo, des Establishment, des Gulasch, des Holunder, des Interesse, des Islam, des Parlament, des Parterre, des Radar

This usage has increased very noticeably in recent years.

#### (e) Frequently after prepositions when the noun has no accompanying adjective or determiner

laut Bericht wegen Schnee geschlossen trotz Geldmangel

Compare (illustrating the absence and presence of - s dependent on the absence or presence of article or adjective):

eine Agrar- Reform, die aber wegen **Geldmangel** und **gebremsten Eifers** nur langsam vorankommt (*Zeit*) an agricultural reform which is proceeding only slowly because of a lack of money and moderated zeal

However, usage is variable on this point, and the genitive ending is still by no means unusual in written German:

## eine Strafuntersuchung gegen mehrere Stadtpolizisten wegen **schwerer Körperverletzung** und **Amtsmissbrauchs** (NZZ)

a criminal investigation against several city police officers for grievous bodily harm and abuse of office

### (f) Foreign nouns ending in - s and - x usually have no ending in the genitive:

des Atlas, des Chaos, des Index, des Globus, des Sozialismus, des Zirkus

However, several foreign words such as *der Bus* and *der Kongress* have been fully assimilated and are treated as German words, e.g. *des Busses, des Kongresses*.

#### 1.3.8 Declension of Proper Names and Titles

#### a. Proper names without titles and geographical names add - s in the genitive singular

Helmut Kohls Politik Elisabeths Bücher

die Werke Johann Sebastian Bachs der Tod Friedrichs des Großen

die Straßen Deutschlands Deutschlands Straßen

Personal names ending in - s, -  $\beta$ , - x, - z do not add - s in the genitive. In writing an apostrophe may be used:

Fritz' Schwester, Agnes' Hut, Perikles' Tod, Marx' Einfluss

In speech, a construction with *von* is usual and may be used in writing as an alternative to the apostrophe, see also 2.4.

der Hut von Agnes, der Tod von Perikles, der Einfluss von Marx

With geographical names in - s, -  $\beta$ , - x, - z, only a phrase with *von* is possible:

die Straßen von Paris die Geschichte von Florenz

NB:

In colloquial speech, the generic names of members of the family are treated as names, e.g.: Tantes Haus, Mutters Kleid, Vaters Anzug.

#### b. Proper names rarely have the ending - s in the genitive singular if they are used with an article

die Rolle des Egmont die Gedichte des alten Goethe die Werke eines Johann Sebastian Bach die Briefe dieses Thomas Schmidt

#### c. Geographical names used with an article

i. With German names, the ending -(e)s is optional:

eines vereinigten Europa(s) die Einwohner des geteilten Berlin(s)

However, if the article is part of the name (e.g. with rivers), the ending is normally used, e.g. an den Ufern des Rheins (less frequent: des Rhein).

ii. Foreign geographical names usually lack the ending - s:

an den Ufern des Nil die Berge des High Peak

#### d. Proper names with titles in the genitive singular

i. If there is no article, only the name is declined:

König Heinrichs Politik die Politik König Heinrichs

ii. If there is an article, only the title is declined:

die Siege des Kaisers Karl

die Hauptstadt des Landes Niedersachsen

iii. If the title is a weak masculine noun, the ending - n is optional:

Wir bedauern Genosse(n) Schmidts Versetzung nach Bautzen

However, *Herr* is always declined (see 1.3.2b), and a following title may then lack the ending - s: *der Vortrag des Herrn Generaldirektor(s) Kramer*.

iv. Doktor and Fräulein, used as titles, are never declined:

die Erfolge unseres Doktor Meyer

die Mutter dieses Fräulein Sauer

### e. Titles and names of books, plays, newspapers, hotels, companies

i. These are normally fully declined:

ein Lied aus Schillers "Räubern", aus Brechts "kaukasischem Kreidekreis"

Sie las es in der "Süddeutschen Zeitung", im "Spiegel"

Ich wohne im "Goldenen Apostel"

ii. After a word which describes what kind of thing it is, a full title in quotation marks remains in the nominative:

in Brechts Drama "Der kaukasische Kreidekreis"

im Hotel "Goldener Apostel"

in der Wochenzeitschrift "Der Spiegel"

iii. A short title in the genitive with an article may drop the - (e)s:

in der letzten Strophe des Erlkönig(s)

iv. Names of companies should always be declined in full (although they are sometimes not declined in practice):

der Überschuss bei der Süddeutschen Zucker- AG

die Verwaltung der ehemaligen Deutschen Reichsbahn

## 2 Case

The grammatical category of case relates to the inflection of a NOUN, PRONOUN or NOUN PHRASE which indicates its role in the sentence or clause. German has four cases: NOMINATIVE, ACCUSATIVE, GENITIVE and DATIVE.

English only has case forms for the possessive in -s and in some pronouns like I - me, he - him, etc.. Relationships between elements in the sentence are shown in other ways, chiefly through word order (e.g. My brother [subject] gave his friend [indirect object] the book [direct object]) or by using prepositions (e.g. My brother gave the book to his friend).

**Inflection for case plays an essential part in showing the structure of a German sentence**. This is particularly apparent in relation to verb valency (see Chapter 18) and word order (see Chapter 21). All the German cases have a variety of uses, as summarised in Table 2.1. Details are given in the remainder of this chapter, as follows:

- the uses of the **nominative** case (section 2.1)
- the uses of the accusative case (section 2.2)
- the uses of the **genitive** case and its replacement by a phrase with *von* (sections 2.3–2.4)
- the uses of the **dative** case (section 2.5)
- case use in apposition and measurement phrases (sections 2.6–2.7)

Table 2.1 Chief uses of the cases in German

Nominative			
marks the subject of the verb	<b>Der Fußballspieler</b> schoss ein Tor.  The football player shot a goal.		
marks the complement of copular verbs like sein used with the noun in isolation	Brecht ist <b>ein großer Dichter</b> .  Brecht is a great writer.		
	Dein Freund, wann siehst du ihn wieder? Your friend, when will you see him again?		
Accus	sative		
marks the direct object of the verb	lch kaufe <b>einen kleinen Fernseher</b> . I'm buying a small television.		
used after some prepositions	Sie tat es für <b>ihren Bruder</b> . She did it for her brother.		
used in many adverbials (e.g. to mark length of time)	Anita blieb <b>den ganzen Tag</b> zu Hause. <i>Anita stayed at home the whole day</i> .		
used in conventional greetings and wishes	Guten Tag! Herzlichen Glückwunsch! Good morning/afternoon! Congratulations!		
Gen	itive		
links nouns (especially to show possession)	Der Ton <b>des Radios</b> ist furchtbar.  The sound of the radio is awful.		
used after a few prepositions	Sie lief trotz <b>ihres hohen Alters</b> schnell. She ran fast despite her advanced age.		
Dat	tive		
marks the indirect object of the verb	Ich gebe <b>dem Hamster</b> sein Futter. I'm giving the hamster its food.		
	Sie will <b>ihrem Freund</b> helfen.		

	She wants to help her friend.
can show possession, esp. with clothing and parts of the body	Sie zogen <b>dem Verletzten</b> die Hose aus. They took the injured man's trousers off.
used after some prepositions	Wir suchten überall nach <b>dem Geld</b> .  We looked everywhere for the money.
used with many adjectives	Dieses Gespräch war <b>mir</b> sehr nützlich. This conversation was very useful for me.

#### 2.1 The Nominative Case

#### 2.1.1 The Nominative is the Neutral Case and is Used with Nouns or Pronouns in Isolation

Ein schöner Tag heute, nicht?

Und dein Onkel, wann siehst du ihn wieder?

Und du, was meinst du dazu?

Similarly for persons and things addressed and in exclamations:

Was beunruhigt dich, mein Lieber?

Herr Müller, Telefon für Sie!

Ach du liebe Zeit!

Der unverschämte Kerl!

It is also used in so-called 'absolute' phrases, where the noun phrase is placed outside the main framework of the clause:

als er an den Mann dachte, zu dem er jetzt gehen musste,

when he thought of the man he now had to go to, that

dieser Mann aus Röders Abteilung (Seghers)

man from Röder's company

Er saß am Feuer, der Hund zu seinen Füßen

He sat by the fire, (with) the dog at his feet

The type of absolute phrase seen in the last example is found mainly in formal, especially literary German; other registers generally prefer a construction with *mit*, e.g. *mit dem Hund zu seinen Füßen*.

#### 2.1.2 The Main Function of the Nominative Case is to Mark the SUBJECT of the FINITE VERB

**Der Zug** war nicht pünktlich Heute war **der Zug** nicht pünktlich

Heute war ausnahmsweise der Mittagszug nicht pünktlich

For further details see 18.2. As the subject is shown through case marking it does not have to precede the verb, as it does in English, cf. 21.2.3.

#### 2.1.3 The Nominative is used in the PREDICATE COMPLEMENT OF COPULAR VERBS

i.e. with the verbs sein, werden, bleiben, heißen, scheinen and with the passive of nennen

Karl ist, wird, bleibt mein Freund Er scheint ein großartiger Turner Ich will **ein Schuft** heißen Er wurde **der Weise** genannt

Further details about these verbs is given in 18.6.

#### 2.2 The Accusative Case

#### 2.2.1 The Main Function of the Accusative Case is to Mark the DIRECT OBJECT OF TRANSITIVE VERBS

Ich habe **einen Salat** gegessen Die Putzfrau hat **den Fußboden** gebohnert Sie hat mir **den Inhalt** erklärt Er hat **die Tauben** im Park vergiftet

Full details are given in 18.3. A very few verbs, e.g. kosten and lehren, take two objects in the accusative, see 18.3.3.

### 2.2.2 Some Intransitive Verbs can be used with a 'Cognate' Accusative Noun

This is a noun whose meaning is related to that of the verb and which thus repeats or explains more fully the idea expressed by the verb:

Er starb einen schweren Tod Sie schlief den Schlaf der Gerechten

#### 2.2.3 Most Conventional Greetings and Wishes are in the Accusative Case

Guten Morgen, Tag, Abend Gute Nacht Guten Rutsch (ins neue Jahr)
Schönen Sonntag Besten Dank Herzlichen Glückwunsch
Viel Vergnügen Gute Besserung Angenehme Reise.

In effect this is an elliptical construction, with a verb such as wünschen being understood.

#### 2.2.4 A Few Adjectives are used with the Accusative Case

e.g. etwas gewohnt sein. Details are given in 6.5.2.

#### 2.2.5 The Accusative Case Occurs in Some Adverbial Phrases

#### a. To denote length of time or a point in time

Es hat **den ganzen Tag** geschneit Ich war **einen Monat** in Stuttgart Ich sah ihn **letzten Freitag** Er kommt noch **diesen Monat** zurück

For further detail on usage, see 11.4.1. The accusative is also used in dates in letters: Essen, den 4. August.

#### b. To express a measurement or value

This is frequent with adjectives of measurement:

Das ist **keinen Pfennig** wert Der Tisch ist **ein(en) Meter** breit
Das Kind ist **vier Jahre** alt Der Sack wiegt **einen Zentner** 

#### c. To express the distance covered with verbs and adverbs denoting motion

Ich bin **den ganzen Weg** zu Fuß gegangen Sie kam **den Berg** herauf Wir sind **die Straße** heruntergekommen Sie kam **die Treppe** herunter

This **adverbial accusative** is particularly common with the direction adverbs formed with *hin-* and *her-* (see 7.2.4).

## 2.2.6 The Accusative Case is Sometimes Used in 'Absolute' Phrases

This usage without a verb is mainly restricted to formal literary German:

Wilhelmine, **den Kopf geneigt**, erlaubt ihm, ihr Haar zu lösen (*Wolf*)

Wilhelmine, her head bowed, allows him to untie her hair

**Den Bauch voller Fracht**, fliegt der Jet nach Fernost (*Spiegel*)

Its belly full of freight, the jet flies to the Far East

This construction is uncommon even in literary German, and a construction with *mit* is often used, as is usual in other registers, e.g.: *mit dem Bauch voller Fracht*.

#### 2.2.7 The Accusative Case is used with Certain Prepositions

- **a.** Some prepositions are <u>always</u> followed by the accusative case (see section 20.1) e.g. bis, durch, für, gegen, ohne, um.
- b. Ten prepositions are followed by the accusative case if they express movement in a particular direction These are: an, auf, entlang, hinter, in, neben, über, unter, vor, zwischen (see section 20.3).

#### 2.3 The Genitive Case

In modern German the genitive case is mainly restricted to more formal, especially written registers. This section outlines its current uses with this general proviso. In some contexts a phrase with *von* may be preferred, especially in colloquial speech (see 2.4). For the genitive forms of personal pronouns see 3.1.2; for the genitive of the relative pronoun, see 5.4.1c.

#### 2.3.1 The Main Function of the Genitive Case is to Link Nouns or Noun Phrases

For this, English typically uses the preposition *of*. We often think of the genitive as the 'possessive' case, but its range is wider, since it can be used:

(a)	to express possession:	das Haus meines Bruders
(b)	as a partitive:	die Hälfte des Kuchens
(c)	for the subject of a verbal noun:	die Abfahrt des Zuges
(d)	for the object of a verbal noun:	der Umbau des Hauses
(e)	to qualify a noun:	ein Strahl der Hoffnung
(f)	to define a noun:	die Pflicht der Dankbarkeit

For the use of the genitive case in measurement phrases, see 2.7.

#### 2.3.2 A Noun Phrase in the Genitive Case Usually Follows the Noun Phrase on which it Depends

die Gefahr eines Erdbebens

das Rauschen der Bäume

The main exception to this rule is that proper names in the genitive usually come first:

Karls FreundAnnas StereoanlageFrau Benders HausHeinrich Bölls WerkeFigaros HochzeitDeutschlands Grenzen

However, in written German, personal names without a title, and geographical names may also follow:

ein Freund Karls die Werke Heinrich Bölls die Grenzen Deutschlands

Otherwise, the genitive comes first only in old-fashioned literary style or set phrases:

seiner Vorfahren großes altes Haus (Th. Mann) the large old

Undank ist der Welt Lohn

the large old house of his ancestors Never expect thanks for anything

In other contexts this order sounds facetious:

da wir **des Postministers** Kabelpläne verwerfen (*Zeit*)

s we reject the post minister's plans for cable television

#### 2.3.3 A Few Verbs Take a Object in the Genitive Case

e.g. bedürfen, gedenken, sich ermächtigen. For details see 18.7.

# 2.3.4 A Noun Phrase in the Genitive Case Occurs as the Predicate Complement of the Verb *Sein* in a Few Set Expressions

The following are still commonly used:

Wir sind gleichen Alters

We are of the same age

Ich bin der Ansicht, dass . . .

Ich bin der Auffassung, dass . . .

It am of the opinion that . . .

Hier ist meines Bleibens nicht (literary)

Er ist guter Dinge

Er ist guter Laune

We were in a good mood

Sie ist der Meinung, dass . . .

She is of the opinion that . . .

Er wurde anderen Sinnes (literary) He changed his mind
Dann sind wir des Todes Then we are doomed

Sie sind der festen Überzeugung, dass . . . They are firmly convinced that . . . Das Wort ist griechischen Ursprungs The word is of Greek origin

#### 2.3.5 The Genitive Case Occurs in a Few Adverbial Phrases which are Mainly fixed Expresssions

#### a. A noun or noun phrase in the genitive case can denote habitual or indefinite time

e.g.

eines Tages, eines schönen Sommers, eines Sonntagabends, dieser Tage montags, wochentags, werktags

**b.** See 11.4.2 for further details.

#### c. other adverbial genitives

unverrichteter Dinge without achieving anything

letzten Endes after all meines Erachtens (abbrev.: m.E.) in my view

allen Ernstes in all seriousness stehenden Fußes (literary) immediately

gesenkten/erhobenen Hauptes with one's head bowed/raised leichten/schweren Herzens with a light/heavy heart
Sie fährt erster Klasse She is travelling first class

seines Weges gehen (literary) to go on one's way meines Wissens (abbrev: m.W.) to my knowledge

#### 2.3.6 A Few Adjectives are used with the Genitive Case

A frequent English equivalent is a construction with of. For details see 6.5.3.

Er ist einer solchen Tat nicht fähig

He is not capable of such a deed

#### 2.3.7 The Genitive Case is used with Certain Prepositions

e.g. innerhalb, statt, trotz, während, wegen. Full details of these are given in 20.4.

#### 2.4 Genitive Case or Von?

A prepositional phrase with *von* is often used rather than a genitive. The genitive is widely used in writing, especially in technical registers, but it tends to be avoided entirely in colloquial speech except with names (e.g. *Ruths Buch*, *Peters Fahrrad*), and a paraphrase with *von* is usually preferred, e.g.:

colloquial speech: das Dach **vom Haus**, der Ring **von seiner Frau** usual written German: das Dach **des Hauses**, der Ring **seiner Frau** 

However, even in written German there are contexts where the genitive is not possible and where the paraphrase with *von* must be used. There are other written contexts where this paraphrase is considered to be an acceptable alternative to the genitive. This section explains those contexts where the paraphrase with *von* rather than the genitive must or can be used in written German. In other contexts a prepositional phrase with *von* is normally used in colloquial speech only.

## 2.4.1 In Some Contexts a Phrase with Von Rather than the Genitive is Commonly used in Written German

#### a. if a noun stands by itself or is used with a word which does not decline

der Bau von Kraftwerken

die Wirkung von wenig Wein

der Preis von fünf Fahrrädern

ein Strahl von Hoffnung

the building of power stations

the effect of a little wine

the price of five bicycles

a ray of hope

#### b. with a descriptive phrase

eine Frau **von bezaubernder Höflichkeit**a woman of enchanting politeness
ein Ereignis **von weltgeschichtlicher Bedeutung**an event of global historical significance

#### c. with personal pronouns

The genitive forms of personal pronouns are rarely used, see 3.1.2:

fünf **von euch** five of you ein Freund **von ihr** a friend of hers

#### d. in partitive constructions with viel, wenig and indefinite pronouns

viel/wenig von dem, was sie sagte

etwas von ihrem Charme

welches von diesen Büchern?

nichts von diesem Zauber

much/little of what she said

something of her charm

which of those books?

nothing of this magic

## 2.4.2 In Some Contexts it is Equally Acceptable to use a Phrase with *Von* or the Genitive Case in Written German

	4 • 1	4 •	• , •			•	<i>(</i> )	
9	to avoid	consecutive	genifive	noun	nhrases	ın -(	P	2.1
	to a roid	Consecutive	Scincia	HUMII	pin ascs			,,,

der Turm von dem Palast des Königs	)	the tower of the king's nalge
der Turm des Palastes des Königs	}	the tower of the king's palace

Consecutive genitive noun phrases are considered inelegant, but they are not unknown, e.g. die Existenz eines Verdachts eines Verstoßes gegen den Atomsperrvertrag (SZ).

#### b. if a noun is just qualified by an adjective with no article

```
der Bau von modernen Kraftwerken
der Bau moderner Kraftwerke

**the building of modern power stations**
```

There is also a clear preference for a phrase with *von* in these contexts if the first noun is qualified by an indefinite article, e.g. *ein fader Geruch von aufgewärmten Speisen* (Zweig).

#### c. with nouns qualified by indefinite pronouns

die Ansicht von vielen Politikern	the view of many politicians
die Ansicht vieler Politiker	the view of many politicians

#### d. in most partitive constructions

i.e. following number words (except those listed at 2.4.1d above):

```
eines von den wenigen alten Häusern
eines der wenigen alten Häuser
viele von meinen Freunden
viele meiner Freunde
zwei von seinen Kindern
zwei seiner Kinder

**Two of his children**
```

#### e. With geographical names which have no article:

die Zerstörung <b>von Dresden</b> die Zerstörung <b>Dresdens</b>	}	the destruction of Dresder
die Hauptstadt <b>von Deutschland</b> die Hauptstadt <b>Deutschland</b>	}	the capital of Germany

#### 2.5 The Dative Case

The dative case has the widest range of all the German cases, with many idiomatic uses. It is used

- as the indirect or sole object of a verb (sections 2.5.1–2.5.2)
- as a 'free' dative with other verbs where it is not a grammatical requirement (section 2.5.3)
- to indicate possession (section 2.5.4)
- with many adjectives (section 2.5.5)

In all these contexts it typically marks a person (rather than a thing) in some way concerned or affected, if not necessarily very directly, by the action or the event expressed through the verb.

#### 2.5.1 The Dative Case Marks the Indirect Object of Transitive Verbs

For details see 18.4.2. It is used typically with verbs of giving and receiving, etc., and it often corresponds to an English indirect object indicated by the word order or a phrase introduced by *to* or *for*:

Ich zeigte **dem Polizisten** meinen Führerschein

I showed the policeman my driving-licence/ I showed my driving-licence to the policeman

I brought my friend a book/I brought a book to/ for my

Ich habe **meinem Freund** ein Buch gebracht

I brought my friend a book to for my

friend

### 2.5.2 Many Verbs Take a Sole Object in the Dative Case

e.g.: danken, dienen, folgen, gratulieren, helfen, schmeicheln.

Details are given in 18.4.1.

# 2.5.3 The Dative Case Often Marks a Person Affected in Some Way by the Action or Event Expressed by the Verb

In these uses the dative case is not necessarily a grammatical requirement of the verb and it is often referred to as a **'free' dative**. They are often idiomatic and lack a clear English equivalent.

Various types of 'free' datives have been identified, but there are similarities between them all (and between them and the possessive dative, see 2.5.4). 'Free' datives are most common with verbs which express an activity, especially moving and making things, or which indicate a change of state.

#### a. The dative case can indicate a person on whose behalf the action is done

i. This is sometimes referred to as the 'dative of advantage' or 'benefactive' dative and often corresponds to an English phrase with 'for':

Sie schrieb **mir** seine Adresse auf She wrote his address down for me

Ich habe **ihr** die Tür geöffnet

I opened the door for her

Er füllte **meinem Vater** das Glas

He filled the glass for my father/my father's glass

ii. It is sometimes used with things, especially things being altered, repaired or improved:

Sie setzt **dem Auto** einen neuen Motor ein She's putting a new engine in the car

iii. In this 'benefactive' sense a dative reflexive pronoun is common in idiomatic colloquial speech if a physical action is involved:

Ich will **mir** das Buch anschauen

I want to go and look at that book

iv. A phrase with  $f\ddot{u}r$  is a frequent alternative to the dative case in this sense, especially in spoken German:

Er will **mir/für mich** Blumen kaufen He's going to buy some flowers for me

Ich habe ihr die Tür/die Tür für sie geöffnet

I opened the door for her

- **b.** The construction with *für* may be preferred if the dative is ambiguous. For instance, *Er hat seinem Vater einen Brief geschrieben* could mean 'to his father' or 'for his father', whereas *Er hat für seinen Vater einen Brief geschrieben* is quite clear.
- c. The dative case can indicate a person who is disadvantaged by the action

This 'dative of disadvantage' characteristically indicates a person who is affected by something undesirable

happening to the person or thing which is the subject or direct object of the verb:

Mir ist Großmutters Vase kaputtgegangen

Grandmother's vase broke on me

## d. The dative case can mark a person from whose standpoint an action or event is judged or in respect of whom the statement holds good

This usage typically involves an adjective qualified by zu or genug:

Mir verging die Zeit zu schnellI felt that the time passed too quicklyFährt sie dir schnell genug?Is she going fast enough for you?

A similar dative of the person concerned is frequent with the verb *sein* and a noun. In such cases, English uses a phrase with 'to' or 'for':

Das Wiedersehen mit dir war **mir** ein Vergnügen It was a pleasure for me to see you again

**Dem Schüler** war diese Zensur ein Trost

This mark was a consolation to/for the schoolboy

#### e. The 'ethic dative' shows the speaker's emotional involvement

It is only found with the first person, in commands or exclamations:

Dann soll **mir** mal so einer vorbeikommen!

Just let me catch one like that coming past!

Seid **mir** doch nett! Be nice, for my sake!

#### 2.5.4 The Dative of Possession

#### a. The dative case often indicates possession

This is especially frequent with parts of the body or articles of clothing, but it is also found with close relatives and prized possessions (like vehicles or houses). The definite article is used rather than a possessive determiner (see 4.6), and the dative usually precedes the item possessed:

Einem Mann ist das Bein gebrochen worden (FR)One man's leg was brokenMir muss der Mund offen geblieben sein (Borst)My mouth must have hung openDem Alten ist gerade die Frau gestorbenThe old man's wife has just diedDas Kind ist mir vors Auto gelaufenThe child ran in front of my car

If the possessor is the subject of the sentence, a reflexive pronoun in the dative case is used. This may be optional if no ambiguity is involved:

Er wischte **sich** den Schweiß von der Stirn

He wiped the sweat from his brow

Willst du (dir) den grünen Pullover anziehen? Are you going to put your green pullover on?

There is some variation in the use of the possessive dative, but, as a rule, the following guidelines apply:

#### i. It is not used if no-one else could possibly do it to one or for one:

Er machte die Augen auf
Sie hob den Arm
Er nickte mit dem Kopf
He opened his eyes
She raised her arm
He nodded his head

## ii. It must be used if the body part or article of clothing is used with a preposition (other than mit):

Ich habe **mir** in den Finger geschnitten

I've cut my finger

Die Mütze fiel **mir** vom Kopf

Regen tropfte **mir** auf den Hut

Rain was falling on my hat

#### iii. It must be used where reference is not to the subject of the sentence:

Die Mutter wäscht ihm die Hände His mother is washing his hands

Wir zogen **dem Verletzten** die Hose aus We took the injured man's trousers off

## b. If the dative is used rather than a possessive construction, the person is seen as affected by the action as well

Possession can also be indicated by using a genitive phrase or a possessive like *sein* or *mein*. However, using these often has a different meaning to that of the possessive dative. Compare:

Regen tropfte **ihm auf den Hut** (he was wearing it and getting wet)
Regen tropfte **auf seinen Hut** (he wasn't necessarily wearing it)

Sie strich **dem Jungen übers Gesicht** (normal for: 'she ran her hand over the boy's face')
Sie strich **über das Gesicht des Jungen** (only possible if the boy is dead or unconscious)

Er zog **ihr die** Jacke an He helped her on with her jacket

Er zog **sich ihre** Jacke an He put her jacket on

## c. With some verbs the accusative case is an alternative to the dative case to indicate possession of parts of the body

If the accusative is used, the whole person is seen as more directly affected:

Der Hund biss ihm/ihn ins Bein Ich klopfte ihm/ihn auf die Schulter

Alle Glieder schmerzten ihm/ihn

In practice, accusative and dative are equally common and usual with the following verbs:

beißen küssen schmerzen stechen stoßen zwicken

With some verbs, the accusative occurs, but the dative is more common:

hauen klopfen schießen schlagen schneiden treten

#### d. In colloquial speech a phrase in the dative case rather than a genitive is often used to indicate possession

This construction is very widespread, but it is universally regarded as a non-standard colloquialism:

Das ist **meiner Mutter** ihr Hut That's my mother's hat

**Meinem Onkel** sein Garten ist ganz groß

My uncle's garden is quite big

**Dem Huck Finn** sein Vater (Andersch) Huck Finn's father

The use of the dative case with *sein* to indicate possession is a non-standard regionalism, mainly heard in the West and South-West:

Ist der Hut dir?

Is that your hat?

Standard German would use: Gehört der Hut dir?

#### 2.5.5 The Dative Case with Adjectives

#### a. The dative is the most common case governed by adjectives

e.g.: Er ist seinem Bruder sehr ähnlich. Full details are given in section 6.5.1.

### b. Adjectives with zu or genug may govern a dative case or a phrase with für

A phrase with für can come before **or** after the adjective, whereas the dative always precedes it:

Diese Uhr ist **mir** zu teuer/**für mich** zu teuer/zu teuer **für** *That watch is too expensive for me* mich

Dieser Mantel ist mir nicht warm genug/für mich nicht warm genug/nicht warm genug für mich

That coat is not warm enough for me

#### c. The dative case is used in impersonal constructions with sein and werden with certain adjectives expressing sensations

The person in the dative is experiencing the sensation; it corresponds to a simple subject in English:

Es ist mir kalt/Mir ist kalt

I am cold

For the omission of es, see 18.2.4e. This construction occurs with the following adjectives:

bange heiß schlecht übel (un)wohl kalt schwindlig gut warm

English learners need to be aware that *Ich bin kalt* or *Ich bin warm*, etc. are **never** used in the meaning 'I am cold', 'I am warm', etc.

## 2.6 Apposition

A noun phrase is said to be 'in apposition' to another noun phrase if it immediately follows and expands it by giving some additional information about it, e.g.

Wilhelm, der letzte deutsche Kaiser

Berlin, die Hauptstadt der Bundesrepublik Deutschland

Comparative phrases introduced by als and wie are also normally 'in apposition' to the noun they qualify, e.g. ein Tag wie jeder andere, er gilt als großer Staatsmann, Jürgen ist größer als du, and the rules given below apply to them as well.

Apposition in measurement phrases is dealt with in section 2.7.

## 2.6.1 A Noun Phrase in Apposition Usually has the same Case as the Noun which it Follows

Es spricht Herbert Werner, der Vorsitzende des Vereins

The speaker is Herbert Werner, the chairman of the society

6,8 Prozent der Frauen empfinden die Arbeitslosigkeit als einen Makel (LV)

6.8% of women feel that being unemployed is a stigma

der "Mythos der Schweiz" als eines Landes mit vier Landessprachen (NZZ)

The 'myth of Switzerland' as a country with four national languages

in Michelstadt, einem kleinen Städtchen im Odenwald für Heinrich Böll als gläubigen Katholiken

in Michelstadt, a little town in the Odenwald for Heinrich Böll as a devout Catholic

nach einem Tag wie diesem

after a day like this

#### 2.6.2 There are some Exceptions to the General Rule for Case Use in Apposition

The rule given in 2.6.1 is followed in the vast majority of cases in both spoken and written German. However, a few exceptions are found:

- a. Exceptions are particularly common in two contexts
  - i. After a genitive, an unqualified noun in apposition is usually in the nominative:

nach dem Tod meines Onkels, **Bürgermeister** der Stadt Krefeld

after the death of my uncle, the mayor of the city of Krefeld

ii. In dates a weekday introduced by am may be followed by the date in the dative or the accusative:

am Montag, **dem** 2. Juli 2011

or:

am Montag, den 2. Juli 2011

## b. Other exceptions to the general rule are occasionally encountered

These are in practice much less common alternatives, i.e.:

i. the nominative or dative case is sometimes used after a noun phrase in the genitive case:

nach dem Tod meines Onkels, der/dem früheren Bürgermeister dieser Stadt die Wirtslaute des "Birnbaumes", einem kleinen Dorfhaus (BZ)

ii. the genitive case is sometimes used after a phrase with von:

Sacramento ist die Hauptstadt von Kalifornien, des reichsten Bundesstaates

c. Despite what is sometimes claimed, these and similar exceptions are neither common nor becoming more frequent.

#### 2.6.3 German Often uses Appositional Constructions with Geographical Names

die Insel Rügen

die Universität Hamburg

die Stadt Bremen

In most such constructions English has 'of': 'the University of Hamburg', etc.

NB: German uses bei with battles, e.g. die Schlacht bei Lützen 'the battle of Lützen'.

## 2.7 Measurement Phrases: Genitive, Von or Apposition?

There is much variation and uncertainty in respect of case usage in measurement phrases. The most widely accepted current usage is given in this section.

NB: For the use of singular nouns in measurement phrases, e.g. zwei Pfund Kirschen, see 1.2.14.

## 2.7.1 Nouns and Noun Phrases after a Noun of Measurement are most Commonly in the same Case as the Noun of Measurement

In effect, this means that the two nouns or noun phrases are in apposition (see 2.6):

eine Flasche **Wein** 

a bottle of wine

eine Flasche deutscher Wein

a bottle of German wine

er kauft zwei Flaschen deutschen Wein

he is buying two bottles of German wine

mit einer Tasse heißem Tee

with a cup of hot tea

von vier Kilo grünen Erbsen

of four kilograms of green peas

NB: In spoken German it is not uncommon to hear datives for accusatives and vice versa, e.g. Er kauft zwei Flaschen deutschem Wein, mit einer Tasse heißen Tee. This is considered incorrect in writing.

#### 2.7.2 The Genitive Case is Sometimes used in Measurement Phrases

eine Flasche sommerabendlichen Dufts (Süβkind) zehn Jahre treuer Mitarbeit a bottle of the perfume of a summer evening ten years' faithful service

This alternative **only** occurs in the sequence: noun of measurement + adjective + noun. In the masculine and neuter singular it is restricted to formal writing, but it is not uncommon in speech in the plural (see also 2.7.3a below).

### 2.7.3 Usage with Words of Rather Vague Quantity

e.g.: die Anzahl, die Gruppe, der Haufen, die Schar, die Reihe, die Sorte. Usage with these varies according to whether the following noun has an adjective with it:

#### a. If the following noun has an adjective with it (or is an adjective used as a noun)

In these contexts the following noun is most often in the genitive case in written German, but it can be in a phrase with *von* (as it almost always is in speech):

zwei Gruppen **junger Arbeiter**große Mengen **neuer Autos**eine Reihe **ernsthafter Probleme**die wachsende Anzahl **Asylsuchender**or zwei Gruppen **von jungen Arbeitern**or große Mengen **von neuen Autos**or eine Reihe **von ernsthaften Problemen**or die wachsende Anzahl **von Asylsuchenden** 

#### b. If these words are followed by a single noun

Normal usage is a phrase with *von*, although simple apposition (see 2.7.1) is also possible:

eine Art (von) Museum eine Anzahl (von) Touristen eine große Menge (von) Schallplatten

#### 2.7.4 Usage with Nouns of Number

i.e.: das Dutzend, das Hundert, das Tausend, die Million, die Milliarde. If these are used in the plural without a preceding numeral, they are followed by a phrase with von:

Dutzende von Anfragen

Tausende von Briten

Millionen von Menschen

If the following noun has an adjective with it, they are followed by a phrase with *von*, or by a phrase in the genitive case, or by a phrase in apposition.

Tausende/tausende von jungen Arbeitern or Tausende/tausende junger Arbeiter or Tausende/tausende junge Arbeiter

NB: In such contexts, *Dutzend*, *Hundert* and *Tausend* can be spelled with an initial capital **or** small letter, see 9.1.5.

If they are used in the singular **or** the plural with a numeral, the following noun is usually in apposition, less commonly in the genitive:

zwei Millionen hungernde(r) Menschen

two million starving people

ein Dutzend Eier

a dozen eggs

Allein im Bahnhof kam es im Februar zu mehr als einem

In the station alone there were more than a dozen

Dutzend **Taschendiebstählen** (MM)

pickpocket thefts in February

#### 2.7.5 Usage when the Noun of Measurement is in the Dative Case

In such contexts usage is particularly uncertain and variable. The following alternatives are current and equally acceptable:

#### a. with nouns of measurement in -er

e.g.: *Zentner* 'hundredweight', *Liter*, *Meter*, etc. The dative plural ending -*n* can be attached to these rather than to the following noun:

mit zwei Zentnern Äpfel or mit zwei Zentner Äpfeln

#### b. If the following noun is plural, it can be in the dative or the nominative

i.e. it may lack the usual -*n* of the dative plural:

mit einem Haufen **Butterbrote(n)**mit einem Dutzend **Kühe(n)**with a pile of sandwiches
with a dozen cows

#### c. If the following noun has an adjective with it there is a range of acceptable possibilities

i. If the following noun is singular, it can have the 'weak' adjective ending - en or the expected 'strong' ending -em:

von einem Pfund **gekochten** Schinken of a pound of cooked ham von einem Pfund **gekochtem** Schinken

#### ii. If the following noun is plural, it can be in the genitive

This means that all three of the following alternatives are current and acceptable:

mit einem Strauß rote Rosen (nominative) mit einem Strauß roten Rosen (dative) mit einem Strauß roter Rosen (genitive)

## 2.7.6 Usage in Contexts where the Noun of Measurement is in the Genitive Case

In such contexts a phrase with *von* is <u>always</u> used, e.g. *der Preis von einem Pfund gekochtem/ gekochten Schinken* to avoid a stilted construction like *der Preis eines Pfundes gekochten Schinkens*.

## 3 Personal Pronouns

#### Pronouns are a limited ('closed') set of small words which stand in place of NOUNS or NOUN PHRASES.

In particular they stand for nouns or noun phrases which have already been mentioned or which are so well known to the speaker and the listener that they do not need to be repeated in full. Pronouns are used in the same grammatical contexts as nouns or noun phrases and thus, in German, they change their form to indicate the same grammatical categories as nouns, i.e. CASE, PLURAL and GENDER.

There are a number of different classes of pronouns, most of which are treated in Chapter 5. In this chapter we explain the forms and uses of the PERSONAL PRONOUNS, i.e. those which refer to:

- the speaker(s) (the FIRST PERSON)
- the person(s) addressed (the SECOND PERSON)
- other person(s) or thing(s) mentioned (the THIRD PERSON)

In particular, this chapter deals with

- the forms of the **personal pronouns** (section 3.1)
- reflexive and reciprocal pronouns (section 3.2)
- the use of the **second person pronouns** du, ihr and Sie (section 3.3)
- the uses of the **third person pronouns** (sections 3.4–3.5)
- special uses of the **pronoun** es (section 3.6)

## 3.1 The Forms of the Personal Pronouns

The personal pronouns have distinct forms to indicate PLURAL, CASE and, in the third person, GENDER. These forms are given in Table 3.1.

Table 3.1 Forms of the personal pronoun

F	Person	Nom	inative	Accusative	Genitive	Dative
Singular	1st	ich	l	mich	meiner	mir
	2nd	du	you	dich	deiner	dir
	3rd masculine	er	he/it	ihn	seiner	ihm
	feminine	sie	she/it	sie	ihrer	ihr
	neuter	es	it	es	seiner	ihm
Plural	1st	wir	we	uns	unser	uns
	2nd familiar	ihr	you	euch	euer	euch
	polite (sg/pl)	Sie	you	Sie	Ihrer	Ihnen
	3rd	sie	they	sie	ihrer	ihnen

#### 3.1.1 The Declension of the Personal Pronouns

Some notes on the forms of the personal pronouns given in Table 3.1

a. In everyday speech, personal pronouns are reduced and weakly stressed

'ch soll's 'm gebenfor:Ich soll es ihm gebenIJetzt kannste'n sehenfor:Jetzt kannst du ihn sehen

These reductions are seldom used in written German, with the exception of 's for es, which is quite common in

written dialogue and poetry.

**b.** In rapid colloquial speech, the subject pronouns *ich*, *du* and *es* are often omitted entirely Such omissions are never found in written German, except to represent colloquial speech.

(Ich) weiß es nicht

Kannst (du) morgen kommen?

(Es) scheint zu klappen

c. In South Germany mir is commonly heard for wir

This usage is almost universal in everyday colloquial speech in the South, but it is non-standard and unacceptable in all more formal registers.

Mir gehen jetzt ins Kino

for:

Wir gehen jetzt ins Kino

#### 3.1.2 The Genitive of the Personal Pronouns

a. The genitive forms of the personal pronouns are only used in very formal registers

mittels einer Passbildaufnahme **seiner** selbst (*Grass*) Ist die Politik erst einmal auf die Straße verlegt, dann wird sich die Straße **ihrer** annehmen (*OH*)

by means of a passport photograph of himself
If politics is moved onto the streets, the streets will
take it over

Even in writing, these phrases can sound rather stilted and awkward, and alternative constructions are often preferred, i.e.:

i. With verbs, an alternative construction or a different verb can be used (for further information, see 18.5): Erinnern Sie sich **an mich** (*rarely*: meiner)

Er braucht **mich** nicht (*rarely*: Er bedarf meiner nicht).

ii. After prepositions the dative case is used in speech and is now generally acceptable in writing: wegen uns, trotz ihnen, statt ihm (or: an seiner Stelle)

To refer to things, the adverbs *stattdessen*, *trotzdem*, *währenddessen* and *deswegen* are used rather than the preposition with a pronoun.

- iii. After the prepositions which have alternative constructions with *von* (see 20.4.2b) the prepositional adverb *davon* (see 3.5) is used rather than a pronoun in the genitive, e.g.: *innerhalb davon, unweit davon*. Alternatively, the prepositions may be used on their own, as adverbs: *außerhalb* 'outside (it)', *jenseits* 'on the other side (of it)'.
- iv. In other contexts, *von* is used (see 2.4.1c): sechs **von ihnen**, drei **von euch**, ein Freund **von mir**

#### b. The genitive personal pronouns usually only refer to persons or animals

Ich bedarf seiner nicht

I don't need him

The demonstratives *dessen* or *deren* are used to refer to things:

Ich bedarf dessen nicht

I don't need it

Nevertheless, this usage is not absolutely fixed, and personal pronouns are sometimes used to refer to things:

Er lässt seinen Autoschlüssel im Küchenschrank, so dass andere Familienmitglieder sich **seiner** bedienen können (MM)

He leaves his car key in the kitchen cupboard so that other members of the family can use it

c. Special forms of the genitive pronouns are used with the prepositions wegen, um... willen and - halben

(see also 20.4). They are compounded with the preposition with an intervening t:

meinetwegen, deinetwegen, um ihretwillen, um unsertwillen, seinethalben

#### d. The genitive forms mein, dein and sein are archaic

These forms have been replaced in modern German by *meiner*, *deiner* and *seiner*, but they are occasionally still used for stylistic effect:

Man gedachte **sein** (for: seiner) nicht mehr

## 3.2 Reflexive and Reciprocal Pronouns

#### 3.2.1 Forms of the Reflexive Pronoun

The REFLEXIVE PRONOUN is a personal pronoun which refers back to the subject of the sentence or clause, e.g. *Ich wasche mich* 'I wash myself', *Sie wäscht sich* 'She washes herself'. In German it has a special form *sich* which is used for the third person (singular and plural) and for the 'polite' second person, in the accusative and dative cases. In the first and second persons, the personal pronouns given in Table 3.1 are used as reflexive pronouns.

The German reflexive pronoun is used much more widely than English forms in - *self*, in particular with certain verbs which are occur exclusively or predominantly with a reflexive pronoun – the so- called reflexive verbs (see 18.3.6 and 18.4.3). Table 3.2 shows the forms of the reflexive pronoun in the accusative and dative cases, as used in the present tense and imperative of the reflexive verbs *sich setzen* 'sit down' and *sich (das) einbilden* 'imagine (that)'.

Table 3.2 Forms of the reflexive pronoun

Accusative		Dative		
ich setze du setzt er/sie/es setzt wir setzen ihr setzt Sie setzen sie setzen setz	mich dich sich uns euch sich sich dich!	ich bilde du bildest er/sie/es bildet wir bilden ihr bildet Sie bilden sie bilden bilde	mir dir sich uns euch sich sich dir	das ein das ein das ein das ein das ein das ein das ein
setzt setzen Sie	euch!	bildet bilden Sie	euch sich	das ein! das ein!

#### 3.2.2 The Genitive Pronoun is Sometimes used Reflexively in Formal written German

It mainly occurs in conjunction with certain adjectives (see 6.5.3). To avoid ambiguity, it always occurs with *selbst*:

Er ist **seiner selbst** sicher He is sure of himself

Sie war **ihrer selbst** nicht mehr mächtig She had lost control of herself

## 3.2.3 The Reflexive Pronoun is Used After a Preposition to Refer Back to the Subject of the Sentence

Er hatte kein Geld bei **sich**He had no money on him

Sie schlossen die Tür hinter **sich**They closed the door behind them

#### 3.2.4 Usage in Infinitive Constructions without zu

It is not always clear in these constructions who the reflexive pronoun refers to. Normal usage is as follows:

a. A reflexive pronoun is normally taken as referring back to the OBJECT of the finite verb

Er hörte seinen Freund **sich** tadeln

He heard his friend blaming himself

Er ließ den Gefangenen **sich** ausziehen

He made the prisoner get undressed

#### b. A non-reflexive pronoun refers back to the SUBJECT of the finite verb

Er hörte seinen Freund **ihn** tadeln

He heard his friend blaming him

Er ließ den Gefangenen **ihn** ausziehen

He made the prisoner undress him

#### c. A reflexive pronoun after a preposition refers back to the subject of the finite verb

Peter sah eine dunkle Gestalt vor sich auftauchen Peter saw a dark shape appear in front of him

Eva ließ mich **bei sich** wohnen

Eva let me live at her place

# 3.2.5 In infinitive Clauses with *zu*, the Choice of Pronoun Depends on who is Understood to be the Subject of the Infinitive

(see also 13.2.4b):

Karl versprach Peter, **sich** zu entschuldigen (Karl is to apologise)
Karl versprach Peter, **ihn** zu entschuldigen (Karl is excusing Peter)
Karl bat Peter, **sich** zu entschuldigen (Peter should apologise)

Karl bat Peter, **ihn** zu entschuldigen (Peter is asked to excuse Karl)

# 3.2.6 Selbst or Selber is Used Together with a Pronoun as the Equivalent of Emphatic 'Myself', 'Yourself', etc.

The distinction is stylistic, in that *selber* tends to be used primarily in less formal registers. They are always stressed:

Ich habe **selbst/selber** mit dem

I spoke to the minister about it myself

Minister darüber gesprochen

Er hat **selbst/selber** den Brief gelesen He's read the letter himself

NB: Unstressed selbst has the meaning 'even' and always precedes the pronoun (or noun) which it qualifies, e.g.: Selbst er hat den Brief gelesen.

#### 3.2.7 Reciprocal Pronouns

These are the equivalent of English 'each other'. For these, German uses either the reflexive pronoun or *einander*. The latter is less common in speech than in writing, but it is the only possible alternative after prepositions, when it is written together with the preposition (*durcheinander*, *miteinander*, etc.):

Sie sahen sich (or einander) oft

Wir gehen uns (or einander) aus dem Wege

Wir verlassen uns aufeinander

We rely on each other

We rely on each other

Sie sprachen **voneinander NB**: Sie sprachen von **sich**They were talking about each other

They were talking about themselves

If the reflexive pronoun could be ambiguous, *selbst* can be added to confirm that the sense is reflexive or *gegenseitig* to show that it is reciprocal:

Sie widersprachen **sich selbst**They contradicted themselves
Sie widersprachen **sich gegenseitig**They contradicted each other

(or: Sie widersprachen einander)

#### 3.3 Pronouns of Address

For English 'you', German distinguishes between the FAMILIAR pronouns du and ihr, and the POLITE pronoun Sie.

Since the loss of the old form *thou*, English has lacked any distinction of this kind, and English -speaking learners of German need to establish which is appropriate in context. The use of these pronouns is anchored in social convention, and it is important for English learners to realise that these conventions can be very different from those in the English-speaking world. Since the late 1960s the use of *du* and *Sie* (commonly referred to as *duzen* and *siezen*) has shifted with changing social attitudes. The use of *du* has become more widespread, particularly among younger people, and Germans nowadays sometimes feel insecure about which one to use in unfamiliar surroundings. However, consciousness of the need to use the 'right' one is still <u>very</u> strong.

Essentially, du signals intimacy, affection and solidarity. People who use du to one another are conscious of belonging to the same group or standing together, whereas Sie signals a degree of social distance and, perhaps above all, respect (rather than simply 'politeness'). Thus, in the 'wrong' situation du sounds disrespectful, intrusive of personal privacy and even offensive. (In extreme cases it can be such a gross insult that people have been prosecuted for using it.) On the other hand, Sie in the 'wrong' situation sounds stand- offish or pompous.

Outside school or university, when talking to fellow pupils or fellow students (where the use of du is universal), non-native speakers are advised to let native speakers take the initiative in proposing the use of du. It is very important for English speakers to be aware that the use of du (and first names) is still much less frequent or acceptable between adults than is the use of first names in the English-speaking countries, especially between colleagues at work and casual acquaintances. It has a quite different social meaning to the use of first names in English and can be interpreted as indicating a lack of respect rather than friendliness.

As a general rule, the use of du is more widespread in Austria than in Germany, and even more so in Switzerland.

### 3.3.1 The Uses of du, ihr, and Sie

#### a. du is used

- i. when speaking to children (up to about the age of fourteen in schools to the 10th class), to animals and inanimate objects, to oneself and to God.
- **ii.** between relatives and close friends, between schoolchildren and students, predominantly between blue-collar workmates, between non-commissioned soldiers and between members of clubs, interest groups and (especially left- wing) political parties.

The use of du to persons regarded as of lower social status – with the expectation that they should use Sie back – is now obsolete. When it resurfaces it is offensive, often deliberately so; it is particularly reprehensible (and racist) when used indiscriminately to non- Europeans.

#### b. ihr is used to address two or more people whom the speaker would individually address with du

As *ihr* is unambiguously plural, whereas Sie can be singular or plural, it is sometimes used to address a group, even if one would not use du with every single one of them, e.g. (at work):

Ich wollte euch doch alle zum Kaffee einladen

Occasionally, *ihr* may be used to address any group to stress plurality, even if all would normally be addressed individually as *Sie*. In this way, *ihr* can sometimes function as a kind of neutral compromise to mask the speaker's uncertainty about whether to use *du* or *Sie*.

#### c. Sie is used in all other cases

It is used especially to adult strangers and generally in middle- class professions (e.g. to colleagues in an office, a shop, or a bank).

Usually, the use of du is linked to that of first names, that of Sie to formal titles ( $Herr\ Engel$ ,  $Frau\ Kallmeyer$ , etc.). However, the use of Sie and first names (so-called 'Hamburger Siezen') is not uncommon from adults to older teenagers and in 'trendy' circles (in the latter case possibly in imitation of the American use of first names).

## 3.3.2 Du and ihr and their forms (dich, dein, euch, etc.) may be Spelled with Initial Capitals in **Letter-Writing**

Ich danke dir/Dir recht herzlich für deinen Brief

The first spelling reform of 1996 prescribed that capitals should no longer be used. However, this ruling was almost universally ignored and subsequent revisions have permitted the use of capitals again, as an alternative, see 23.2.3...

#### 3.3.3 Other Forms of Address

a. Titles are often used in shops, restaurants, etc. to address customers

Was wünscht der Herr?

Was möchten die Herrschaften zu Mittag essen?

NB: The use of singular titles of rank with a plural verb (e.g. Was wünschen gnädige Frau, Herr Major?) is now archaic or facetious.

## b. In older German the singular pronouns Er and Sie (spelled with capitals) were used to address people of a lower social standing

This usage is now obsolete (except facetiously), but it persisted into the early twentieth century, especially in Austria

#### 3.4 Third Person Pronouns

#### 3.4.1 The third Person Singular Pronouns Agree in Gender with the Noun to which they Refer

In this way, er, sie or es can thus all correspond to English it when referring to things.

muss ihn jetzt verloren haben

Dein Bleistift? Ach, er lag vorhin auf dem Tisch, aber ich Your pencil? Oh, it was lying on the table a little while ago, but I must have lost it now

Er hörte meine Meinung und stimmte ihr bei

He heard my opinion and agreed with it

Darf ich Ihr Buch noch eine Woche behalten? Ich habe es

May I keep your book another week? I haven't read it yet

noch nicht gelesen

NB: Possible conflicts between grammatical and natural gender in the agreement of the pronoun are explained in 1.1.13.

### 3.4.2 In Informal Colloquial Speech, the Demonstrative Pronouns der, die, das are Often used Rather than a third Person Personal Pronoun

Der kommt wohl nicht mehr

for: **Er** kommt wohl nicht mehr

Ich hätt' die nicht wieder erkannt.

for: Ich hätte sie nicht wieder erkannt

Although extremely common in spoken German, this usage is only regarded as (just) acceptable in standard German to refer to things. It is usually avoided in writing to refer to people, and in speech it is considered rude if the person referred to is present. However, it can occur if there is a possible ambiguity or a need for emphasis:

Sie hatte die Fernsehanstalten massiv unter Druck gesetzt. She had put massive pressure on the television als **die** sich in Gibraltar umtaten (*Zeit*)

companies when they were nosing around in Gibraltar

*diese*, see 5.1.2, would be a possible alternative to *die* in this context.

## 3.4.3 Third Person Pronouns are Used in Comparative Clauses with wie

This makes it absolutely clear what is being compared:

Das waren Reichtümer, wie sie Fürsten nicht besaßen (Süßkind)

Ein Kuchen, wie ihn deine Mutter backt, ist was Besonderes

These were riches such as princes did not possess

A cake like your mother makes is something special

## 3.5 Third Person Pronoun or Prepositional Adverb?

The prepositional adverb (sometimes also called the 'pronominal adverb') is formed by prefixing da(r) to a preposition, e.g. damit, daran, darüber.

## 3.5.1 The Prepositional Adverb is Often used Rather than a Preposition Followed by a third Person **Pronoun**

The general rule is that the personal pronoun is used when referring to people (e.g. Ich spiele mit ihr, i.e. mit meiner Schwester) whereas the prepositional adverb is used when referring to things (e.g. Ich spiele damit, i.e. mit der *Puppe*). There are variations, however, and modern usage is broadly as follows.

#### a. The pronoun es is not normally used after prepositions

Here the prepositional adverb is the norm, although occasional exceptions may be encountered.

Da steht mein neues Auto. Ich habe lange darauf (NOT: auf es) warten müssen

There's my new car. I had to wait a long time for it

#### b. Preposition plus personal pronoun is always used to refer to individual persons

(but **not** groups of people, see (d) below):

Du darfst nicht mit ihr spielen You mustn't play with her I can't remember him Ich kann mich nicht an ihn erinnern

## c. When reference is to a specific thing (or things), either preposition plus pronoun or the prepositional adverb may be used

und bin sehr zufrieden damit/mit ihr

Ich habe diese Geschirrspülmaschine seit drei Wochen I've had this dishwasher for three weeks and am very satisfied with it

In practice, the prepositional adverb is more frequent. If the combination preposition plus pronoun is used, it emphasises the thing referred to more strongly.

#### d. The prepositional adverb is used to refer to abstracts and to groups of people

darunter and davon (i.e. 'among whom' or 'of whom') are particularly frequent to refer to groups of people.

Wie findest du den Vorschlag? Bist du damit einverstanden?

What do you think of the suggestion? Do you agree with it?

Ich erwarte zehn Gäste, darunter einige sehr alte

I am expecting ten guests, among them some very old

Bekannte

acquaintances

Die meisten davon wollten keinen Ärger machen

Most of them didn't want to cause trouble

#### e. The prepositional adverb is always used to refer to whole sentences

Ihr Mann hat eine neue Stelle gekriegt. Darüber freut sie Her husband has got a new job. She's very pleased sich sehr about it

#### f. If motion is involved, a separable prefix with hin- or her- is used

The prepositional adverb is not used in such contexts, (see also 7.2.4):

Wir fanden eine Hütte und gingen hinein We found a hut and went into it

Sie kam an einen langen Gang und eilte **hindurch** She came to a long passage and hurried through it

#### 3.5.2 Four Common Prepositions do not form a Prepositional Adverb

i.e.: außer, gegenüber, ohne, seit

These are used with pronouns with reference to people or things:

Außer ihm ist keiner gekommen Nobody came apart from him

Vor uns ist das Rathaus, und **ihm gegenüber** liegt der Dom In front of us is the town hall and opposite it is the

Ohne es wäre unser Erfolg nicht möglich gewesen

Without it our success wouldn't have been possible

With reference to things, the pronoun is usually omitted after gegenüber and ohne, e.g.: (ihm) gegenüber liegt der Dom; ohne (es) wäre es nicht möglich gewesen, außer and seit are never used with a pronoun, the adverbs außerdem 'besides (that)' and seither 'since (then)' being used instead.

NB: The prepositions which govern the genitive do not form prepositional adverbs. For the use of pronouns with them, see 3.1.2.

#### 3.5.3 Further Notes on the use of the Prepositional Adverb

#### a. In colloquial speech the prepositional adverb is often split

Da weiß ich nichts von

Da kann ich nichts mit anfangen

This usage was originally typical of North Germany, but it has recently become more widespread.

- b. When the prepositional adverb replaces preposition plus pronoun, the second syllable is usually stressed da'durch, da'mit, dar'an
- c. In spoken German the first syllable may be reduced, e.g.: dran, drauf, drin, drunter. However, if the prepositional adverb replaces a preposition plus a demonstrative, (i.e. = 'with that', 'in that', etc., see 5.1.1i), then the **first** syllable is stressed, e.g.: 'dadurch, 'damit, 'daran.

'Damit war alles unter Dach und Fach

With that everything was sorted

#### d. The prepositional adverb is often used to anticipate a following dass- clause or infinitive clause

Ich verlasse mich darauf, dass sie rechtzeitig kommt

**e.** Details are given in 6.6.2, 18.6.14 and 19.2.5b.

## 3.6 Special Uses of the Pronoun es

The pronoun es has an extended range of uses beyond simply referring back to a neuter noun. In many constructions it functions as a grammatical particle (sometimes called a 'clitic').

es cannot be stressed. If emphasis is needed es is replaced by das for most uses explained in this section, e.g.:

Sind das Ihre Handschuhe?

Das bist du.

Ich mache das schon.

#### 3.6.1 Es can Refer to Elements Other than Neuter Nouns

#### a. es can refer to a whole phrase, sentence or situation

Willst du die Brötchen holen? Angela macht es schon Ich weiß, dass sie gestorben ist, aber Uwe weiß **es** noch I know that she is dead, but Uwe doesn't know (it) yet nicht

Will you get the rolls? Angela is already doing it

## b. es can refer back to the predicate complement of sein or werden

In English nothing equivalent or a different equivalent is required:

Er soll zuverlässig sein, und ich bin sicher, dass er es

ist

He is said to be reliable and I am sure he is

Ist Jürgen ein guter Schwimmer? Ja, er ist es Is Jürgen a good swimmer? Yes, he is (one)

Sein Vater ist Arzt, und er wird es auch His father is a doctor and he's going to be one, too

#### 3.6.2 Impersonal and Other uses of es as the Subject of a Verb or as the Predicate of Sein

#### a. es is used as a formal subject in many impersonal constructions

i. With all kinds of impersonal verbs or verbs used in impersonal constructions:

es regnet es klingelt es fehlt mir an Geld es bedarf noch einiger Mühe

Details on the use of es as an impersonal subject are given in 18.2.4.

ii. As an indefinite subject, communicating the idea of a vague, impersonal agent:

Erst wurde es dunkel, dann gewitterte es

First it got dark, then there was thunder and

lightning

Ihn tribe **es** in die schottischen Hochlande (*Zeit*)

He felt a desire to go the Highlands of Scotland

iii. In impersonal reflexive constructions, often with the force of a passive, see 15.4.3b:

Es schreibt sich so leicht mit diesem Filzstift.

It's so easy to write with this felt-tip pen

iv. In impersonal passive constructions and in passive constructions with verbs which do not govern the accusative (see 15.1.3–4):

Es wurde in dieser Zeit viel gearbeitet A lot of work was done at this time Es wurde im Nebenzimmer geredet There was talking in the next room

Es kann ihm doch nicht geholfen werden He can't be helped, though

es is always omitted in this construction if it is not in first position in a main clause, e.g.: In dieser Zeit wurde viel gearbeitet. Wir wissen doch, dass in dieser Zeit viel gearbeitet wurde

## b. es can be used as an indeterminate subject with the verbs sein and werden followed by a noun or an adjective

**i.** This corresponds to the English use of *it*:

Es ist der Briefträger, ein Polizist It's the postman, a policeman

It got late Es wurde spät It's midday Es ist Mittag

It's Sunday today Es ist Sonntag heute

es can be omitted in non- initial position in time phrases, e.g.: Jetzt ist (es) Mittag. Er weiß, dass (es) heute Sonntag ist.

ii. es can be used with a plural verb, corresponding to English 'they':

Es sind Ausländer They're foreigners
Sind es Ihre Handschuhe? Are they your gloves?
Was sind es? What are they?

**iii.** In this indeterminate function, *es* can refer back to a non- neuter or plural noun, as an alternative to the expected masculine, feminine or plural pronoun:

Seine Mutter lebt noch. **Es/Sie** ist eine alte Frau His mother is still alive. She's an old woman Siehst du die Kinder dort? **Es/Sie** sind meine Do you see the children there? They're mine

#### c. es with sein and a personal pronoun (= English 'It's me', etc.)

i. The German construction differs from the English one, with es following the verb:

Du bist **es**. Ich bin **es**Seid ihr **es** gewesen?

\*\*It's you. It's me
\*\*Was it you?

**ii.** 'Cleft sentence' constructions with relative clauses (like English 'It was you who rang the bell') are based on this construction in German:

Er war **es**, der es mir sagte

It was him who told me

Du warst **es** also, der geklingelt hat So it was you who rang the bell

Other cleft sentence constructions, especially those corresponding to the English type 'It was this morning that I saw her', are used much less frequently in German than in English (see 21.2.3a).

## d. es is often used as a 'dummy subject' in initial position in order to permit the 'real' subject to occur later in the sentence

**i.** This construction is particularly frequent if the 'real' subject is a noun phrase with an indefinite article or an indefinite quantifier. It gives more emphasis to the 'real' subject, see 21.2.2d. With *sein*, this *es* corresponds to 'there' in 'there is/are', see 18.2.5b:

Es ist ein Brief für Sie da There's a letter for you

**Es waren** viele Wolken am Himmel There were a lot of clouds in the sky

This es is omitted if it is not in first position in a main clause, e.g.: Viele Wolken waren am Himmel. Ich weiß, dass ein Brief für mich da ist.

NB: For es ist/sind and es gibt for English 'there is/are', see 18.2.5.

**ii.** *es* may be used in this construction with any verb in German. The verb agrees with the 'real' subject, not with the *es*:

**Es saß** eine alte Frau am Fenster

There was an old woman sitting at the window

**Es hatte** sich auch ihr Verhältnis zu den Nachbarn Their relationship to their neighbours had changed,

verändert too

**Es liegen** zwei Briefe für Sie auf dem Schreibtisch There are two letters for you lying on the table

This construction is particularly frequent with verbs of happening:

Es ist gestern ein schwerer Unfall passiert

A serious accident happened yesterday

- **e.** In spoken German da is a common alternative to es in this function: **Da** hat eine alte Frau am Fenster gesessen.
- f. es can be used to anticipate a following subordinate or infinitive clause which is the real subject of the verb

I am pleased that you have passed your examination Es freut mich, dass du dein Examen bestanden hast

Es fällt mir ein, dass ich ihn schon gesehen haben muss It occurs to me that I must already have seen him

Es war mir nicht möglich, früher zu kommen It wasn't possible for me to come earlier The last thing I want is to make difficulties Es liegt mir fern, Schwierigkeiten zu machen

If the clause precedes the verb there is no need for the es, e.g.: Dass du dein Examen bestanden hast, freut mich.

This 'anticipatory' es is sometimes omitted if it is not in first position in a main clause:

Then it was noticed that he wasn't wearing a white Dann fiel (es) auf, dass er kein weißes Hemd trug

shirt

It's not up to him to pass judgement Ihm steht (es) nicht zu, ein Urteil zu fällen

Usage is variable as to when es is omitted; the following general tendencies reflect current usage:

i. The omission of es is especially frequent (but not obligatory) with the following verbs:

hinzukommen auffallen sich erweisen gelten to be valid aufgehen sich herausstellen vorschweben sich ergeben aus dazukommen feststehen hervorgehen sich zeigen einfallen folgen aus

ii. With many verbs, especially those expressing feelings and emotions, es can be omitted before a following dassclause if the main clause begins with a pronoun:

The only thing that interests him is that her father's Ihn interessiert (es) nur, dass ihr Vater viel Geld hat

got a lot of money

Of course, that's connected with the fact that he's in Damit hängt (es) natürlich zusammen, dass er im Gefängnis sitzt

iii. es can be omitted with the verb sein if the main clause begins with the noun or adjective complement of sein:

Wichtig ist (es), dass er es weiß It's important for him to know it

Wichtig ist (es), diesen Satz richtig zu verstehen *It is important to understand this sentence correctly* 

Ein Glück ist (es), dass du kommst It's fortunate you're coming

NB: es is not omitted before wenn- clauses: Mir ist es recht, wenn sie jetzt kommt.

With klar, leicht, möglich, schwer and wichtig, es can be omitted in these constructions if the main clause begins with a pronoun:

Ihm war (es) völlig klar, dass er jetzt springen musste It was quite clear to him that he had to jump now

#### 3.6.3 Es as the Object of a Verb

a. An accusative es is often used to anticipate a following infinitive or dass- clause which is the object of the verb

Ich konnte es kaum ertragen, ihn so leiden zu sehen Ich habe es erlebt, dass Riemann die beste Rede gehalten hat

I could hardly endure to see him suffer like that

I have known Riemann to give the best speech

i. This 'anticipatory' es is variable, and there are no hard and fast rules as to when it is used and when not. However, it is especially frequent with the following verbs, so that the advice to the foreign learner is to use it with them:

ablehnen	erleben	leiden	verantworten
angewöhnen	ermöglichen	leisten	verdienen
aufgeben	ertragen	leugnen	vergessen
aushalten	fertig bringen	lieben	vermeiden
bedauern	genießen	merken	versäumen
begrüßen	gönnen	mögen	vertragen
bemerken	halten für	schaffen	verzeihen
bereuen	hassen	schätzen	wagen
betrachten als	hindern	übel nehmen	zulassen
dulden	hinnehmen	überlassen	
erfahren	lassen	unterlassen	

The phrases nicht erwarten können and nicht wahrhaben wollen are also most often found with an anticipatory es, as is finden followed by an adjective, e.g.: Ich finde es schön, dass du da bist.

ii. Verbs of saying, thinking and knowing, e.g.: ahnen, denken, erzählen, fühlen, glauben, hören, sagen, wissen are also often used with an anticipatory es in conjunction with certain adverbs and particles, in particular bereits, deutlich, doch, genug, ja, oft and schon, or when there is an appeal to the listener's prior knowledge, e.g.:

Ich habe (es) ihm deutlich gesagt, dass er schreiben

I've told him clearly enough that he's got to write

muss

Ich ahnte (es) schon, dass sie schwanger ist

I already suspected she was pregnant

Ich weiß (es) ja selber, dass die Ampel rot war

I know myself that the lights were red

## b. es corresponds to English 'so' as the object of a few verbs, especially sagen 'say' and tun 'do'

He said so Er hat es gesagt

Why did you do so? Warum hast du es getan?

es can also be used with glauben and hoffen, but it is not obligatory:

Kommt sie? – Ich glaube/hoffe (es) *Is she coming? – I think/hope so* 

#### c. es is used as an object in a number of idiomatic verbal phrases

A selection of the most frequent:

es auf etwas absehen to be after sth.

es auf etwas ankommen lassen to take a chance on sth.

es idm. antun to appeal to sb. sie hat es ihm angetan he fancies her

es mit jdm./etwas aufnehmen können to be a match for sb./sth.

es bei etwas belassen to leave it at sth.

es weit bringen to go far

es zu etwas bringen to attain sth. (esp. a position) er hat es zum Oberst gebracht es an etwas fehlen lassen

es eilig haben

es gut/schlecht haben

es in sich haben

es sich leicht/schwer machen

es gut mit jdm. meinen es mit etwas genau nehmen es mit jdm. zu tun haben

es sich mit jdm. verdorben haben

es mit etwas (dat.) versuchen

he got to be a colonel to be lacking in sth. to be in a hurry to be (un)fortunate

to be a tough nut to crack

to make it easy/difficult for oneself

to mean well with sb.

to be punctilious with sth. to have to deal with sb.

to have fallen out with sb.

to try (one's hand at) sth.

# 3.6.4 Es is Used with a few Adjectives in Constructions with the Verb(s) sein And/or Werden

in particular with adjectives which govern the genitive of nouns (see 6.5.3), e.g.: *Ich bin es nun überdrüssig*. The following adjectives occur in this construction:

los müde satt teilhaftig überdrüssig wert würdig zufrieden

Also:

Ich bin es gewohnt

I am used to it

Ich wurde es gewahr (lit.)

I became aware of it

NB: When gewohnt sein and wert sein are used with a following dass-clause, the es may optionally be used to anticipate the subordinate clause, e.g.: Ich bin (es) nicht mehr gewohnt, am frühen Morgen aufzustehen.

# 4 The Articles

#### German, like English, has a definite and an indefinite article.

The ARTICLES belong to a closed set of small words known as DETERMINERS. They are used with NOUNS to link them to a particular context or situation. Besides the articles, the determiners include all those words, like the DEMONSTRATIVES (*dieser*, *jener*, etc.), the POSSESSIVES (*mein*, *sein*, etc.) and INDEFINITES (*einige*, *etliche*, etc.), which are used to determine nouns and typically have first position in a noun phrase, before any adjectives, as the chart below shows.

Determiner	Adjective/adjectival phrase	Noun
der	runde	Tisch
ein	schnelles	Auto
eine	sehr langweilige	Stunde
das	in der bayrischen Hauptstadt gebraute	Bier

Only the form and use of the articles are explained in this chapter; the other determiners are dealt with in chapter 5.

The definite and indefinite articles change their form ('decline') to indicate the grammatical categories of the nouns they are used with, i.e. CASE, PLURAL and GENDER. In practice the forms of the article are the main way these categories of the noun are shown in German, and mastering them is an essential stage in being able to use German competently.

In most instances (85%) German and English agree on whether the definite, indefinite or no ('zero') article is used with a noun in a particular context. However, as the articles are very frequent words, the instances where the two languages do not correspond are significant, in particular where German uses a definite article when English has none.

This chapter deals with the **forms** and **uses** of the **articles** as follows:

- The **declension** of the definite and indefinite articles (section 4.1)
- The use of the articles with abstract nouns, generalisations and names (sections 4.2–4.4)
- The use of the articles in **time expressions** (section 4.5)
- The use of the definite article to indicate **possession** (section 4.6)
- Other contexts where **German and English differ** in the use of the articles (sections 4.7–4.8)
- The use of the articles with prepositions (section 4.9)

# 4.1 The Declension of the Articles

#### 4.1.1 The Forms of the DEFINITE ARTICLE

The declension of the definite article *der/die/das* is given in Table 4.1, with further information on these forms given in the remainder of this section.

Table 4.1 Declension of the definite article

	Masculine	Feminine	Neuter	Plural
Nominative	der	die	das	die
Accusative	den	die	das	die
Genitive	des	der	des	der
Dative	dem	der	dem	den

a. In spoken German the definite article is relatively unstressed and reduced forms are usual

der: [de] die: [di] das: [d(a)s] or [s]

den:  $[d(\vartheta)n]$  or [n] des:  $[d(\vartheta)m]$  or [m] des:  $[d(\vartheta)s]$ 

These reductions are rarely reflected in writing, but they are the norm in unaffected speech, since the full forms, e.g. [de:m], have the force of a demonstrative (i.e. = 'this' or 'that', see 5.1.1).

Compare:

Ich habe 'n Tisch gekauft

I bought the table
Ich habe den [de:n] Tisch gekauft

I bought that table

#### b. The definite article cannot be omitted in pairs of words if a different gender or number is involved

In English we can say 'the house and garden(s)' or 'the son(s) and daughter(s)', with the definite article being understood to refer to the second noun as well. This is only possible in German if the two nouns have the same gender or number. In other contexts the second article with its different form **must** be included:

das Haus und der Garten/die Gärten

der Sohn und die Tochter/die Töchter

On the other hand, *die* Söhne und Töchter is correct, since both nouns are plural and would have the same article.

NB: This rule naturally applies to all the other determiners, and to adjectives used with nouns, e.g.: *sein Sohn und seine Töchter* but *seine Söhne und Töchter*; *guter Wein und gutes Bier* but *alte Männer und Frauen*, see 6.2.6.

# c. Contracted forms of the definite article are used with some prepositions

#### We can distinguish:

i. Contractions which are usual in both speech and writing, i.e.:

```
ans = an + das am = an + dem beim = bei + dem ins = in + das
im = in + dem vom = von + dem zum = zu + dem zur = zu + dem
```

The uncontracted forms are only used if the article is relatively stressed. This often depends on style and sentence rhythm, although many Germans consider the uncontracted forms to be 'better style' in formal writing. Uncontracted forms are also particularly frequent to refer back to something recently mentioned in order to make it clear that it is the one meant. Note the difference between:

Er ging **zu der** Hütte (i.e. the one we were just talking about)

Er ging **zur** Hütte (i.e. the one we all know about).

Where the force of *der* is demonstrative (i.e. = 'that', see 5.1.1), only the uncontracted form is possible:

Einer der Affen war besonders lebhaft. Klaus wollte unbedingt eine Aufnahme **von dem** Affen machen

One of the monkeys was particularly active. Klaus really wanted to take a picture of that monkey

ii. Similarly, where the noun is particularised, e.g. by a following relative clause, the uncontracted form is usual:

an dem Nachmittag, an dem sie anrief on the afternoon when she called

Er geht **zu der** Schule, wo sein Vater früher war He goes to the school where his father used to be

On the other hand, only the contracted forms are used in set phrases and expressions, e.g.:

am Dienstagam 10. Maiam einfachstenim Frühlingim Freienim Gangzum Frühstück zurZeitim Vertrauen

Ich nahm ihn **beim** Wort Sie war **beim** Kochen

Compare:

Am Dienstag kam er spät zur Arbeit

**An dem** Dienstag kam er spät zur Arbeit

On Tuesday he came to work late That Tuesday he came to work late

iii. Contractions which are common in speech and sometimes used in writing. These are:

aufs = auf + das

durchs = durch + das

 $\mathbf{f\ddot{u}rs} = \mathbf{f\ddot{u}r} + \mathbf{das}$ 

 $\ddot{\mathbf{u}}\mathbf{bers} = \ddot{\mathbf{u}}\mathbf{ber} + \mathbf{das}$ 

ums = um + das

unters = unter + das

Written German usually prefers the uncontracted forms of these, using the contracted ones chiefly in set phrases, e.g.:

aufs Land fahren

**übers** Herz bringen

fürs Leben gern

ums Leben kommen

iv. Contractions which are usual in spoken German, but only very occasionally found in writing, usually in set phrases or in imitation of casual speech. These are:

außerm hinterm übern

unterm

hintern hinters untern vorm

überm vors

v. Other contractions are regular in everyday colloquial speech but not normally used in writing, e.g.:

an'n

bei'n

durch'n

in'n

mit'm nach'm seit'm

#### 4.1.2 The Forms of the Indefinite Article

The declension of the indefinite article ein and its negative counterpart kein is given in Table 4.2, with further information provided in the remainder of this section.

Table 4.2 Declension of the indefinite article ein and negative kein

	Masculine	Feminine	Neuter	Masculine	Feminine	Neuter	Plural
Nominative	ein	eine	ein	kein	keine	kein	keine
Accusative	einen	eine	ein	keinen	keine	kein	keine
Genitive	eines	einer	eines	keines	keiner	keines	keiner
Dative	einem	einer	einem	keinem	keiner	keinem	keinen

#### a. The indefinite article has no plural

Indefinite plural nouns are used without an article, as in English:

Hier gibt es gute Weine

There are good wines here

b. In spoken German the indefinite article is relatively unstressed and reduced forms are frequent

*ein*: [n]

eine: [nə]

einen: [nən]

einem: [nəm]

einer: [ne]

eines: [nəs]

These reductions are rare in writing (except to render the flavour of colloquial dialogue) but they are the norm in unaffected speech, where the full forms, e.g. [aɪn], [aɪnən], etc., would be

interpreted as the numeral ein 'one'. Compare:

Ich habe 'n Buch gekauft

I bought a book

#### c. The indefinite article ein has a negative form kein

Unlike ein, kein has a plural form, and its declension is given in Table 4.2. It is used chiefly where a corresponding positive sentence would have an indefinite article or no article, and it is thus usually the equivalent of English  $not \dots a$ ,  $not \dots any$  or no. Further details on its use are given in 5.5.16:

Es war ein angenehmer Anblick Es war **kein** angenehmer Anblick

Kennst du einen Arzt? Kennst du keinen Arzt?

Hier gibt es gute Weine Hier gibt es keine guten Weine

Ich habe Geld Ich habe kein Geld

# 4.2 Articles with Abstract Nouns

# 4.2.1 German Often Uses the Definite Article with Abstract Nouns where English Typically has no Article

This is particularly the case where the reference is to a specific and definite whole, known and familiar to the speaker and listener, e.g.:

#### 1. abstract nouns

Er fürchtet **das Alter**Er liebte **die Demokratie**He is afraid of old age

He loved democracy

Wir hängen von **der Industrie** ab

We depend on industry

**Die Zeit** vergeht Time passes

Das Volk lebt **im Elend** (*Spiegel*)

The people are living in misery

Die Menschheit braucht nichts nötiger als den Frieden Humanity needs nothing more urgently than peace

#### 2. infinitives used as nouns

Er hat **das Schwimmen** verlernt

He has forgotten how to swim

Das Kaffeetrinken kam im 17. Jahrhundert nach Europa Coffee- drinking came to Europe in the 17th century

#### 4.2.2 In Certain Contexts Abstract Nouns are Used with no Article

Clear rules are difficult to formulate precisely, but the following generalisations are broadly valid:

# 1. No article is used in contexts where the idea is referred to not as a whole, but in a vaguely general, indefinite and partial sense, which comes as a new idea in the context

Typically in such contexts *some* or *any* can often be inserted in the corresponding English sentence without changing its essential meaning:

Zu dieser Aufgabe gehört **Mut**This task demands (some) courage

Es war nicht das erste Mal, dass Verrat seinen It was not the first time that (some) treachery had

Lebensweg gekreuzt hatte (Hermlin) crossed his path

Unentschlossenheit wäre jetzt verhängnisvoll (Any) indecision now would be fatal

**Bewegung** ist gesund (Any) exercise is healthy

Compare the following sentences:

Unter seinen Anhängern entstand **Misstrauen Das Misstrauen** wächst unter seinen Anhängern

(Some) distrust arose among his followers Distrust is growing among his followers

In the first sentence 'distrust' is a new concept of a rather vague, general and indefinite nature. In the second it is a specific and familiar notion.

In practice such a partial or indefinite sense is often present when an abstract noun, particularly one denoting a human quality or emotion, is used with an adjective. In such contexts no article is used in German:

Ich verachte **kleinliche Eifersucht**I despise (any) petty jealousy

Im Heer wuchs **neuer Mut**In the army new courage was growing
Er neigt zu **unnötiger Verschwendung**He tends to unnecessary extravagance

# 2. In proverbs, sayings and set phrases:

Alter schützt vor Torheit nicht There's no fool like an old fool

Not kennt kein GebotNecessity knows no lawStolz ist keine TugendPride is not a virtue

#### 3. In a few other contexts

- in general statements, see 4.3.1.
- in pairs of words and enumerations, see 4.8.1.
- in some constructions with the verbs sein and werden, see 4.8.2.
- in many phrasal verbs, see 4.2.3.

#### 4.2.3 The use of the Article with Abstract Nouns in Phrasal Verbs

e.g.: Abschied nehmen, in Druck geben, in Erfahrung bringen

The use of a definite or no article with these is often a matter of individual idiom, e.g.: **zum** Abschluss bringen but **zu** Ende bringen, and a dictionary should be consulted. However, the following general rules usually apply:

- a. Infinitives used as nouns have a definite article in phrasal verbs with prepositions ins Rollen kommen, zum Kochen bringen
- b. Feminine nouns in phrasal verbs with zu have a definite article

zur Kenntnis bringen, zur Verfügung stehen

c. Phrasal verbs with außer and unter, and most of those with in have no article

außer Gefahr sein, jdn. unter Druck setzen, jdn. in Verlegenheit bringen

NB: Those with *in* followed by an infinitive used as a noun **do** have an article, see (a) above.

- d. Most phrasal verbs with gehen, halten and setzen have no article with the noun in Erfüllung gehen, in Gang halten, in Brand setzen
- e. Abstract nouns used with haben have no article

Aufenthalt haben, Angst haben, Durst haben, Geduld haben, Mut haben

f. Most phrasal verbs consisting of a verb and an object noun with no preposition have no article

Anspruch erheben, Antwort geben, Abschied nehmen, Rücksicht üben,

Krieg führen, Not leiden, Zeit sparen

g. An article is used with phrasal verbs if the noun is qualified by an adjective

This applies even if the phrasal verb normally lacks an article:

jdn. in Gefahr bringenlead sb. into dangerjdn. in (eine) große Gefahr bringenlead sb. into great danger

jdn. in die größte Gefahr bringen lead sb. into the greatest danger

# 4.2.4 The use of the Article with Some other Groups of Nouns is Similar to that with Abstract Nouns

#### 1. Names of substances

These have a definite article if they are understood as general concepts, but no article if they are used in an indefinite or partial sense:

Die Butter kostete 3 Euro das PfundButter cost 3 euros a poundFaraday hat die Elektrizität erforschtFaraday investigated electricityDie Bauern bauen hier Roggen anThe farmers grow rye hereWir importieren Kaffee aus AfrikaWe import coffee from Africa

#### NB:

- i. The definite article occurs in some set phrases, e.g.: beim Bier sitzen; Das steht nur auf dem Papier; Man kann nicht von der Luft leben.
- ii. Usage is optional in generalisations, see 4.3, e.g.: (Die) Elektrizität ist eine wichtige Energiequelle.

#### 2. Names of meals

A definite article is used if they are referred to as known quantities, but the article is optional if the reference is indefinite or partial:

**Das Mittagessen** wird um 13 Uhr eingenommen Lunch is taken at 1 p.m.

Wir sollen uns vor **dem Frühstück** treffen We are to meet before breakfast

Ich habe (das) Mittagessen bestellt

I have ordered lunch

Wann bekommen wir (das) Frühstück? When are we getting breakfast?

#### 3. Names of sicknesses and diseases

These have a definite article when they are referred to in general as known quantities, but there is no article when they are referred to in an indefinite or partial sense, or as a new idea in the context, particularly after *haben*:

Er ist an **der Schwindsucht** gestorben

He died of consumption

Sie ist an **den Masern** erkrankt

She fell ill with measles

Die Grippe hat Tausende weggerafftInfluenza carried off thousandsIch habe Kopfschmerzen, GelbsuchtI've got a headache, jaundice

Singular names of specific illnesses are used with the indefinite article to refer to a bout of that disease. This is in particular the case when the noun is modified by an adjective:

Er ist an einer Lungenentzündung

He died of (a bout of) pneumonia

gestorben

Er hat einen Schnupfen, eine Erkältung

He's got a cold

#### 4. Names of languages

These nouns from adjectives have two forms, see 6.4.6a:

1. an inflected one, always used with the definite article, which refers to the language in a general sense:

Das Spanische ist dem Portugiesischen sehr nahe

verwandt

Spanish is very closely related to Portuguese

eine Übersetzung aus dem Russischen ins Deutsche

a translation from Russian into German

2. an uninflected form, which refers to the language in a specific context. With this, article use is similar to that in English:

das **Deutsch** der Auswanderer the German of the emigrants

Luther's German

Sie kann, versteht, lernt **Deutsch** She knows, understands, is learning German

Sie kann **kein Deutsch**She doesn't know any German

eine Zusammenfassung in Deutsch a summary in German

# 4.2.5 A Definite Article is Usual in German with Some Other Nouns which Often Lack an Article in English

1. historical periods, literary and philosophical movements, religions

**der** deutsche Expressionismus German Expressionism

Diese Auffassung ist charakteristisch ;für den Islam

This view is characteristic of Islam

Marx begreift den Feudalismus als notwendige Stufe Marx considers feudalism to be a necessary stage in

der historischen Entwicklung (Knaur) the process of history

2 arts and sciences

Ich erwarte von der Literatur mehr Anregung als vom

Leben (Grass)

I expect more stimulus from literature than from life

Darüber schweigt die Geschichte

History is silent about that
ein Lehrbuch der Astronomie

a textbook of astronomy

Sie liebt **die Musik**She loves music

NB: No article is used for school or university subjects, e.g.: Sie hat eine Zwei in Geschichte aber eine Vier in Mathe. Else studiert Astronomie in Göttingen.

3. institutions, company titles and buildings

Sie geht in die Schule She goes to school

Er wurde ins Parlament gewählt He was elected to parliament

**Die Bundesrepublik** gehört der NATO an The Federal Republic belongs to NATO

Er arbeitet bei **der BASF**He works for BASF

im Kölner Dom, das Ulmer Rathaus in Cologne cathedral, Ulm town hall

NB: No article is used with names of buildings with a proper name in apposition, e.g.: Schloss Sanssouci, Burg Gibichstein, Kloster Beuron.

# 4.3 The Use of Articles in Generalizations

#### 4.3.1 Generalisations About People and things can be Expressed in Different ways

Compare the following possibilities in German and English:

(a) Die Tanne ist ein Nadelbaum The fir is a conifer

(b) Die Tannen sind Nadelbäume [no direct English equivalent]

(c) Eine Tanne ist ein Nadelbaum
 (d) Tannen sind Nadelbäume
 Afir is a conifer
 Firs are conifers

German tends to use constructions like (a) above, especially in writing, whereas English has a clear preference for sentences like (d), so that the following example illustrates a characteristic type of equivalence:

Das Auto ist der Fluch der modernen Stadt (Zeit) Cars are the curse of modern cities

Construction (b), with a definite article and a plural noun, is quite common in German, but it is only used in English with a limited number of nouns (especially nouns of nationality). Compare:

Die Beschwerden vermehren sichComplaints are increasingDie Steuern waren drückend (Brecht)Taxes were oppressiveDie Italiener lieben die MusikThe Italians love music

English 'man'; in the sense 'human being' is usually found with no article, while *der Mensch*, with a definite article, is regular in German in general statements of type (a) above, e.g.:

**Der Mensch** ist ein seltsames Geschöpf

Man is a strange animal

# 4.3.2 Nouns which have no Plural can be used Either with the Definite Article or with no Article in General Statements

This applies in particular to abstract nouns and names of substances:

(**Der**) Frieden ist das höchste Gut der Menschen

Peace is man's greatest good

(**Das**) Rauchen schadet der Gesundheit

Smoking is injurious to health

(Das) Eisen ist ein Metall Iron is a metal

# 4.4 The use of Articles with Geographical and Other Proper Names

#### 4.4.1 Usage with Geographical and Astronomical Names

#### a. Masculine names of countries

With these, the definite article is usual, but optional:

(der) Libanon (der) Iran in/im Sudan

With masculine names of regions or provinces the use of the definite article is the norm, e.g.: der Balkan, der Bosporus.

#### b. Feminine and plural names of countries and regions

These are **always** used with a definite article:

die Schweizdie Türkeidie Ukrainedie Lausitzdie Normandiedie Steiermark

die USA die Niederlande

#### c. Neuter names of countries and cities

No article is used with most of these:

Deutschland Norwegen Spanien Leipzig London Ulm

However, some neuter names of regions and provinces are normally used with the article:

das Elsass das Engadin das Ries das Wallis Valais

das Rheinland das Vogtland (and all others in -land)

Traditional German regions are sometimes designated by an adjectival noun, e.g.: *Jetzt kommen wir ins Bayrische*; *Das Dorf liegt im Thüringischen*. The definite article is always used with these.

NB: Use of the article is optional with *Tirol*: *in/im Tirol*.

# d. Other geographical and astronomical names always have a definite article

This is so even where English has no article:

der Mont Blanc der Genfer See der Bodensee Lake Constance

der Mars die Venus der Jupiter

# e. The definite article is commonly used to refer to street names

Ich wohne in der Goethestraße

Wir treffen uns auf dem Schlossplatz

**Der** Alexanderweg ist die zweite Querstraße **zur** Humboldtstraße

**f.** However, no article is used in addresses: Frau Gerlinde Haarmann, Weserstraße 247, 34125 Kassel.

# 4.4.2 In Standard German there is Usually no Article with Personal Names

There are some exceptions to this rule:

# a. In colloquial speech a definite article is frequent with names

Ich sehe die Monika Gestern war ich bei der Frau Schmidt

This usage is particularly characteristic of South German speech, but it has spread into North Germany in recent years.

#### b. To clarify case or gender

(see also 4.7.1):

der Vortrag **des** Klaus Müller Das hat Klaus **dem** Wolfgang Pedersen gesagt Ich habe eben mit **der** Rupp (i.e. **Frau** Rupp, NOT **Herr** Rupp) gesprochen

## c. To individualise the person concerned more strongly

**Der** Lehmann hat einen ausgezeichneten Vortrag gehalten die Briefe Leopold Mozarts an **das** Nannerl (*Hildesheimer*)

# d. to refer to characters in plays

Er hat in der vorigen Saison den Hamlet gespielt

# 4.4.3 All Geographical and Proper Names are Used with a Definite Article when Qualified by an Adjective

das heutige Deutschland

das viktorianische England das zerstörte Dresden das kalte Moskau der junge Heinrich der alte Doktor Schulze

This applies also to saints' names: *der heilige Franziskus* 'Saint Francis'.

# 4.5 The Use of Articles in Time Expressions

# 4.5.1 Names of Months and Seasons Usually have the Definite Article

Der April war verregnet

Wir fahren im August nach Italien

Der Frühling war dieses Jahr spat

Im Winter friert der Bach zu

The names of the months have no article after prepositions other than an, bis zu and in, see 4.5.3, or after Anfang, Mitte, Ende:

Es war kalt für April

Der Fahrplan gilt von Mai bis Oktober

Ende Februar hat es geschneit

Er kommt erst Anfang Mai

No article is used with these words after sein and werden, see 4.8.2c, e.g.: Es ist, wird Sommer; Es ist Januar, or when the name is qualified by nächsten, letzten, vorigen, vergangenen: nächsten Oktober, letzten Herbst.

# 4.5.2 The Major Festivals have no Article

Weihnachten Silvester Neujahr Pfingsten

Note though: der Heilige Abend 'Christmas Eve', der Karfreitag 'Good Friday'

# 4.5.3 All Time Nouns are used with the Definite Article After the Prepositions an, bis zu and in

am Mittwoch am 27. Januar bis zum Montag am Tag by day in der Nacht at night in der vorigen Woche

in der Gegenwart at present im Jahre 1945

After other prepositions in time expressions there is normally no article, see 11.5.

#### 4.6 Definite Article or Possessive?

# 4.6.1 The Definite Article is Used to Refer to Parts of the Body and Articles of Clothing

#### a. This is usual in German, whereas in English a possessive determiner is used

Hast du die Zähne geputzt? Have you cleaned your teeth?

Sie hat das Bein gebrochen She has broken her leg Sie strich den Rock glatt She smoothed her skirt

Das Mädchen zog den rötlichen Kamm aus dem Haar, nahm ihn in den Mund und fing an, mit den Fingern die

Frisur zurechtzuzupfen (Böll)

A possessive dative is frequent in such constructions, and it is essential when the relevant person is not the subject of the verb, see 2.5.4, e.g.:

Sie nahm es (sich) in den Mund

She put it in her mouth

Die Mütze fiel mir vom Kopf

My cap fell off my head

Wir zogen **dem Verletzten** die Hose aus We took the injured man's trousers off

# b. However, a possessive determiner is used rather than the definite article in a few contexts

i. when the owner has been named in a previous sentence, or when the part of the body or article of clothing is the first element in the sentence:

Ein Fremder erschien. Seine Stirn glänzte. Sein Anzug Astranger appeared. His forehead glistened. His suit

was old-fashioned

war altmodisch

**Meine** Beine sind nicht krumm (*Brecht*)

My legs aren't crooked

ii. when the owner must be specified, but the verb does not permit the use of a possessive dative:

Ich erblickte eine Wespe auf **meinem** Ärmel

I caught sight of a wasp on my sleeve

Sie legte **ihre** Hand auf **seine** Hand (*Wendt*)

She put her hand on his hand

iii. to emphasise the owner or avoid ambiguity:

Langsam hob sie **ihre** rechte Hand

Hast du **deine** Zähne geputzt?

Zieh (dir) lieber **deinen** Mantel an!

Slowly, she raised her right hand

Have you cleaned your teeth?

Put your coat on (i.e. not mine!)

Ich zog mir **seine** Hose an *I put his trousers on* 

#### 4.6.2 The Definite Article is used Rather than a Possessive with Some Abstract Nouns

This is particularly frequent with nouns denoting human attributes and emotions, which are thus seen as 'part' of the person concerned. A possessive dative may occur under the same conditions as with body parts:

Du musst versuchen, **die/deine** Angst zu überwinden
Ich werde ihm **die Faulheit** austreiben

You must try to overcome your fear
I shall rid him of his laziness

**Der Appetit** ist mir vergangen

I've lost my appetite

# 4.6.3 The Definite or Indefinite Article is Commonly Used with the Adjective Eigen

The appropriate one of these can be an alternative to using a possessive determiner.

Er hat **den/seinen** eigenen Sohn erschlagen

He has killed his own son

Jetzt haben wir **eine/unsere** eigene Wohnung We've got our own flat/a flat of our own now

Note, as a set phrase with no article: Das haben wir mit eigenen Augen gesehen.

## 4.7 Miscellaneous Uses of the Definite Article

# 4.7.1 The Definite Article is Sometimes used to Make the Case of a Noun Clear

i.e. even in contexts where an article would not usually be expected. This applies in particular in the genitive and

dative cases.

#### a. Examples of the definite article used to mark genitive case

der Geruch **des** Seetangs the smell of seaweed ein Ausdruck **des** Erstaunens an expression of surprise

In practice no noun (other than a proper name) can be used in the genitive without a determiner or an adjective which shows the case. In this way, the article is essential in the first of the sentences below to show that the noun is in the genitive case:

Sie bedarf **der** Ruhe }
She needs rest

If ambiguity could arise from the use of a definite article, then a phrase with *von* must be used (see 2.4). Thus 'the smell of wild boar' has to be given with *der Geruch von Eber* if *der Geruch des Ebers* could be understood in the context to mean 'the smell of the wild boar'.

# b. Examples of the definite article used to mark dative case

Ich ziehe Kaffee **dem** Tee vor

Dieses Metall gleicht **dem** Gold

Er hat sich **der** Physik gewidmet

I prefer coffee to tea

This metal resembles gold

He devoted himself to physics

#### 4.7.2 The Definite Article can be Used in a Distributive Sense

In such contexts English commonly uses the indefinite article or 'per':

Die Butter kostete 3 Euro das Pfund

The butter cost 3 euros a/per pound

Sie kommt zweimal die Woche zu uns (or: zweimal in der

Woche)

She comes to us twice a week

Wir fuhren 80 Kilometer **die** Stunde We were doing 80 kilometres per hour

pro (see 20.1.7d) and (with measurements) je, both without an article, are common alternatives to the definite article:

Wir zahlten 2 Euro **pro/je** Meter We paid 2 euros a/per metre Es kostet 20 Euro **pro** Stunde It costs 20 euros an hour

#### 4.7.3 The Definite Article is Always Used with *Meist*

Er hat das meiste Geld He has (the) most money

die meisten Jungenmost of the boysdie meisten meiner Freundemost of my friends

#### 4.8 Miscellaneous Uses of the Zero Article

In a number of contexts no article is used in German where the usual English equivalent construction has a definite or indefinite article.

#### 4.8.1 Nouns Used in Pairs or Enumerations often Lack the Definite Article

This can even be the case when a single noun in the same construction would require an article. In many cases these are

conventional or set phrases:

Form und Inhalt (in) form and content

Tag und Nacht day and night mit Müh und Not with great difficulty

Es geht um Leben und Tod It's a matter of life and death

in Hülle und Fülle in plenty

Rhein, Main und Donau sind schiffbare Flüsse

The Rhine, the Main and the Danube are navigable

rivers

In Industrie und Handwerk bleiben Tausende von

In industry and trade thousands of job vacancies remain

Arbeitsplätzen unbesetzt (Spiegel) unfilled

# 4.8.2 No Article is Used in Some Constructions in the Predicate of the Verbs Sein, Werden, Bleiben

# a. With nouns denoting professions, nationality, origins or classes of people in general

Er ist Arzt, Bäcker, Installateur He is a doctor, a baker, a plumber

Ich bin **Deutscher**, **Engländer**, **Schwede** *I am a German, an Englishman, a Swede* 

Franz ist **gläubiger Katholik**Helmut blieb **Junggeselle**Danach wurde er **Marxist**Franz is a devout catholic

Helmut remained a batchelor

After that he became a Marxist

But the indefinite article is used if the noun refers to a specific individual, not to a class of person:

Sie ist eine bekannte Anwältin She is a well- known lawyer

Er ist ein richtiger Schauspieler He's a real actor

The indefinite article is also used in descriptive constructions with professions and positions, e.g.:

Er hatte den Titel eines Professors, die Stelle eines Untersuchungsrichters

He had the title of professor, the position of examining magistrate

b. With certain nouns, mainly in formal writing

i.e. Bedingung, Fakt, Gegenstand, Grundlage, Sache, Schwerpunkt, Tatsache, Voraussetzung, Ziel. These usually precede the verb sein:

**Tatsache** ist, dass . . . It is a fact that . . .

Bedingung dafür ist, dass er den Vertrag unterschreibt The condition for this is that he signs the contract

Auch Anfang Januar brauchen Autofahrer wieder Geduld. **Grund** sind einige Baustellen,

At the beginning of January, too, drivers will need to be patient again. This is because of some road

Straßensperrungen und Verkehrsänderungen (MM) works, road closures and diversions

#### c. With the names of the months and the seasons, and abstract nouns used in a general sense

This goes against the usual rule with these, see 4.2 and 4.5:

Es war schon **April**Jetzt ist **Sommer**It was already April
It's summer now

Heute Abend ist **Tanz**There's a dance on tonight

Das ist **Geschmackssache**That is a matter of taste

# 4.8.3 No Article is Used in Phrases Introduced by als 'as'

Ich kannte ihn **als Junge**Er sprach **als Franzose**I knew him when I was a boy
He spoke as a Frenchman

die Bedeutung des Passes **als wichtige(r) Handelstraße**Als überzeugter Demokrat kann ich das nicht gutheißen
Er gilt **als bester Tenor** der Neuzeit

the significance of the pass as an important trade route
As a convinced democrat, I cannot approve of that
He is reckoned to be the best tenor of modern times

NB:

- i. An article can be used with verbs which are usually followed by als, e.g. ansehen, betrachten, fühlen, gelten: Er gilt als (der) beste Tenor der Neuzeit.
- ii. The article can be used in the genitive case, e.g.: mit der Verhaftung des Generals als (des) eigentlichen Putschführers.

# 4.8.4 The Article can be Omitted in Appositional Phrases in Formal Written Registers

Zunächst kamen wir nach Florenz, (der) Hauptstadt der
Toskana

dieses Zürich, (der) Treffpunkt der

Neil Armstrong, (der) amerikanischer Astronaut, betrat

First we arrived in Florence, the capital of Tuscany

this Zurich, the meeting place of businessmen Kaufleute
(Frisch)

Neil Armstrong, the American astronaut, was the first

Neil Armstrong, (der) amerikanischer Astronaut, betrat Neil Armstrong, the American astronaut, was the first als erster Mensch den Mond (Zeit) man to set foot on the moon

# 4.8.5 No Article is used in Some Formulaic Expressions Referring to People

This usage is restricted to formal, especially official registers, e.g.:

**Angeklagter** hat gestanden, dass . . . The accused confessed that . . .

**Unterzeichneter** bittet um rasche Entscheidung seiner

The undersigned requests a speedy decision in the matter

Angelegenheit concerning him

**Verfasser** behauptet, das Problem gelöst zu haben

The author claims to have solved the problem

# 4.8.6 Articles are Often Omitted for Stylistic Effect in Headlines and Advertisements

Verbrechen gestanden. Münchner Kaufmann vom Geschäftspartner erschlagen (HA)

Crime admitted. Munich businessman killed by partner

Flat with bathroom required as close as possible to city

Wohnung mit Bad gesucht möglichst nahe Stadtzentrum

\*\*Titt with battire centre\*\*

# 4.8.7 The Most Usual Equivalent in German for the English Indefinite Determiners 'some' or 'any' is to use the noun Without an Article

Ich möchte Suppe

I should like some soup

Brauchen Sie Marken?

Do you need any stamps?

Ich habe (rote) Äpfel gekauft

Wenn du noch Schwierigkeiten hast

Hast du Geld bei dir?

I should like some soup

Do you need any stamps?

I bought some (red) apples

if you have any more difficulties

Have you got any money on you?

For further information on German equivalents for *some* and *any*, see 5.5.9b.

#### 4.8.8 No Article is used with Adverbial Genitives

e.g.: schweren Herzens 'with a heavy heart', see 2.3.5b.

# 4.9 Article Use with Prepositions

Article use with prepositions can be quite idiomatic. Usage in phrasal verbs and time phrases is dealt with in sections 4.2.3 and 4.5.3. More detail, in particular concerning differences between English and German use of articles in set phrases with prepositions, can be found in Chapter 20 under the individual prepositions. In this section we deal with some cases where general rules can be stated.

# 4.9.1 The Indefinite article is often Omitted in Adverbial or Adjectival Phrases Consisting of Preposition, Adjective Plus Noun

This is common where a set phrase is extended by an adjective and is characteristic of formal registers:

ein fahrender Virtuose mit italienischemNamen (Th. Mann)

a travelling virtuoso with an Italian name

ein Mann, der solchem Rat nicht folgte zu schrecklichem a man who failed to follow such advice and met a

**Ende** kam (*Hildesheimer*)

terrible end

Wir erhielten den Betrag in frei konvertierbarer

We received the sum in a freely convertible currency

Währung

This usage is also the norm in phrases with *mit* which are alternatives to adverbial genitives (see 2.3.5b):

Sie ging **mit schnellem Schritt** (= schnellen Schrittes) über die Straße

*She crossed the road at a fast pace* 

# 4.9.2 The Definite Article can be Omitted in Prepositional Phrases if the Following Noun is Qualified by a Genitive or Another Prepositional Phrase

auf Anraten des Arztes

on the advice of a doctor

in Gegenwart von zwei Kollegen

in the presence of two colleagues

die Studie, die Smith noch in Diensten der Bank verfasste, the study which Smith wrote in the service of the bank...

. . . (*Spiegel*)

unter Ausnutzung aller Möglichkeiten

by exploiting all possibilities

#### 4.9.3 A Few Prepositions are Used with no Article in Some or all of their uses

The most noteworthy (because of the differences to English) are:

a. mit is often used with no article when a part- whole relationship is involved

ein Zimmer mit Bad ein Opel mit Schiebedach ein Hut mit breitem Rand eine Suppe mit Wursteinlage

#### b. ohne is used with no article in German in cases where English has an indefinite article

Er geht gern ohne Hut

Ich übersetzte den Text ohne Wörterbuch, ohne Mühe

Sie trat ohne Brille auf

Wie hast du die Tür ohne Schlüssel aufgemacht?

c. A few other prepositions are used without a following article

Most of these belong to formal written registers. More information is given under the individual prepositions in Chapter 20:

ab ersten/erstem Mai; ab Bahnhof; Preise ab Fabrik ex

	WOTKS
gemäß:	Die Angelegenheit wurde gemäß Verordnung entschieden
NB: An article is normally used if $gem\ddot{a}\beta$ follows the noun,	e.g.: den geltenden Verordnungen gemäβ.
infolge:	Die Straße ist infolge schlechten Wetters gesperrt
kraft:	Er handelte kraft Gesetzes
laut:	Der Fahrer wurde laut Gesetz verurteilt
mangels:	Der Angeklagte wurde mangels Beweises freigesprochen
per: per	Einschreiben by registered mail; per Anhalter fahren to hitch- hike
pro:	pro Stück; der Preis pro Tag <i>per day</i> , pro männlichen Angestellten
von wegen:	Diese Angelegenheit muss von Amts wegen geklärt werden
zwecks:	Junge Dame möchte netten, gebildeten Herrn zwecks Heirat kennen
	lernen (FAZ)

# 5 Other Determiners and Pronouns

DETERMINERS are a limited set of small words used with NOUNS to relate them to a particular context or situation.

They typically occupy the first position in a NOUN PHRASE, before any adjectives.

Determiner	Adjective/adjectival phrase	Noun
dieser	heidnische	Gott
einige	fl eißige	Studenten
sein	ultramodernes	Raumschiff
jedes	vom Kultbuchautor Adams erfundene	Computerspiel

The determiners include the DEFINITE and INDEFINITE ARTICLES, which are dealt with in Chapter 4, and all other words used to determine nouns, like the DEMONSTRATIVES (dieser, jener, etc.), the POSSESSIVES (mein, sein, etc.), the INTERROGATIVES (e.g. welcher) and indefinites (einige, etliche, etc.).

PRONOUNS are a limited set of small words which stand in place of NOUNS or NOUN PHRASES. In particular they stand for nouns or noun phrases which have already been mentioned or which do not need to be repeated in full. They include the PERSONAL PRONOUNS, which are dealt with in Chapter 3, DEMONSTRATIVE PRONOUNS, POSSESSIVE PRONOUNS, INTERROGATIVE PRONOUNS, the RELATIVE PRONOUNS (the 'who' and 'which' words) and INDEFINITE PRONOUNS.

Determiners and pronouns qualify or stand in place of nouns. Thus, in German, they typically change their form ('decline') to indicate the same grammatical categories as nouns, i.e. CASE, PLURAL and GENDER. In German, many of the same basic forms can be used either as determiners or pronouns, e.g.:

Mein Auto fährt sehr schnell (determiner) – Meines fährt aber schneller (pronoun)

A few of them (like *mein/meines* in the example) have different sets of endings depending on whether they are being used as determiners or pronouns, which is why it is important to distinguish between these.

This chapter deals with the following sets of determiners and pronouns:

- demonstratives (section 5.1)
- possessives (section 5.2)
- interrogatives (section 5.3)
- the **relative pronouns** (section 5.4)
- indefinites, quantifiers and other determiners and pronouns (section 5.5)

#### 5.1 **Demonstratives**

#### 5.1.1 **Der 'That'**

der is the most frequent demonstrative in spoken German. It can be used to point in a general way to something distant or something near at hand and thus it can be the equivalent of both 'this' and 'that'.

#### a. der, when used as a determiner, has exactly the same written forms as the definite article

i.e. as given in Table 4.1. However, it differs from the definite article in speech because it is always stressed, e.g. *den* [de:n], *der* [de:e], etc. It is thus quite distinct from the definite article, whose spoken forms are typically always unstressed and reduced, e.g. 'n, d'n or d'r, etc., see 4.1.1. Compare:

In written German the demonstrative force of *der* may sometimes be clear from the context, especially when a relative clause follows, e.g.:

Ich kann dir **die** Hefte der Zeitschrift schicken, die dir noch fehlen

Bei der Lehrerin würde ich auch nichts lernen

I can send you those issues of the journal which you haven't got yet

I wouldn't learn anything from that teacher either

In many cases, though, it would be difficult to tell the demonstrative *der* apart from the definite article in writing, and *dieser* or *derjenige* is preferred. In colloquial speech the demonstrative force of *der* can be strengthened by adding *da* or *hier* after the noun, depending on whether 'this' or 'that' is meant, e.g. *der Mann da* 'that man', *der Mann hier* 'this man'. These forms are not used in writing.

NB: In spoken German, especially in the South, denen is sometimes used rather than den in the dative plural, e.g. Mit denen Sachen kann ich eh nichts anfangen. This is not generally regarded as standard.

#### b. The declension of demonstrative der used as a pronoun

This is identical to the declension of the determiner, except in the **genitive**, and in the **dative plural**. The forms are given in Table 5.1.

Table 5.1 Declension of der used as a pronoun

	Masculine	Feminine	Neuter	Plural
Nominative	der	die	das	die
Accusative	den	die	das	die
Genitive	dessen	deren	dessen	deren/derer
Dative	dem	der	dem	denen

NB:

The genitive forms dessen and deren are compounded with a following - halben, - wegen or - willen, with - t-inserted, e.g. dessentwegen, um derentwillen, etc., see 20.4.1 and 20.4.3

#### c. The use of der as a pronoun

When it is being used as a pronoun, *der* cannot be confused with the definite article, as there is no noun following, and it is used freely in writing. It usually corresponds to English 'the one'/'this one'/'that one':

mein Wagen und der meines Bruders

Die Sache ist nämlich **die**: Er ist schon verheiratet Diese Seife ist besser als **die**, die ich gebrauche

Wir können **dem** nicht so viel Bedeutung beimessen

**Die** sind mir zu teuer

Das Buch liegt auf dem Tisch. Ja, auf dem da drüben

my car and my brother's

It's like this: he's already married

This soap is better than the one I use

We cannot attach so much importance to that

Those (ones) are too expensive for me

The book's lying on the table. Yes, on that one over

there

# d. Pronominal der is often used instead of a third person pronoun

This usage is frequent in writing to refer to things, but to refer to persons it is more typical of colloquial speech, , see 3.4.2:

Ist der Teller kaputt? Ja, den hat Astrid fallen lassen

*Is the plate broken? Yes, Astrid dropped it* 

Keine Möwen. **Die** waren weiter draußen (*Grass*)

No gulls. They were further offshore

Hast du Peter gesehen? Ja, der ist gerade reingekommen Have you seen Peter? Yes, he's just come in.

#### e. der can be strengthened by the addition of da or hier

This makes it more clear whether 'this one (here)' or 'that one (there)' is being referred to, e.g. das da 'that one', das hier 'this one'. This usage is limited to informal colloquial speech.

# f. The genitive of the pronoun der can be used for a possessive pronoun to avoid ambiguity

i.e. where it might not be completely clear what sein or ihr could refer to

Sie war die Tochter des Schriftstellers Thomas Mann und **dessen** viertes Kind (*Spiegel*)

Dennoch wurden sie alle geprägt von ihrer Stadt und **deren** geistiger Tradition

Erboste Bauern nahmen britische LKW Fahrer gefangen und plünderten **deren** Konvois (*Zeit*)

She was the daughter of the writer Thomas Mann and his fourth child

Nevertheless they were all moulded by their city and its intellectual tradition

Angry farmers held some British lorry- drivers captive and plundered their (i.e. the lorry- drivers', not the farmers') convovs

In colloquial speech, the genitive of *der* is sometimes used instead of a possessive for emphasis, e.g.: *Ich kann deren Mann nicht leiden*.

# g. In the genitive plural derer is sometimes used rather than deren

It is most frequently used to refer forwards with a following relative clause, as in the first example below (see also 5.4.1c). The traditional rule that it can **only** be used in this way is no longer adhered to strictly, as in the second example below:

eine Beleidigung der Intelligenz all **derer**, die ihre Stimme abgegeben haben (*HMP*)

an insult to the intelligence of all those who cast their votes

Wie soll man **derer** habhaft werden, wenn sie jemanden *How can they be got hold of when they have knocked* umgefahren haben? (FR) *somebody down*?

# h. The pronoun das is used as an emphatic form of es

Like *es* (see 3.6), it can be used with either singular or plural forms of the verb *sein*. In the corresponding English constructions we distinguish between 'that' and 'those':

**Das** sind meine Bücher

Those are my books

**Das** ist mein Arm, meine Hand, mein Knie

That is my arm, my hand, my knee

i. A form of the prepositional adverb is normally used rather than a preposition followed by the demonstrative pronoun

e.g. damit 'with that', darin 'in that'. The stress is on the first syllable (see 3.5.3b):

**'Damit** kann man die Büchse doch nicht aufmachen, oder?

You can't open the can with that, can you?

To refer to something near or something just mentioned, a prepositional adverb with *hier*- can be used, e.g. *hiermit* 'with this', *hierin* 'in this'

'Hierüber lässt sich nichts mehr sagen

There is nothing more to be said about this

NB: Forms in hie-, e.g. hiemit, hienach are generally old-fashioned, but still frequent in Swiss and Austrian usage.

However, when a relative clause follows, a preposition followed by the demonstrative pronoun **is** used in written German (although the prepositional adverb may sometimes be heard in speech). See also 5.4.3c:

Ich richtete meine ganze Aufmerksamkeit **auf das** (NOT *I focused my whole attention on what he was saying* darauf), was er erklärte

#### 5.1.2 Dieser 'This'

The declension of *dieser* is given in Table 5.2. It is the same whether *dieser* is used as a pronoun or as a determiner. Many other determiners and pronouns have the same set of endings.

Table 5.2 Declension of dieser

	Masculine	Feminine	Neuter	Plural
Nominative	dieser	diese	dieses	diese
Accusative	diesen	diese	dieses	diese
Genitive	dieses	dieser	dieses	dieser
Dative	diesem	dieser	diesem	diesen

There is an increasing tendency to use the form *diesen* in the genitive singular masculine and neuter of the determiner rather than *dieses* if the noun has the ending - (e)s, e.g. im Februar diesen Jahres (MM) (for: dieses Jahres). However, this usage is not (yet?) generally accepted as standard.

#### a. As a determiner and a pronoun dieser refers to something near at hand, corresponding to English 'this'

As a determiner, *dieser* occurs in both spoken and written German, but as a pronoun it is mainly used in writing:

Diese Erklärung ist unbefriedigendThis explanation is unsatisfactoryDieser Junge arbeitet aber gutThat boy really does work well

Er hat den kleinen Wagen nicht gekauft, weil ihm **dieser** He didn't buy the small car, because he liked this one (spoken: der hier) viel besser gefallen hat much better

dieser is often used simply to point to an object or person in contexts where the difference between near and distant is not crucial. In such contexts it often corresponds to English 'that', e.g. Warum hast du dieses Top gekauft? 'Why did you buy this/that top?'

#### b. The short pronoun form dies is commonly used for dieses

It refers to something close by or recent and its use corresponds closely to that of English 'this':

**Dies** geschieht nicht oft

Gerade dies hatte ich vergessen

dies, like das, can be used irrespective of gender or number, with a plural verb where appropriate: Dies sind meine Schwestern; Dies ist meine Frau.

NB: In formal writing, dies is occasionally used as a determiner for dieses, i.e. in the nominative or accusative singular neuter, e.g. Dies Werk malte Konrad Witz aus Basel (Borst).

#### 5.1.3 Jener 'That'

*jener* declines like *dieser*, see Table 5.2. Whether as a determiner or a pronoun, it is nowadays largely restricted to formal written German, especially in the following contexts:

#### a. to contrast with dieser

Herr Schröder wollte nicht dieses Bild verkaufen, sondern **jenes** 

Mr Schröder did not want to sell this picture, but that one

Wir sprachen über dieses und **jenes** (*less formal*: über dies und das)

We talked about this and that

#### b. to refer to something distant, but well-known

Werfen wir einen kurzen Blick über den Eisernen Vorhang **jener** Zeit (*Sonnenberg*)

Let us cast a short glance at the Iron Curtain of those times

# c. with a following relative clause

Deshalb hat er auch eine Menge Anhänger unter **jenen**, die Comedy mögen

That is why he has a lot of fans among those who (HMP) like comedy

# 5.1.4 Derjenige 'That'

Both parts of *derjenige* decline, as shown in Table 5.3. It is an emphatic demonstrative determiner or pronoun and is typically used with a following restrictive relative clause, corresponding to English 'that (one), which/who'. Although it was originally more typical of formal and official registers, it is now quite frequent in speech as well as in writing.

Table 5.3 Declension of derjenige and derselbe

	Masculine	Feminine	Neuter	Plural
Nominative	derjenige	diejenige	dasjenige	diejenigen
Accusative	denjenigen	diejenige	dasjenige	diejenigen
Genitive	desjenigen	derjenigen	desjenigen	derjenigen
Dative	demjenigen	derjenigen	demjenigen	denjenigen
Nominative	derselbe	dieselbe	dasselbe	dieselben
Accusative	denselben	dieselbe	dasselbe	dieselben
Genitive	desselben	derselben	desselben	derselben
Dative	demselben	derselben	demselben	denselben

Er besucht dieselbe Schule wie dein Bruder

Sind das dieselben?

Sie wohnt im selben Haus

Es läuft auf (ein und) dasselbe hinaus

Wir wollen **diejenigen** Schüler herausfinden, die musikalisch begabt sind

Beihilfen sollen nur demjenigen zufließen, der unter der

Armutsgrenze lebt.

He goes to the same school as your brother

*Are those the same?* 

She lives in the same house

It all comes to the same thing

We want to find those pupils who are musically gifted

Assistance should only go to those who are living (FR) below the poverty line

# 5.1.5 Derselbe 'the Same'

Both parts of *derselbe* decline, like *derjenige* (see Table 5.3). However, unlike *derjenige*, it can be used with a contracted preposition, e.g. *am selben Tag, zur selben Zeit*. It corresponds to English 'the same':

The difference between *derselbe*, i.e. 'the very same', and *der gleiche*, i.e. 'one which is similar' (cf. *Er trägt den gleichen Hut* 'He is wearing the same (i.e. a similar) hat'), is often ignored in spoken German, either being used in both senses. It is widely felt, though, that this distinction <u>should</u> be upheld, at least in writing.

#### 5.1.6 Solch and other Equivalents of 'Such'

solch- occurs in a number of forms, i.e.:

- **inflected** *solcher*, which declines like *dieser* (Table 5.2), except that in the genitive singular masculine and neuter it usually has the ending *en* if the noun has the ending *(e)s*, e.g. *Der Vorzug solchen Spieles* (*Th. Mann*).
- endingless solch, used with an indefinite article: solch ein Unsinn

• solch- used after the indefinite article ein or another determiner, with the endings of an adjective: ein solches Buch, jeder solche Gedanke, alle solchen Frauen

The use of these forms is as follows:

a. The commonest variants for the determiner are ein solcher in the singular and inflected solche in the plural This applies to both written and spoken German:

Eine solche Auflockerung könnte dem politischen

Such a relaxation of tension could benefit the political

Diskurs gut bekommen (Zeit)

debate

Einen solchen Wagen würde ich nie kaufen

I would never buy a car like that

Solchen Leuten kann man alles erzählen solche großen

You can tell people like that anything

Häuser such big houses

NB: In informal colloquial speech, so ein is also current in the singular for 'such a', e.g. in so einer Stadt, so ein Geschenk. In the plural, simple so may be used, e.g. Das sind so Sachen. These forms are not used in formal registers, and certainly not in writing.

b. Inflected solcher as a determiner in the singular is found principally in formal registers It has a rather oldfashioned or literary ring:

bei solchem Wetter

in such weather

ein Mann, der solchem Rat nicht folgte (Hildesheimer) a man who failed to follow such advice

# c. Usage as a determiner with a following adjective

i. the most usual equivalent with singular count nouns for English 'such a' followed by an adjective is ein so (more colloquial so ein):

}

}

ein so großes Haus

so ein großes Haus

such a big house

(ii) In spoken German so is also used with plural count nouns and singular mass nouns, but the written language prefers inflected solcher:

so große Häuser (spoken)

solche großen Häuser (written)

such big houses

bei so gutem Wetter (spoken) bei

**solchem** guten Wetter (written)

in such good weather

ii. In formal registers uninflected solch is not uncommon if an adjective follows. It also occurs in a few set phrases:

Das ist häufig die Gefahr nach solch guten Leistungen

(NUZ)

That is often the danger after such good results

mit solch unermüdlichem Eifer

with such tireless enthusiasm

**Solch** dummes Gerede!

Such stupid gossip!

#### d. In formal registers uninflected solch can be used as a determiner with a following indefinite article

This is more emphatic than if the article comes first:

Geprobt haben sie solch eine Situation allerdings nicht (NUZ).

However, they haven't tested a situation like that

NB: Uninflected solch is sometimes used without ein before a singular neuter noun, e.g.: solch Wetter. This has a very old- fashioned sound.

#### e. Pronoun usage

**i.** The most usual variants are *solche* (plural) and *so einer* (singular):

Ich habe **solche** oft gesehen

I've often seen ones like that **So eines** kann ich mir nicht leisten

I can't afford one like that

ii. Singular *solcher* is used after *als*:

Der Fall als **solcher** interessiert mich

The case as such interests me

iii. Singular (k)ein solcher is restricted to literary registers. In the singular it sounds rather stilted:

Sie hatte auch **einen solchen**She had one like that, too

Leider haben wir **keine solchen** mehr I'm afraid we haven't got any more like that

# f. The adjective derartig is a common, more emphatic alternative to solch-

It is used with ein in the singular, or with no article in either singular or plural:

Er fuhr mit **einer derartigen** Geschwindigkeit gegen die He drove into the wall at such a speed, that . . . Mauer, dass . . .

Erfahrung im Umgang mit **derartiger** Kälte hat niemand Nobody has experience in dealing with that degree of (Bednarz) cold

**Derartige** Gerüchte hören wir oft

We often hear rumours like those

NB: If another adjective follows, derartig may be uninflected, e.g.: Er fuhr mit einer der artig(en) hohen Geschwindigkeit gegen die Mauer, dass . . . In some contexts, though, there can be a difference in meaning. Compare ein derartig dummes Geschwätz (i.e. 'gossip which is stupid to such an extent') and ein derartiges dummes Geschwätz (i.e. 'such gossip which is stupid').

# g. dergleichen and derlei

dergleichen and derlei do not decline. They are used as determiners or pronouns meaning 'such-like', 'that kind/sort of':

**Dergleichen** Behauptungen stören mich nichts **dergleichen** und **dergleichen** mehr (*abbrev*.: u.dgl.m.).

Er hatte ein langes Messer oder dergleichen in der

Tasche
Die rotblonde Miss Leclerc hatte **derlei** Tricks nicht

nötig (BILD)

Sie sah **derlei** nicht ungern (*Jacob*)

Assertions like that bother me nothing of the kind and so forth

He had a long knife or something of the kind in his

The strawberry blond Miss Leclerc didn't need tricks like that

She wasn't averse to that kind of thing

#### 5.2 Possessives

#### 5.2.1 The Possessives Have Distinct Base Forms for Each Grammatical Person

These are given in Table 5.4 together with the personal pronoun to which they relate.

 Table 5.4
 Base forms of the possessive pronouns and determiners

	Singul	ar		Plu	ral
ich du er sie es	mein dein sein ihr sein	my your his/its her/its its	wir ihr Sie sie	unser euer Ihr ihr	our your your (polite) their

NB:

- (i) To refer back to indefinites, the masculine form *sein* is used: *Wer hat seine Zahnbürste vergessen? Niemand hatte sein Heft mit.*
- (ii) A demonstrative is sometimes used instead of a third person possessive to avoid ambiguity, see 5.1.1f.

# 5.2.2 When Used as Determiners the Possessives Have the Same Endings as the Indefinite Article

Table 5.5 gives the forms of mein 'my' and unser 'our'.

Table 5.5 Declension of the possessive determiners

	Masculine	Feminine	Neuter	Plural
Nominative	mein	meine	mein	meine
Accusative	meinen	meine	mein	meine
Genitive	meines	meiner	meines	meiner
Dative	meinem	meiner	meinem	meinen
Nominative	unser	unsere	unser	unsere
Accusative	unseren	unsere	unser	unsere
Genitive	unseres	unserer	unseres	unserer
Dative	unserem	unserer	unserem	unseren

The following is to be noted in relation to these declensions:

#### a. The - er of unser and euer is part of the root and not an ending

As Table 5.5 shows, the endings are attached to this root.

#### b. When unser and euer have an ending, the - e- of the root is often dropped

e.g. *unsrer*, *unsren*, *eurer*, *euren*. Alternatively, the - *e*- of the endings - *en* or - *em* may be dropped, e.g. *unsern*, *unserm*, *euern*, *euerm*.

With *unser*, the full forms, as given in the table, are the more usual ones in written German, although the reduced forms, which are the norm in speech, are quite permissible. With *euer*, the forms with no - *e*- in the root, i.e. *euren*, *eurer*, *eures*, *eurem*, are by far the most common in both spoken and written German.

NB: In the genitive singular masculine and neuter the ending - en is now sometimes found if the noun has the ending - (e)s, e.g. die Launen meinen Bruders. This usage is increasingly common but it is generally considered to be incorrect.

#### 5.2.3 When Used as Pronouns, the Possessives have the Endings of Dieser

Table 5.6 gives the full forms of meiner 'mine' and unserer 'ours'.

Table 5.6 Declension of the possessive pronouns

	Masculine	Feminine	Neuter	Plural
Nominative Accusative Genitive Dative	meiner meinen meines meinem	meine meine meiner meiner	meines meines meinem	meine meine meiner meinen
Nominative Accusative Genitive Dative	unserer unseren unseres unserem	unsere unsere unserer unserer	unseres unseres unseres unserem	unsere unsere unserer unseren

# a. The forms of the possessive pronouns

i. Note in particular that, unlike the possessive determiners, the possessive pronouns have endings in the nominative singular masculine and the nominative/accusative singular neuter (i.e *meiner*, *meines*). Compare:

Das ist nicht mein Hut, sondern deiner

Hast du dein Fahrrad? Ich sehe mein(e)s nicht

Seine Sammlung ist größer als meine

Ihr Garten ist größer als uns(e)rer

Er sprach mit meinen Eltern, ich mit seinen

Ich nehme uns(e)ren Wagen. In seinem habe ich immer Angst

- **ii.** The *e* of the nominative/accusative neuter ending *es* is often dropped in writing, and almost always in speech, i.e. *meins*, *deins*. With *unseres* and *eueres* the *e* of the ending is dropped, i.e. *unsers*, *euers*. Otherwise, *unserer* and *euerer* can drop the *e* of the root or the ending as with the possessive determiner, see 5.2.2 above.
- iii. Endingless forms of the possessive are occasionally found in set phrases, archaic expressions or poetic language:

**Dein** ist mein Herz!

Die Welt ist unser

Die Rache ist mein

# b. Alternative forms of the possessive pronoun

The following types of phrase are sometimes used instead of *meiner*, *deiner*, *unserer*, etc. The possessive forms are used as adjectives after a definite article, and they have the endings of adjectives. They can be spelled with a small or a capital initial letter (see 23.2.1g):

- i. der meinige/Meinige 'mine', der deinige/Deinige 'yours', der uns(e)rige/Uns(e)rige 'ours', etc.
- ii. der meine/Meine 'mine', der deine/Deine 'yours', der uns(e)re/Uns(e)re 'ours', etc.

#### Seine Sammlung ist größer als die meine/die meinige

These forms are much less common than *meiner*, etc., and are found mainly in formal written German. Type (ii) is rather more emphatic than *meiner*, etc., while type (i) is current mainly in set phrases, e.g.: *die Deinigen* 'your people' (i.e. your family); *Ich habe das Meinige getan* 'I've done my bit'.

#### 5.2.4 Differences between German and English in the Use of the Possessives

**a.** A definite article is often used rather than a possessive to refer to parts of the body and articles of clothing e.g.: *Sie hat sich den Arm gebrochen* 'She has broken her arm'. Details are given in 4.6.

#### b. Some idiomatic equivalents

Das gehört mir. Gehört das dir? ein Freund von mir/einer meiner Freunde Freunde von mir That's mine. Is that yours? a friend of mine friends of mine

Das ist eins von meinen Büchern That mein Vater und meine Mutter (see 4.1.1b) my fe

That's a book of mine my father and mother

# 5.3 Interrogatives

Interrogatives are words used to ask a question. In English they typically begin with who, like which or who.

#### 5.3.1 Welcher 'which'

#### a. welcher can be used as a determiner or a pronoun

It has the same endings as *dieser*, see Table 5.2. The forms are the same whether it is used as a determiner or a pronoun.

**Welches** Bier willst du trinken? Which beer do you want to drink?

**Welchen** Zug nehmen wir denn? Which train shall we take?

Aus **welchem** Land kommt sie denn? Which country does she come from?

**Welcher** berühmte Schriftsteller hat diesen Roman geschrieben?

Which famous author wrote this novel?

Hier sind zwei gute Weine. **Welchen** möchtest du zuerst *Here are two good wines. Which one would you like to* 

probieren? try first?

Er fragte mich, **welchen** (Wein) ich zuerst probieren wollte

He asked me which (wine) I wanted to try first

# b. Some special uses of welcher

**c.** In formal written German the endingless form *welch* is sometimes used before an adjective, as an alternative to the declined form:

Welch berühmter Schriftsteller hat diesen Roman geschrieben?

Die Künstler zeigten, welch reiches Kulturgut sie mitbrachten (MM)

**d.** In the genitive singular masculine and neuter the determiner can have the ending - en rather than - es if the following noun has the ending - (e)s:

Welchen/Welches Kindes Buch ist das?

Innerhalb welchen Zeitraumes müssen nicht bestandene Prüfungen wiederholt werden? (*Uni Innsbruck*)

In practice, the genitive tends to be avoided if possible.

**e.** welcher can have the neuter singular form welches when it is used as a pronoun in an indefinite sense with the verb sein, irrespective of the gender and number of the noun it refers to:

**Welches** ist die jüngere Schwester? **Welches** sind die besten Zeitungen?

Welches ist der längste Fluss in Amerika?

Using endings in agreement with the following noun would be equally possible in these examples: *Welche* ist die jüngere Schwester? etc.

# f. welcher is used in exclamations (= 'What (a) . . .!')

Welcher Unterschied! Welcher schöne Tag!

Welche Überraschung! Welchen unglaublichen Unsinn hat er geredet!

Endingless welch can be used instead of declined welcher in exclamations if ein or an adjective follows:

Welch ein Unterschied! Welch (ein) schöner Tag!

Der Smogalarm machte erneut deutlich, in welch hohem Maße die Luft mit Giftstoffen verseucht ist (MM)

The smog alarm made it clear once again to what high degree the air is polluted with poisonous substances

This exclamatory use of welch(er) is mainly found in formal German. was für (ein) (see 5.3.2), is more current in speech.

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NB: The form welcher has a number of other uses, i.e. as a relative pronoun (= 'who', 'which'), see 5.4.2. as an indefinite (= 'some', 'any'), see 5.5.26.
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# 5.3.2 was Für (Ein[Er]) 'what Kind of (a)'

- a. was für (ein[er]) can be used as a determiner or a pronoun
- **b.** Used as a determiner, *ein* in *was für ein* declines like the indefinite article, see Table 4.2. Simple *was für*, without *ein*, is used in the plural and before mass nouns in the singular:

Aus was für einer Familie stammt er?

Sie können sich denken, in was für einer schwierigen
Lage ich mich befand

Was für ausländische Marken haben Sie?

Was für Käse soll ich kaufen?

From what kind of a family does he come?

You can imagine in what an awkward situation I found myself

What kinds of foreign stamps do you have?

What kind of cheese shall I buy?

The case of *ein* depends on the role of the noun phrase in the sentence, i.e. it does not depend on *für* and is not automatically in the accusative.

**c.** When used as a pronoun, was für einer 'what kind (of a one)' has the endings of the pronoun einer given in Table 5.10. In the plural was für welche is used:

Table 5.10 Declension of the pronoun einer

	Masculine	Feminine	Neuter
Nominative	einer	eine	eines
Accusative	einen	eine	eines
Genitive	eines	einer	eines
Dative	einem	einer	einem

Er hat sich ein neues Auto gekauft. He has bought a new car. What kind?

Was für ein(e)s?

Ich habe Blumen gebracht.

*I have brought some flowers. What kind?* 

Was für welche?

NB:

- i. was für welcher is used in place of was für einer in the singular in colloquial north German speech, e.g.: Er hat einen neuen Wagen gekauft. Was für welchen?
- ii. was für (ein) is also used in concessive clauses, see 19.6.2c.

#### d. was is often separated from für (ein[er])

This is especially frequent in speech, but the construction is used in writing, too:

Was hast du denn für ein Auto gekauft?

Was sind das für Vögel?

e. (c) was für (ein[er]) is used in exclamations (= 'What (a) . . .!')

It is in practice commoner than *welcher*, see 5.3.1 (c), especially in less formal registers. In this usage the separated form is more frequent:

Was für eine Chance! What a chance!
Was für herrliche Blumen! What lovely flowers!

Er ist ein Schauspieler – und **was für einer**! He's an actor – and what an actor! **Was** sind das **für** wunderschöne Häuser! What lovely houses these are!

NB: If there is a verb in these exclamations, it may, alternatively, go to the end, like in a subordinate clause: Was für wunderschöne Häuser das sind!

**f.** In colloquial speech was für (ein[er]) is often used for welcher 'which' see 5.3.1. This usage is not generally considered acceptable in standard German:

**Was für ein** Kleid ziehst du an? Which dress are you going to wear?

# 5.3.3 Wer, was 'who, what'

#### a. wer and was are used only as pronouns

i. wer, like English 'who', only refers to persons. It does not distinguish gender and it has the case forms given in Table 5.7:

Table 5.7 Declension of wer

Nominative wer
Accusative wen
Genitive wessen
Dative wem

Examples of use:

**Wer** hat diesen Brief geschrieben? Who wrote this letter?

**Wen** hast du heute gesprochen? *Who(m) did you speak to today?* 

**We m** wollten sie vorhin helfen? Who(m) did they want to help just now?

Mit wem hast du gespielt? Who(m) did you play with? Wessen Bücher sind das? Whose books are those?

Ich kann Ihnen sagen, wer spielte I can tell you who was playing

ii. was, like English 'what', refers only to things. Its only case form is the genitive wessen:

Was bewegt sich dort im Gebüsch? What is moving there in the bushes?

Was hat sie dir zum Geburtstag geschenkt? What did she give you for your birthday?

Wessen schämst du dich? What are you ashamed of? Weißt du, was er getan hat? Do you know what he did?

The genitive form wessen, whether referring to people or things, is felt to be clumsy and tends to be avoided nowadays, even in written German. Thus Wem gehören diese Bücher? is used rather than Wessen Bücher sind das? and Warum schämst du dich? rather than Wessen schämst du dich?

As was has no dative, a paraphrase has to be used in contexts where it would be needed, e.g.:

Welcher Ursache kann man seinen To what can one ascribe his success? Erfolg zuschreiben? (Literally: 'To what cause . . .?')

#### b. Nominative wer and was are usually followed by a singular verb

i. Compare the examples in (a) above and the following:

Wer **kommt** denn morgen? Who's coming tomorrow?

Was **liegt** dort in der Ecke? What's that lying there in the corner?

ii. However, with sein the appropriate singular or plural form of the verb is used, as in English:

Wer **ist** das an der Tür? Who's that at the door?
Wer **sind** diese Leute? Who are those people?
Was **ist** der Vogel da? What's that bird there?

Was **sind** die längsten Flüsse der Welt? What are the longest rivers in the world?

iii. To emphasise quantity, *alles* is often added to sentences with *wer* and *was*. This usage is typical of colloquial speech:

Wen kennen Sie hier alles? What people do you know here? Was hat er denn alles gefragt? What were the things he asked?

#### c. was is not used in combination with most prepositions

The compound forms wo(r)+preposition, e.g. woran, womit, wozu, etc., are used instead.

i. These forms are like those of the prepositional adverb with da(r)-, see 3.5:

Womit schreibst du? What are you writing with?
Worüber sprechen Sie? What are you talking about?

Weißt du, **worauf** wir warten? Do you know what we are waiting for?

NB: Some prepositions are not used in the form with wo(r)-, i.e.:  $au\beta er$ ,  $gegen \ddot{u}ber$ , hinter, neben, ohne, seit, zwischen.

**ii.** The forms *wodurch*, *wonach*, *wovon* and *wozu* can only be used if there is no idea of movement involved, e.g.:

Wodurch weiß er das?

Wonach soll man sich denn richten?

By what is one to be guided?

Wovon sollen wir leben?

Wozu gebraucht man das?

What is that used for?

Compare: durch was? 'through what?', von wo? or woher? 'where . . . from?', wohin? 'where . . . to?'.

iii. In colloquial German was (irrespective of case) is often used with a preposition instead of wo(r)+preposition, e.g.: Von was sollen wir leben? This usage is very widespread in speech, but it is not regarded as acceptable in standard German, and avoided in writing.

# d. wer and was are commonly used in exclamations

Wer hätte so was erwartet! Who would have expected such a thing!

**Wem** hat er nicht alles geholfen! Who(m) hasn't he helped!

Was haben wir gelacht! How we laughed!
Was er nicht alles tut! The things he does!

# e. was can be followed by an adjective used as a noun, with the neuter ending - es

See 6.4 for further details on these forms. The adjective is separated from was and placed later in the sentence:

**Was** haben sie **Wichtiges** besprochen? What important matters did they discuss?

**Was** ist **Komisches** dran? What's funny about it?

**Was** könnt ihr hier **anderes** erwarten? (Fallada) What else can you expect here?

#### f. was can be used in the sense of 'why?' or 'what for?'

This usage is typical of informal spoken registers:

What are you doing just sitting around?

was in this usage often carries a tone of reproach.

# g. Idiomatic differences between German and English

In a few contexts German has wie where English uses 'what'.

Wie ist Ihr Name, bitte?

What is your name, please?

Wie heißt Ihr Bruder?

What is your brother called?

#### h. Other uses of wer and was

Was sitzt ihr da rum?

i. wer and was are used as relative pronouns (= 'who', 'which', 'that') in some contexts, see 5.4.3 and 5.4.5.

ii. wer and was are used in some concessive clauses (i.e. = 'whoever', 'whatever'), see 19.6.2.

iii. For the colloquial use of wer as an indefinite (i.e. = 'someone'), see 5.5.27.

#### 5.4 Relative Pronouns

RELATIVE PRONOUNS introduce subordinate clauses (called 'relative clauses') which describe or qualify nouns, e.g. die Frau, die heute kommt 'the woman who is coming today'; das Buch, das ich gerade lese 'the book which I am just reading'. In this way relative clauses have the same function as adjectives.

In English, we often drop a relative pronoun, especially in speech (*The book (which) I am just reading*), but in German it can **never** be left out in this way.

# 5.4.1 Der 'who', 'which', 'that'

#### a. der is the most commonly used relative pronoun in German

der declines for the categories of gender, plural and case. Its forms, which are almost identical to that of the demonstrative pronoun der, are given in Table 5.8.

Table 5.8 Declension of the relative pronoun der

	Masculine	Feminine	Neuter	Plural
Nominative	der	die	das	die
Accusative	den	die	das	die
Genitive	dessen	deren	dessen	deren
Dative	dem	der	dem	denen

der takes its gender and number from the noun it refers to, e.g.

der Mann, der heute zu uns kommt (masculine)

die Frau, die heute zu uns kommt (feminine) das Kind, das heute zu uns kommt (neuter) die Leute, die heute zu uns kommen (plural)

Its **case** is determined by the role it plays in the relative clause:

der Mann, der zu uns kommt (subject of kommt)

den Mann, den ich kenne (accusative object of kenne)

der Mann, dem ich helfen musste (dative object of helfen)

der Mann, mit dem sie gekommen ist (after preposition mit governing the dative case)

# b. Relative clauses are less frequent in spoken German than in writing

In speech, a construction with a main clause (and the verb in second place) and the demonstrative pronoun der is often used rather than a subordinate relative clause (with the verb at the end). This is usually considered to be poor style in writing, unless colloquial speech is being imitated, as in the following examples:

Er trug ein Heft bei sich, in dem standen die Namen der He had a little book with him in which the names of the fifty traitors were written down fünfzig Verräter (E.W. Heine)

Es gibt Leute, **die** freuen sich über die Fahrt (Bichsel) There are people who are pleased about the trip

# c. The genitive of der

1. (i) The genitive forms of *der* correspond to English 'whose' or 'of which':

die Frau, deren Namen ich immer vergesse Sie blickten auf das Mietshaus gegenüber, in **dessen** They looked out on the apartment house opposite, Erdgeschoss sich eine Schreibwarenhandlung befand

ein Mann, von dessen Erfolg ich hörte

the woman whose name I always forget

on the ground floor of which there was a stationer's

a man of whose success I heard

NB: It is incorrect (though a common mistake by Germans) to decline dessen and deren, i.e.: ein Mann, von dessem (correct: dessen) Erfolg ich hörte.

**2.** In the genitive plural and the genitive singular feminine *derer* is sometimes used rather than *deren*:

Geoforscher verweisen auf gewaltige Klimasprünge in der Erdgeschichte, angesichts derer die heutige Erwärmung keineswegs beispiellos ist (*HAZ*)

die ungewöhnliche Autorität, derer sich die katholischen Bischöfe in Polen erfreuen (Spiegel) Geologists point to violent variations in the climate in the history of the earth, in the light of which the present warming is in no way exceptional the extraordinary authority which is enjoyed by the Catholic bishops in Poland

This usage has traditionally been considered incorrect, but derer is in practice more frequent than deren, especially in the genitive plural. However, deren is usually preferred if a noun follows: die Frau, deren Tochter du kennst.

**3.** After prepositions, the shorter form *der* also occurs for *deren*:

eine lange Übergangszeit von sechs Jahren, innerhalb der die Länder die Juristenausbildung umstellen können (Zeit)

a long transitional period of six years, within which the Länder can reorganise the training of lawyers

4. Constructions of the type 'one of whom', 'most of which', 'some of which' correspond to constructions with von denen in German:

die Studenten, von denen ich einen nicht kenne the students, one of whom I don't know eine Anzahl Jungen, von denen ich die meisten kenne a number of boys, most of whom I know viele Bilder, von denen einige ganz gut sind

a lot of pictures, some of which are quite good

5. dessen and deren are compounded with - halben, - wegen and - willen with the insertion of a - t-, e.g. derentwegen, um dessentwillen:

ein charismatischer, weltberühmter Darsteller, dessentwegen die Frauen in Ohnmacht fallen (Presse)

a charismatic, world-famous actor on whose account women faint

# d. Relative pronouns with first and second person personal pronouns

Normal usage is for the pronoun to be repeated in the relative clause, e.g.:

du, der/die du ja nicht alles wissen kannst

you, who cannot know everything

für mich, die ich noch gar nicht ordentlich lesen konnte (Dönhoff)

for me, who couldn't read properly yet

ich, der ich seit 20 Jahren seinem Volke diene

*I, who have been serving my people for 20 years* 

The alternative construction with a third person verb, e.g.: ich, der seit 20 Jahren seinem Volke dient (FAZ), is possible, but less frequent in practice. It is most common when the relative pronoun is separated from the personal pronoun by other words, e.g. Was kannst du tun, der nicht alles wissen kann.

#### 5.4.2 Welcher 'who, which, that'

#### a. welcher is chiefly used as a stylistic variant of der

It has the same endings as dieser, see Table 5.2, but it is not normally used in the genitive. It is restricted to formal written German, and even there it can be con sidered clumsy and is much less frequent than der.

die Gerüchte, welche über die wirtschaftliche Lage meines Vaters am Orte umgelaufen waren (*Th. Mann*)

the rumours which had been circulating in the town about my father's financial situation

Der Herr tat doch immer so, als umgäbe ihn eine vielköpfige Familie, welcher er Anweisungen zu geben hätte (Grass)

The gentleman always acted as if he was surrounded by a large family to which he had to give instructions

It is frequent (although never necessary) to avoid repeating forms of der, e.g.: Die, welche zuletzt kamen, waren erschöpft. But compare, as perfectly acceptable (see 5.4.5b): Die, die gingen, haben in der DDR mehr verändert, als die, **die** geblieben sind (FR).

# b. welcher is used in formal German before a noun which refers back to part or whole of the preceding clause

This use corresponds to that of English 'which'. In this construction welcher agrees with the following noun for case, number and gender:

Er wurde zum Stadtdirektor ernannt, welches Amt er gewissenhaft verwaltete

He was appointed town clerk, which office he administered conscientiously

welcher Forderung sie dann auch nachging

Er sagte ihr, sie müsse den Betrag sofort zurückzahlen, He told her she had to repay the amount immediately, which request she then complied with

#### 5.4.3 Was is Used as a Relative Pronoun in Some Contexts

The only case form of was in this usage is the genitive wessen (although this tends to be avoided). was is used:

#### a. After neuter indefinites

i.e. alles, einiges, etwas, folgendes, manches, nichts, vieles, weniges:

Nichts/Etwas/Alles, was er sagte, war mir neu

Nothing/Something/Everything (that) he said was new

Sie mieden alles, was ihre Unabhängigkeit einschränken They avoided anything which could restrict their könnte (Walser)

independence

After etwas, das may be used as an alternative to was if something specific is referred to:

Gerade in diesem Moment fiel ihr etwas ein, das sie erstarren ließ: Die Gasrechnung (*Baum*)

Just then she remembered something that made her go

rigid: the gas bill

Ich erinnere mich an etwas Merkwürdiges, das er sagte I remember something strange that he said

das is occasionally found after other indefinites, but this usage is considered incorrect.

NB:

After prepositions, forms of was are replaced by the prepositional adverb in wo(r)-, see 5.4.4b. was is often heard for das to refer to a neuter noun, e.g.: das Buch, was er mir geliehen hat. This usage is non-

# b. After a neuter adjective used as a noun referring to something indefinite

nie (Fallada)

Das Richtige, was man sich ansehen müsste, finden wir The right things [in museums] that one ought to look at, we never find

Das Erste, was Evelyn sah, waren Mariannes Augen (Baum)

The first thing Evelyn saw was Marianne's eyes

If the adjective refers to something specific, das can be used: Das Gute, das er getan hat, wird ihn überdauern, although the difference in meaning can be very slight. However, was is always used after superlatives.

#### c. After the indefinite demonstrative das

Eben das, was uns fehlte, hat er uns verweigert

He denied us just what we were lacking

If das is in the genitive or dative, or after a preposition, it cannot be omitted. This differs from English, where often only 'what' is needed. Compare:

Ich hörte nichts von dem, was er mir sagte eine Antwort I didn't hear anything of what he said to me an answer auf das, was er gerade dachte (Walser)

to what he was just thinking

ein eifriger Leser **dessen**, was neu auf den Markt kommt a keen reader of what is new on the market (Zeit)

#### d. To refer back to a whole clause

Er hat sein Examen bestanden, was mich sehr erstaunt

He has passed his examination, which surprises me very much

Er sagte, er hätte mich damals gesehen, was ich nicht glauben konnte

He said he had seen me then, which I couldn't believe

# 5.4.4 Relative Pronouns after Prepositions

#### a. Standard usage, especially in writing, is the appropriate form of der after the preposition

The construction corresponds more closely to that of written English than to that with a 'stranded' preposition at the end of the clause which is typical of spoken English (compare the alternative translations of the first example):

die Frau, auf die Sie warten der Stuhl. auf den du dich setzen wolltest

der Bleistift, mit dem sie schreibt

der Stuhl. auf dem du sitzt

die Stadt, in der ich wohne

the woman for whom you are waiting the woman (who) you are waiting for the chair you wanted to sit down the chair you are sitting on the pencil she is writing with the town I live in

# b. The form wo(r)+preposition as a relative pronoun

The forms of the prepositional adverb in wo(r)- (e.g. worauf, woran, wovon, etc., see 5.3.3c) are used as relative pronouns in some constructions.

{

i. wo(r)+preposition is used in all contexts where was is used as a relative pronoun (see 5.4.3), since was is not used after a preposition:

Das, woran du denkst, errate ich nie Es kam etwas, womit kein Mensch auf der Welt hätte Something came which nobody on earth could have

I'll never guess what you're thinking of

rechnen können (Süβkind)

reckoned with

Er hat sein Examen bestanden, worüber ich mich freue

He has passed his examination, which I am very pleased about

If etwas refers to something specific, preposition + das can be used instead of wo(r) + preposition:

Ich spürte, dass noch etwas geschehen war . . . etwas, **für das** sich nur ein Anlass ergeben hatte (*Lenz*)

ii. wo(r)+preposition used to be a common alternative to the preposition followed by der to refer to things, e.g.: das Heim, worin ich geboren wurde (Th. Mann). This usage is now uncommon even in formal registers.

NB: The use of prepositional adverb with da(r)- (e.g. darauf, daran, cf. 3.5) as a relative pronoun to refer to things, e.g.: das Heim, darin ich geboren wurde, is now wholly obsolete.

iii. Especially in North Germany, wo(r)+preposition is sometimes split (in a similar way to da(r)+preposition, see 3.5.3), e.g.:

Das ist etwas, wo ich mich nicht mit abfinden kann (standard: womit ich mich nicht abfinden kann)

That is something I can't get used to

This usage is widespread, but not generally accepted as standard.

#### c. In spoken German wo is often combined with a prepositional adverb later in the clause e.g.:

Da in der Ecke ist das Sofa, wo du d(a)rauf schlafen kannst (standard: auf dem du schlafen kannst)

There in the corner is the sofa you can sleep on

This is probably the most frequent alternative in informal colloquial speech, but it is considered unacceptable in formal, especially written registers.

NB: The variation on this construction with a simple preposition, e.g. der Ball, wo der Junge mit gespielt hat is if anything even less acceptable, although it, too, is very frequent in speech.

#### 5.4.5 'the one who', 'he/she who', 'that which'

There are a number of German equivalents for these English constructions.

#### a. wer and was can be used in generalisations

Wer viele Freunde hat, ist glücklich He who has many friends is happy

Wer wagt, gewinnt Who dares wins

Und was noch schlimmer ist, er merkt es selber nicht And what is worse, he doesn't realise it himself

Was du sagst, stimmt nicht What you say is not right

If there is a difference in case or construction between the two clauses, an appropriate demonstrative pronoun can be added to begin the main clause:

**Wen** es zum Lehrerberuf hinzieht, **der** bevorzugt eher die philosophischen Fächer

Those who are attracted to the teaching profession favour Arts subjects

**Was** wir getan haben, **darüber** müssen wir auch Rechenschaft ablegen

What we have done we shall also have to answer for

Often, though, no such clarifying demonstrative pronoun is used:

Wen es zum Lehrerberuf hinzieht, bevorzugt eher die philosophischen Fächer (Zeit)

# b. Relative pronouns following demonstrative pronouns

The following alternatives are found:

i. demonstrative der followed by relative der. Despite the repetition, this is the commonest alternative:

**Die**, **die** gingen, haben in der DDR mehr verändert, als **die**, **die** blieben (*FR*)

Those who left changed more in the GDR than those who stayed

**ii.** in more elevated styles, demonstrative *der* followed by relative *welcher*:

**Die**, welche ich kaufen wollte, waren mir zu teuer The ones I wanted to buy were too expensive for me

**iii.** demonstrative *derjenige* followed by relative *der* (or, in elevated style, *welcher*). This is frequent in both speech and writing:

**Diejenigen**, **die** (welche) in den hinteren Reihen saßen, konnten nichts sehen

Those who were sitting in the back rows couldn't see anything

iv. demonstrative *jener* followed by relative *der* (or *welcher*). This is not uncommon in formal writing:

bei jenen, die es sich zur Aufgabe gemacht haben, Schüler zu fördern (MM) with those who have made it their business to support schoolchildren

(v) der can be used as a compound relative (e.g. 'he who'). This is common in speech:

**Die** hier sitzen, sind Verfluchte (Wolf)

Those who are sitting here are cursed

**Der** ihm Brötchen und Bockwurst verkaufte, kam aus Winsen an der Luhe (Surminski)

The man who sold him rolls and sausage came from Winsen an der Luhe

# 5.4.6 Other Forms of the Relative Pronoun

1. (a) To refer to a place, wo can be used as a relative pronoun as an alternative to der with a preposition

die Stadt, **wo** (*or*: in der) ich wohne the town where I live

If motion to or from a place is involved, wohin or woher are used:

die Stadt, wohin (or: in die) ich ging the town to which I went das Dorf, woher (or: aus dem) er kam the village from which he came

NB: The use of wo as a general relative pronoun (e.g.: die Frau, wo jetzt kommt) is a widespread non-standard regionalism.

## 2. Usage with time words

In such contexts English often uses 'when' as a relative. A number of alternatives exist in German, depending on register:

i. Preposition with *der* is the most widely accepted form for writing:

Den Tag, an dem er ankam, werde ich nie vergessen I shall never forget the day when he arrived in einer Zeit, **in der** die Jugend immer unabhängiger wird

at a time when young people are becoming more and more independent

ii. als (for past time) or wenn (for present or future time) are possible alternatives. da is sometimes used in formal (especially literary) German:

In dem Augenblick, als der Hund aufsprang, schrie er (Valentin)

At the moment when the dog jumped up, he cried out

an seinem nächsten Geburtstag, wenn er volljährig wird

on his next birthday, when he comes of age

Ach, wo sind die Zeiten, da Pinneberg sich für einen Alas, where are the days when Pinneberg guten Verkäufer hielt? (Fallada)

considered himself a good salesman?

iii. The use of wo as a relative indicating time is common, especially in speech, and it is not uncommon in writing. However, many Germans do not accept it as standard and prefer other alternatives in formal, especially written registers:

im Augenblick, wo er die Tür aufmachte

at the moment when he opened the door

Wir leben in einer Zeit, wo Verkaufen arm macht (Remarque)

We live in a time when selling makes one poor

jetzt, wo ich das weiß

now that I know that

3. wie is used to indicate manner, principally after die Art

die Art, wie er zu mir sprach so, wie ich es gewohnt bin

the manner in which he spoke to me

just as I am used to

4. warum is used to indicate cause, chiefly after der Grund

weshalb is an alternative in formal registers:

der Grund, warum (weshalb) ich nach Aachen ging

the reason why I went to Aachen

## 5.5 Indefinites, Quantifiers and other Determiners and Pronouns

This section deals with the meaning and use of the remaining determiners and pronouns, in alphabetical order. A list of them, with their most frequent English equivalents, is given in Table 5.9. The declension of adjectives after these determiners is explained in 6.2.3.

aller, alle ander beide(s) einer ein bisschen ein paar ein wenig einige(r)	all (the) (the) other both one a little a few a little some	folgende(r) irgend(-) jeder jedermann jedweder jeglicher jemand, niemand kein(er)	the following some- each, every everyone each, every each, every someone, no-one no, none	manch(er) mehrere meinesgleichen nichts sämtlich(e) unsereiner viel, viele wenig, wenige	some several people like me nothing all (the) someone like me much, many a little, a few
etliche	some	lauter	nothing but	welcher	some
etwas	something	man	one	wer	someone

## 5.5.1 Aller, Alle 'All (the)'

#### a. all-'all (the)', used as a DETERMINER, has various alternative forms

i. Inflected *aller*, with the endings of *dieser* (see Table 5.2), used on its own:

Alle Kinder spielen gernAll children like playingAlle Schüler waren gekommenAll the pupils had comemit allen denkbaren Mittelnwith all conceivable meansalles Glück dieser Erdeall the happiness of this world

This is the commonest alternative in the plural, especially in the nominative and accusative, but in the singular it is largely restricted to formal registers and set phrases. Plural *alle* may correspond to English 'all the' or 'all (of) the', e.g. *alle Schüler* 'all the pupils'/'all of the pupils'. *alle* is **never** followed by a genitive.

An adjective following *alle* in the plural has **weak** endings, e.g. *alle guten Kinder*, see 6.2.3b.

NB: In the genitive singular masculine and neuter, the ending - *en* is used rather than - *es* **if** the noun has the ending - (*e*)*s*, e.g.: *solch verfehlte Ablehnung allen* (less frequent: *alles*) *Verhandelns* (*Zeit*).

ii. Inflected *aller* followed by the definite article:

alle die Bücherall the booksalle die Müheall the trouble

This is quite common in the plural, especially in colloquial speech, and with feminine nouns in the nominative and accusative singular.

**iii.** Uninflected *all* followed by the definite article:

all das schlechte Wetterall the bad weatherall die Schülerall the pupilsmit all dem Geldwith all the money

This is the most frequent alternative in the singular, and it is quite frequent in the plural. Attempts to establish a consistent difference of meaning between inflected and uninflected forms are unconvincing.

NB: The most idiomatic equivalent of English all with a singular noun is often a phrase with ganz, see (g) below.

## b. all- is often used in conjunction with another determiner

In the plural both inflected and uninflected forms are found, in the singular only uninflected all:

all mein Geld von all diesem Brot all/alle meine Brüder nach all ihrer Mühe

#### mit all/allen diesen Schwierigkeiten

NB: Only the inflected form is used before *solch*, which then has the endings of an adjective, e.g.: *alle solchen Frauen*.

#### c. all- used as a PRONOUN declines like dieser

(see Table 5.2), but it has no genitive singular forms. The neuter singular *alles* is used for 'everything', the plural *alle* for 'everyone':

Alles ist bereitEverything is readyIch bin mit allem einverstandenI agree to everythingAlle waren anwesendEverybody was presentSind das alle?Is that all (of them)?

## d. Plural alle 'all' is often used with a personal pronoun

Sie hat uns **alle** beleidigt She insulted us all

Ich habe mit ihnen **allen** gesprochen

Das ist unser **aller** Hoffnung

That is the hope of all of us

alle usually follows the pronoun, but in the nominative it can be separated from it. In this case it has slightly less emphasis. Compare:

Sie **alle** sind gekommen } They have all come

Sie sind alle gekommen

#### e. Uninflected all and inflected alles are commonly used with the demonstratives das and dieses

This corresponds to English 'all that' or 'all this'. Uninflected *all* always precedes the demonstrative, but inflected *alles* may precede or follow the demonstrative, or, with less emphasis, be separated from it:

Ich habe all das/alles das/das alles schon gesehen 

I've already seen all that

Das habe ich alles schon gesehen

Ich bin mit all dem/dem allen/allem dem

einverstanden

einverstanden

Mit all diesem werde ich nicht fertig

I can't cope with all this

NB: In the dative singular, when *all*- follows the demonstrative, it can have the ending - *en* as an alternative to - *em*, e.g.: *dem/diesem allen* or *dem allem*.

I agree to all that

#### f. A noun can be qualified by a following inflected all-

all- follows the verb if the noun comes before the verb. This usage is most common in the plural:

Die Kinder spielen alle im Garten

Die Semmeln sind alle trocken

In the singular this construction is colloquial and restricted to the nominative and accusative singular feminine and neuter:

Das Brot ist alles trocken

Ich habe die Milch alle verschüttet

Singular *alles* is often used with a plural noun after the verb *sein* in the sense 'nothing but': Das sind alles Lügen.

#### g. The use of ganz for English 'all'

In practice, the adjective *ganz* is often the most idiomatic equivalent of English 'all', particularly with singular nouns. Thus, English 'all my money' may correspond in German to *mein ganzes Geld* or *all mein Geld*, with the former being rather more frequent. Compare also:

Der ganze Wein war schlecht

diese ganze Unsicherheit

All the wine was bad

all this uncertainty

mit seiner ganzen jugendlichen Energie with all his youthful energy

With collective nouns, time expressions and geographical names *ganz* is often the only possible equivalent for English 'all':

Die ganze Familie kommt all of the family is/are coming

den **ganzen** Tag (lang) all day (long)

der **ganze** Januar war kalt all January it was cold

ganz Europa, ganz Schweden, ganz München all (of) Europe, all (of) Sweden, all (of) Munich

in der ganzen Schweiz in all of Switzerland

The use of *ganz* with a plural noun is colloquial, e.g.: *Nach dem Sturm waren die ganzen Fenster kaputt*. In such cases *sämtliche* (see 5.5.23) is a common alternative in formal registers, e.g.: *Nach dem Sturm waren sämtliche Fenster* ('all the windows') *kaputt*.

#### h. Other uses of all-

- i. alles can be used to emphasise a large number of people or things with the interrogatives wer and was, cf. 5.3.3b, e.g.: Wer kommt denn alles? Was hast du dort alles gekauft?
- ii. In regional colloquial speech in the South and West, *all(e)s* (often spelled *als*) is used to emphasise the continuous nature of an action (= English 'to keep on doing sth.'), e.g.: *Er hat als geflucht* 'He kept on cursing'.
- iii. In colloquial North German *alle* is used in the sense of 'all gone': *Die Butter ist jetzt alle*. *Meine Geduld ist alle*.
- **iv.** *alle* is compounded with the demonstrative pronoun in the phrases *bei alledem* 'for all that', *trotz alledem* 'in spite of all that'.
- v. alles occurs frequently with an adjective used as a noun, see 6.4.5, e.g.: alles Wichtige 'all (the) important things'.

#### 5.5.2 Ander 'Other'

#### 1. In most contexts ander is used simply as an adjective

However, it has a few special forms and uses which resemble those of a determiner or pronoun. The following examples illustrate the range of its most common uses:

der and(e)re Student
mein anderes Auto
my other car
der and(e)re
the other one
irgendein and(e)rer
some/any other one

die drei anderen

die anderen

alle anderen

alles and(e)re

some/any other on
the three others
all the others
everything else

#### 2. Notes on the spelling and forms of ander

i. The first - e- is often dropped in writing, e.g. andre, andrer, andres. With the endings - en and - em, though,

- it is more usual to drop the second e-, e.g. ander(e)m, ander(e)n (less common: andrem, andrem).
- ii. When used with a preceding determiner and no following noun, it differs from other adjectives in not normally being spelled with a capital letter: der and(e)re, alles and(e)re, etc. However, an initial small or capital letter can be used after etwas and nichts: etwas and(e)res/And(e) res, nichts and(e)res/And(e)res, see also 23.2.1c.
- iii. When *ander* is used without a preceding article or other determiner, a following adjective has the same ('strong') endings as those of *ander*, except that *en* is the norm in the dative singular masculine and neuter:

anderes dummes Gerede andere italienische Maler mit anderer moderner Musik aus anderem wertvollen Material

NB:

- i. 'another cup of tea' = noch eine Tasse Tee.
- ii. For the adverb *anders* 'else', see 7.3.5.

#### 5.5.3 **Beide 'Both'**

a. beide 'both' can be used as a determiner or a pronoun

It has the same endings as the plural of *dieser* (see Table 5.2), and a following adjective has weak endings:

Ich habe beide Bücher gekauftI bought both booksBeide Brüder sind gekommenBoth brothers camebeide jungen Mädchenboth young girls

Seine Brüder sind **beide** gekommen His brothers both came

**Beide** sind gekommen Both came

When used as a pronoun, beide can be strengthened by alle:

**Alle beide** sind gekommen The two of them came

b. beide can be used as a simple adjective after a definite article or another determiner. It then has the endings of an adjective ('weak' declension) and often corresponds to English 'two':

Seine **beiden** Brüder sind gekommen

His two brothers came

The two brothers came

c. Used with a personal pronoun, beide usually has the endings of plural dieser wir beide, sie beide, von euch beiden, unser beider

There is some variation in usage with wir and ihr:

- **i.** In isolation *wir beiden* can be used rather than *wir beide*. It is generally less common, but it is usual if a noun follows, e.g.: *wir beiden Freunde*.
- **ii.** *ihr beiden* is more usual than *ihr beide* in isolation, e.g.: *Ihr beiden*, *wollt ihr mitkommen*? Within a clause either is current, e.g.: *Wollt ihr beide(n) schon mitkommen*?
- iii. If beide is separated from the pronoun, only the ending e is usual:

Wir wollen **beide** schon mitkommen. Ihr wolltet **beide** mitkommen, oder?

**Beide** halten sie ein Wahlergebnis für möglich, das eine große Koalition erzwänge (Zeit) They both consider an election result possible which would force a grand coalition

#### d. The neuter singular beides is used collectively to refer to two things

In this usage it can be the equivalent of English 'either'

Sie hatte einen Hut und einen Regenschirm mit und ließ She had a hat and an umbrella with her and left both

**beides** im Zug liegen on the train

Sprechen Sie Deutsch oder Englisch? – **Beides** Do you speak German or English? Both.

**Beides** ist möglich Either is possible

If *beides* is the subject of *sein*, the verb can be singular or plural:

Das Hotel und die Landschaft: **beides ist/sind** schön The hotel and the scenery: both are lovely

NB: The use of beides to refer to people is purely colloquial, e.g.: Ich habe mit den Brüdern Schmid zu Mittag gegessen. Beides ist/sind (in writing: Beide sind) Vegetarier.

## e. Other uses of beide and other equivalents of English 'both'

**Einer von beiden** könnte uns helfen One/Either of the two could help us

An beiden Enden des Ganges hängt ein Bild At either end of the corridor there is a picture

in beiden Fällen in either case

**Keiner von beiden** ist gekommen Neither of them came

**Sowohl** seine Frau **als (auch)** seine Tochter sind krank Both his wife and his daughter are sick

#### 5.5.4 einer 'one'

#### a. The pronoun *einer* declines like the possessive pronoun *meiner*

The forms are given in Table 5.10. The pronoun *einer* has different endings from those of the indefinite article *ein* in the nominative singular masculine (*einer*) and the nominative/accusative singular neuter (*eines*).

The genitive forms of *einer* are not in common use. A paraphrase with *von* (see 2.4) is usually preferred, e.g.: *die Empfehlung von einem ihrer Freunde*, rather than: *die Empfehlung eines ihrer Freunde* 'the recommendation of one of her friends'.

NB:

- i. eines is often written eins, reflecting its usual pronunciation.
- ii. For the use of eins as a numeral 'one', see 9.1.2.

#### b. einer corresponds to English pronoun 'one'

einer der Männer, eine der Frauen, ein(e)s der Kinder one of the men, one of the women, one of the children

Ein Fenster war offen und ein(e)s war zu

One window was open and one was shut

Ich sprach mit **einer** der Damen

I spoke to one of the ladies

eines der Themen, die der slowenische Außenminister one of the topics which the Slovenian foreign minister

angesprochen hat (Presse) touched on

Unstressed *einer* has the negative *keiner*, see 5.5.16, stressed *einer* has the negative *nicht einer*. Compare: *Ich habe keinen gesehen* 'I haven't seen one' and: *Ich habe nicht einen gesehen* 'I haven't seen a single one'.

#### c. einer often has the sense of 'someone', 'anyone'

**Einer** muss es getan haben Someone must have done it einer, der ihn kannte a person/someone who knew him

Mit so **einem** will ich nichts zu tun haben Da kam **einer** durch die Glastür I don't want anything to do with anyone like that Someone came through the glass door

This is common in spoken German. It is often equivalent to *jemand*, see **5.5.15**, although this more clearly refers to an indefinite 'somebody' whose identity is quite unknown. *jemand* is also generally more polite, whereas *einer* can sound offensive, particularly in the feminine, e.g.: Da war gerade *eine* mit sechs Kindern.

The case forms of einer are used for those which man lacks (principally the accusative and dative, see **5.5.18**), but using einer for man in the nominative (e.g.: Und das soll einer wissen! for: Und das soll man wissen!) is restricted to colloquial speech.

### d. ein- can be used as an adjective with the definite article, the demonstratives or the possessives

It then has the 'weak' adjective endings (see Table 6.3), but it never has an initial capital letter, even when there is no noun following:

Der eine deutsche Tourist beschwerte sich One German tourist complained

das eine, das ich brauche the one thing I need
Mein einer Sohn ist gestorben (coll.)
One of my sons has died

Dieser eine Schnaps wird dich nicht gleich umwerfen This one schnapps won't knock you out

der eine linked to a following der andere corresponds to English '(the) one . . . the other', etc. In German, though, the definite article is usually present, whereas it can be lacking in English, and the plural die einen can occur in the meaning 'some':

Das eine Buch habe ich gelesen, das andere aber noch

nicht

I've read one of the books, but not the other one yet

**Die einen** sangen, **die anderen** spielten Some were singing, others were playing

#### e. Some idiomatic uses of einer

Das ist aber einer! He's quite a lad
Du bist mir einer! (see 2.5.3c)
You're a nice one!

**Eins** wollte ich noch sagen There's one more thing I wanted to say

Trinken wir noch eins? Shall we have another (drink)?

Es ist mir alles **eins**Er redet **in einem** fort

He talks without stopping

## 5.5.5 Ein Wenig, Ein Bisschen 'a Little'

## 1. (a) ein wenig corresponds to English 'a little'

The *ein* does <u>not</u> decline. A phrase with *von* (see 2.4) is used rather than a genitive:

Ich hatte noch **ein wenig** britisches Geld

I still had a little British money

Der Zug hatte sich **ein wenig** verspätet

The train had got a little late

Der Saal war ein wenig ruhiger geworden

The room had become a little more quiet

mit ein wenig männlicher Eitelkeit with a little male vanity

## 2. ein bisschen can replace ein wenig in most contexts

It could be used in all the examples in (a) without any difference in meaning, but it can sound more colloquial. Unlike *ein wenig*, it can, optionally, be declined in the dative singular, e.g. *mit ein(em) bisschen Geld*. This is

normal when it is used as a pronoun, e.g. *Mit einem bisschen wäre ich schon zufrieden*. It also differs from *ein wenig* in that it can occur with a preceding adjective:

ein winziges **bisschen** Käse a tiny little bit of cheese

mit einem ganz kleinen **bisschen** gesundem Verstand with a very little bit of common sense

NB: In spoken South German usage the form *ein bisse(r)l* is a frequent variant for northern *ein bisschen*.

#### (c) bisschen may also be used with a demonstrative, a possessive or kein

mit dem bisschen Verstand, den er hat with the little sense that he has

mit ihrem bisschen Talent with her bit of talent

Er hat **kein bisschen** Humor He hasn't got the least sense of humour

#### 5.5.6 Ein Paar 'a Few'

The *ein* of *ein paar* does not decline. A phrase with *von* (see 2.4) is used rather than a following genitive. The phrase *ein paar* is close in meaning to *einige*, see 5.5.7, but it sounds more colloquial:

Ein paar Flaschen Wein haben wir noch im Keller We've still got a few bottles of wine in the cellar

Willst du **ein paar** haben? Do you want a few?

mit der Hilfe von ein paar alten Freunden with the help of a few old friends

The ein can be replaced by another determiner, which is declined. Such combinations can sound disparaging or pejorative:

Was soll ich mit den paar Mark anfangen? What am I supposed to do with these lousy few marks?

der Wert meiner **paar** Möbel the value of my few bits of furniture
Die Straßenbahn kommt alle **paar** Minuten The tram comes every few minutes

NB: ein paar should not be confused with ein Paar 'a pair'. Compare ein paar Schuhe 'a few shoes' but ein Paar Schuhe 'a pair of shoes'.

#### 5.5.7 Einiger, Einige 'Some'

*einig*- refers to a limited amount or number. It corresponds to English unstressed 'some', (or 'a few', as it is close in meaning to *ein paar*, see 5.5.6). It declines like *dieser* (see Table 5.2) except that the genitive singular masculine and neuter form (which is little used) is *einigen*, and a following adjective in the plural usually has **strong** endings, see 6.2.3b.

#### a. The use of einiger in the singular is limited

The usual German equivalents of English unstressed *some* in the singular are *etwas* (see 5.5.9), or, most commonly, simply no article or determiner at all (see 4.8.7), e.g.: *Ich habe heute (etwas) Fleisch gekauft* 'I bought some meat today'.

When *einig*- is used in the singular it implies a rather unusual or unexpected quantity and often comes close to English 'no little'. It is most frequent with mass and abstract nouns (especially *Entfernung* and *Zeit*), adjectives used as nouns and collectives:

mit einigem Glück

bei einigem guten Willen

vor ihm in einiger Entfernung

vor einiger Zeit schon

with some degree of luck

with a certain degree of good will

some distance in front of him

some time ago now

nach einigem Überlegen

Diese Schlangen, die ihr Gift spucken, zielen bis drei Meter weit noch mit **einiger** Treffsicherheit (*Grzimek*) after some consideration

These snakes, which spit their venom, can aim up to three metres with no little accuracy

In the singular *einig*- is mainly used as a determiner rather than as a pronoun, but the neuter singular *einiges* does occur as a collective indefinite pronoun:

einiges davon some of it

Ich habe noch **einiges** zu tun

I've still got a few things to do

## b. einige is widely used both as a determiner and a pronoun in the plural

Sie wollte einige Ansichtskarten von Rothenburg kaufen She wanted to buy some postcards of Rothenburg

In der Stadt gibt es einige Friseure

There are a few hairdressers in the town

unter Verwendung einiger technischer Mittel by using some technical methods

**Einige** mussten stehen Some/A few had to stand

Sie hat schon einige mitgebracht She's already brought some/a few

German often uses no determiner in contexts where English uses unstressed 'some' to refer to a number of things. Thus, a common alternative to the first example above would be: *Sie wollte Ansichtskarten von Rothenburg kaufen*.

NB: einige is often used with numerals to mean 'a few', e.g. einige tausend Bücher 'a few thousand books'.

#### 5.5.8 Etliche 'Some'

etliche is similar in meaning to einige. However, it typically implies 'more than the expected number' and it is quite widely used in this sense in both spoken and written German. In this way, it approaches English 'several' or 'a fair number of'. It declines like dieser (see Table 5.2) and it is almost only used in the plural, as a determiner (much less commonly as a pronoun). A following adjective usually has **strong** endings, see 6.2.3b.

Warum ist die Bahn so unpünktlich geworden? Da gibt es why have the railways become so unpunctual? There are etliche Ursachen (Spiegel) several/a (good) number of reasons for this

Etliche dieser Stücke sind auch für Anfänger relativ leicht Some/A number of these pieces are relatively easy to zu bewältigen (SWF)

manage, even for a beginner

NB: In Switzerland *etwelche* is used with much the same meaning as *etliche*, e.g. *Den FC Flawil plagen vor dem morgigen Spiel zwar etwelche Personalsorgen (SGT)*.

#### 5.5.9 Etwas 'Something', 'Anything'

*etwas* is used as an **indefinite pronoun**, to **qualify nouns**, and as an **adverb**. It has no case forms and is not used in genitive constructions, a phrase with *von* (see 2.4) being used if necessary.

#### a. As an indefinite pronoun, etwas corresponds to English 'something' or 'anything'

Etwas störte michSomething bothered meIch habe etwas für SieI've got something for youHast du etwas gesagt?Did you say anything?

In this use, etwas is commonly reduced to was in colloquial speech unless it occupies first position in the sentence, e.g. Ich habe was für Sie; Hast du was gesagt?

etwas is often used with von in a partitive sense, i.e. 'some (of)':

Ich möchte etwas von diesem Kuchen

I would like some of this cake

In contexts like this, etwas can be omitted: Ich möchte von diesem Kuchen.

## b. Qualifying a noun, etwas has the sense of 'some', 'any' or 'a little'

It is used chiefly with mass and abstract nouns in the singular. However, as an equivalent to un-stressed English 'some' or 'any', German very commonly does not use any determiner at all (see 4.2.2a, 4.8.7 and 5.5.7b), and *etwas* could be omitted in all the examples below:

Ich brauche **etwas** frisches Fleisch

Er hat kaum **etwas** Geld

Bringen Sie mir bitte **etwas** Brot

Sie muss **etwas** Geduld haben

I need some fresh meat

He has hardly any money

Please bring me some bread

She needs a little patience

Etwas mehr Aufmerksamkeit wäre nützlich gewesen A little more attention would have been useful

etwas is commonly used with a following adjective used as a noun, (see 6.4.5). The adjective has the 'strong' adjective endings:

etwas ganz Neues something quite new

Er hat von **etwas** ganz Neue**m** gesprochen

He spoke of something quite new

c. As an adverb, etwas means 'somewhat', 'a bit'

Er ist **etwas** nervös He is somewhat/rather/a bit nervous

Es geht ihm **etwas** besser He is somewhat/a bit better
Er zögerte **etwas** He hesitated somewhat/a bit

## 5.5.10 Folgend '(the) Following'

folgend can be used as a simple adjective, but it has some special forms and uses which resemble those of a determiner or pronoun. Unlike English 'following', it is often used without a preceding article or other determiner. In these contexts a following adjective usually has 'weak' endings in the singular and 'strong' endings in the plural, see 6.2.3:

alle **folgenden** Bemerkungen all the following remarks

Sie machte **folgende** Bemerkungen She made the following remarks

Sie machte **folgende** treffende Bemerkungen She made the following apposite remarks

folgender interessante Gedankethe following interesting thoughtmit folgender nachdrücklichen Warnungwith the following firm warningSie sagte mir Folgendes: . . .She said the following to me: . . .

Im **Folgenden** wird diese Frage näher erläutert

In the following this question will be clarified more

precisely

Aus Folgendem lässt sich schließen, dass . . . From the following it may be deduced that . . .

When *folgend* is used as a pronoun meaning 'the following', as in the last three examples, it has an initial capital letter.

#### 5.5.11 *Irgend* 'Some . . . or Other'

#### a. The principal use of *irgend* is to emphasise indefiniteness

It occurs in combination with many indefinite pronouns, adverbs and determiners, giving them the sense of 'some . . . or other' or 'any . . . at all'. All these compounds of *irgend* are nowadays written as single words, e.g. *irgendetwas*, *irgendjemand*, *irgendwo*.

**b.** *irgend* can be compounded with most interrogative adverbs to form indefinite adverbs (see 7.5 for the basic forms of these interrogative adverbs), i.e.:

*irgendwann* 'sometime or other, any time'; *irgendwie* 'somehow, anyhow'; *irgendwo* 'somewhere, anywhere'; *irgendwohin* '(to) somewhere, anywhere'; *irgendwoher* 'from somewhere, anywhere':

Du musst es **irgendwie** machen

You'll have to do it somehow

Er fährt heute Nachmittag **irgendwohin**Gehst du heute Abend **irgendwohin**?

He's going somewhere this afternoon

Are you going anywhere tonight?

#### c. With einer, (et)was, jemand and wer, irgend stresses indefiniteness

*irgendeiner*, *irgendjemand* and *irgendwer* correspond to English 'somebody, anybody', *irgendetwas* to 'something, anything'. In practice, *irgendeiner* and *irgendwer* are commoner than simple *einer* and *wer* (see 5.5.4 and 5.5.27) to mean 'somebody, anybody':

Irgendwann wurden von **irgendwem** diese Briefe aus At son

dem Kasten genommen (*Böll*)

Versteht er **irgendetwas** vom Wein? **Irgendeiner** soll es gesagt haben

Hat denn **irgendjemand** angerufen?

At some time or other someone (or other) took these

*letters out of the letter- box* 

Does he know anything (at all) about wine? Someone (or other) is supposed to have said it

*Did anybody phone?* 

Note that only *irgendjemand* and *irgendetwas*, not simple *jemand* or *etwas*, are possible in response to a question:

Wer hat eben geklopft? **Irgendjemand** 

Was willst du denn kaufen? **Irgendetwas** 

Who just knocked? Someone or other

What are you going to buy, then? Something or other

In colloquial North German, *irgend* can be compounded with the prepositional adverb with wo(r)- (see **5.3.3c**), in place of *irgendetwas* with a preposition:

Ich habe mich **irgendworan** gestoßen (written: *an irgendetwas*)

I knocked against something or other

## d. irgendein(er) and irgendwelcher

These correspond to 'some (or other), any (whatsoever)', often with the sense of 'no matter which/who'. They are used as determiners or pronouns.

**i.** The determiner *irgendein* has the endings of the indefinite article *ein*, see Table 4.2. It is used in the singular with countable nouns:

Er zeigte mir **irgendeine** Broschüre

Hat er **irgendeine** Bemerkung gemacht?

Die Selbstmordquote soll höher sein als in **irgendeinem** anderen Ort der Welt (*Bednarz*)

He showed me some brochure or other Did he make any remark (at all)?

The suicide rate is supposed to be higher than in

any other place in the world

**ii.** The pronoun *irgendeiner*, which declines like *einer* (see Table 5.10) has only singular forms and can only refer to countable nouns. The masculine and feminine forms are used in the sense of 'somebody, anybody':

**Irgendeiner** muss dich gesehen haben

Someone or other must have seen you

Wenn du wirklich einen neuen Tisch suchst, musst du hier im Geschäft irgendeinen gesehen haben, der dir gefällt

If you're really looking for a new table, you must have seen one here in the shop which you like

Ich habe ein paar Bücher über Israel. Sie können sich I've got a few books about Israel. You can borrow irgendeins ausleihen any one you like

iii. irgendwelcher, which declines like dieser (see Table 5.2), is used as a determiner in the singular with mass and abstract nouns, and in the plural. A following adjective in the plural most often has weak endings, see 6.2.3. The genitive is rarely used in the singular:

Wenn irgendwelche anderen Idioten auf RTL heiraten If any other idiots want to get married on RTL . . . wollen, . . . (*HMP*)

Er hat **irgendwelches** dumme(s) Zeug geredet

He was talking some stupid rubbish or other

Wenn Sie **irgendwelche** Probleme haben, wenden Sie *If you have any problems (at all), turn to us* sich an uns (Bednarz)

NB: Colloquially, irgendwelcher is often used for irgendein, e.g. Er zeigte mir irgendwelche Broschüre.

e. irgend so ein (plural: irgend solche) corresponds to English 'one/some of those', 'any/some such'

It often has a pejorative tone:

Wer war es? Es war **irgend so ein** Vertreter für Doppelfenster

Who was it? It was one of those men who sell double

glazing

Er machte **irgend solche** komische Bemerkungen

He made some such odd remarks

**f.** irgend is used as an independent adverb with the sense of irgendwie i.e. 'somehow, anyhow, in some way':

wenn irgend möglich

if at all possible

Ich würde mich freuen, wenn es irgend geht

I would be pleased if it's possible somehow

## 5.5.12 Jeder 'Each', 'Every'

#### a. *jeder* is only used in the singular, as a determiner or a pronoun

When used as a determiner, jeder corresponds to English 'each', 'every', when used as a pronoun to English 'everyone', 'everybody'. It declines like *dieser* (see Table 5.2), except that jeden (rather than jedes) is frequent in the genitive singular masculine and neuter if the following noun has the ending - (e)s, e.g. am Ende jeden/jedes Abschnitts. It is not used in the genitive as a pronoun.:

Sie hat **jedem** Kind einen Apfel gegeben

She gave each child an apple

nach jedem solchen Versuch

after each such attempt

Er kam jeden Tag zur selben Zeit

He came every day at the same time

In diesem kleinen Ort kennt jeder jeden

*In this little place everyone knows everybody else* 

jeder often has an individualising sense (i.e. 'no matter which/who'), in which case it can be the equivalent of English 'any':

Das weiß doch jeder gebildete Bürger

Any/Every educated citizen knows that, though

Die industrielle Revolution verwandelte die Lebensbedingungen der Menschen radikaler als jeder andere Ereigniszusammenhang der neueren

The Industrial Revolution changed people's living conditions more radically than any other set of

Geschichte (Jaeger)

events in recent history

NB: The neuter jedes can refer back to both sexes: Seine Eltern waren sehr tüchtig, jedes auf seine Weise.

## b. The combination ein jeder is more emphatic than jeder

It is used chiefly as a pronoun and is particularly frequent in the individualising sense of stressed 'any', i.e. 'no matter which/who'. In this combination, jeder has the same endings as a simple adjective:

Ein jeder wollte was sagen Everyone wanted to say something

But everybody/anybody (at all) could do that Das könnte doch ein jeder machen

Das kannst du doch nicht einem jeden erzählen But you can't tell that to just anybody

Die Wünsche eines jeden werden berücksichtigt The wishes of every individual are taken into account

## 5.5.13 Jedermann 'Everybody', 'Everyone'

jedermann is only used, as a pronoun, in elevated, formal registers and set phrases. Its meaning is the same as that of jeder, which is much more commonly used. Its only case form is the genitive jedermanns.

Everyone knew that Michael had refused to do military Jedermann wusste, dass Michael den Wehrdienst

verweigert hatte service

Das ist nicht jedermanns Sache That's not everyone's cup of tea

## 5.5.14 Jedweder, Jeglicher 'Each', 'Every'

jedweder and jeglicher decline like dieser (see Table 5.2). They are used as determiners or pronouns as alternatives to *jeder*, but both are largely restricted to written registers.

## a. jedweder is rather more emphatic than jeder

It has a rather old-fashioned ring and is used sparingly, even in formal registers:

Auch sonst bleiben den Insassen jedwede Otherwise, too, the occupants are cut off from any Motorgeräusche verborgen (HMP) sort of noise from the motor

#### b. *jeglicher* stresses the individuality of the items in question

It is most often used in the sense of stressed 'any' (i.e. 'no matter who/what'). It is most frequent nowadays with abstract nouns and in negative contexts. Unlike jeder, it can also be used in the plural. Adjectives following *jeglicher* have the strong declension; on, see 6.2.3a:

That is completely unfounded Das entbehrt jeglicher Grundlage

Gorbatschow lehnte jegliche Änderung der Gorbachov turned down any alteration of the frontiers in the Soviet Union

Grenzziehungen in der Sowjetunion ab (FR)

die vollkommen unbefangene Ablehnung jeglicher the completely natural rejection of all kinds of demagogischer Attraktionen (*Pörtner*) attractive demagoguery

## 5.5.15 Jemand 'Somebody', 'Someone', Niemand 'Nobody', 'no- One'

#### a. Declension and use of jemand and niemand

jemand 'somebody', 'someone' and niemand 'nobody', 'no- one' have the case forms shown in Table 5.11.

#### Table 5.11 Declension of jemand, niemand

Nominative	jemand	niemand
Accusative	jemanden	niemanden

Genitive	jemandes	niemandes
Dative	jemandem	niemandem

In the accusative and dative, forms without endings are at least as common as the forms with endings in both speech and writing:

Ich habe niemand/niemanden gesehen

Ich habe jemand/jemandem das Paket gegeben

The genitive forms tend to be avoided by paraphrasing, i.e. *Hat jemand diese Aktentasche liegen lassen*? rather than: *Ist das jemands Aktentasche*?

Pronouns and determiners referring back to *jemand* and *niemand* have the masculine singular form: *Niemand*, *der* es weiß; *Jemand hat seine Tasche vergessen* 

NB:

In colloquial speech, einer and wer are common alternatives to jemand, see 5.5.4 and 5.5.27, as is keiner for niemand, see 5.5.16.

The indefiniteness of *jemand* may be emphasised by combining it with *irgend*, see 5.5.11c.

## b. jemand and niemand with a following adjective

When followed by an adjective, *jemand* and *niemand* are usually endingless in the accusative and dative. The adjective is treated as a noun (see 6.4), and it can have the ending - *es* in all cases, or, alternatively, the endings - *en* in the accusative and - *em* in the dative cases.

Jemand Fremdes ist gekommen

Ich habe jemand Fremdes/en gesehen

Ich habe mit jemand Fremdes/em gesprochen

*jemand* and *niemand* can be used in a similar way with *ander*, which, unlike other adjectives, always has a small initial letter in all these forms:

Jemand anders ist gekommen

Ich habe jemand anders/anderen gesehen

Ich habe mit jemand anders/anderem gesprochen

NB: The endings - en and - em are more typical of South German usage. Here, too, the ending - er is used in the nominative case, e.g. jemand anderer, jemand Bekannter. However, this is considered to be a non-standard regionalism.

## 5.5.16 Kein, Keiner 'no', 'not . . . any', 'none'

## a. kein is the negative form of the indefinite article

See 4.1.2. It declines exactly like *ein*, with the forms given in Table 4.2. It is used typically where a corresponding positive sentence would have an indefinite or no article, and it thus usually corresponds to English 'not a', 'not . . . any ' or 'no':

Sie hat ein Auto Wir haben frische Brötchen Ich habe Zeit Sie hat **kein** Auto
Wir haben **keine** frischen Brötchen
Ich habe **keine** Zeit

#### b. kein or nicht in negation?

It sometimes seems difficult to know whether to use *kein* or *nicht* in negation. In general, *kein* is used to negate an indefinite noun (i.e. one with an indefinite article or no article), as in the examples given under (a) above. *nicht* is used in other cases, notably to negate a whole sentence, e.g. *Sie will heute mitkommen – Sie will heute nicht* 

mitkommen. However, there are contexts where the choice is not completely obvious, i.e.:

i. German phrases with an indefinite noun (and thus negated with *kein*) which have rather different English equivalents:

Ich bin Deutscher

Ich spreche Deutsch

ein Problem von großer Bedeutung

Ich bin **kein** Deutscher

Ich spreche **kein** Deutsch

ein Problem von keiner großen Bedeutung

ii. Phrasal verbs with nouns, e.g. *Atem holen*, *sich Mühe geben*, *Freude empfinden* and all those with *haben*, e.g. *Angst*, *Durst*, *Hunger haben*, etc. are generally negated with *kein*:

Er hat sich **keine** Mühe gegeben

Dabei hat er **keine** Freude empfunden

Ich habe **keinen** Durst, Hunger

Sie hatten keine Angst

Phrasal verbs with *nehmen* have *kein* **or** *nicht*:

Er hat **keine/nicht** Rücksicht auf mich genommen

Sie wollen keine/nicht Rache nehmen

Sie hat keinen/nicht Abschied von ihm genommen

*nicht* occurs with phrasal verbs where the noun is so closely linked to the verb that it is felt to be the equivalent of a separable prefix:

Er spielt nicht Klavier

Sie läuft nicht Schi

Sie haben in Berlin nicht Wurzel gefasst

Er hat nicht Wort gehalten

Er kann nicht Auto fahren

#### c. kein and nicht ein

*kein* is the usual equivalent of English 'not a' (and using *nicht ein* for *kein* is typical of English learners' German). Nevertheless, there are a few contexts where *nicht ein* is used:

i. if ein is stressed, i.e. 'not one/a (single)':

Die TAP besitzt **nicht ein** Flugzeug, denn alle 38 Maschinen sind geleast (NZZ) TAP doesn't own a single aeroplane, as all 38 planes are leased

ii. for direct contrasts:

Das ist eine Ulme. **nicht eine** Eiche

That's an elm, not an oak

iii. nicht ein is more usual than kein after wenn 'if': Man hätte ihn kaum bemerkt, wenn ihm nicht ein Schnurrbart etwas Distinguiertes verliehen hätte.

#### d. Some idiomatic uses of kein as a determiner

Sie ist noch keine zehn Jahre alt.

keine zwei Stunden vor meiner Abreise

Es ist noch **keine** fünf Minuten her Sie ist schließlich **kein** Kind mehr She's not yet ten years old

within two hours of my departure It is less than five minutes ago After all, she's no longer a child

#### e. The form *keiner* is used as a pronoun

It has endings like those of *einer*, see Table 5.10. It is rarely used in the genitive:

Keiner von uns hat es gewusst Zum Schluss hat sie kein(e)s der Bücher gekauft Haben Sie einen HD- fähigen Fernseher? Nein, wir haben keinen In keinem dieser neuen Häuser möchte ich wohnen kein(e)s von beiden *neither of them* 

NB:

The neuter form kein(e)s is used to refer to people of different sex: *Ich fragte n beiden) wusste es*.

The use of *keiner* for *niemand* to mean 'no- one', 'nobody' (see 5.5.15a) is fre avoided in writing as non- standard.

## 5.5.17 Lauter 'Only', 'Nothing but'

*lauter* is indeclinable. It is used only as a determiner, i.e. before nouns:

Dort lag lauter Eis und Schnee

Nothing but ice and snow lay
Es kamen lauter junge Leute
Only young people came
Er hat lauter solchen Unsinn geredet

He only talked rubbish like t

#### 5.5.18 Man 'One'

## a. The indefinite pronoun man corresponds to English 'one'

However, unlike 'one', it is not restricted to elevated registers. Rather, it correspon in spoken English, or, frequently, to 'we', 'they' or 'people' (and overusing *Leute* be appropriate is typical of English learners' German). It is also often used in contenturally use a passive construction, e.g. *Man sagt* 'It is said', see 15.4.1. The possessive *sein* and reflexive *sich*:

Als **man** sich zum Abendessen setzte, fehlte der alte Herr

Man hat sich nach dir erkundigt Man sollte seinen Freunden helfen

Hier spricht man meistens unter sich noch Plattdeutsch

When they/we sat down to was missing

People were asking after y
One ought to help one's fr
Here people mainly still sp
themselves

man is sometimes used, for reasons of politeness, to refer to the speaker, e.g.: I fahren? In certain situations this can acquire a note of sarcasm. This is always so listener, e.g.: Hat man schon wieder zu tief ins Glas geguckt?

NB: man should **never** be referred back to with er, e.g.: Wenn man müde ist, muss m

#### b. *man* only has a nominative case form

In the accusative and dative einen and einem (see 5.5.4) are used:

Man weiß nie, ob er einen erkannt hat

So Leid es **einem** tut, man muss manchmal hart sein

You never know whether h However much you regret sometimes

NB: The use of the nominative form *einer* for *man* (see 5.5.4) is frequent in col avoided in writing as non-standard.

## 5.5.19 Manch 'Some', 'Many a'

*manch* always has the rather special sense of stressed 'some', i.e. 'a fair number, but by no means all'. This may be equivalent to English by 'many a' and in certain contexts comes close to the sense of English 'several'. *manch* has a number of alternative forms.

**a.** As a determiner, *manch* is most often used in the inflected form *mancher* i.e. with the endings of *dieser*, see Table 5.2.

In the genitive singular masculine and neuter, the form *manchen* is occasionally found besides the more frequent *manches* if the following noun has the ending - (e)s, (e.g. *manches Mannes* or *manchen Mannes*).

*mancher* can be used in the singular or the plural. The singular form (like English 'many a') may put more emphasis on the individual items, whereas the plural (like English stressed 'some') stresses the collectivity. In practice, however, the difference between, for example, *mancher schöne Tag* and *manche schöne Tage* is slight. A following adjective usually has **strong** endings, see 6.2.3.

An manchen Tagen blieb er lange im Bett

Von der Abzocke **mancher** Skiregionen ist hier keine Spur (*HMP*)

ein überhöhter Preis, wie er in **manchen** Reparaturwerkstätten seit Jahren üblich ist (*BILD*) Some days he stayed in bed a long time

Here there is no sign of the rip- off you get in a good number of skiing areas

an exorbitant price, such as has been usual in some garages for years

## b. Uninflected manch is commonly used as a determiner in the following constructions

**i.** before the indefinite article *ein*. This is a less common alternative to inflected *manch*, and it is mainly used in formal writing. The individual items are emphasised rather more strongly:

Da gibt es mancherlei Grund zum Zweifeln – **manch ein** Zeitgenosse wird sagen: zum Verzweifeln (*Zeit*)

There are many kinds of reasons for doubt – many contemporaries will say: for despair

**ii.** before an adjective, where the uninflected form is a widespread and frequent alternative to the inflected one, especially in the singular:

Sie konnten dem Kanzler **manch** guten Tipp geben (*MM*)

. . . um neben **manch** Komischem auch etliches Entlarvende bieten zu können (*MM*)

They were able to give the Chancellor many a good tip

... to be able to present quite a few revealing things besides much that is comical

**iii.** before neuter nouns. This alternative sounds rather old- fashioned, but it has become fashionable again recently:

Und so ist manch Dachstubentalent ins Scheinwerferlicht geraten (*NUZ*)

In this way many a hidden talent has emerged into the limelight

#### c. As a pronoun *mancher* declines like *dieser*

See Table 5.2. It is not used in the genitive:

Mancher hat es nicht geglaubt
Das ist schon manchem passiert
Manche trinken Tee, andere lieber Kaffee

manche meiner Bekannten

Not many believed it That has happened to quite a few people Some people drink tea, others prefer coffee a fair number of my acquaintances manch einer is a fairly frequent alternative to inflected mancher:

Manch einer musste auf die Mittagspause verzichten

(MM)

Some had to give up their lunch hour

## 5.5.20 Mehrere 'Several'

mehrere is used, as a determiner or a pronoun, in the plural only. It has the same endings as dieser (see Table 5.2). A following adjective usually has strong endings, see 6.2.3.

Ich habe **mehrere** Bücher darüber gelesen I have read several books about it

Mehrere standen draußen und warteten Several people were standing outside waiting

Es ist doch viel spannender, mit **mehreren** Jungen But it's much more exciting to go out with several boys than always to stick with one auszugehen, als immer an einem zu kleben (BILD)

## 5.5.21 *Meinesgleichen* 'People Like Me'

meinesgleichen is indeclinable. Parallel forms can be formed for the other persons, i.e. deinesgleichen, seinesgleichen, ihresgleichen, unsresgleichen, euresgleichen. If they are used as the subject of a verb, it has the endings of the third person singular. They can now sound rather old-fashioned:

Ich und **meinesgleichen** interessieren uns für so etwas

nicht

Euresgleichen hat es wirklich leicht

Dieser Wagen hat nicht seinesgleichen

I and people like me aren't interested in things like that

People like you really have it easy

This car has no equal

#### 5.5.22 *Nichts* 'Nothing', 'Not . . . Anything'

nichts does not decline:

Aus **nichts** wird **nichts** (Proverb) Nothing comes of nothing Nichts gefiel ihr dort *She didn't like anything there* nothing but difficulties **nichts** als Schwierigkeiten

nichts is often used with a following adjective used as a noun, which has the strong endings, see 6.4.5:

nichts Neues nothing new

Er hat von **nichts Neuem** gesprochen He didn't speak of anything new

It is also common with *von* in partitive constructions, i.e. 'nothing (of)':

Ich möchte nichts von dem Essen I don't want any of the food

nichts von alledem nothing of all that

NB: In colloquial speech *nichts* is almost invariably pronounced *nix*.

## 5.5.23 sämtlich 'all (the)'

sämtliche inflects like dieser, see Table 5.2. It is used, as a determiner or a pronoun, in the plural only, as an emphatic alternative to *alle*. A following adjective has weak endings, see 6.2.3.

**Sämtliche** gezeigten Tiere wurden am Freitag von

Experten bewertet (SGT)

All the exhibited animals were judged on Friday by experts

the addresses of all the new members

sämtliche is rather more limited in meaning than alle, since it can refer to all the members of a subgroup of persons or things, but not to all those which are in existence. Thus, one can say Sämtliche (OR: Alle) Bäume in dem Wald wurden gefällt, but only: Alle (NOT: Sämtliche) Menschen sind sterblich.

*sämtliche* can also be used with a preceding definite article or other determiner, in which case it has the endings of an adjective:

Meine **sämtlichen** Verwandten haben mir geschrieben All my relatives wrote to me

As an adverb, *sämtlich* is used in the meaning 'without exception':

**Sämtlich** waren sie dem Staat eigen (*Johnson*)

They all belonged to the state

## 5.5.24 Unsereiner 'Someone Like Me', 'One of Us'

*unsereiner* declines like *dieser*, see Table 5.2. There are parallel forms for the other persons, i.e. *eurereiner*, *ihrereiner*, although these are less frequent in practice:

Unsereiner kann das nicht wissen

Someone like me can't know that

Mit unsereinem spricht sie nie

She doesn't talk to the likes of us

NB: In the nominative and accusative, the neuter form *unsereins* is a common alternative to the masculine, especially in colloquial speech.

## 5.5.25 Viel 'Much', Viele 'Many', Wenig 'a Little', Wenige 'a Few'

The various forms and uses of *viel* 'much', 'many', 'a lot of' and *wenig* '(a) little', '(a) few', 'not many' are broadly similar. Both occur as a determiner, a pronoun, or an adverb. Both have alternative uninflected and inflected forms, in the latter case with the endings of *dieser* (see Table 5.2). In certain constructions and uses the uninflected forms are more usual, in others the inflected, without any identifiable difference in meaning. Adjectives following inflected *viele* and *wenige* in the plural usually have strong endings, see 6.2.3.

ein wenig 'a little' is invariable, see 5.5.5. For the comparatives of *viel* and *wenig*, see 8.2.4.

# a. Used as pronouns, *viel* and *wenig* most often have no endings in the singular, but they do have an ending in the plural

They are not used in the genitive singular:

Sie hat viel/wenig versucht

Er will viel/wenig haben

NB:

Viel/Wenig von dem Kuchen

Sie hat viel/wenig verraten

Ich bin mit viel/wenig von dem einverstanden, was du sagst

Viele/Wenige von diesen Büchern

Ich habe viele/wenige gesehen

The inflected neuter singular forms nominative/accusative **vieles**, dative **vielem** are occasionally used, chiefly in formal writing:

Sie hat **vieles** versucht

She has tried a lot of things

Mit **vielem** bin ich nicht einverstanden

There's much I don't agree with

Inflected forms of wenig (i.e. weniges, wenigem) are rare.

## b. Used as determiners, viel and wenig usually have no endings in the singular, but they do have endings in the plural

The genitive singular is scarcely ever used, a phrase with *von* being preferred:

Dazu ist viel Mut nötig Much courage is needed for that

Ich trinke wenig Milch I don't drink much milk He acted with a lot of skill Er handelte mit viel Geschick She managed with little money Sie ist mit wenig Geld ausgekommen the effect of not much wine die Wirkung von wenig Wein

der Genuss von viel Obst eating a lot of fruit

Viele Probleme wurden besprochen Many problems were discussed

There weren't many spectators at the ground Gestern waren wenige Zuschauer im Stadion

yesterday

He has a lot of/few friends Er hat viele/wenige Freunde the speeches of a lot of politicians die Reden vieler Politiker

mit vielen/wenigen Ausnahmen with a lot of/few exceptions

There are some common exceptions to this usage:

Ich staunte über das viele Geld, das er ausgab

i. Inflected singular forms are sometimes used in formal registers with a following adjective used as a noun (see 6.4.5), e.g.: Er hat vieles/weniges Interessante gesagt (for less formal Er hat viel/ wenig Interessantes gesagt).

ii. Inflected forms are quite common in the dative singular masculine and neuter, e.g.: Mit viel/ vielem Zureden konnten wir einiges erreichen.

iii. Uninflected plural forms are occasionally found, mainly in colloquial speech: Im Grunde interessieren mich furchtbar wenig Dinge außer meiner eigenen Arbeit (Langgässer).

iv. Inflected singular forms are found in a few set phrases, notably *vielen Dank*.

c. viel and wenig can be used with a preceding definite article or other determiner They then have the usual adjective endings:

I was amazed at the large amount of money that he

spent

the courage of these many/few women der Mut dieser vielen/wenigen Frauen Sie hat ihr weniges Geld verloren *She lost her little bit of money* die wenigen, die ihn erkannten the few who recognised him

#### d. wenig in constructions like wenig gutes Fleisch can be ambiguous

It could mean 'not much good meat' or 'not very good meat'. If the context does not resolve the ambiguity, the first meaning can be made clear by replacing wenig by nicht viel, i.e. nicht viel gutes Fleisch, the second by using nicht sehr, i.e. nicht sehr gutes Fleisch.

Similarly, weniger gutes Fleisch could mean 'meat which was less good' or 'a smaller amount of good meat' (English 'less good meat' is similarly ambiguous). This ambiguity can also be resolved if necessary by paraphrasing, i.e. nicht so gutes Fleisch or nicht so viel gutes Fleisch.

#### e. The spelling of so viel, wie viel, zu viel, etc.

These combinations with viel and wenig are spelled as separate words: so viel, wie viel, zu viel, zu wenig, see 23.3.3.

## 5.5.26 Welcher 'Some', 'Any'

When used as an indefinite pronoun *welcher* has the endings of *dieser*, see Table 5.2. It is typical of colloquial speech, other alternatives (i.e. *einige*, *manche*, *etwas*) usually being preferred in formal registers.

It is used without restriction in the plural, but in the singular it can only refer to a mass noun. It refers back to a noun which has just been mentioned or to 'some people' identified by a following relative clause:

Hast du Käse? Ja, ich habe welchen

Wenn kein Wein da ist, hole ich uns welchen

Ich brauche Marken. Kannst du mir welche geben?

Hier sind welche vom Westfernsehen (Bednarz)

Have you got any cheese? Yes, I've got some

If there's no wine left, I'll get us some

I need some stamps. Can you give me some/any?

Here are some people from Western television

NB: For the use of welcher as an interrogative, see 5.3.1, as a relative pronoun, see 5.4.2.

## 5.5.27 Wer 'Someone', 'Somebody'

wer is used as a pronoun in colloquial speech, where formal registers prefer jemand (see 5.5.15):

Dich hat wieder **wer** angerufen

Someone's been on the phone for you again

Die hat wohl wieder **wen** angelächelt

It looks as if she's picked some bloke up again

Hast du wenigstens **wem** Bescheid gesagt?

Have you at least told someone about it?

NB: For the use of wer as an interrogative pronoun, see 5.3.3.

# 6 Adjectives

ADJECTIVES are words which describe, modify, or qualify nouns and pronouns. They do this in two main ways:

• either on their own or as part of a longer adjectival phrase. They then form part of a NOUN PHRASE, and they come immediately before the noun, after any determiners. This is called the **attributive** use of the adjective:

Determiner	Adjective/adjectival phrase	Noun
eine	kaltes	Wasser
das	hohe	Wand
die	ultramoderne	Raumschiff
	jetzt über das Internet erhältlichen	Games

• or by being used as a COMPLEMENT to a noun which is the subject or object of a verb, see also 18.6. This is called the **predicative** use of the adjective:

Helga ist aber **klein** Das Mädchen lag **krank** im Bett

Er isst die Würstchen warm Sie strich die Wand gelb

In German, **attributive adjectives** (and <u>only</u> attributive adjectives) have endings which indicate the same grammatical categories as nouns, i.e. CASE, PLURAL and GENDER. They are said to decline in AGREEMENT with the noun. There are two main sets of adjective endings in German, the so-called STRONG and WEAK declensions. Which one is used depends on whether or not there is also a determiner in the noun phrase, and what kind of ending it has (if any).

Predicative adjectives have no endings.

This chapter deals with the forms and uses of adjectives in German:

- the **strong** and **weak declension** of adjectives (sections 6.1–6.3)
- adjectives used as **nouns** (section 6.4)
- the use of **cases** with adjectives (section 6.5)
- the use of **prepositions** with adjectives (section 6.6)

We can compare the extent to which a particular person or thing possesses the quality expressed by an adjective by using special endings, e.g.  $sch\ddot{o}n - sch\ddot{o}ner - sch\ddot{o}nst$ . This is called the comparison of adjectives, and it is dealt with in detail in Chapter 8.

# 6.1 Declension of Adjectives

## 6.1.1 In German, Adjectives are only Declined when they are used Attributively

ein guter Mensch diese schönen Tage frisches Brot

When used **predicatively**, or in phrases separated from the noun, they have **no endings**:

Der Mensch war **gut** Er trat **ungeduldig** in das Zimmer

Er fühlte sich **gesund** Wir essen die Möhren **roh** 

Mein Vater, in Hamburg **tätig**, . . . Das Klima machte ihn **krank** 

**Optimistisch** wie immer, sie ließ sich von ihrem Vorhaben nicht abhalten ein erstklassiger Kellner, **rasch**, nicht **schwerhörig** (*Wohmann*)

Das Gewehr gehörte zu ihm wie eine Frau zu einem Mann, schweigsam, schön und zuverlässig (E.W. Heine)

The use of an endingless adjective after the noun is typically poetic: O Täler weit, o Höhen! (Eichendorff), but it is quite frequent as a stylistic device in advertising and technical language:

Henkel **trocken** Whisky **pur**  Schrankwand in Eiche **rustikal** oder Kiefer **natur** 700 Nadelfeilen **rund** nach DIN 8342

## 6.1.2 There are two Basic Declensions of Adjectives in German

These are usually called the STRONG and WEAK declensions. The endings of these declensions are shown in Table 6.1, and they are illustrated in full noun phrases in Tables 6.2, 6.3 and 6.4. These tables are all arranged with the neuters next to the masculines to show the overlap between the endings more clearly.

Table 6.1 The endings of adjectives in the strong and weak declensions

	Strong					
	Masc.	Neut.	Fem.	Plural		
Nom.	-er	-es	-e			
Acc.						
Gen.	-en		-	er		
Dat.	2	-em	1	-en		

		Weak					
	Masc.	Neut.	Fem.	Plural			
Nom.		-е					
Acc.							
Gen.	[		-e	n			
Dat.	Ī						

Table 6.2 Strong adjective declension, with no determiner

	Maso	culine	Ne	uter	Fen	ninine	PI	ural
Nom.	guter	Wein	gutes	Brot	gute	Suppe	gute	Weine
Acc.	guten	Wein	gutes	Brot	gute	Suppe	gute	Weine
Gen.	guten	Weins	guten	Brotes	guter	Suppe	guter	Weine
Dat.	gutem	Wein	gutem	Brot	guter	Suppe	guten	Weinen

**Table 6.3** Weak adjective declension, with the definite article

1	Masculi	ine		Neute	r		Femini	ne		Plura	al
der den des dem	gute guten guten guten	Wein Weins Weins	das das des dem	gute gute guten guten	Brot Brot Brotes Brot	die die der der	gute gute guten guten	Suppe Suppe Suppe Suppe	die die der den	guten guten guten guten	Weine Weine Weine Weinen

#### a. The strong declension has relatively more distinctive endings

They are identical to those of *dieser* (see Table 5.2), except that the genitive singular masculine and neuter ends in - en:

ein Stück internationalen Gewässers (*Presse*)

- die Perfektion reinen Klanges (hifiad)
- **b.** However, with **weak masculine nouns** which have the ending *en* in the genitive singular (see 1.3.2), the strong adjective has the ending *es*, e.g. *das Gesuch obiges Adressaten*. However, such forms are infrequent.
- c. The weak declension has only two endings, e and en

- e is used in the **nominative singular** of all genders and the **accusative singular feminine and neuter**. - en is used in all other combinations of case, plural and gender.

# 6.2 The Use of the Strong and Weak Declensions

The underlying principle governing the use of the strong and weak declensions is as follows:

The **strong** endings (which are more distinct) are used if there is no determiner in the noun phrase with an ending which indicates the case, gender and number of the noun as clearly as possible.

The **weak** endings are used if there is a determiner with an ending in the noun phrase.

## 6.2.1 The STRONG Declension is used in the Following Contexts in Accordance with this Principle

#### a. When there is no determiner in the noun phrase

frische Milch frisches Obst durch genaue Beobachtung mit neuem Mut aus deutschen Landen das Niveau französischer Filme

This also applies to adjectives used after **numerals** (including the genitives *zweier* and *dreier*, see 9.1.3a), after preceding **genitives**, and after the **genitive** of the **relative pronoun**:

zwei schöne Pfirsiche two fine peaches
Karls unermüdlicher Eifer Karl's tireless zeal
in Astrids kleinem Arbeitszimmer in Astrid's little study

mein Freund, dessen ältester Sohn krank war my friend, whose eldest son was ill

#### b. When the determiner in the noun phrase has no ending

ein älter**er** Herr unser klein**es** Kind kein schön**er** Tag mein neu**es** Kleid viel indisch**er** Tee ein paar grüne Äpfel

manch reiches Land welch herrliches Wetter! mit was für englischen Büchern

lauter faule Äpfel bei solch herrlichem Wetter

An important effect of this rule is that strong endings are used after the endingless forms of the indefinite articles *ein* and *kein* and of the possessives (i.e. *mein*, *dein*, *unser*, etc.). The declension of adjectives after these determiners, which seems to mix strong and weak endings, is sometimes called the mixed declension. For reference, it is illustrated in full in Table 6.4.

Table 6.4 Mixed adjective declension, with the indefinite article

	1	Masculi	ne		Neuter	2)		Feminin	ne .
Nom.	ein	guter	Wein	ein	gutes	Brot	eine	gute	Suppe
Acc.	einen	guten	Wein	ein	gutes	Brot	eine	gute	Suppe
Gen.	eines	guten	Weines	eines	guten	Brotes	einer	guten	Suppe
Dat.	einem	guten	Wein	einem	guten	Brot	einer	guten	Suppe

# 6.2.2 The WEAK Declension is used after most Determiners with Endings Indicating the Case, Number and Gender of the Noun

This rule follows the principle given above and applies, in particular:

#### a. After the definite article and demonstrative der

der weiße Wein den weißen Wein des weißen Weines die weißen Weine

## b. After the indefinite articles ein and kein and the possessives, IF they have an ending

i.e. except in the nominative singular masculine and the nominative/accusative singular neuter, where the strong endings are used (see 6.2.1b). This is illustrated in Table 6.4:

einen weißen Wein seinem weißen Wein ihrer weißen Weine

c. After dieser, jener, jeder and welcher

dieser weiße Wein diesen weißen Weinen diesen weißen Weinen

jenes weißen Weines jedem weißen Wein von welchem weißen Wein?

## 6.2.3 There is some Variation in the use of the Strong and weak Endings after Certain Determiners

In general, following the principle given above, the adjective has **weak** endings following any determiner which itself has an ending showing the case, number and gender of the noun. However, usage is not fixed after some of the indefinites and quantifiers given in section 5.5. The following summarises general current practice:

## a. Weak endings are always used in the SINGULAR

mancher brave Mann mit folgender nachdrücklichen Warnung

durch irgendwelchen puren Unsinn mit einigem bühnentechnischen Aufwand (Zeit)

mit allem möglichen Fleiß

von vielem kalten Wasser aus wenigem schlechten Wein

solches dumme Gerede

NB:

As a solitary exception, jeglicher is most often followed by strong endings, e.g. jegliches organisches Leben (Grzimek).

#### b. Usage in the PLURAL varies for different determiners

i. After *alle*, *beide* and *sämtliche* the weak endings are usual:

alle fremden Truppen sämtliche schönen Bücher beide bekannten Politiker aller interessierten Zuschauer

NB:

Strong endings are occasionally found, especially with beide, e.g. beide bekannte Politiker.

**ii.** After *irgendwelche* and *solche* either weak or strong endings are used. The weak endings are more frequent: solche schön**en** (*less common*: *schöne*) Tage

irgendwelcher interessierten (less common: interessierter) Zuschauer

**iii.** After *manche* either weak or strong endings are used. The strong endings are more frequent: manche schöne (*less common*: schönen) Aussichten

iv. After einige, etliche, folgende, mehrere, viele, wenige the strong endings are the general rule:

einige neue ICE- Verbindungen etliche fremde Besucher folgende bezeichnende Beispiele mehrere große Städte vieler nichtbeamteter Österreicher (*Kurier*) weniger günstiger Zeiten

NB:

Weak endings are occasionally found, most often in the genitive plural, e.g. einiger großen ausländischen Firmen for (much more frequent) einiger großer ausländischer Firmen.

v. For adjective endings after ander, see 5.5.2.

## c. Some indefinites and quantifiers may themselves be preceded by another determiner

i.e. by a definite or indefinite article, by one of the demonstratives *dieser* or *jener*, or by one of the possessives *mein*, *dein*, etc. They are then treated like adjectives and have a weak or strong adjective ending as appropriate, as does any further following adjective:

eine **solche** interessante Nachricht mit der **folgenden** krassen Behauptung mit seinem **wenigen** deutschen Geld aller **solchen** guten Wünsche diese **vielen** alten Dörfer

mein sämtliches kleines Vermögen

## d. Some indefinites and quantifiers have alternative endingless forms

The conditions under which these occur are explained under the relevant determiner in section 5.5. These endingless forms are followed by adjectives with strong endings, following the general principle explained above:

viel deutsches Geld manch schöner Tag

## 6.2.4 Two or more adjectives qualifying the same noun all have the same ending

dieser schöne, große Garten gutes bayrisches Bier

mein lieber alter Vater die Lösung wichtiger politischer Probleme

solch dummes Gerede

An occasional deviation from this rule is that in the dative singular masculine or neuter, a second (or subsequent) adjective may, optionally, have the weak ending - *en* rather than the strong ending - *em*:

mit dunklem bayrischem/bayrischen Bier

 $nach\ langem\ beunruhigendem/beunruhigenden\ Schweigen$ 

nach wochenlangem politischen Tauziehen (Presse)

Using the weak ending - en in such contexts is not accepted as standard by some authorities. However, although it is less frequent than the strong ending - em, it is by no means unusual in all kinds of written German, and it is the norm with adjectives used as nouns, see 6.4.2b.

## 6.2.5 The Adjective is still Declined if a Noun is Understood

'one' often has to be supplied in the equivalent English construction:

Welches Kleid hast du gewählt? Das rote

Which dress did you choose? The red one

Ich habe mein Taschenmesser verloren. Ich muss mir ein **neues** kaufen

I've lost my penknife. I'll have to buy myself a new one

Deutsche Weißweine sind süßer als französische

German white wines are sweeter than French ones

NB:

Adjectives used in this way with a noun understood are spelled with a small initial letter, not a capital, since they are not adjectives being used as nouns, see 6.4 and 23.2.1.b.

## 6.2.6 Adjectives Governing More than one noun with a Different Gender Cannot be Understood

In English an adjective (with or without a determiner) can be understood in a series of linked noun phrases, e.g. *my old aunt and uncle, dear Ruth and Martin, the new table and chairs*. This is <u>not</u> possible in German if the nouns involved are of a different gender or number. The adjective (and determiner) <u>must</u> be repeated, with different endings as appropriate:

mein alter Onkel und meine alte Tante

liebe Ruth, lieber Martin

## 6.2.7 In a few Special Cases an Attributive Adjective has no Ending

# a. In older German, adjectives sometimes lacked the strong ending - es before a neuter singular noun in the nominative or accusative

This usage is retained in a few idioms and set phrases, e.g.:

etwas auf gut Glück tun to take a chance sich lieb Kind machen to ingratiate oneself

Gut Ding will Weile haben Nothing good is done in a hurry

Ruhig Blut bewahren! Keep calm!
Kölnisch Wasser eau de Cologne

ein gehörig/gut Stück a substantial/good piece ein gut Teil a large proportion

### b. Some foreign adjectives ending in a full vowel do not take endings

Many of these are colour terms, i.e.: *lila*, *rosa*:

eine klasse Idee ein lila Mantel die orange Farbe ein rosa Kleid eine prima Ware eine super Schau

In writing, a suffix such as - farben or - farbig is an acceptable alternative for the colour terms, e.g. ein rosafarbenes Kleid. In colloquial speech, an - n- is sometimes inserted as a base for the usual endings, e.g. ein rosanes Kleid. This is widespread but considered incorrect in written German.

#### c. An adjective used as an adverb to qualify a following adjective has no ending

See also 7.3.1c. Compare the difference between the following:

ein unheilbar**er**, fauler Junge an incurable, lazy boy ein unheilbar fauler Junge an incurably lazy boy

However, this distinction is not always clear- cut, and the first of a pair of adjectives is sometimes left uninflected even if it is not being used as an adverb. This is a common stylistic device in writing:

ein reingebürtiger Pole von traurig edler Gestalt

(Grass) a pure- bred Pole with a sad, noble figure

seine **hochrot** abstehenden Ohren (*Grass*) his deep red, protuberant ears

einzig regularly has no ending if it can be considered as qualifying a following adjective e.g. die einzig(e) mögliche Lösung. For similar usage with derartig, see 5.1.6f.

#### d. Adjectives in - er from town names do not add endings

die Leipziger Messe, die Lüneburger Heide, der Kölner Dom

#### e. Adjectives in - er from numerals do not add endings

die neunziger Jahre the nineties

#### f. Endingless adjectives are used with names of letters and numerals

groß A, klein z, römisch IV, arabisch 4

## g. halb and ganz have no endings before geographical names used without an article

NB: See 9.3.2 for details on the use of halb.

## 6.2.8 Adjectives Used after a Personal Pronoun Usually have Strong Endings

ich armer Deutscher

Wer hat dich dummen Kerl gesehen?

Wer konnte euch treulosen Verrätern helfen?

Wer kümmert sich um uns frühere Kollegen?

However, weak endings are found in a few contexts:

- i. In the (rarely used) dative singular, weak or strong endings can be used in the masculine and neuter, e.g.: mir mittellosem/mittellosen Mann, but the feminine almost always has weak endings, e.g.: Er hat mir alten (rarely: alter) Frau geschmeichelt.
- **ii.** Weak endings are more usual in the nominative plural: wir jungen Kollegen; ihr hilflosen Kerle. However, for 'we Germans' wir Deutsche and wir Deutschen are equally common.

## 6.3 Irregularities in the Spelling of Some Adjectives

## 6.3.1 The Spelling of Inflected Adjectives in - el, - en, - er

a. Adjectives in - el drop the - e- when an ending is added

ein dunkler Wald, eine respektable Leistung

NB: When used as a noun, dunkel drops the - e- of the ending, e.g. im Dunkeln 'in the dark'.

b. Adjectives in - en can drop the - e- when an ending is added

This is usual in everyday speech, but uncommon in writing: eine metallene (rarely written: metallne) Stimme, ein seltener (rarely written: seltner) Vogel.

c. Adjectives in - er

Foreign adjectives and those with - au- or - eu- before the - er always drop the - e-:

eine makabre Geschichte, mit teuren Weinen, durch saure Milch

Other adjectives in - er usually keep the - e- in written German, although it is usually dropped in speech: eine muntere (rarely written: muntre) Frau.

NB:

- i. The e- of the comparative ending er (see 8.1) is rarely omitted in writing, e.g.: eine bessere (rarely in writing: bessre) Lösung.
- ii. For the spelling of declined *ander*, see 5.5.2.
- d. The e- is quite often left out in el- or er- in the middle of an adjective which has endings neb(e)lige Tage, eine wäss(e)rige Suppe, etc.

## 6.3.2 Hoch 'High'

hoch has the special form hoh- to which the usual endings are added: der Berg ist hoch, but ein hoher Berg.

#### 6.3.3 A few Adjectives have Alternative Base forms with or without final - e

e.g.: Er ist feig or feige 'He is cowardly'. They are:

blöd(e)	bös(e)	fad(e)	irr(e)	leis(e)	mild(e)
müd(e)	öd(e)	träg(e)	trüb(e)	vag(e)	zäh(e)

With all except  $bl\ddot{o}d(e)$ , mild(e) and  $z\ddot{a}h(e)$ , the alternative with - e tends to be preferred in written German. In speech the form without - e is more frequent unless the adjective is stressed.

# 6.4 Adjectives Used as Nouns

## 6.4.1 All Adjectives and Participles can be used as Nouns in German

They are written with a capital letter:

der **Alte** *the old man* die das **Alte** *old things* die

Alte the old woman
Alten the old people

English cannot turn adjectives into nouns as easily, except in a few restricted cases ('the young', 'the old', 'the Dutch', 'the good, the bad and the ugly', etc.). and we usually have to supply a dummy noun like 'man', 'woman', 'thing(s)', 'people' to be used with the adjective. The overuse of the corresponding German words like *Ding* or *Leute* is a characteristic feature of the German of English learners.

Idiomatic German exploits fully the possibilities of concise expression offered by the fact that adjectives can be used as nouns in this way. In particular, they are often used where full clauses would be needed in English:

Die Farbe dieser Vögel war das für mich Interessante

Er hat sich über das Gesagte aufgeregt

Das **Erschreckende** an diesem Vorfall war seine scheinbare Unabwendbarkeit

Die gerade **Eingestiegenen** waren ein älterer Herr und eine elegante Dame

ein Ort, wo das irgendwie zu denkende **Konkrete** unwiederbringlich in **Abstraktes** umschlägt

The colour of these birds was what interested me He got annoyed about what had been said

What was terrifying about this occurrence was its apparent inevitability

The people who had just got in were an elderly man and an elegant lady

a point where concrete reality, however it may be imagined, becomes irrevocably abstract

NB:

Adjectives used as nouns in this way are different from adjectives being used with a preceding noun understood. These are spelled with a **small** initial letter, see 6.2.5. Compare *Kennst du den Alten*? 'Do you know the old man?' with *Hast du einen neuen Wagen gekauft? Nein, einen alten (Wagen* understood). 'Did you buy a new car? No, an old one.'

## 6.4.2 The Declension of Adjectives Used as Nouns

## a. Adjectives used as nouns decline like attributive adjectives

They have weak or strong endings according to the rules given in 6.2. They thus have the same endings as any preceding adjective, e.g.: *ein zuverlässiger Angestellter*, *von einer unbekannten Fremden*. The declension with the definite and indefinite articles of a typical masculine adjective used as a noun, *der Angestellte* 'employee', is shown in Table 6.5.

Table 6.5 Declension of adjectives used as nouns

		Def	inite article	Indefinite article	
Singular	Nominative Accusative Genitive Dative	der den des dem	Angestellte Angestellten Angestellten Angestellten	ein einen eines einem	Angestellter Angestellten Angestellten Angestellten
Plural	Nominative Accusative Genitive Dative	die die der den	Angestellten Angestellten Angestellten Angestellten		Angestellte Angestellte Angestellter Angestellten

NB: der Angestellte is naturally only used of a male employee. A female employee will be die Angestellte, eine Angestellte, with the appropriate endings, see 6.4.3.

Adjectives used as nouns in this way should not be confused with 'weak' masculine nouns, whose declension looks quite similar, see Table 1.6. Note the difference between the endings of adjectives used as nouns and 'weak' masculine (or other regular) nouns:

Adjective used as noun	x2018;Weak' masculine (or other) noun
der Deutsche, des Deutschen German NB: ein	der Franzose, des Franzosen Frenchman NB: ein
Deutscher	Franzose
das Junge young of an animal NB: ein Junges	der Junge, des Jungen boy NB: ein Junge
die Fremde female stranger NB: mit der Fremden	die Fremde foreign parts NB: in der Fremde abroad

## b. In a few contexts adjectives used as nouns decline in a different way from other adjectives

- i. In the dative singular and the genitive plural the adjective used as a noun can have the weak ending en if preceded by an adjective with the strong endings em or er. This is a general rule with the masculine nouns, but less regular with feminines or in the genitive plural:
  - Ich sprach mit Karls altem Bekannten, mit Helmuts englischer Bekannten/Bekannter die Freistellung zahlreicher Angestellter/Angestellten
- ii. In apposition (see 2.6), the weak ending is used in the dative singular even if there is no determiner:
  - 1. Er sprach mit Karl Friedrichsen, Angestellten (rarely: Angestelltem) der BASF in Ludwigshafen

Er sprach mit Heike König, Angestellten (never: Angestellter) der BASF in Ludwigshafen

In practice, such constructions are avoided. The nominative case is used: mit Karl Friedrichsen, Angestellter der BASF, or an article is added: mit Karl Friedrichsen, dem/einem Angestellten der BASF.

iii. The neuters das Äußere, das Ganze and das Innere can have the weak or the strong endings in the nominative/accusative singular after the indefinite article or the possessives if another adjective comes first:

sein schlichtes Äußere(s)

der Abgeordnete representative

ein einheitliches Ganze(s)

der Gesandte emissarv

mein eigenes Innere(s)

## 6.4.3 Masculine and Feminine Adjectival Nouns Usually Refer to People

#### a. The gender is indicated by using the appropriate article

e.g. *der Fremde* 'the (male) stranger', *die Fremde* 'the (female) stranger'. Many common ones correspond to simple nouns in English:

del Hogeordiete representative	dei Gesandie emissary
der Adlige aristocrat	der Heilige saint
der Angestellte employee	der Industrielle industrialist
der Asylsuchende asylum- seeker	der Jugendliche young person
der Beamte civil servant	der Obdachlose homeless person
der Bekannte acquaintance	der Reisende traveller
der Deutsche German	der Staatsangehörige citizen
der Erwachsene adult	der Überlebende survivor
der Freiwillige volunteer	der Verlobte fiancé
der Fremde stranger	der Verwandte relative
der Gefangene prisoner	der Vorgesetzte superior
der Geistliche clergyman	der Vorsitzende chairman

## b. A few feminine adjectival nouns represent special cases

i. A few referring to things are always feminine, i.e.:

die Elektrische tram (old South German) die Gerade straight line die Rechte, Linke right, left (hand); (political) right, left (wathematical) variable left

e.g.: überdrüssig des Terrors einer revolutionären Linken (SZ)

ii. Some feminines which were originally adjectival nouns are now most often treated as regular feminine nouns:

die Brünette the brunette die Variable the variable die Gerade the straight line die Vertikale the vertical

die Parallele *the parallel (line)*die Horizontale *the horizontal* (e.g.: aus der Horizontale (*no longer*: Horizontalen)

The older forms with adjectival endings are still found, and especially after a numeral *drei Parallele* is quite frequent besides the more usual *drei Parallelen*.

- iii. die Illustrierte 'the magazine' is still most often treated as an adjectival noun, e.g.: in dieser Illustrierten rather than in dieser Illustrierte. In the plural, though, adjective endings and noun endings are equally common, e.g.: Wir haben zwei Illustrierte/Illustrierten gekauft.
- **iv.** Exceptionally, the feminine form corresponding to *der Beamte* is *die Beamtin*. This is as a regular feminine noun, with the plural *die Beamtinnen*.

## 6.4.4 Neuter Adjectival Nouns Usually Denote Abstract or Collective Ideas

Es ist schon **Schlimmes** passiert

Er hat **Hervorragendes** geleistet

Bad things have already happened

He has achieved outstanding things

der Schauer des **Verbotenen** und **Versagten** (Zweig)

the frightening fascination of what is forbidden or denied

... zugleich immer aufbauend auf das **Erreichte**(Mercedes advert)
... at the same time always building on what has been achieved

NB:

das Junge 'the young' (of an animal), see 1.1.12.

Especially in spoken German, the names of regions within the German-speaking countries often take the form of neuter adjectival nouns, e.g.:

Jetzt kommen wir ins Hessische Hier sind wir im Thüringischen
Der Baron von Münchhausen kam im Braunschweigischen zur Welt (Kästner)

#### 6.4.5 Neuter Adjectival Nouns are Frequently used After Indefinites

especially after *alles*, *nichts*, *viel(es)*, *wenig*, see 5.5. These have weak or strong endings depending on whether the indefinite itself has an ending, e.g.:

alles Gute
von allem Guten
von nichts Neues
von nichts Neuem
weiteres Interessante
lauter Neues
folgendes Neue
vieles Interessante
von vielem Interessantem

## 6.4.6 Words Denoting Languages and Colours have the form of Neuter Adjectival Nouns

#### a. Names of languages

For the use of the article with these nouns, see 4.2.4d.

**i.** The most common form, used to refer to the language in a specific context, or when an adjective precedes, is a neuter adjective. It has no endings, except that, optionally, - s can be added in the genitive (see 1.3.7c):

Wir lernen Spanisch, Französisch, Russisch, Englisch

Die Aussprache des modernen **Deutsch(s)** 

eine Übersetzung aus dem amerikanischen Englisch

ii. To refer to the language in a general sense, a declined adjectival neuter noun is used. It always has the definite article.

Das Englische ist dem Deutschen verwandt

eine Übersetzung aus dem Tschechischen

This form cannot be used with a preceding adjective; if an adjective is present, then the endingless form is used.

Mit meinem schlechten Deutsch komme ich nicht weit

#### b. Names of colours

These usually have the form of an endingless neuter adjectival noun which has no endings, except that - s is usually added in the genitive singular. The plural is endingless in written German, though - s is sometimes used in speech:

das **Grün** der Wiesen dieses hässlichen **Gelbs** 

von einem glänzenden **Rot** die beiden **Blau** (spoken: Blaus)

in Schwarz gekleidet

In a few set phrases with the definite article this noun is declined:

ins **Grün**e fahren Es ist das **Gelbe** vom Ei

ins **Schwarze** treffen das **Blaue** vom Himmel herunter versprechen

# 6.5 Cases with Adjectives

Many adjectives can be used with a noun dependent on them, which then takes a particular case (we say that the adjective 'governs' a noun in that case). The case varies depending on the individual adjective, e.g.:

• dative: Sie ist ihrem Bruder sehr ähnlich (section 6.5.1)

• accusative: Ich bin den Lärm nicht gewohnt (section 6.5.2)

• genitive: Sie ist der deutschen Sprache mächtig (section 6.5.3)

#### 6.5.1 Adjectives which Govern the DATIVE

## a. The dative is the most common case used with adjectives

Sie waren **ihrem Freund** beim Umzug behilflich

They helped their friend when he moved house

Dieses Gespräch war **mir** sehr nützlich

This conversation was very useful for me

Er war **seinem Gegner** überlegen He surpassed his opponent

Ein **ihr** unbekannter Mann trat herein A man she didn't know walked in

The following list gives a selection of frequent adjectives which govern the dative.

ähnlich\* *like*, *similar* günstig *favourable* 

angenehm† agreeable begreiflich comprehensible

behilflich helpful

bekannt known, familiar

 $bequem {\it comfortable}$ 

bewusst *known* beschwerlich<sup>†</sup> *arduous* 

böse *angry* dankbar *grateful* eigen *peculiar* 

cigcii pecuitai

entbehrlich<sup>†</sup> *unnecessary* ergeben *devoted*, *attached* 

erwünscht *desirable* fern *distant* 

fremd strange

gefährlich<sup>†</sup> dangerous gefällig obliging nicht geheuer scary

gehorsam *obedient* geläufig *familiar* gemeinsam *common* 

gerecht just gesinnt inclined

gewogen (lit.) well- disposed

heilig *holy*, *sacred* hinderlich *awkward* 

klar obvious

lästig<sup>†</sup> troublesome

leicht<sup>†</sup> easy

möglich<sup>†</sup> possible nahe\* near, close nötig necessary nützlich<sup>†</sup> useful

peinlich† embarrassing

schädlich<sup>†</sup> injurious, harmful

schuldig owing schwer difficult teuer expensive treu\* faithful überlegen superior verhasst hateful

verständlich<sup>†</sup> comprehensible

wichtig<sup>†</sup> *important* widerlich *repugnant* willkommen *welcome* zugänglich<sup>†</sup> *accessible* zuträglich *beneficial* 

The adjective usually **follows** the noun (or pronoun) dependent on it, but those marked with \* in the list above may come first. Those marked with † may alternatively be used with *für* (before or after the adjective), e.g.: *Das war für mich unangenehm/unangenehm für mich* (see also 6.6). *böse* can also be used with *auf* or *mit* (see 6.6).

## b. Some adjectives which govern the dative are only used predicatively

Sie ist **mir** zuwider

She is repugnant to me

These are:

abhold (arch., lit.) *ill- disposed* feind (arch., lit.) *hostile* freund (lit.) *friendly* 

gram (lit.) angry (with)

 $hold \, (arch., \, lit.) \, favourably \, disposed$ 

untertan *subordinate*zugetan *well- disposed*zuwider *repugnant* 

This group also contains all the adjectives meaning 'all the same', e.g.: Das ist **mir** gleich 'That's all the same to me', i.e.: einerlei, egal (coll.), gleich, piepe (coll.), schnuppe, wurs(ch)t (coll.). zugetan is occasionally used predicatively: Die mir sonst sehr zugetane Oberschwester.

# c. Some adjectives expressing sensations are used in the predicate of sein with a dative of the person experiencing the sensation

e.g.: Es ist mir heiß, kalt, schlecht, übel, warm. More detail on these is given in 2.5.5c.

#### 6.5.2 Adjectives which Govern the Accusative

These occur mainly in verbal constructions with sein or werden, although some can be used with a following dass-clause or an infinitive clause with zu.

jdn./etwas \*gewahr werden (lit.) Wir wurden unseren Irrtum gewahr etwas gewohnt sein Ich bin den Lärm nicht gewohnt etwas \*leid sein Ich bin das schlechte Essen leid etwas/jdn. los sein/warden Endlich bin ich den Schnupfen los

etwas/jdn.\* **satt** sein/haben Er ist/hat es gründlich satt jdm. etwas **schuldig** sein Sie ist ihm eine Erklärung schuldig

etwas \*wert sein Es ist das Papier nicht wert, auf dem es steht (MM)

to become aware of sth./sb. We realised our mistake

to be used to sth. I'm not used to the noise

to be tired of /fed up with I'm fed up with the bad food

to be/get rid of sth/sb. At last I've got rid of the cold

to be sick of sb./sth. He's thoroughly sick of it

to owe sb. sth. She owes him an explanation

to be worth sth. It's not worth the paper it's printed on

NB:

(i) The adjectives asterisked can be used with a genitive in formal registers, see 6.5.3; in the case of *satt* this is only possible in conjunction with *sein*, not with *haben*.

(ii) schuldig is used with a genitive in the sense of 'guilty', e.g.: Er ist des Verbrechens schuldig 'He is guilty of the crime'.

## 6.5.3 Adjectives which Govern the GENITIVE

## a. The genitive with adjectives is mainly restricted to formal German

A number of the adjectives concerned have alternative constructions in less formal registers, as indicated below, while a few adjectives most often used with a following accusative (see 6.5.2) can alternatively be used with a genitive in more formal registers. With the exception of *bar*, they follow the noun:

bar devoid of

bewusst conscious of

**fähig** *capable of* (*or with* zu + *noun*)

**froh** *pleased at (usually:* über)

**gewahr** aware of (more often with acc.)

gewiss certain of

mächtig master of

müde tired of

**schuldig** *guilty of* (see 6.5.2)

sicher sure of

**überdrüssig** tired of (or, rarely, with acc.)

**wert** *worthy of (often with acc.)* 

Seine Handlungsweise war bar **aller Vernunft** His action was devoid of all reason

Ich war mir **meines Irrtums** bewusst *I was conscious of my mistake* 

Er ist **einer solchen Tat** nicht fähig *He is not capable* of such a deed

Sie war **seines Erfolges** froh *She was pleased about his success* 

Wir wurden **unseres Irrtums** gewahr *We became aware* of our mistake

Sie können **meiner Unterstützung** gewiss sein *You can* be certain of my support

Sie ist **des Deutschen** absolut mächtig *She has a complete command of German* 

Wer des Schauens und Kaufens müde war (SGT) Whoever was tired of looking and buying

Der Angeklagte ist **des Hochverrats** schuldig *The accused is guilty of high treason* 

Er ist sich **seiner Sache** noch nicht sicher (*Zeit*) *He is not quite sure of his ground* 

Er sagte, er sei des Lebens überdrüssig (HMP) He said he was tired of life

der Wille, erhalten zu wollen, was des Erhaltens wert ist (SGT) the desire to keep what is worth keeping

würdig worthy of

NB·

reflexive pronoun is always inserted when bewusst and sicher are used with a genitive.

#### b. voll and voller are used in a number of alternative constructions

- i. In formal written language voll and voller are used with the genitive: Das Theater war voll aufmerksamer Zuschauer, ein Korb voller grüner Äpfel. Alternatively, voll and voller are used with the dative singular ein Korb voll grünem Obst, mit einer Schüssel voller warmem Wasser (Grass).
- ii. With a noun standing alone, voll or voller can be used with a nominative: ein Korb voll Obst, voll(er) Äpfel.
- iii. With a noun qualified by an adjective, voll von can be used: ein Korb voll von herrlichem Obst, roten Äpfeln
- iv. voll mit is also frequent, particularly in spoken registers: ein Korb voll mit herrlichem Obst, roten Äpfeln

# c. A few adjectives governing the genitive are largely restricted to predicate use after sein, bleiben and/or werden

These are used only in formal (particularly legal or official) written German:

ansichtig bedürftig eingedenk geständig gewärtig habhaft (un)kundig ledig teilhaftig verdächtig verlustig

An example from official legal language:

Er ist der Bürgerrechte für verlustig erklärt worden

He has been deprived of his civic rights

## 6.6 Adjectives with Prepositions

Er war mit meinem Entschluss einverstanden

## 6.6.1 Many Adjectives can be Linked to a Noun by Means of a Preposition

We speak of the adjective governing a particular preposition:

Das ist von dem Wetter abhängig

die um ihre Kinder besorgte Mutter

Which preposition is used depends on the individual adjective, and the preposition often retains little of its full meaning. A selection of adjectives governing prepositions is given below, especially those which are frequent or which have a construction different from their usual English equivalents.

The prepositional phrase may precede or follow the adjective. If it contains a noun it commonly comes before the verb, but it may follow; if it contains a pronoun it almost invariably follows the verb, e.g.:

either: Er ist **über den neuen Lehrling** verärgert or (less usual): Er ist verärgert **über den neuen Lehrling** 

but always: Er ist verärgert **über ihn** 

NB:

arm and reich usually precede a phrase with an, even if it has a noun, e.g. Das Land ist arm/reich an Bodenschätzen.

## a. Frequently used adjectives governing a preposition

abhängig von dependent on

**angewiesen** auf etwas/jdn. sein Wir waren **auf uns** selber angewiesen to have to rely on sth./sb.

ärgerlich auf/über annoyed with

arm an	poor in
aufmerksam auf Sie machte mich auf meinen Irrtum aufmerksam	aware of
begeistert von/über	enthusiastic about
berechtigt zu Sie sind zu diesem Vorwurf berechtigt	justified in
bereit zu Die Truppen waren zum Einsatz bereit	ready for
besorgt um	anxious about
bezeichnend für	characteristic of
blass, bleich vor Er war völlig blass/bleich vor Entsetzen	pale with
<b>böse</b> auf/mit Bist du böse <b>auf mich/mit mir</b> ? ( <i>or</i> : Bist du <b>mir</b> böse, <i>see</i>	cross with 6.5.1)
charakteristisch für	characteristic of
dankbar für Ich war ihm für seine gütige Hilfe dankbar	grateful for
durstig nach	thirsty for
eifersüchtig auf	jealous of
einverstanden mit Bist du mit diesem Vorschlag einverstanden?	in agreement with
empfänglich für Sie ist sehr empfänglich für Schmeichelei	susceptible, receptive to
empfindlich gegen Sie ist sehr empfindlich gegen Kälte	sensitive to
ersichtlich aus Das ist aus seiner letzten Bemerkung ersichtlich	obvious, clear from
<b>fähig</b> zu Sie ist <b>zu einer solchen Tat</b> nicht fähig ( <i>or genitive, see</i> 6.5.3a)	capable of
<b>fertig</b> mit etwas sein Bist du <b>mit dem Essen</b> schon fertig?	to have finished sth.
<b>geeignet</b> für/zu Er ist <b>für diese/zu dieser Arbeit</b> nicht geeignet	suitable for
gefasst auf Mach dich gefasst auf seine Reaktion/	ready, prepared for
geil auf (coll.)	keen on
Ich bin so geil auf Tennis, sagte er (MM)	I am so keen on tennis, he said
gespannt auf	extremely curious about
Ich bin <b>auf diesen Film</b> sehr gespannt	I am dying to see that film
gewöhnt an Ich bin jetzt an diesen Kaffee gewöhnt	accustomed/used to
gierig nach	greedy for
gleichgültig gegen/gegenüber	indifferent to(wards)
höflich zu/gegenüber	polite to(wards)
hungrig nach	hungry for
interessiert an	interested in
müde von Er war müde von der schweren Arbeit (see also 6.5.3a)	tired from
neidisch auf	envious of
ne ugie rig auf	curious about
reich an	rich in

scharf auf (coll.) Er ist scharf auf seine Rechte keen on

**schuld** an etwas sein/haben Wer war/hatte **an dem**to be blamed for sth.

Streit schuld?

sicher vorsafe fromstolz aufproud ofstumm vordumb withtypisch fürtypical ofüberzeugt vonconvinced ofunabhängig vonindependent ofverheiratet mitmarried toverliebt in Sie ist in den Bruder ihrer Freundin verliebt in love with

verschieden vondifferent to/fromversessen auf Er ist versessen auf alte Sportwagen(very, mad) keen on

verwandt mitrelated tovorbereitet aufprepared for

wütend auf Er war wütend auf seine Chefin mad at, furious with

zornig aufangry withzuständig fürresponsible for

NB:

If they are governed by an adjective, auf and über are always followed by the accusative case, and vor is always followed by the dative.

#### b. *über* (with the accusative) is used with many adjectives to mean 'about'

Sie war erfreut, erstaunt, froh, verwundert über seinen Erfolg

Frequent adjectives which govern *über* (see also 20.3.12e):

aufgebracht *outraged* erfreut *delighted*beschämt *ashamed* erstaunt *amazed*bestürzt, betroffen *full of consternation* froh *glad* (*see* 6.5.3a)
empört, entrüstet *indignant* glücklich *happy*entzückt *delighted* traurig *sad* 

erbittert bitter verwundert astonished

erbost infuriated

# 6.6.2 Many Adjectives Governing Prepositions can be used with a Following dass- Clause or an Infinitive Clause with *zu*

These clauses are often anticipated by the prepositional adverb, i.e. da(r) + preposition, e.g. daran, damit, see 3.5:

Er ist **davon** abhängig, dass ihm sein Bruder hilft

He is dependent on his brother helping him

Er ist **davon** abhängig, das Geld zu erhalten

He is dependent on receiving the money

Wir sind dazu bereit, Ihnen darüber Auskünfte zu geben We are prepared to give you some information about this

Sie war darüber froh, dass sie ihn noch sehen würde She was pleased that she would still see him

There are no hard and fast rules for when the prepositional adverb is used in these constructions and when it is not. With a number of the adjectives given in section 6.6.1 it is quite optional and pairs of sentences like the following are equally acceptable and grammatical:

Ich bin gewöhnt, jeden Tag eine Stunde zu üben

Ich bin daran gewöhnt, jeden Tag eine Stunde zu üben

Using the prepositional adverb seems to focus emphasis on the content of the dependent clause or infinitive phrase. In practice it is more commonly used than left out, even where it is optional, especially in written German.

## 6.6.3 Extended Phrases with Adjectives can be Used Attributively

In German extended adjectival phrases can be used attributively, i.e. before the noun, in a way quite unknown in English. Such phrases include a noun phrase (in the case governed by the adjective) or a prepositional phrase (with the preposition governed by the particular adjective), and they can sometimes be very long. This **extended attribute** construction is very common in formal German, especially in technical and official registers:

dieses seinem Vorgesetzten äußerst nützliche Gespräch this conversation which was very useful to his superior

zum Einsatz bereite Truppentroops ready to be deployedeine von rhetorischen Effekten freie Redea speech free of rhetorical deviceseine für sie ganz typische Haltungan attitude quite typical of her

This construction is also very common with participles, see 13.5.3.

# 7 Adverbs

The traditional term ADVERB covers a range of words with a variety of uses. Typically, adverbs are words which do not decline and which express relations like time, place, manner and degree. They can be used:

- to qualify verbs: Sie hat ihm höflich geantwortet
- to qualify adjectives: ein natürlich eleganter Stil
- they often relate to the sentence as a whole, e.g. Er hat ihr sicher geholfen

In practice all authorities differ, sometimes quite radically, on what are to be considered as adverbs in German and how they are to be classified in terms of their function. In this chapter we use a simplified classification for practical purposes, and this is summarised in Table 7.1.

Table 7.1 Main types of adverb

Adverbs	Use	Examples
time	answering the question <b>when</b>	damals, lange, oft, gestern, heute
place	answering the question where	hier, dort, oben, draußen, überall
direction	answering the question where to/from	dahin, daher, hinüber, herein
attitude/viewpoint	commenting on what is said, or answering a <b>yes/no</b> question	hoffentlich, leider, wahrscheinlich, natürlich, psychologisch
reason/cause	answering the question <b>why</b>	dadurch, daher, deshalb, folglich, trotzdem
manner	answering the question <b>how</b>	irgendwie, anders, telefonisch
degree	answering the question <b>how much/ small</b> (often with adjectives)	sehr, außerordentlich, relativ, etwas, ziemlich
interrogative	w-words introducing questions	wann?, weshalb?, wieso?

Phrases, often with a preposition, can have the same function as an adverb in a sentence. Compare:

Sie hat heute gearbeitet – Sie hat den ganzen Tag gearbeitet

Sie ist **trotzdem** gekommen – Sie ist **trotz des Regens** gekommen

Sie blieb dort – Sie blieb in der alten Stadt am Rhein

The term ADVERBIAL is commonly used to refer to both single words (i.e. adverbs) and phrases like the above (traditionally called **adverbial phrases**) which function adverbially. This chapter only deals with adverbs proper (i.e. single words) and concentrates on those adverbs of German and their uses which present significant differences to their most usual English equivalents, in particular:

- adverbs of place (section 7.1)
- adverbs of **direction** (section 7.2)
- adverbs of manner, viewpoint, attitude and reason (section 7.3)
- adverbs of **degree** (section 7.4)
- interrogative adverbs (section 7.5)

Adverbs of **time** are dealt with in Chapter 11 with other time expressions (specifically in section 11.6). **Modal particles** like *doch*, *schon* and *wohl* are treated in Chapter 10. The **comparative** and **superlative** forms of adverbs (e.g. *Sie fährt schneller*, *am schnellsten*) are explained in Chapter 8.

#### 7.1 Adverbs of Place

This section deals with those adverbs which indicate position.

#### 7.1.1 Hier, Dort, Da

a. hier refers to a place close to the speaker (= English 'here')

Ich habe deine Tasche hier im Schrank gefunden

b. *dort* refers to a place away from the speaker (= English 'there')

Ich sah deine Schwester dort an der Ecke stehen

c. da is a less emphatic alternative to dort

It is used more frequently than *dort* and usually refers to a place away from the speaker:

Ich sah ihn da an der Ecke stehen

da is sometimes used to point in a general, unemphatic way when the difference between 'here' and 'there' is not crucial. In such contexts it can sometimes correspond to English 'here':

Herr Meyer ist momentan nicht da

Mr Meyer is not here at the moment

This usage is particularly common in the South, and universal in Austria.

# 7.1.2 Oben, Unten

German lacks noun equivalents for 'top 'and 'bottom' and often uses phrases with *oben* and *unten* in contexts where these would be used in English:

**oben** auf dem Turm at the top of the tower

Sie stand ganz **oben** auf der Treppe She was standing right at the top of the stairs

**unten** auf dem Bild at the bottom of the picture

Bis unten sind es noch zwei Stunden zu Fuß

It's another two hours' walk to the bottom

The column broadens out towards the bottom

Sein Name steht **unten** auf der Liste

His name is at the bottom of the list
ganz **unten** im Kasten

right at the bottom of the chest

auf Seite 90 **unten**at the bottom of page 90

von **oben** bis **unten**from top to bottom

#### 7.1.3 The Adverb Mitten is the Most usual Equivalent for the English Noun 'Middle'

Mitten im Garten ist ein Teich

In the middle of the garden there is a pond

Sie stellte die Vase **mitten** auf den Tisch She put the vase in the middle of the table

mitten in der Nachtin the middle of the nightmitten in der Aufregungin the midst of the excitement

Ich war **mitten** unter den Leuten auf der Straße

I was in the midst of the people in the street

Er bahnte sich **mitten** durch die Menge einen Weg

He forced his way through the middle of the crowd

mitten auf der Leiter halfway up/down the ladder

#### 7.1.4 Außen, Draußen, Innen, Drinnen

außen and innen mean 'on the outside', 'on the inside', i.e. they refer to the outer or inner surface of the object. draußen and drinnen, on the other hand, mean 'outside' and 'inside', i.e. away from the object or contained within it:

Die Tasse ist **außen** schmutzig

The cup is dirty on the outside

Ich musste **draußen** warten

I had to wait outside

Die Äpfel sind **innen** faul The apples are rotten inside

**Drinnen** ist es aber schön warm

Indoors it's nice and warm, though

Dieses Fenster geht nach innen auf

Wir kommen von draußen

Er schloss die Tür von außen zu

We are coming from outside

He shut the door from the outside

von außen/innen gesehen

seen from the outside/inside

NB: The use of *außen* and *innen* to mean 'outside' and 'inside' is now archaic or regional (especially Austrian).

#### 7.1.5 Indefinite Place Adverbs

i.e. the equivalents of English 'somewhere', 'anywhere', 'everywhere', 'nowhere'.

a. irgendwo corresponds to 'somewhere' or, in questions, 'anywhere'

Ich habe es **irgendwo** liegen gelassen

I've left it somewhere

Hast du Paula **irgendwo** gesehen? Have you seen Paula anywhere?

In spoken German simple wo is commonly used for *irgendwo* if unstressed: *Ich habe es wohl wo liegen gelassen*.

b. überall corresponds to 'everywhere', or to 'anywhere' in the sense of 'no matter where'

Erika hat dich **überall** gesucht Erika was looking for you everywhere

Sie dürfen hier **überall** parken

You can park anywhere here

c. nirgendwo, nirgends correspond to 'nowhere', 'not . . . anywhere'

Er war **nirgendwo/nirgends** zu sehen He was nowhere to be seen

Ich habe dich gestern **nirgends** gesehen

I didn't see you anywhere yesterday

**d.** anderswo, woanders correspond to 'somewhere else', 'elsewhere' (in questions also = anywhere else):

Sie müssen ihn **anderswo/woanders** suchen
Hast du ihn **anderswo/woanders** gesehen?

You'll have to look for him somewhere else
Have you seen him somewhere/anywhere else?

#### 7.2 Adverbs of Direction: Hin and Her

By using the adverbs *hin* and *her*, German can express direction away from or towards the speaker more consistently than is possible in English. These adverbs have a wide range of uses and can occur alone or linked with another word. In general, *hin* denotes **motion away from** the speaker (or the person concerned), while *her* denotes **motion towards** the speaker (or another point of reference).

#### 7.2.1 Hin and Her are Compounded with Position Adverbs to form Direction Adverbs

By using these compound forms, German differentiates between **position**, **movement away from the speaker** and **movement towards the speaker**. This can be illustrated by the interrogative adverbs:

**Wo** wohnen Sie? Where do you live?

Wohin gehen Sie?Where are you going (to)?Woher kommen Sie?Where are you coming from?

The other adverbs of position given in section 7.1.1 and 7.1.5 compound in a similar way with - hin and - her to

indicate direction to/from:

Sie wohnt hierShe lives hereSie kommt hierherShe's coming here

Leg das Paket hierhin! Put the parcel down here

Sie wohnt doch da/dort She lives there

In den Ferien fahren wir dorthin/dahin, wo wir voriges

Jahr waren

In the holidays we're going where we were last year

Sie kommt **dorther**That's where she comes from

Er stand **dort** an der Ecke

He was standing there on the corner

Wie wollen wir **dorthin** kommen?

How are we going to get there?

He's going somewhere this afternoon

Sie geht **überallhin**She goes everywhere

Morgen fahren wir **anderswohin**We're going somewhere else tomorrow

wohin, woher, dahin and daher are often split, especially in spoken German, with hin and her being placed at the end of the clause (and written together with the verb):

Wo kommt deine Mutter her? Wo gehört dieses Buch hin?

**Da** gehe ich praktisch nie hin **Da** kommt er doch nicht her, oder?

ein kleines, gutes Restaurant, wo keine Amerikaner hinkamen (Baum)

NB:

(i) von wo and von da/dort are common alternatives in spoken German to woher, daher/dorther: Von wo kommt er? Er kommt von da/dort.

(ii) dahin is used with sein in the meaning 'finished, lost', e.g.: Sein Leben ist dahin; Mein ganzes Geld war dahin.

(iii) If these words are used in an extended sense they cannot be split, e.g. woher in: Woher weißt du das? 'How do you know that?' and daher in the meaning 'that is why', e.g.: Daher hat sie sich aufgeregt.

# 7.2.2 Hin and her Combine with Many Verbs as a Separable Prefix

#### a. With most verbs they indicate the direction of movement

In such contexts they do not need a specific 'here' or 'there' element. The English equivalents (if any) can be idiomatic, especially if the verb does not primarily denote movement:

Heute ist eine Wahlversammlung, und ich gehe **hin**There's an election meeting today and I'm going

there/to it

Ich hielt ihm die Zeitung hin

I held out the newspaper to him

Ich hörte einen Ruf und sah **hin**I heard a cry and looked over in that direction

Komm mal her!

Gib den Schlüssel her!

Er hat mich mit dem Auto hergefahren

Halt den Teller her!

Come here

Give me the key

He drove me here

Hold out your plate

Setz dich **her** zu mir! Come and sit down over here by me

#### b. Some verbs compounded with hin- and her- have a derived, abstract or figurative meaning

sein Leben für etwas **hingeben** to sacrifice one's life for sth.

Das wird schon **hinhauen** (coll.)

It'll be OK in the end

Nach dem Interview war ich völlig hin After the interview I was shattered

Die Burschen **fielen** über ihn **her**Das Thema **gibt** doch nicht viel **her**The youths attacked him

There's not a lot to this topic

Es ging recht lustig her It was good fun

Sie hat ein Zimmer für ihn hergerichtet She got a room ready for him

Mit der Qualität der Abiturienten ist es nicht mehr weit The quality of school- leavers isn't up to much any

her (Spiegel) more

#### 7.2.3 Hin and her can Emphasise Direction with a Preceding Prepositional Phrase

## a. In such contexts they are usually optional

Wir wanderten bis zu den Bergen (hin)

Wir fuhren nach Süden (hin)

Er blickte zur Decke (hin)

Er ging zum Fenster (hin)

Wir wanderten durch das Tal (hin) Sie

flogen über den Berg (hin)

Eine Stimme kam von oben (her) Rings um ihn (her) tobte der Sturm

NB:

(i) The combination  $an \dots hin$  (see 20.3.2a) means 'alongside'. The noun is in the dative case: Der Weg führt an der Wiese hin 'along the meadow'.

(ii) von . . . her is commonly used to mean 'in respect of': Das war schon verfehlt von der Zielsetzung her (see 20.2.8a).

# b. With *hinter*, *neben*, *vor* and *zwischen*, *her* is used to indicate relation of movement to another person or thing moving in the same direction.

The noun in this construction is always in the dative case, see 20.3:

Er ging **hinter** ihr **her**Der Hund lief **neben** mir **her**He was walking behind her

The dog was running beside me

Ein deutscher Wagen fuhr vor ihm her

A German car was driving in front of him

Sie ging zwischen uns her She was walking between us

The adverbs hinterher and nebenher are used in a similar sense, e.g.: Er lief hinterher, nebenher 'He was running behind, alongside'.

#### c. Phrases with auf giving reasons or causes can be strengthened by hin See also 20.3.5d:

Das tat er **auf** meinen Vorschlag **hin auf** die Gefahr **hin**, erkannt zu werden

He did it at my suggestion

at the risk of being recognised

#### 7.2.4 Hin- and her- Combine with Prepositions to form Directional Adverbs

e.g. *hinab, herab, hinauf, herbei*, etc. These occur mainly as separable verb prefixes. In general they link the direction indicated by the preposition with the notion **away from** or **towards** the speaker.

## a. Six prepositions form pairs of compounds with hin- and her-:

hinab, herab *down*hinauf, herauf *up*hinaus, heraus *out*hinaus, heraus *out*hinaus, heraus *out*hinaus, heraus *out* 

They are characteristically used in conjunction with a preceding prepositional phrase or a noun phrase in the accusative case (see 2.2.5c):

Wir stiegen die Treppe hinauf
We climbed up the stairs
Wir kamen die Treppe herab/herunter
We came down the stairs
Er ging in das Haus hinein
He went into the house
Er kam in das Zimmer herein
He came into the room

hinaus and heraus are used with a preceding phrase with zu to indicate movement or vision out of or through doors, windows etc., e.g.: Er blickte zur Tür hinaus 'She looked out the door'; Sie warf es zum Fenster heraus 'She threw it (out of) the window'.

NB: hin/herab and hin/herunter have identical meanings. Those with - unter are more usual in spoken registers.

## b. Other prepositions or adverbs combine with only one of hin- or her-:

With hin-: hindurch through hinweg away hinzu in addition
With her-: heran along; up (to) herbei along herum round hervor forth, out

Er drang durch die Menge **hindurch**Die Rollbahn sauste unter uns **hinweg**Sie legte einige Papiere **hinzu**He pushed through the crowd
The runway sped away beneath us
She put down some papers in addition

Sie trat an den Tisch **heran**Einige Polizisten kamen **herbei**Er kam um die Ecke **herum**She stepped up to the table

A few policemen came along

He came round the corner

Die Bücher lagen auf dem Tisch **herum**The books were lying around on the table

Er zog einen Revolver unter dem Tisch **hervor**He pulled a revolver out from under the table

NB:

Formal German used to make a distinction between *herum* 'round in a circle' and *umher* 'criss- crossing; higgledy- piggledy'. Nowadays, though, *herum* is commonly used in both senses in both speech and writing.

# c. The adverb with hin- or her- often repeats the direction given by a previous preposition

Der Vogel flog in das Zimmer hinein Er kam um die Ecke herum

Wir kamen aus dem Wald heraus Sie gingen durch das Tal hindurch

These constructions can seem tautologous, but if the adverb is omitted, the effect is usually that the verb is emphasised rather than the direction and the adverb should thus be used **unless** the verb is to be stressed. Compare:

Der Vogel ist in das Zimmer **geflogen** (i.e. it flew rather than hopped)

Der Vogel ist in das Zimmer hineingeflogen (i.e. it didn't fly out)

Wir wollen die Truhe in dein Zimmer tragen (i.e. carry, not push)

Wir wollen die Truhe in dein Zimmer **hinüber**tragen (i.e. take it **across** – not up or down)

If another word in the sentence bears the main stress, the adverb is optional:

Der Vogel ist in das Zimmer (hinein)geflogen

Wir wollen die **Truhe** in dein Zimmer (hinüber)tragen

# d. Verbs with the simple prefixes, e.g. ab-, an-, auf-, etc. usually have a derived, extended or other non-literal sense

(see also 22.5.1) This is because direction as such is indicated by using the forms in *hin-* or *her-*. Compare:

Er ist (in das Zimmer) hineingegangen

Die Zeitung ist eingegangen

The newspaper went bust

Er hat den Koffer hereingebracht

He brought the suitcase in

Das **bringt** nichts **ein**That's not worth it

Er **kam** (aus dem Haus) **heraus**He came out (of the house)

Mit 100 Euro **kommen** wir nicht **aus**We won't manage on 100 euros

Ich ging zu ihm hinüber

I went over to him

Er ist zur SPD **übergegangen**He went over to the SPD

e. Some verbs with hin- and her- compounds have figurative meanings

sich zu etwas herablassen to condescend to (do) sth.

Er **gibt** eine Zeitschrift **heraus**He edits a journal

Es **kommt** auf dasselbe **heraus**Er **leierte** die Predigt **herunter**Die Verhandlungen **zogen sich hinaus**It all comes to the same thing

He reeled off the sermon

The negotiations dragged on

**f.** In colloquial German, both *hin-* and *her-* are often reduced to *r-* in compound forms (irrespective of the direction involved). This is especially frequent in North German usage:

Wollen wir jetzt rausgehen (written: hinausgehen)

Wollen wir die Jalousien **runter**lassen? (written: herunterlassen)

These forms are often used in writing to give the impression of informal colloquial speech, e.g.: *Ich ging morgens Bahnhofstreppen rauf und runter und nachmittags Bahnhofstreppen runter und rauf (Böll)* 

#### 7.2.5 Some Special Meanings and Uses of hin- and her-

a. hin- often has the sense 'down':

Sie legte sich hin Der Junge fiel hin Er setzte den Stuhl hin

b. vor sich hin means 'to oneself' (see 20.3.16b):

Das murmelte er so vor sich hin Sie las vor sich hin

c. hin und her means 'to and fro', 'back and forth':

Er ging auf der Straße hin und her

d. hin und wieder means 'now and again':

Hin und wieder sehe ich ihn in der Stadt

e. her is used in the sense of 'ago' in time phrases (see 11.5.13):

Das ist schon lange her

# 7.3 Adverbs of Manner, Viewpoint, Attitude and Reason

A large number of adverbs fall into these categories, or into related subgroups which are not dealt with specifically. It is convenient to deal with them all together here.

#### 7.3.1 Adverbs of Manner and Viewpoint

a. Adverbs of manner typically answer the question Wie?

Wie ist sie gefahren? Sie ist **schnell** gefahren Wie hat sie gesungen? Sie hat **gut** gesungen

When they occur in a sentence with *nicht*, the *nicht* always refers specifically to the manner adverb:

Sie hat **nicht deutlich** gesprochen (she did speak, but not clearly)

Werder Bremen hat gestern Abend in Leverkusen nicht gut gespielt (they played, but not well)

# b. Adverbs of viewpoint indicate a context in which the statement is to be understood

They can be paraphrased by 'seen from a . . . point of view' or ' . . . - ly speaking', e.g.:

Die Stadt liegt verkehrsmäßig ungünstig

(i.e. in terms of road and rail communications)

Finanziell war diese Entscheidung eine Katastrophe

(i.e. financially speaking)

Deutschland ist wirtschaftlich stärker geworden

(i.e. from an economic point of view)

## c. Most adjectives (and participles) can be used as adverbs

Most of these are in practice adverbs of manner or viewpoint. In English such adverbs are typically marked by the suffix '- ly', but German has no such ending, and these words have exactly the same form whether they are being used as adjectives or adverbs. Compare:

Er hat die Sache **überraschend schnell**erledigt Ein Dokument zeigt doch, dass er mäßigend und **bremsend** zu wirken versuchte (*Zeit*)

He settled the matter surprisingly quickly A document nevertheless shows that he tried to exercise a moderating and calming influence

An adverb qualifying an adjective before a noun is marked as such by having no ending. Compare:

ein schön geschnitzter Schrank ein **schöner**, geschnitzter Schrank a beautifully carved cupboard a beautiful carved cupboard

NB: This distinction is not always maintained in practice, see 6.2.7c.

These adjective- adverbs can be very widely and flexibly used in German, often with compounding, in a way which lacks a direct English equivalent:

Er hat mir **brieflich** mitgeteilt, dass er anderer Meinung He informed me by letter that he was of a different

opinion

Widerrechtlich geparkte Fahrzeuge werden kostenpflichtig abgeschleppt

Illegally parked vehicles will be removed at the

owner's expense

verboten

Das Mitbringen von Hunden ist lebensmittelpolizeilich Bringing dogs (into the shop) is forbidden by order of the food inspectorate

#### 7.3.2 Adverbs of Attitude

Adverbs of attitude express the speaker's comment on the content of the statement, i.e. whether he or she thinks it is probable, likely, welcome, well- known or the like. In many ways their function overlaps with that of the modal particles (see Chapter 10). Because they relate to the sentence as a whole they are sometimes called Satzadverbien in German.

**Anscheinend** ist sie erst um sieben gekommen

(i.e. it appears to the speaker that she only arrived then)

Er fährt leider schon heute ab

(i.e. the speaker thinks it is unfortunate that he's going)

Natürlich/Selbstverständlich darfst du das machen

(i.e. the speaker's opinion is that it goes without saying)

Sie wird uns sicher(lich) helfen

(i.e. the speaker thinks that it is certain)

These adverbs of attitude have a number of characteristic features. In particular, although they can occur in a negative sentence, they cannot themselves be negated:

Sie kommen **hoffentlich** noch heute (one can't say *nicht hoffentlich*) Er fährt **leider** nicht weg (*nicht leider* is not possible) Sie ist **wahrscheinlich** nicht gekommen (*nicht wahrscheinlich* . . . does not make sense)

Unlike adverbs of manner, they cannot answer the question *Wie*?, but they **can** be used to answer a yes/no question:

Singt sie heute? Ja, **bestimmt/leider/vielleicht/zweifellos**, etc. (None of these words can answer the question *Wie singt sie*?)

#### 7.3.3 Adverbs of Reason

A large group of adverbs indicate cause, circumstance, condition, purpose or reason. The most frequent members of this group are:

allenfalls at most
andernfalls otherwise
dabei at the same time
dadurch thereby
daher therefore
dann in that case
darum therefore
dazu to that end
demnach therefore
demzufolge (formal) therefore
dennoch nevertheless
deshalb therefore

deswegen therefore folglich consequently gegebenenfalls if necessary gleichwohl (formal) nevertheless infolgedessen consequently jedenfalls in any case mithin (formal) consequently nichtsdestoweniger nevertheless somit consequently sonst otherwise (see 7.3.5b) trotzdem nevertheless

# 7.3.4 Many German Adverbs have a Verb or a Subordinate Clause Construction as their Only or Most Natural Idiomatic English Equivalent

The most frequent equivalent of English 'to like', for example, is to use the German adverb *gern* with *haben* or another verb, e.g.: *Ich esse gern Käsekuchen* 'I like cheesecake'; *Sie hat Ihren Lehrer ganz gern* 'She quite likes her teacher'. A number of the most useful of these adverbs are given below. In some cases a construction with a verb is also possible in German, so that 'It must be admitted that it isn't easy' could correspond to *Man muss zugeben, dass es nicht einfach ist* or to *Es ist freilich nicht einfach*. In general, the equivalents with adverbs sound more idiomatic and concise:

Das Problem ist **allerdings** schwierig Er wurde **allmählich** rot im Gesicht Er hat **andauernd** gespielt

Er ist **angeblich** arbeitslos
Er ist **anscheinend** nicht gekommen

Wir können Ihnen bedauerlicherweise nicht weiter

behilflich sein

Er ist **bekanntlich** ein hervorragender Linguist

I must admit that the problem is difficult

He began to get red in the face

He kept on playing

He claims to be unemployed He seems not to have come

We regret that we can be of no further assistance to you

Everyone knows that he is an outstanding linguist

Hier können Sie **beliebig** lange bleiben

You can stay here as long as you wish

Am besten behalten Sie das für sich

You'd better keep that to yourself

Thomas kommt **bestimmt** mit

I'm sure Thomas is coming with us/Thomas is sure to be

coming with us

Wir haben **erfreulicherweise** das Spiel gewonnen

Es ist **freilich** nicht einfach

I'm glad to say that we won the game

It must be admitted that it isn't easy

Gegebenenfalls kann man auch eine andere Taste wählen If the need should arise, another key may be selected

Im Sommer spielt er **gern** Tennis He likes playing tennis in summer
Dienstags hat er **gewöhnlich** Tennis gespielt He used to play tennis on Tuesdays

**Hoffentlich** erreichen wir die Hütte vor Sonnenuntergang I hope we shall reach the cabin before sunset

Sie kann **leider** nicht kommen I'm afraid she can't come

Im Winter spielt er **lieber** Fußball

He prefers playing football in the winter

Ich habe Reiten **lieber** als Radfahren

I prefer riding to cycling

Er kommt **möglicherweise** noch vor dem Abendessen It is possible that he will be coming before dinner

Sie erschien **nicht** She failed to appear

Die Firma stellt diese Ersatzteile **nicht mehr** her

The company has ceased/stopped making these spare

parts

Nimm dir **ruhig** noch etwas zu trinken Don't be afraid to help yourself to another drink

Alle Insassen sind **vermutlich** ums Leben gekommen It is presumed that all the passengers lost their lives

Er las **weiter**He continued to read/went on reading
Ich habe sie **zufällig** in der Stadt gesehen

I happened/chanced to see her in town

**Zweifellos** wird auch dieses Jahr sehr wenig Schnee im There is no doubt that very little snow will fall in the

Allgäu fallen Allgäu this year either

#### 7.3.5 Anders and Sonst

These two adverbs are very similar in meaning and both can be equivalents of English *else*. However, they are not always interchangeable.

# a. anders means 'else' or 'differently'

In origin, *anders* is the genitive of the adjective *ander*, see 5.5.2. It usually has the written form *anders* (very occasionally *anderes*), which differentiates it from the nominative/accusative singular neuter of *ander*, which is normally written *andres* or *anderes*. It is used as follows:

i. In the meaning 'else' with *jemand* and *niemand*:

Es ist jemand **anders** gekommen Somebody else came

Der Schirm gehört jemand **anders**The umbrella belongs to somebody else

Ich habe mit niemand **anders** gesprochen

I didn't talk to anybody else

Sie hat niemand anders als dich gesucht She wasn't looking for anyone else but you

NB:

In standard German, *jemand*, *niemand* do not inflect in combination with *anders*, see 5.5.15b. In South German usage, inflected forms of *ander* sometimes occur rather than invariable *anders*, most commonly in the accusative and dative, e.g. *jemand/niemand anderer* (rare), *jemand/niemand anderen*, *jemand/niemand anderem*.

**ii.** anders is used in the meaning 'else' with wo, wohin, woher, (n)irgendwo. Note the various alternative combinations:

woanders/anderswo/irgendwo anders

somewhere else/elsewhere

Ich gehe irgendwo anders

hin/woandershin/anderswohin I'm going somewhere else

Er kommt anderswoher, nicht aus Hamburg

He comes from somewhere else, not from Hamburg

nirgendwo anders nowhere else

Ich gehe nirgendwo anders hin

I'm not going anywhere else

iii. anders also means 'different(ly)', 'in a different way':

Er ist ganz **anders** als sein Bruder

He is quite different to his brother

Du musst es irgendwie **anders** anpacken

You'll have to tackle it differently

Es ist etwas anders Compare: It is rather different
Es ist etwas and(e)res It is something else

Das klingt jetzt anders That sounds different now

#### b. sonst means 'else' or 'otherwise'

i. In some contexts *sonst* can overlap with the meaning of *anders* 'else' or *ander* 'other', 'different' (see (a) above). Compare the following possible alternatives:

Kannst du **etwas anderes/sonst (noch) etwas**Can you suggest anything else?

vorschlagen?

War noch jemand anders/sonst noch jemand da? Was anyone else here?
Niemand anders/Niemand sonst hat mir geholfen Nobody else helped me

sonst wo/sonst irgendwo/irgendwo sonst/anderswo, somewhere/anywhere else

etc. (see (ii) above)

Ich muss **noch sonst wohin**/ **anderswohin**I've got to go somewhere else

Wer anders kann es gesagt haben?/ more common:

Who else can have said it?

Wer kann es sonst gesagt haben?)

**ii.** However, if the sense is clearly 'different' or 'other', only *ander* or, where appropriate, *anders*, can be used. Compare:

Da ist Professor Niebaum und **niemand anders**That's Professor Niebaum and nobody else (i.e. not

a different person)

Da ist Professor Niebaum und **sonst niemand**That's Professor Niebaum and nobody else (i.e. he's the only one there)

iii. If the meaning is clearly 'in addition', 'apart from that', 'otherwise', then only *sonst* is possible:

Wer kommt sonst noch?
Who else is coming?
Mit wem haben Sie sonst noch gesprochen?
Was hat sie sonst noch gesagt?
What else did you talk to?
What else did she say?
sonst irgendwann
some/any other time
Otherwise all is well

Wir müssen uns beeilen, sonst verpassen wir den Zug We'll have to hurry, otherwise we'll miss the train

länger als **sonst** longer than usual

#### 7.3.6 Adverbs in - Weise

The suffix - weise is very productive for the formation of adverbs of manner or attitude. It is most often added to nouns

or adjectives.

# a. Adverbs formed from a noun or a verb + weise are in the main manner adverbs with the meaning 'by way of', 'in the form of'

andeutungsweise *by way of a hint* ausnahmsweise *by way of exception* beispielsweise *by way of example* 

beziehungsweise *or, as the case may be* (see 19.1.3b) bruchstückweise *in the form of fragments* 

dutzendweise by the dozen

familienweise *in families* gruppenweise *in groups* 

massenweise on a massive scale

paarweise in pairs

Die Flüchtlinge strömten massenweise ber die Grenze

Sein neues Buch ist stellenweise ganz gut

Er wird **stundenweise** bezahlt

pfundweise *by the pound* probeweise *on approval* ruckweise *by jerks* 

schrittweise *step by step* stückweise *piecemeal* stundenweise *by the hour* 

teilweise partly

versuchsweise *tentatively* zeitweise *temporarily* zwangsweise *compulsorily* 

The refugees were flooding in hordes across the

border

His new book is quite good in places

He is paid by the hour

These forms, which were originally only adverbs, are increasingly used as adjectives as well:

eine **probeweise** Anstellung die **teilweisen** Verbesserungen eine **ruckweise** Bewegung eine **stundenweise** Bezahlung der **stückweise** Verkauf die **stufenweisen** Fortschritte

eine **schrittweise** Anhebung des Rentenalters auf 70 Jahre (*HMP*)

Predominantly, though, they are used with nouns which denote a process, chiefly those which are derived from verbs, as in the examples above. Combinations like *der stückweise Preis* or *eine auszugsweise Urkunde* are not (yet?) generally regarded as acceptable.

# b. Many adverbs of attitude are formed from adjectives or participles with the suffix - weise and the linking element - er-

e.g. möglicherweise from möglich, bezeichnenderweise from bezeichnend. Similarly:

bedauerlicherweise *regrettably* begreiflicherweise *understandably* 

dummerweise *foolishly* erstaunlicherweise *astonishingly* 

fälschlicherweise *erroneously* glücklicherweise *fortunately* interessanterweise *interestingly* 

komischerweise *funnily* 

liebenswürdigerweise *obligingly* möglicherweise *possibly*, *perhaps* 

natürlicherweise of course normalerweise normally

überflüssigerweise *superfluously* unglücklicherweise *unfortunately* unnötigerweise *unnecessarily* unvermuteterweise *unexpectedly* 

As these are adverbs of **attitude**, indicating a comment by the speaker on the statement, their meaning is different from that of the adjective- adverb of **manner** from which they are derived, and from that of the corresponding phrase with *Weise*, as the following examples show:

Er war **merkwürdig** müde He was strangely tired
Er war **merkwürdigerweise** müde Strange to say, he was tired

Er war in merkwürdiger Weise müde

Er hat **vernünftig** geantwortet

Er hat **vernünftigerweise** geantwortet Er hat **auf vernünftige Weise** geantwortet

NB:

These adverbs in - erweise are never used as adjectives.

He was tired in an unusual way

He replied sensibly

Sensibly enough, he replied He replied in a sensible way

# 7.4 Adverbs of Degree

# 7.4.1 Adverbs of Degree (or 'Intensifiers') are Used to Emphasise, Amplify or tone down Another Part of Speech

Their main use is to modify adjectives or other adverbs.

#### a. A selection of the most frequent adverbs of degree in German

außerordentlich extraordinarily

äußerst *extremely* beinahe *almost*, *nearly* 

besonders *especially* durchaus *absolutely*, *thoroughly* 

etwas *a little* 

fast almost, nearly

ganz quite

genug enough

geradezu *virtually* höchst *extremely*, *highly* 

kaum hardly, scarcely

mäßig moderately

nahezu *virtually* recht *really* 

relativ relatively

sehr (see 7.4.3) *very* überaus *extremely* 

verhältnismäßig relatively

völlig *completely* 

vollkommen *completely* 

wenig little

ziemlich fairly

zu too

This list is not exhaustive; many more occur, particularly in colloquial speech, e.g. echt, enorm, irrsinnig, ungeheuer, unheimlich, verdammt.

eine durchaus selbstkritische Einsicht

Der Kaffee ist **etwas** süß Er fährt schnell **genug** 

Das ist **geradezu** lächerlich

Die Suppe war nur **mäßig** warm

eine **nahezu** optimale Lösung des Problems

Er arbeitet recht gut

ein **überaus** ehrliches Geschäft Dieser Schriftsteller ist **wenig** bekannt a thoroughly self- critical understanding

The coffee is a little sweet

He's driving fast enough

That is little short of ridiculous

The soup was (only) moderately warm a virtually optimal solution to the problem

He works really well

a thoroughly honest transaction

This author is little known

#### b. hoch 'highly' is used with a small number of abstract adjectives

It is usually compounded with them: hochempfindlich, hochfrequent, hochinteressant, hochgeschätzt, hochqualifiziert, hochwahrscheinlich. The superlative form höchst is used in the same way in a few cases, e.g. höchstwahrscheinlich.

c. *lange* and *längst* are used before a negative to indicate a considerable difference in degree lange is often preceded by *noch*:

Das ist noch lange nicht gut genug

Dieses Buch ist **lange/längst nicht** so gut wie sein letztes

That is not nearly good enough

This book isn't nearly as good as his last one

# 7.4.2 Some Adverbs of Degree are Used only or Principally with Adjectives in the Comparative or Superlative

bedeutend significantly:

Die Donau ist bedeutend länger als der Rhein

beträchtlich considerably:

Die Zugspitze ist **beträchtlich** höher als die anderen Gipfel in den bayrischen Alpen

denkbar possible:

Sie hat den denkbar schlechtesten Eindruck gemacht

entschieden decidedly:

Er hat entschieden schlechter gespielt als vor einem Jahr

viel much:

Diese Schule ist viel größer als meine

weit far:

Der Wagen ist weit schneller, als ich dachte

bei weitem (by) far:

Er ist bei weitem besser als Jochen

Er ist bei weitem der Beste in der Klasse

weitaus (by) far:

Isabella ist **weitaus** reifer, als man ihrem Alter nach schließen dürfte Der neueren Geschichte ist das **weitaus** größte Gewicht beizumessen

wesentlich substantially:

Er hat heute wesentlich besser gespielt

#### 7.4.3 Sehr is Chiefly Used as an Adverb of Degree (= 'very')

Er weiß es sehr gut.

Das ist sehr nett von dir.

However, it has a wider range of use than English very:

#### a. It can modify a verb or phrase, corresponding to English 'very much'

Ich bewundere sie **sehr** 

Er ist **sehr** dafür

Das interessiert mich **sehr** Er hat sich **sehr** verändert

Das ist **sehr** nach meinem Geschmack

## b. After so, wie or zu, it can denote degree, like English 'much'

In such contexts *sehr* is used rather than *viel*:

Nicht so sehr die Handlung wie der Stil hat mich gefesselt Wie sehr ich es bedaure, dass sie durchgefallen ist!

# 7.5 Interrogative Adverbs

The German interrogative adverbs correspond to the English *wh*- words, and like them they introduce questions. They fall into similar groups to other adverbs:

#### Time:

```
wann? when?: Wann kommt der Zug in Gelsenkirchen an? bis wann? until when?, how long?: Bis wann bleibt ihr hier? by when?: Bis wann seid ihr damit fertig? seit wann? since when?, how long?: Seit wann spielen Sie Tennis? wie lange? how long?: Wie lange wollt ihr heute noch spielen? wie oft? how often?: Wie oft fährt der Zug nach Putbus?
```

## **Place and direction** (see also 7.2.1):

```
wo? where?: Wo steckt die Angelika jetzt?
wohin? where (to)?: Wohin fahrt ihr heute?/Wo fahrt ihr heute hin?
woher? where from?: Woher kommt der Wagen?/Wo kommt der Wagen her?
von wo? where from?: Von wo kommt der Wagen?
```

#### Manner:

wie? how?: Wie habt ihr das nur gemacht?

#### Reason:

```
warum? why?: Warum wollt ihr nicht gehen? was? (coll.) why?: Was rennst du denn so schnell? (see 5.3.3f) wieso? (coll.) why?: Wieso wollt ihr nicht gehen? weshalb? (formal) why?: Weshalb wollt ihr nicht gehen? wozu? what . . . for?: Wozu benutzt man das?
```

These interrogative adverbs can also introduce indirect questions (see 16.6.4a and 19.2.4):

Er hat mich gefragt, **wann** ich morgen komme Ich habe dir doch gesagt, **wie** man das macht

NB: For the interrogative pronouns was and wer, see 5.3.3. For the interrogative determiner welcher, see 5.3.1.

# 8 Comparison of Adjectives and Adverbs

Qualities can be compared using special forms of adjectives (and adverbs). These are called the comparative and superlative forms. Thus, for the adjective  $gro\beta$ :

positive degree: Mein Haus ist groß My house is big
comparative degree: Dein Haus ist größer Your house is bigger
superlative degree: Ihr Haus ist das größte Her house is the biggest

Naturally, some adjectives or adverbs, such as *sterblich*, *einmalig* or *absolut*, have a meaning which excludes any possibility of comparison, and there are other ways of indicating degree, for example by modifying the adjective or adverb by an adverb of degree like *sehr*, see 7.4.

The **comparative** is normally used to compare two items, the **superlative** more than two:

der größere der beiden Brüder

Von den zwei Büchern über Berlin hat er das billigere gekauft

der größte von acht Jungen

Von diesen vielen Büchern hat er das billigste gekauft

As in English, this rule is not universally observed in everyday speech, and phrasings like *der größte der beiden Brüder* are often heard, although they are not considered to be correct.

This chapter deals with the formation and use of the comparative and superlative degree of adjectives and adverbs, and other various means of comparison in German:

- the **formation** of comparatives and superlatives (sections 8.1–8.2)
- the uses of the comparative and other means of comparison (section 8.3)
- the uses of the superlative (section 8.4)

# 8.1 Regular Formation of the Comparative and Superlative

# 8.1.1 The Comparative and Superlative of Adjectives are Formed by Adding the Endings - er and - st to the Positive Form

This is shown for some common adjectives in Table 8.1. As the superlative almost always occurs in a declined form, with the definite article, *das* is included with all examples. The few exceptions to this regular pattern are explained in section 8.2.

Table 8.1 Regular formation of comparative and superlative

Positive	Comparative	Superlative
tief deep	tiefer	(das) tiefste
schön beautiful	schooner	(das) schönste
langsam slow	langsamer	(das) langsamste
freundlich friendly, kind	freundlicher	(das) freundlichste
unwiderstehlich irresistible	unwiderstehlicher	(das) unwiderstehlichste

In English we form comparatives and superlatives in two ways. With short adjectives, we use the endings '- er' and '- est', with longer adjectives we use 'more' and 'most'. In German, the endings - er and - st are used no matter how long the adjective is; mehr and meist are not normally used in comparatives and superlatives, except in the few special cases explained in 8.2.7.

Comparative and superlative forms decline in the same way as any adjective when used before a noun, with the same weak or strong endings (see 6.1–6.2).

ein schnellerer Zug, der schnellste Zug, in der tiefsten Schlucht der Erde

## 8.1.2 Comparative and Superlative of Adverbs

The comparative of adverbs is formed with the ending - er, exactly like that of adjectives:

Vettel fährt aber schneller

Kannst du bitte etwas lauter sprechen?

For the superlative of adverbs, a phrase is used formed using the stem in - st, with the ending - en, together with am

Schumacher fährt am schnellsten

Schumacher drives fastest

Von der Burg aus sieht man es **am klarsten** 

You can see it most clearly from the castle

For further details about the form *am* ... *sten*, see 8.4.1.

# 8.2 Irregularities in the Formation of Comparatives and Superlatives

## 8.2.1 Adjectives in - el, - en, - er can drop the - e- of the Stem in the Comparative

dunkel – **dunkler** – das dunkelste trocken – **trock(e)ner** – das trockenste bitter – **bitt(e)rer** – das bitterste teuer – **teurer** – das teuerste

a. Those in el regularly drop the - e- of the stem

dunkel - dunkler

edel – edler

b. Those in - en and - er usually drop the - e- of the stem if they have an inflectional ending

trocken – der trocknere Wein

bitter – ein bitt**rerer** Geruch

If there is no ending, the - e- is usually kept in writing, although it is often dropped in speech:

Dieser Wein ist trockener

Dieser Geruch war bitterer

The - e- is always dropped in the comparative of adjectives in - er if it comes straight after a diphthong:

teuer – Diese Tasche ist teurer – die teurere Tasche

#### 8.2.2 Some Adjectives add - est in the Superlative as an aid to Pronunciation

a. Those whose stem ends in - haft, - s, - sk, -  $\beta$ , - x and - z always have - est

boshaft – der boshafteste lieblos – der liebloseste fi süß – der süß**est**e

x - der fixeste

brüsk – der brüskeste

stolz – der stolzeste

b. Those with a stem ending in -  $d_1$  - t and - sch usually add - est

mild – der mildeste

berühmt – der berühmteste

sanft – der sanfteste

rasch – der rascheste

However, longer words ending in these consonants have the ending - st if the last syllable is unstressed:

spannend – der spannend**ste** 

komisch – der komischste

c. Those with a stem ending in a long vowel or diphthong can have the ending - est or - st

früh – der frühste/früheste

treu – der treuste/treueste

# 8.2.3 A few Adjectives and Adverbs have *Umlaut* on the Root Vowel in the Comparative and Superlative, in Addition to the Ending

arm – ärmer – der ärmste

klug – klüger – der klügste

Most of these adjectives are very common.

a. The following adjectives always have *Umlaut* in the comparative and superlative

alt old
arg bad
arm poor
dumm stupid
fromm pious
grob coarse
hart hard

krank sick
kurz short
lang long
oft often
rot red
scharf sharp

schwach weak schwarz black stark strong warm warm

NB:

jung *young* kalt *cold* 

klug *clever* 

- i. *groβ*, *hoch* and *nah* also have *Umlaut* in the comparative and superlative, but they are otherwise irregular, see 8.2.4.
- ii. fromm occasionally lacks Umlaut in the comparative and superlative in written German.
- **b.** A Few Adjectives Have Alternative forms With or Without *Umlaut*

e.g.: nass – nässer/nasser – der nässeste/nasseste. These are:

bang scared

krumm *crooked* 

blass *pale* 

nass wet

gesund **healthy** schmal

narrow

glatt smooth

zart tender

karg sparse

In general, the forms without *Umlaut* are more frequent in writing, whereas those with *Umlaut* are more typical of spoken German, especially in the south.

#### 8.2.4 Some Adjectives and Adverbs Have Irregular Comparative and Superlative Forms

bald eher am ehesten soon lieber am liebsten willingly, gladly gern größer das größte groß big, large besser das beste gut good höher das höchste high hoch näher das nächste nah near das meiste much, many viel mehr weniger/minder das wenigste/das mindeste wenig little, few

Further notes on these irregular forms:

#### a. mehr and weniger

As these are adverbs, not adjectives, they do not have any endings even when used with a following noun: *Er hat weniger Geld als ich*; *Sie hat mehr Verstand als du*.

#### b. minder and mindest

*minder* is only used in formal written German. It is only used to qualify adjectives, most commonly with a preceding *nicht*:

Anderswo zwischen Ostsee und Erzgebirge ist die Lage der Denkmalpflege nicht minder prekär (Spiegel)

**c.** *mindest* can be used for 'least' in the sense 'slightest':

Er hatte nicht die **mindesten** Aussichten zu gewinnen.

# d. nichts weniger als normally means 'anything but'

i.e. the same as alles andere als:

Er ist nichts weniger als klug

He is anything but clever

For 'nothing less than', German often uses a positive statement: *Das ist wirklich katastrophal* 'That is nothing less than catastrophic'. However, some Germans <u>do</u> now use *nichts weniger als* in the sense of 'nothing less than', and ambiguity is possible.

## 8.2.5 Eight Adjectives Denoting Position only have Comparative and/ or Superlative Forms

das äußere *outer*, *external* das äußerste *outermost*, *utmost* 

das innere inner, internaldas innerste innermostdas obere upperdas oberste uppermostdas untere lowerdas unterste lowest, bottomdas vordere frontdas vorderste foremost, frontdas hintere backdas hinterste back(most)das mittlere central, middle; mediumdas mittelste central, middle

These adjectives are only used attributively, i.e. before a noun:

seine **äußere** Erscheinung seine **innersten** Gedanken

mit der **äußersten** Höflichkeit in der **vorderen**, **vordersten** Reihe

As equivalents for English 'external(ly)' and 'internal(ly)' in other contexts, i.e. after *sein* or as adverbs, German uses *äußerlich* and *innerlich*.

Seine Verletzungen sind nicht äußerlich, sondern innerlich Sie blieb äußerlich/innerlich ganz ruhig

#### 8.2.6 The Comparative and Superlative of Compound Adjectives

# a. Compound adjectives are treated as single words and form their comparative and superlative in the usual way

This is always the case with those written as a single word:

altmodisch *old- fashioned* altmodischer das altmodischste schwerwiegend serious, weighty schwerwiegender das schwerwiegendste

vielsagend meaningful vielsagender das vielsagendste

vielversprechend promising das vielversprechendste vielversprechender

# b. However, if both parts are felt to retain their original meaning, they are written as separate words and only the first has the comparative or superlative form

The superlative then has the adverbial form am ... - sten

die dicht bevölkerte Stadt the densely populated city

die dichter bevölkerte Stadt die am dichtesten bevölkerte Stadt

die leicht verdauliche Speise the easily digested food

die leichter verdauliche Speise die am leichtesten verdauliche Speise

A few frequent idiomatic combinations have **superlative** forms which are written as single words:

der hoch gelegene Ort the place situated high up

ein höher gelegener Ort der höchstgelegene Ort

nahe liegende Gründe obvious reasons

näher liegende Gründe nächstliegende Gründe

die weit gehende Übereinstimmung the far- reaching agreement

die weiter gehende Übereinstimmung die weitestgehende Übereinstimmung

Compound comparative forms of such adjectives with the suffixes added to the second part (weitgehender, das weitgehendste) are not uncommon, although they are sometimes thought of as incorrect. A few frequent words have alternative forms, in particular schwerwiegend, for which schwerer wiegend and schwerstwiegend are found as well as those given in (a) above.

#### 8.2.7 Mehr and Meist in Comparison

#### a. A very few adjectives form their comparative and superlative by means of a preceding mehr or am meisten

This is restricted to (i) participles which are not normally used as adjectives, (ii) a few adjectives which are only used in the predicate (like zuwider, see 6.5.1b), and (iii) some unusually long and complex adjectives like hemitleidenswert:

Er verrichtet jetzt eine ihm **mehr zusagend**e Tätigkeit

He is now performing a job which appeals to him more Dresden ist die durch den Krieg am meisten zerstörte Dresden is the German city most completely destroyed

deutsche Stadt

in the war

Er ist mir noch **mehr zuwider** als sein Bruder

He is even more repugnant to me than his brother

Er ist der am meisten bemitleidenswerte Kranke

He is the most to be pitied of all the patients

With past participles a prefixed meist- can be used rather than am meisten, e.g.: die meistzerstörte Stadt, der meistgekaufte Geschirrspülautomat Deutschlands.

#### b. *mehr* is also used if two qualities of the same object are being compared

i.e. in the sense 'rather': Diese Arbeit ist mehr langueilig als schwierig, eher is an alternative to mehr in this meaning in more formal registers.

# 8.3 The Use of the Comparative and Other Types of Comparison

# 8.3.1 The Comparative Particle (= 'than') is Usually als

Peter ist älter als Thomas

Mein Wagen fährt schneller als deiner

**a.** Alternatives to als

wie (or als wie) is common for als in (especially regional) colloquial speech, e.g. Peter ist älter (als) wie Thomas. This usage is not accepted as standard.

The use of *denn* instead of *als* is archaic, although it can be used in formal registers to avoid the sequence *als als*:

Die Mauer erscheint eher als Kunstwerk denn als Grenze (Schneider) frontier

The wall appears rather as a work of art than as a

NB:

- i. denn is commonly used in some set phrases: mehr denn je 'more than ever', Geben ist seliger denn nehmen 'It is better to give than to receive'.
- ii. Noun phrases after als and wie are in apposition to the noun they refer to, i.e. they are normally in the same case, see 2.6.

#### b. Degree of difference is expressed by um ... als, or by a noun phrase in the accusative case

Eine Fahrt im TGV- Atlantique kann **um** bis zu 50 Prozent teurer kommen **als** in einem herkömmlichen Schnellzug (FR)

A trip on the TGV- Atlantique can work out up to 50% more expensive than in an ordinary express train

Er ist (um) einen Monat jünger als ich

He is a month younger than me

#### c. To express a greater degree (= 'even') *noch* is used with the comparative

London ist eine **noch schmutzigere** Stadt als

Amsterdam

London is an even dirtier city than Amsterdam

Er hat gestern **noch weniger** gearbeitet

He worked even less yesterday

Es regnete noch stärker

It was raining even harder

## 8.3.2 Lower Degrees of Comparison are Expressed by Weniger, am Wenigsten

These correspond to English 'less tall than', 'least tall', etc.:

Er war **weniger optimistisch** als sein Bruder

He was less optimistic than his brother

Er arbeitet weniger fleißig als ich der am wenigsten

He works less hard than me the least talented player

talentierte Spieler

He works the least hard of all

Er arbeitet **am wenigsten fleißig** von allen

In practice, am wenigsten is felt to be awkward, and other constructions are often preferred. Compare:

die uninteressanteste Rede der billigste/preiswerteste Wagen

the least expensive car

the least interesting speech

die einfachste Methode

the least difficult method

#### möglichst geringe Kosten the least possible expenditure

NB: In formal German, minder is an occasional alternative to weniger, see 8.2.4b, e.g.: Nicht minder virtuos ist dagegen die Vorstellung auf der gegenüberliegenden Reeperbahnseite(HM).

#### 8.3.3 The 'Absolute' Comparative

The comparative of some common adjectives or adverbs is used not to signal a direct comparison, but to indicate a fair degree of the relevant quality, e.g. *ein ülterer Herr* 'an elderly gentleman', *eine größere Stadt* 'a fair- sized town'. This so- called 'absolute' comparative is possible with the following adjectives:

alt dick dünn gut jung kurz neu bekannt dunkel groß hell klein lang oft

eine bessere Wohngegend a fairly good neighbourhood seit längerer Zeit for a longish time now ein neueres Modell a fairly new model

Kommen Sie **öfter** (coll. also: öfters) hierher? Do you come here quite often?

#### 8.3.4 Progression is Expressed by Using immer with the Comparative

This corresponds to English 'more and more':

Er lief immer schneller He ran faster and faster

Der Sprit wird **immer teurer**Petrol is getting dearer and dearer

Meine Arbeit wird **immer schwieriger**My work is getting more and more difficult

NB: A construction like that of English, e.g. Er lief schneller und schneller is occasionally found, but it is much less frequent than that with immer.

#### 8.3.5 Proportion (i.e. 'the more ... the more')

Proportion is expressed in German by using a subordinate clause introduced by the conjunction *je*, followed by a main clause beginning with *umso* or (especially in formal written German) *desto*:

Je länger man Deutsch lernt, desto/umso leichter wird es The longer you learn German, the easier it gets

je eher, desto/umso besser the sooner the better

Je besser das Wetter, desto/umso mehr können wir wandern

The better the weather, the more we can go hiking

NB:

- i. In older German, a second *je* could be used rather than *desto* or *umso*. This survives in a few set phrases such as *je länger*, *je lieber* and *je länger*, *je mehr*.
- ii. As an equivalent to 'all the more because', German uses *umso mehr*, *als/da/weil*...(see 19.4.3b).
- iii. In colloquial German the combination *umso...umso* is common, e.g. *umso größer, umso besser* 'the bigger, the better'.

#### 8.3.6 Equality is expressed by so...wie (= 'as...as')

Peter ist so alt wie Thomas Mein neuer Wagen fährt nicht so schnell wie deiner

Er arbeitet so fleißig wie ich Er ist nur halb so alt wie seine Schwester

A number of variations on this construction occur:

a. In colloquial German, als is often used for wie

This is only regarded as acceptable in written registers in the following contexts:

- i. 'as well as' can be sowohl wie or sowohl als (see 19.1.4b), e.g.: Ich will sowohl Anna als/wie (auch) Helga einladen
- ii. 'as soon/little as possible' can be so bald/wenig wie möglich or so bald/wenig als möglich.
- iii. 'twice as...as' can be doppelt so...wie or doppelt so...als: Die Ernte ist doppelt so groß als/ wie im vorigen Jahr

NB: The combination als wie, e.g. Ich bin doch so groß als wie du is common in speech, but it is generally considered to be a nonstandard

#### b. so can be omitted in some common phrases and idioms

Er ist (so) hart wie Stahl

Er ist (so) schlau wie ein Fuchs

## c. 'just as...(as)' is expressed by ebenso...(wie) or genauso...(wie)

Peter ist ebenso/genauso alt wie Thomas

Dort können wir genauso gutes Fleisch kaufen

**i.** ebenso is also used to indicate equivalence between two qualities:

Er ist **ebenso** fleißig wie geschickt

He is (just) as industrious as he is skilful

ii. ebenso sehr is used adverbially to indicate degree (= 'just as much'):

Die Brücke ist **ebenso sehr** ein Teil der Landschaft The bridge is just as much part of the scenery as the wie der Fluss

river

iii. nicht so sehr...wie is used for 'not so much...as':

Er ist **nicht so sehr** dumm **wie** faul

He is not so much stupid as lazy

#### d. (d) gleich can be used to indicate equality

Peter und Thomas sind gleich alt Diese Städte sind etwa gleich groß Peter and Thomas are the same age These towns are about the same size

# 8.4 Types and Uses of the Superlative

# 8.4.1 The Superlative form am...sten

This form (see 8.1.2) is used in the following contexts:

#### a. Always for adverbs

Von allen Gästen sprach er am wenigsten

Ich arbeite am besten nachts

Am einfachsten faxen Sie es ihr durch

Helmut läuft am schnellsten

Das hasse ich an den Schulmeistern am meisten (Valentin)

Of all the guests he spoke least

I work best at night

The simplest thing is to fax it to her

Helmut runs fastest

That's what I hate most about schoolmasters

#### b. After the verb sein

Both superlative forms are found predicatively after sein, e.g.: Welcher Junge ist am stärksten? or Welcher Junge ist der stärkste?

i. If a noun is understood, either can be used:

Diese Blume ist die schönste/am schönsten Unter den deutschen Flüssen ist die Donau der längste/am längsten This flower is the most beautiful

Of the German rivers the Danube is the longest

ii. If there is no noun to be be understood or if something is being compared with itself (= 'at its most...'), only the form with am can be used:

Ein Mercedes wäre am teuersten

Für meinen Geschmack ist eine Nelke schöner als eine Tulpe, aber eine Rose ist natürlich am schönsten

Hier ist die Donau **am tiefsten** Der Garten ist **am schönsten** im Juni A Mercedes would be the dearest

For my taste a carnation is nicer than a tulip, but a rose is the nicest

The Danube is (at its) deepest here
The garden is (at its) nicest in June

# 8.4.2 Any Superlative can be Used in an Asolute Snse

i.e. not as a comparison but in the sense 'extremely'. This is known as the elative use of the superlative:

in **höchster** Erregung in great excitement

mit **größter** Mühe with the greatest difficulty
Es ist **höchste** Zeit, dass...

It is high time that . . .

Es herrschte das **rauheste** Wetter The weather was extremely raw

Modernste Kureinrichtungen stehen zu Ihrer Verfügung You will have the use of the most up- to- date spa

(FAZ) treatments

#### 8.4.3 An Absolute Adverbial Superlative can be Formed in aufs...ste

e.g. *aufs einfachste*, *aufs genaueste*, etc. The form can be spelled with a small or a capital letter: *aufs einfachste/aufs Einfachste* (see 23.2.1.). The preposition and definite article can be written out in full if emphasis is needed: *auf das einfachste/Einfachste*. It is common in formal writing:

Der große runde Tisch war **aufs festlichste**/

The large round table was decorated in a most festive

**Festlichste** geschmückt (*Dürrenmatt*) wa

Herr Naumann war aufs äußerste/ Mr Naumann was exceedingly irritated

Äußerste gereizt (MM)

Lange Zeit hat der Ministerpräsident jeden Verdacht auf

das heftigste/Heftigste dementiert

For a long time the Prime Minister denied all suspicions

most vehemently

# 8.4.4 A Superlative Adjective or Adverb can be Emphasised by Prefixing Aller-

This has the sense of the highest degree possible, e.g.:

der allerschnellste Wagen the fastest car of all

Niemand in Deutschland muss sich auch nur im

Nobody in Germany needs to have even the slightest

allergeringsten Sorgen machen (HM) possible concern

Nichts an der Blueserin ist authentisch, am allerwenigsten Nothing about the Blues singer is authentic, least of all ihr Berner Dialekt (SGT) her Bernese dialect

# 8.4.5 Some Adverbial Superlatives are Formed in - st, - Stens and zu- . . . - st

Only a few of each type of these are common, and they usually have an absolute or idiomatic meaning. New formations on these patterns are rare.

## a. Adverbial superlatives in - st

These consist simply of the superlative stem, whether regular or irregular. Some are in common use in speech and writing, often with special meanings:

äußerst extremely meist mostly

höchst highly, extremely möglichst as ... as possible; if at all possible

jüngst (elev.) recently unlängst recently

längst for a long time, a long time ago

Die Situation ist **höchst** problematisch The situation is highly problematical

Er ist **längst** gestorben

He died a long time ago

Du musst einen **möglichst** guten Eindruck

You must make the best possible impression

machen

Sie ist **unlängst** zurückgekehrt She got back recently

The following are typically used in formulaic idioms in formal registers:

eiligst as quickly as possible höflichst respectfully

freundlichst friendly schleunigst as promptly as possible

gefälligst, gütigst kindly sorgfältigst most carefully

herzlichst most cordially tunlichst absolutely

Ich danke Ihnen herzlichst

I thank you most cordially

Sie werden höflichst gebeten, diesen

You are respectfully requested to rectify this mistake

Irrtum ohne Verzug zu berichtigen without delay

Wir machten uns **schleunigst** aus dem We quickly got up off the floor

Staube (*Dönhoff*)

Jeder Lärm ist **tunlichst** zu vermeiden Any noise is absolutely to be avoided

New formations in -st are quite frequent, especially in journalism, where their conciseness can be exploited:

Die Böhmendeutschen sind nicht ausgesiedelt, sondern The Germans of Bohemia were not resettled, but

**brutalst** vertrieben worden (*Presse*) driven out in the most brutal fashion

Some forms in - st, i.e. best-,  $gr\ddot{o}\beta t$ -,  $h\ddot{o}chst$ -, kleinst-,  $k\ddot{u}rzest$ - can be compounded with  $m\ddot{o}glich$  to mean 'the

best possible', etc.:

die **bestmöglich**e Lösung die **kleinstmöglich**e Summe der **größtmöglich**e Schaden der **kürzestmöglich**e Weg

## b. A few in - stens are still used, typically with special idiomatic meanings

bestens very well schnellstens as quickly as possible

frühestens at the earliest spätestens at the latest

höchstens at the most meistens mostly mindestens at least nächstens shortly, soon

Es kommen höchstens dreißig Gäste At most thirty guests are coming

Ich stehe **meistens** früh auf

I mostly get up early

Ich brauche **mindestens** dreitausend Euro für diese

Reise

Wir kommen **spätestens** um sechs an We'll arrive at six at the latest
Rauchen ist **strengstens** verboten Smoking is strictly prohibited
Er könnte **wenigstens** anrufen He might at least ring up

wenigstens and mindestens are often interchangeable, but mindestens emphasises the idea of the absolute minimum possible rather more strongly. It is used less often when no actual figure is mentioned, in which case *zumindest* (see (c) below) is a possible, rather more emphatic alternative to *wenigstens*.

# c. A few forms in zu-...- st are still current, with idiomatic meanings

zumindest *at (the very) least* zunächst *at first, in the first place* zuoberst (*right*) *on top*  zutiefst (very) deeply zuvorderst (right) at the front

strengstens strictly

wenigstens at least

wärmstens *most warmly* 

Some examples of use in context:

Er hätte uns **zumindest** grüßen können Das Angebot sah **zunächst** verlockend aus Sie nahm das Buch, das **zuoberst** lag Der Angeklagte bereut sein Fehlverhalten **zutiefst** (*NUZ*) He could at least have said hello
The offer looked attractive at first
She took the book which was lying on top
The accused deeply regrets his inappropriate
behaviour

I need at least three thousand euros for this trip

# 9 Numerals

This chapter deals with all words for NUMBERS and NUMERALS in German and their associated forms and uses:

- Cardinal numbers, such as 'one', 'two', 'three', etc. (section 9.1)
- Ordinal numbers, such as 'first', 'second', 'third', etc. (section 9.2)
- Fractions and decimals (section 9.3)
- Other **numerical usages** (section 9.4)
- Addresses (section 9.5)

## 9.1 Cardinal Numbers

CARDINAL NUMBERS are the numerals used in counting. Their form in German is shown on Table 9.1.

Table 9.1 The forms of the cardinal numbers

Secretary H	40	5	00 1 '0'
0 null	<b>10</b> zehn	20 zwanzig	<b>30</b> dreißig
1 eins	<b>11</b> elf	21 einundzwanzig	40 vierzig
2 zwei	12 zwölf	22 zweiundzwanzig	50 fünfzig
3 drei	13 dreizehn	23 dreiundzwanzig	60 sechzig
4 vier	14 vierzehn	24 vierundzwanzig	70 siebzig
5 fünf	15 fünfzehn	25 fünfundzwanzig	80 achtzig
6 sechs	16 sechzehn	26 sechsundzwanzig	90 neunzig
7 sieben	17 siebzehn	27 siebenundzwanzig	91 einundneunzig
8 acht	18 achtzehn	28 achtundzwanzig	92 zweiundneunzig
9 neun	19 neunzehn	29 neunundzwanzig	93 dreiundneunzig
100 (ein)hunder	t	1000 (ein)tausend	
101 hundert(und	d)eins	1099 tausend(und)neun	undneunzig
102 hundertzwe	ei	1100 (ein)tausendeinhu	
151 (ein)hunder	teinundfünfzig	2305 zweitausenddreih	undertfünf
200 zweihunder		10 000 zehntausend	
535 fünfhundert	tfünfunddreißia	50 000 fünfzigtausend	
	rtneunundneunzig	100 000 hunderttausen	d
<b>564 297</b> fünfhur	ndertvierundsechzigta	usendzweihundertsiebenund	dneunzig
1 000 000 eine	Million	1 000 000 000 ine Millia	arde
2 000 000 zwei	Millionen	1 000 000 000 000 eine	Billion
<b>5 276 423</b> fünf N	Millionen zweihunderts	sechsundsiebzigtausendvier	hundertdreiundzwanzig

#### 9.1.1 Notes on the forms of the Cardinal Numbers

#### a. Long numbers are rarely written out in full

i.e. those with more than one element, like *zweiunddreißig*, *hundertzwanzig*. In practice, complex numbers are rarely written fully except on cheques, and, in general, figures are used in written German more often than is usual in English.

#### b. Numbers higher than a thousand can be written with spaces every three digits

i.e. <u>not</u> commas as in English, i.e. *564 297*, <u>not</u> *564*,*297*. The comma is used in German for the English decimal point (see 9.3.3). Confusingly, a point is sometimes used instead of a space in German to separate thousands: *564.297*.

# c. hundert or einhundert; tausend or eintausend?

English speakers tend to overuse the longer form *einhundert* because of the similarity to English 'a hundred'. However, it is used less frequently than the shorter alternative *hundert*, and the long form *eintausend* is much less usual than simple *tausend*. However, *ein* is normally inserted in complex numbers, e.g. 101 100 *hunderteintausendeinhundert*.

#### d. und can be used between hundert and eins

However, English speakers tend to overuse this, because of the similarity to English 'a hundred **and one**'. Nevertheless, *und* is wholly optional (and less frequent in practice), as in *hundert(und) eins*, *zweihundert(und)eins*, as also between *tausend* and tens or units, e.g. *tausend(und)eins*, *viertausend(und)elf*, *zwanzigtausend(und)zweiunddreißig*.

#### e. eine Million, eine Milliarde and eine Billion are treated as separate nouns

They have a plural ending where necessary: zwei Millionen; fünf Millionen vierhunderttausend. Numbers higher than eine Milliarde are rare in normal use, so that, for instance, tausend Milliarden is more usual than eine Billion.

English speakers should note that *Milliarde* is the equivalent of what is nowadays usually referred to as 'a billion' in English (i.e. a thousand million), whilst *Billion* is a 'real' billion, i.e. a million million.

NB: The plural form is used when one million is followed by a decimal: 1,4 Millionen Euro. This is spoken as einskommavier Millionen Euro.

#### f. The otherwise archaic form zwo is often heard for zwei

This is used to avoid confusion with *drei*. *zwo* is particularly frequently used on the telephone, but it has become common in other spoken contexts and is sometimes extended to 2 in complex numbers, e.g. *zwounddreißig*, and the ordinal *der zwote*.

#### g. The numbers from 2 to 12 have alternative forms with an additional -e

e.g. sechse, neune, elfe. These are common in spoken colloquial German (especially in the South) for emphasis, particularly when stating the time: *Ich bin um fünfe aufgestanden* and in counting scores in card games.

#### h. Longer numbers are often stated in pairs

e.g. 4711 (a brand of eau de Cologne), spoken *siebenundvierzig elf*. This usage is particularly frequent (though optional) with telephone numbers (less frequently the dialling code). Thus, a number like (0621) 54 87 23 is typically given as *null sechs zwo eins – vierundfünfzig siebenundachtzig dreiundzwanzig*.

## i. Years from 1100 to 1999 are usually stated in hundreds

This is similar to English usage, e.g. 1996: neunzehnhundert sechsundneunzig.

## j. Years after 2000 are given with zweitausend

This differs from usage with 'twenty' which has become established in English. 2009 is thus zweitausendneun and 2015 is zweitausendfünfzehn.

## k. beide is used in some contexts where English uses the numeral 'two'

This is particularly the case where it is a question of 'two and only two' of the relevant items, see 5.5.3b, e.g. *Ich möchte diese beiden Hemden* 'I would like these two shirts'.

## l. fünfzehn and fünfzig

These are regularly pronounced *fuffzehn* and *fuffzig* in colloquial speech.

#### m. As an indefinite large number, corresponding to English 'umpteen', colloquial German uses zig

Ich kenne sie schon **zig** Jahre

I've known her umpteen years

Die ist mit **zig** Sachen in die Kurve gefahren She took the bend at a fair old speed

The compounds *zigmal* 'umpteen times', *zigtausend* 'umpteen thousand', etc. are also frequently used. All these forms can be written with an initial hyphen: *-zig*, *-zigmal*, etc.

#### n. Cardinal numbers used as nouns

**o.** Where these refer to the numeral, they are feminine (see 1.1.2) and have a plural in -en if required:

Die Sieben ist eine Glückszahl

In Mathe habe ich nie eine Fünf gehabt

**Die Hundert** ist eine dreistellige Zahl

Im Abitur hat er drei Zweien und eine Eins gekriegt

The feminine nouns *die Hundert* and *die Tausend*, referring to the numbers as such, as illustrated above, are to be distinguished from the neuters *das Hundert* and *das Tausend*, which refer to quantities (see 9.1.5b).

#### p. The numeral 7 is usually written in handwriting with a stroke

i.e. 7. This helps to distinguish it from 1, which Germans write with an initial sweep, i.e. 1.

# 9.1.2 Eins, Ein, Einer 'One'

#### a. The form eins is used in isolation as a numeral

i.e. in counting and the like:

Wir müssen mit der (Linie) eins zum Bahnhof fahren

We've got to take the number one (i.e. tram, bus) to the station

This form is also used with decimals (see 9.3.3): einskommasieben

#### b. The form ein is used with a following noun

It agrees with the following noun for case and gender and has the same endings as the indefinite article, see Table 4.2.

ein Tischone tableeine Kircheone churchein Buchone bookdurch einen Fehlerby one mistakeaus einem Grundfor one reason

The **numerical** sense of *ein* (i.e. 'one') is distinguished from the **indefinite article** *ein* (i.e. 'a, an') in speech by always being pronounced in full, see 4.1.2b. In writing, if there is a possibility of ambiguity in context, the numerical sense can be made clear typographically, e.g.:

éin Buch ein Buch ein Buch ein Buch

In practice this is only necessary in exceptional cases.

After hundert and tausend, e.g. 301, 2001, there is considerable uncertainty as to how or whether to decline forms of ein. One possibility is to use a declined form of -ein with a singular noun, e.g. ein Buch mit dreihundertundeiner Seite. The combinations hundertundeine Mark and Tausendundeine Nacht 'The 1001 Nights' are well established idiomatically. However, many Germans feel this sounds odd in other contexts, but they are equally unhappy with the alternative of undeclined -ein, with a plural noun, e.g. ein Buch mit dreihundertein Seiten. A further alternative, using the invariant form eins with a plural noun, seems to be becoming predominant usage, e.g. ein Buch mit dreihundertundeins Seiten, Wir haben hundertundeins tolle Ideen.

# c. The form einer is used as a pronoun

Its declension is given in Table 5.10.

Wir haben einen Rottweiler, und ihr habt auch einen, nicht?

einer der Männer one of the men

ein(e)s der Häuser one of the houses

Further details on the use of *einer* are given in 5.5.4.

### d. After a determiner, ein-declines like an adjective

e.g. der eine . . . , 'the one . . . '

Das Dorf hatte bloß die eine Straße

Mit seinem einen Auge sieht er schlecht

#### e. ein has no ending in a few constructions

i. When followed by *oder* or *bis* and another number, e.g. *ein oder zwei*, *ein bis zwei*:

Ich pflückte **ein oder zwei** Rosen Er kam vor **ein oder zwei** Wochen Wir müssen **ein bis zwei** Tage warten Ich sprach mit **ein oder zwei** anderen

**ii.** When linked with *andere* or *derselbe*, the alternatives of declining *ein* or leaving it endingless are equally acceptable:

Ein(er) oder der andere machte eine kurze Bemerkung

One or other made a brief remark

An ein(em) und demselben Tag machten drei Firmen Pleite

On one and the same day three firms went bankrupt

With mehrere, ein is more commonly inflected: vor einem (rarely: ein) oder mehreren Monaten 'one or more months ago'.

iii. ein is not inflected in ein Uhr 'one o'clock', see Table 11.1. (Compare eine Uhr 'a/one clock').

#### 9.1.3 **Declension of Cardinal Numbers**

Apart from *ein* 'one', which is declined as explained in 9.1.2, cardinal numbers do not normally decline to show case or gender in German. Thus:

gegen sechs Kinder die sechs Kinder mit sechs Kindern mit den sechs Kindern wegen sechs Kindern wegen der sechs Kinder

However, endings are found in one or two special contexts:

## a. zwei and drei have the GENITIVE forms zweier and dreier

These are used quite frequently in formal written German, but their use is not obligatory, e.g.:

Der Taufe **zweier** Kinder aus der Ehe stimmte er zu (*MM*)

He agreed to the baptism of two children of the marriage

die vielerlei Eindrücke **dreier** anstrengender Tage

the various impressions from three strenuous days

(Zeit)

A following adjective has the strong ending -er (see 6.2.1a), as in the second example above, but an adjective used as a noun usually has the weak ending -en: die Seligkeit zweier Verliebten. In less formal registers a phrase with von is used, e.g. die Eindrücke von drei anstrengenden Tagen, and in practice this is a perfectly acceptable alternative in most writing.

#### b. The numbers from 2 to 12 can have a DATIVE in -en when used in isolation

i.e. when no noun follows:

Nur einer von **zweien** ist als gesund zu bezeichnen (*Zeit*) als sich die Tür hinter den **dreien** geschlossen hatte (*Welt*)

**c.** This is a common alternative to the endingless form (i.e. *einer von zwei*, etc.), even in spoken German, especially with the numbers 2, 3 and 4. It is most frequent for added emphasis and in set phrases such as *auf allen vieren* 'on all fours', *mit dreien* 'with three (Jacks)' (in the card game *Skat*), and in the formula *zu zweien*, *dreien*, *vieren* etc. 'in twos, threes, fours', e.g.: *dieser Spaziergang zu zweien*.

A rather more frequent alternative is a form in -t (using the stem of the ordinal, see 9.2.1), e.g. zu zweit, zu dritt, zu viert. However, a distinction can be made between zu zweien 'in pairs' and zu zweit 'as a pair' (i.e. when there are only two). Compare:

d. Sie gingen zu zweien über die Straße They crossed the road in pairs

Sie gingen **zu zweit** über die Straße

The two of them crossed the road together

## 9.1.4 Cardinals have an Adjectival form in -er

e.g. *fünfer*, *zehner*. This is used to denote value and measurement, or with reference to years. When they are used as adjectives, they do not decline (see 6.2.7e). When they are used as nouns, they have the dative ending -n:

Ich habe zwei Zehner und einen Hunderter I've s

zwei Fünfziger

zehn achtziger Marken

eine Achtziger

die Zehner und die Einer eine Sechserpackung

in den neunziger Jahren des 20. Jahrhunderts

ein Mann in den Vierzigern

eine Mittfünfzigerin

ein Dreitausender

ein vierundneunziger Heppenheimer Krötenbrunnen

I've got two ten euro notes and a hundred euro note

two fifty cent pieces or two fifty euro notes

ten 80 cent stamps an 80 cent stamp tens and units a six-pack in the 1990s

a man in his forties

a woman in her mid-fifties

a mountain (over) 3000 metres high

a 94 Heppenheimer Krötenbrunnen (i.e. a wine vintage 1994)

#### 9.1.5 Hundert, Tausend, Dutzend

#### a. hundert and tausend are used as normal numerals

They are not declined:

**hundert**, **zweihundert** Häuser a hundred, two hundred houses

tausend Bücher, sechstausend Bücher a thousand books, six thousand books

#### b. das Hundert, das Tausend and das Dutzend are used as nouns of quantity

das zweite **Dutzend**, **Hundert**, **Tausend**ein halbes **Dutzend**, ein halbes **Hundert**the second dozen, hundred, thousand
half a dozen, half a hundred (i.e. fifty)

zwei **Dutzend** Eier two dozen eggs

Hunderttausende von Menschen hundreds of thousands of people

Die Menschen verhungerten zu **Hunderten** und People were starving in hundreds and thousands

#### Tausenden

# c. If these words refer to an indefinite quantity, they can be spelled with an initial capital or small letter

i.e. when they are used in the plural, especially after quantifiers such as einige, mehrere, viele, etc. In such contexts it is not clear whether they are nouns or numerals. They have a plural ending if the following phrase is introduced by *von* or is in the genitive (see 1.2.14 and 2.7.4):

In dem Stadion warten Tausende/tausende von

Menschen auf den Spielbeginn

Mehrere Hundert/hundert Kinder waren an Typhus gestorben

Diesen Stoff verkauft man in einigen Dutzend/dutzend Farben

*In the stadium thousands of people are waiting for the* 

start of the match

Several hundred children had died of typhus

This material is sold in a few dozen shades

In the genitive plural, they have the ending -er if no determiner precedes. A following adjective has the strong endings:

inmitten Tausender/tausender fröhlicher New Yorker (HMP)

in the midst of thousands of happy New Yorkers

However, they have the ending -e if a preceding determiner has the genitive plural ending -er: die Ersparnisse vieler Tausende/tausende.

NB:

- i. Dutzend does not take a plural ending when used as a measurement noun in constructions such as drei Dutzend (Eier) 'three dozen (eggs)', see 1.2.14.
- ii. For the use of the genitive, apposition or a phrase with von after the nouns Dutzend, Hundert, Tausend see 2.7.4.

#### 9.1.6 Qualification of Cardinal Numbers

# a. Numerals may be modified by certain adverbs of degree

knapp *barely* bis zu up to über over

zwischen between unter under

gegen, rund, um, ungefähr, circa/zirka (abbrev.: ca.) about, approximately

Although most of these are prepositions which would be expected to require a particular case (dative or accusative), when they are used in these constructions with a following numeral they have no influence on the case of the following noun phrase:

Bis zu zehn Kinder können mitfahren Up to ten children can come with us Sie ist zwischen 30 und 40 Jahre alt She is between 30 and 40 years old

However, when they are used as prepositions, the following noun phrase is in the case normally required by the preposition (dative or accusative):

Children under seven years old pay half-price Kinder unter sieben Jahren zahlen die Hälfte

Kinder **über sechs Jahre** zahlen voll Children over six years old pay the full price suitable for children between the ages of seven and geeignet für Kinder zwischen sieben und zwölf Jahren

twelve

It is quite straightforward to work out whether these words are being used as **adverbs** (when they do not influence the selection of case) or **prepositions** (when they do), since in contexts where they are being used as adverbs the sentence would still be grammatically correct if they were left out: *Zehn Kinder können mitfahren*. But prepositions cannot be omitted: \**Kinder sieben Jahren zahlen die Hälfte* is not a grammatical sentence.

#### b. Other adverbials used with numbers:

Es dauert gut drei Stunden It lasts a good three hours
Er gab mir ganze fünf Euro He gave me all of five euro

#### 9.2 Ordinal Numbers

#### 9.2.1 The Formation of Ordinal Numbers

ORDINAL NUMBERS are those used as adjectives like English 'first', 'second', 'third', etc. The forms of ordinal numbers in German are given in Table 9.2. Most are formed by adding the suffix -te to the cardinals 2–19 and -ste to the cardinals from 20 upwards, but der erste 'first', der dritte 'third' and der siebte 'seventh' are exceptions to this pattern. All ordinal numbers are declined like adjectives.

Table 9.2 The forms of the ordinal numbers

1 der erste	20 der zwanzigste	
2 der zweite	21 der einundzwanzigste	
3 der dritte	27 der siebenundzwanzigste	
4 der vierte		
5 der fünfte	<b>30</b> der dreißigste	
6 der sechste	40 der vierzigste	
7 der siebte	<b>50</b> der fünfzigste	
8 der achte	60 der sechzigste	
9 der neunte	<b>70</b> der siebzigste	
10 der zehnte	80 der achtzigste	
11 der elfte	90 der neunzigste	
12 der zwölfte		
13 der dreizehnte	100 der hundertste	
14 der vierzehnte	101 der hundert(und)erste	
15 der fünfzehnte	117 der hundertsiebzehnte	
16 der sechzehnte		
17 der siebzehnte	1000 der tausendste	
18 der achtzehnte		
19 der neunzehnte	1 000 000 der millionste	
5437 der fünftausendvierhundertsiebenunddreißigs	te .	

There are a few special forms and uses;

#### a. der x-te and der zigste are used as indefinite ordinals

i.e. as equivalents of English 'the umpteenth', e.g.: Das war mein x-ter/zigster Versuch.

NB: x-te is pronounced [tkstə]. For the form zig, see 9.1.21.

#### b. The form der wievielte can be used to enquire about numbers

Das wievielte Kind ist das jetzt?

How many children is that now?

Den Wievielten haben wir heute?

What's the date today?

Zum wievielten Mal bist du schon hier?

How many times have you been here?

#### c. The ordinal stems can be compounded with superlatives

die zweitbeste Arbeit

die drittgrößte Stadt

der vierthöchste Berg

#### d. Ordinal numbers are indicated in writing by using a full stop after the numeral

am 14. Mai

das 275. Regiment

die 12. Klasse

This is nowadays the <u>only</u> usual means of indicating ordinal numbers in writing; abbreviations (e.g. *am 5ten Mai*) are no longer current.

#### e. Ordinal numbers can be used as nouns

In this respect they are like other adjectives, and they are written with initial capitals:

jeder Dritte

Er kam als Erster

Wer ist der **Zweite**?

NB: As with other adjectives, a small initial letter is used if the noun is understood, e.g. *Anke war die erste Frau in unserem Kreis, aber wer war die zweite*? (see 6.2.5).

## 9.2.2 Equivalents for English 'to be the first to'

For 'to be the first to', German uses either *als Erster*, or *der Erste* followed by a relative clause:

Die Russen waren die Ersten, die einen künstlichen Erdsatelliten um den Globus schickten; sie brachten als Erste einen Menschen in den Weltraum (*Zeit*) The Russians were the first to send an artificial satellite around the earth; they were the first to put a man into space

Dann musste Konstantin **als Erster** über den Graben (*Dönhoff*)

Then Konstantin had to be the first to cross the ditch

#### 9.2.3 Equivalents for English 'First(ly)', 'Secondly', etc.

For these, German uses the stem of the ordinal with the suffix -ens, e.g. erstens 'first(ly)', zweitens 'secondly', drittens 'thirdly', etc. Alternatively, the forms zum Ersten, zum Zweiten, zum Dritten, etc. are used.

#### 9.3 Fractions and Decimals

# 9.3.1 Fractions (die Bruchzahlen) are formed by Adding -el to the Ordinal Stem

These are neuter nouns:

ein Drittel ein Viertel

ein Fünftel

ein Achtel

ein Zehntel

They have an endingless plural, e.g. zwei Drittel. The ending -n is optional in the dative plural:

Die Prüfung wurde von vier Fünftel(n) der Schüler bestanden

If a fraction is the subject of a verb, then it takes a singular or plural ending as appropriate, see 12.1.4:

Ein Drittel ist schon verkauft

Zwei Drittel sind schon verkauft

When followed by a noun of measurement they are spelled with a small letter and an accompanying indefinite article takes its case and gender from the noun:

mit einer **drittel** Flasche

mit einem viertel Liter

If a fraction in the dative is followed by a phrase in the genitive, it sometimes lacks the expected ending:

in einem Drittel der Fälle (less common: in ein Drittel der Fälle)

In practice it is more usual to include it.

Fractions can be written together with measurement words, e.g. ein Viertelliter, fünf Achtelliter, vier Zehntelgramm and (especially) eine Viertelstunde. The following alternatives are thus all acceptable:

Er verfehlte den Rekord um drei Zehntel einer Sekunde

Er verfehlte den Rekord um drei zehntel Sekunden

Er verfehlte den Rekord um drei Zehntelsekunden

drei Viertel can be used in the same way, as a noun phrase: der Topf ist zu drei Vierteln voll, or, with a small letter, as an adverb: der Topf ist drei viertel voll. It can be compounded with Stunde, e.g. in einer Dreiviertelstunde 'in three-quarters of an hour' (alternatively: in drei Viertelstunden).

When used with full integers, fractions are read out as written, with no und: 35% drei fünfachtel, 11/10 eins siebenzehntel.

## 9.3.2 'Half' Corresponds to the Adjective Halb and the noun die Hälfte

These are used as follows:

## a. 'half', used as a noun, is normally die Hälfte

Er hat mir nur die Hälfte gegeben die größere Hälfte He only gave me half the bigger half

However, the form das Halb, from the adjective, is used in order to refer to the number as such:

(Ein) **Halb** ist mehr als ein Drittel

Half is more than a third

## b. 'half a': the usual equivalent is the indefinite article with halb

Ich aß einen halben Apfel

ein halbes Dutzend

ein halbes Brot

I ate half an apple

half a dozen

half a loaf

#### c. 'half the/this/my'

The usual equivalent is *die Hälfte* with a following genitive, but the appropriate determiner can be used with *halb* if the reference is to a whole thing which can be divided cleanly in two:

**Die Hälfte** der/dieser Äpfel ist schlecht

Half the/these apples are bad

die Hälfte meines Geldeshalf my moneyIch aß die Hälfte des Kuchens}I ate half the cake

Ich aß den halben Kuchen

NB: The use of halb with a plural noun in such contexts, i.e. die halben Äpfel 'half the apples', is colloquial and not accepted as standard.

## d. English adverbial 'half 'corresponds to German halb

halb angezogen half dressed

Er weiß alles nur halb

He only half knows things

## e. German equivalents for English 'one and a half'

German uses either eineinhalb or (in more informal usage) anderthalb.  $2\frac{1}{2}$ ,  $3\frac{1}{2}$ , etc. are zweieinhalb, dreieinhalb, etc. These are not declined:

Bis Walldürn sind es noch eineinhalb/anderthalb

Stunden

Sie wollte noch **sechseinhalb** Monate bleiben

It's another hour and a half to Walldürn

She wanted to stay another six and a half months

## f. Some other phrases and idioms:

Er hatte **halb so viel** wie ich

He had half as much as me

Kinder fahren **zum halben Preis**Children travel half price

Er ist mir **auf halbem Wege** entgegengekommen He met me halfway (literal and figurative sense)

Ich nehme noch ein Halbes

I'll have another half

Das ist **nichts Halbes** und nichts Ganzes

That's neither flesh nor fowl

Die Besucher waren **zur Hälfte** Deutsche

nach der ersten **Halbzeit**That's neither flesh nor fowl

Half the visitors were German

after the first half (sport)

halb Europa, halb München (see 6.2.7g) half Europe, half Munich

#### 9.3.3 Decimals are written with a Comma

i.e. not with a point, e.g.:

0,7 nullkommasieben 4,75 vierkommasiebenfünf 1,25 einskommazweifünf 109,1 hundertneunkommaeins

3,426 dreikommavierzweisechs

In everyday usage, two places of decimals are sometimes read out in terms of tens and units, e.g. 4,75 vierkommafünfundsiebzig.

## 9.4 Other Numerical Usages

## 9.4.1 Numerically Equal Distribution is Expressed by je

Ich gab den Jungen je zehn Euro

A. und B. wurden zu je drei Jahren verurteilt

Sie erhielten je fünf Kilo Reis

I gave each of the boys/each boy ten euros

A and B were each sentenced to three years

They each received five kilograms of rice

#### 9.4.2 Multiples

## a. German suffixes -fach to the cardinal number to form multiples

e.g. einfach 'single', zweifach 'twofold', dreifach 'threefold', etc.:

eine **einfach**e Karte a single ticket

ein **vierfach**er Olympiasieger a four-time gold-medal winner

... stiegen die Grundstückspreise zunächst aufs Zehnfache (Böll) ... the price of land first went up tenfold

## b. zweifach and doppelt

zweifach is sometimes interchangeable in meaning with doppelt 'double', but more often refers to two different things, while doppelt refers to two of the same, e.g.: ein zweifaches Verbrechen 'two kinds of crime' but Der Koffer hat einen doppelten Boden 'the suitcase has a double bottom'. zweifach has the variant form zwiefach in older literary usage.

#### c. -fach can also be suffixed to a few indefinites

e.g. vielfach or mehrfach 'manifold', 'frequent(ly)', 'repeatedly', mannigfach 'varied', 'manifold'.

## d. Forms in -fältig can also be used as multiples

e.g. zweifältig, dreifältig, vielfältig, etc. These are rather less common than forms in -fach. Note also (without *Umlaut!*) mannigfaltig, which is more frequent than mannigfach, and die (heilige) Dreifaltigkeit 'the (Holy) Trinity'. einfältig most often has the meaning 'simple(-minded)'.

## e. Equivalents for English Single

When it is used in the sense 'individual', 'separate', *single* corresponds to *einzeln*, e.g. *Die Bände werden einzeln* verkauft 'The volumes are sold singly/separately'. In the sense 'sole', it corresponds to *einzig*, e.g. *Er* hat keinen einzigen Freund 'He hasn't got a single friend'.

## 9.4.3 Einmal, Zweimal, etc.

## a. Adverbs made up from -mal suffixed to the cardinals express the number of occasions

e.g. einmal 'once', zweimal 'twice', dreimal 'three times', zehnmal 'ten times', hundertmal 'a hundred times', x-mal, zigmal 'umpteen times', dutzendmal 'a dozen times', etc.

Ich habe ihn diese Woche **dreimal** gesehen

I've seen him three times this week
Ich habe es **hundertmal** bereut

I've regretted it a hundred times
Also, Herr Ober, **zweimal** Gulasch, bitte

Right, waiter, goulash for two, please
anderthalbmal so groß wie der andere Luftballon

half as big again as the other balloon

If particular emphasis is needed, the cardinal and the noun *Mal* 'times' can be written separately, e.g. *neun Mal!* '**nine** times!'

Adjectives are formed from these adverbs by suffixing -ig ( see 22.3.1d), e.g. einmalig, zweimalig:

eine **einmalige** Gelegenheit a unique opportunity

nach dreimaligem Durchlesen seines Briefes after reading his letter three times

Formed in a similar way is *mehrmalig* 'repeated'.

## b. Forms and phrases with -mal and Mal

*Mal* (plural *Male*) is a neuter noun, and it is in most contexts written separately from any preceding adjectives or determiners, with an initial capital letter:

das erste **Mal**, als ich ihn sah

Das letzte **Mal** war das schönste
kein einziges **Mal**ein um das andere **Mal** *time after time* 

Ich werde es nächstes Mal tun Das vorige Mal war es schöner

Zum wievielten **Mal bist du hier**? Beim vorletzten **Mal** war sie schwer krank Jedes **Mal** bist du zu spät gekommen Er war nur ein paar **Mal** dort gewesen

Beide Male bin ich durchgefallen viele (hundert) Male

Ich habe ihn oft besucht; das eine Mal zeigte er mir seine Sammlung

Dieses Mal wird sie mich anders behandeln müssen

Die letzten paar **Male** war sie nicht zu Hause

The form *-mal* can be compounded in a few phrases:

diesmal this time

dutzendmal a dozen times

#### ein **paarmal** a few times

#### ein andermal another time

This possibility has been considerably limited in the reformed spelling. Only those given above are now accepted, and forms like *jedesmal* and *zum erstenmal* have been replaced by the full phrases, with each word written separately, as shown above.

## c. vielmals 'many times' is used in a few set constructions:

Ich danke Ihnen vielmals }

Danke **vielmals**Ich bitte **vielmals** um Entschuldigung

I do apologise

Sie lässt Sie **vielmals** grüßen

She sends you her kindest regards

Cf. also *erstmals* 'for the first time', *mehrmals* 'repeatedly'.

#### 9.4.4 The Suffix -erlei

-erlei is added to the cardinal numbers to give forms which mean 'x kinds of', e.g. zweierlei 'two kinds of', dreierlei 'three kinds of', vielerlei 'many kinds of', etc. They can be used as nouns or adjectives and do not decline:

Ich ziehe **zweierlei** Bohnen I grow two kinds of beans

Er hat **hunderterlei** Pläne

He's got hundreds of different plans
Ich habe ihm **dreierlei** vorgeschlagen

I suggested three different things to him

einerlei is most often used in the sense 'all the same' (i.e. = egal, gleich, etc.), e.g. Das ist mir alles einerlei.

## 9.4.5 Mathematical Terminology

The common arithmetic and mathematical functions are expressed as follows in German. In some cases the symbols used in the German-speaking countries are slightly different from those current in the English-speaking countries:

4+5=9 vier und/plus fünf ist/macht/gleich neun 8-6=2 acht weniger/minus sechs ist/gleich zwei

 $3 \times 4 = 12$  $3 \cdot 4 = 12$  drei mal vier ist/gleich zwölf

8: 2 = 4 acht (geteilt) durch zwei ist/gleich vier

 $3^2 = 9$  drei hoch zwei (drei zum Quadrat) ist/gleich neun

 $3^3 = 27$  drei hoch drei ist/gleich siebenundzwanzig

 $\sqrt{9} = 3$  Quadratwurzel/zweite Wurzel aus neun ist/gleich drei

5 > 3 fünf ist größer als drei

## 9.5 Addresses

The format now recommended by the German, Swiss and Austrian postal services is as in the examples below:

Herrn Frau

Dr. Ulrich Sievers
Maria Jellinek
Sichelstraße 17
Maximiliansgasse 34

54290 Trier 1084 Wien Familie Herrn

Karl (und Ute) Schulz Beat Wernli

Königsberger Straße 36 64711 Erbach/Odw.

Firma

Eugen Spengel Rossgasse 7–9 07973 Greiz

(Herrn und Frau) Peter und Eva Specht Steinweg 2½ 35037 Marburg/Lahn

An das Katasteramt Westfalen Bismarckallee 87 48151 Münster Gerechtigkeitsgasse 24

3011 Bern

Monsieur Alain Dubois

rue Napoléon 17 94320 THIAIS FRANKREICH

Mr & Mrs Frank Johnson

27 Corsland Ave GUILDFORD

**GROßBRITANNIEN** 

GU3 4AY

Mr. Albert McEvoy 30987 – 31st Street SW.

CALGARY CANADA T3C 1E5

Contrary to previous practice, no blank line is left above the name of the postal town or city. The post code is regarded as essential and, for post to other countries, the name of the town and the name of the country should be written in capitals below the street name. Prefixed country codes, e.g. **A-1084** Wien, **CH-3011** Bern are no longer to be used.

On private letters the sender's name and address are written in a single line on the back of the envelope, preceded by *Abs.* (i.e. *Absender*), e.g.: *Abs.: Indermühle, Strohgasse 17, 8600 Düsendorf, Schweiz.* 

# 10 Modal Particles

#### MODAL PARTICLES are words which express the speaker's attitude to what is being said.

They are words like *aber*, *doch*, *ja*, *mal*, *schon*, etc., which alter the tone of what is being said and make sure that the speaker's intentions and attitudes are clearly understood. They can typically

- • appeal for agreement
- express surprise or annoyance
- tone down a blunt question or statement
- sound reassuring

There is no full agreement as to which words can be classified as modal particles (called in German *Abtönungspartikeln* or *Modalpartikeln*). Their function is quite like that of adverbs of attitude (see 7.3.2), and like them they cannot be negated. In general, though, they are less independent and they cannot normally occur in first position in a main clause, before the main verb.

German has a far richer repertoire of these words than English, but English speakers have other ways of expressing their attitude to what is being said, especially intonation and tag questions like 'isn't it?' There is, though, a very marked tendency for German to use downtoners much more extensively than English. We have tried to give some idea of the flavour of each of the German modal particles in the translations by using equivalents like this, but they can only be a rough guide to usage. These equivalents should be understood in this sense, not as practical translation equivalents.

True modal particles relate to the clause or sentence as a whole, whereas scalar or focus particles (called *Gradpartikeln* in German), like *sogar*, focus attention on a particular word or phrase.

However, these distinctions are not clear-cut, and many of these words can be used in more than one way. *auch* and *nur*, for example, can be used both as modal and as focus particles, whereas *eigentlich* and *freilich* can function as adverbs of attitude as well as modal particles.

Many particles can be used to focus attention on a particular element in the sentence. In English this is often done by means of a so-called 'cleft sentence' (see 21.2.3), e.g. *Dieses Mal war der Mann aber schuld* 'This time it was the man who was to blame'. The examples in the sections below show typical instances where this is possible.

This chapter aims to give a practical account of the use in modern German of all those words which might be considered to be modal particles. They are listed in Table 10.1, which gives the section in which they are dealt with in this chapter. Many of these words have a range of uses besides those of modal particles; to avoid confusion, these uses are also explained here.

Table 10.1 German modal particles

aber	10.1	eigentlich	10.10	ja	10.19	ruhig	10.28
allerdings	10.2	einfach	10.11	jedenfalls	10.20	schließlich	10.29
also	10.3	erst	10.12	lediglich	10.21	schon	10.30
auch	10.4	etwa	10.13	mal	10.22	sowieso	10.31
bloß	10.5	freilich	10.14	man	10.23	überhaupt	10.32
denn	10.6	gar	10.15	noch	10.24	übrigens	10.33
doch	10.7	gleich	10.16	nun	10.25	vielleicht	10.34
eben	10.8	halt	10.17	nur	10.26	wohl	10.35
eh	10.9	immerhin	10.18	ohnehin	10.27	zwar	10.36

## 10.1.1 In Statements, Aber Expresses a Surprised Reaction

In effect, *aber* converts such statements to exclamations:

Das war aber eine Reise! That was quite a journey, wasn't it?

Der Film war aber gut! The film was good
Der Kaffee ist aber heiß! Oh! The coffee is hot

aber can be given greater emphasis by adding auch. Compare:

Das war aber auch eine Reise! That really was some journey!

*ja* is also used to express surprise (see 10.19.2), but surprise resulting from a difference in kind, where *aber* indicates a difference in degree. Compare:

Der Kaffee ist **aber** heiß (i.e. hotter than you had expected)

Der Kaffee ist **ja** heiß (you had expected <u>cold</u> coffee)

In this sense, aber is very similar in force to vielleicht (see 10.34.1): Der Tee ist vielleicht heiß!

## 10.1.2 Aber is used within a Clause to Express a Contradiction

In such contexts, *aber* has much the same sense it would have at the beginning of the clause (i.e. = English 'but', see 19.1.1). This sense is close to that of *doch* (see 10.7.1), and *though* is a close equivalent in English:

Mein Freund kam aber nicht

My friend didn't come, though
Sie muss uns aber gesehen haben

But she must have seen us

Jetzt kannst du etwas schneller fahren . . . Pass aber bei You can go a bit quicker now . . . Look out at the lights,

den Ampeln auf! though!

Dieses Mal war aber der **Mann** schuld This time it was the man who was to blame, though

Used with *oder*, *aber* has the sense of 'on the other hand':

Seine Befürwortung könnte der Sache helfen oder aber

(auch) schaden

His support might help the affair or on the other hand it

might harm it

## 10.1.3 When used Initially in Exclamations, Aber Stresses the Speaker's Opinion

aber can sound scolding or reassuring, depending on the context:

Hast du was dagegen? Aber nein! Have you any objection? Of course not!

Aber Kinder! Was habt ihr schon wieder Now, now, childen! What have you been doing? Aber, aber! Was soll diese Aufregung? Oh now! What's all the excitement about?

## 10.1.4 Aber is also used as A Coordinating Conjunction

i.e. corresponding to English 'but', see 19.1.1.

## 10.2 Allerdings

allerdings most often expresses a reservation about what has just been said. It usually corresponds to English 'admittedly', 'of course', 'to be sure', 'all the same', etc. freilich has a very similar meaning, see 10.14.

## 10.2.1 Within a Sentence, the Sense of Allerdings is Close to that of Aber

However, *allerdings* is rather less blunt:

Es ist ein gutes Buch, allerdings gefallen mir seine anderen It's a good book. Even so, I like his others rather better etwas besser

Wir haben uns im Urlaub gut erholt, das Wetter war allerdings nicht sehr gut

The holiday was a good rest for us. All the same, the weather wasn't very good

Ich komme gern, allerdings muss ich zuerst der Rita Bescheid sagen

I want to come, of course I'll have to tell Rita first

## 10.2.2 On its Own in Answer to a Question, Allerdings Expresses a Strongly Affirmative Answer

There may be a hint of a reservation of some kind which the speaker isn't making explicit:

Do you know Angelika? Of course! (I know what she's Kennst du die Angelika? Allerdings! like, too!)

Is Helmut here yet? Oh, yes! (and you should see who Ist der Helmut schon da? Allerdings!

he's come with!)

## 10.3 **Also**

# 10.3.1 Also Confirms Something as the Logical Conclusion from What has Just been Said

also often corresponds to English 'so', 'thus' or 'then':

Du wirst mir also helfen können You're going to be able to help me, then Wann kommst du also genau? So, when are you coming precisely?

So you think we're going to have to make a decision Sie meinen also, dass wir uns heute entscheiden müssen today

## 10.3.2 Used in Isolation, also Links up with What has Just been Said

also can introduce a statement or a question:

Also, jetzt müssen wir uns überlegen, wie wir Well then, now we've got to think about how we're going dahinkommen to get there

Also, besuchst du uns morgen? So, are you going to come to see us tomorrow?

Also, gut! Well all right then! Also, so was! Well I never!

## 10.4 Auch

## 10.4.1 In Statements, Auch Stresses the Reasons Why Something is or is not the Case

auch can be used to correct a false impression and is often used with ja:

Gerhard sieht heute schlecht aus- Er ist (ja) auch lange Gerhard's not looking well today—Well, he's been ill for

krank gewesen a long time

Jetzt möchte ich schlafen gehen – Es ist (ja) auch spät I'd like to go to bed now – Well, after all, it is late

Das hättest du nicht tun sollen – Ich habe es (ja) auch nicht You ought not to have done that – But I didn't do it, you know getan

# 10.4.2 In Yes/No Questions, *Auch* Asks for Confirmation of Something Which the Speaker Thinks should be Taken for Granted

The English equivalent is very often a tag question:

Kann ich mich auch darauf verlassen?

Hast du auch die Rechnung bezahlt?

You did pay the bill, didn't you?

You're happy with him, aren't you?

## 10.4.3 Auch Turns W- Questions into Rhetorical Questions

*auch* confirms that nothing else could be expected:

Was kann man auch dazu sagen? Well, what can you say to that?

Ich bin heute sehr müde – Warum gehst du auch immer so spat ins Bett? – Well, why do you always go to bed so late?

These questions can be turned into exclamations which emphasise the speaker's negative attitude:

Was war das auch für ein Erfolg?! Well, what sort of success do you call that?! Wie konnte er auch so schnell abreisen?! How could he have left as quickly as that?!

#### 10.4.4 Auch Reinforces Commands

This is similar to the use of English 'Be/Make sure . . . !':

Bring mir eine Zeitung und vergiss es auch nicht! Bring me a paper and be sure you don't forget!

Sei auch schön brav! Be sure you behave!

#### 10.4.5 Further Uses of Auch

## a. Before a noun auch has the force of English 'even'

It is an alternative to *sogar* or *selbst* as a focus particle:

Auch der beste Arzt hätte ihr nicht können

Even the best doctor wouldn't have been able to help

her

Auch der Manfred kann sich ab und zu mal irren

Even Manfred can be wrong now and again

Und wenn auch! even so, no matter

NB: The usual equivalent for English 'not even' is *nicht einmal*.

## b. As an adverb, auch has the meaning 'too', 'also', 'as well'

Peter will auch mit

Gisela ist auch nett

In Potsdam sind wir auch gewesen

Peter wants to come too

Gisela's nice as well

We also went to Potsdam

## c. The combination auch nur expresses a restriction

It corresponds to English 'even', 'as/so little/much as', etc.:

wenn ich auch nur zwei Freunde hätte if I only had just two friends ohne auch nur zu fragen without even so much as asking

Es war unmöglich, auch nur Brot zu kaufen You couldn't buy so much as a loaf of bread

d. oder auch has the sense 'or else', 'or even'

Du kannst Birnen kaufen oder auch Pfirsiche

You can buy pears or else peaches

e. auch nicht, auch kein and auch nichts are often used for 'nor', 'neither', etc.

See 19.1.3d for details on German equivalents of 'neither' and 'nor':

Ich habe nichts davon gewusst – Ich auch nicht

I didn't know anything about it – Nor me/Neither did I

Sie kann nicht nähen, und stricken kann sie auch nicht She can't sew, and neither can she knit

Das wird ihm auch nichts helfen That won't help him either

Er liest keine Zeitungen und auch keine Bücher He doesn't read any newspapers or books

## f. auch occurs in many concessive constructions

Its force is similar to that of English 'ever', e.g.: Wer es auch sein mag 'Whoever that may be'. Details are given in 19.6.2.

## 10.5 Bloß

 $blo\beta$  usually has a restrictive sense (= English 'only', 'simply', 'merely'). In all its uses it is a rather less formal alternative to nur, see 10.26, where the uses of nur and  $blo\beta$  are compared:

Störe mich bloß nicht bei der Arbeit You'd better not disturb me while I'm working

Wie spät ist es bloß?

Wenn er bloß bald käme!

Sie hatte bloß 100 Euro bei sich

Sollen wir Tante Mia einladen? – Bloß nicht!

I wonder just what the time is?

If only he would come soon!

She only had 100 euros on her

Shall we invite aunt Mia? – No way!

## 10.6 **Denn**

## 10.6.1 As a Modal Particle, Denn is Used Exclusively in Questions

## a. denn most often serves to tone down the question

*denn* refers back to what has just been said, or to the general context, and makes the question sound rather less blunt and more obliging. In practice it is almost automatic in w- questions:

Hast du denn die Renate gesehen? Tell me, have you seen Renate?

Geht der Junge denn heute nicht in die Schule? Isn't the boy going to school today, then?

Ach, der Bus hält. Sind wir denn schon da? Oh, the bus is stopping. Are we already there, then?

Warum muss er denn in die Stadt? Tell me, why has he got to go to town?

Wie bist denn du gekommen? *Tell me, how did you get here?* 

Wie geht es dir denn? How are you then?

NB: In colloquial speech, denn is often reduced to 'n and suffixed to the verb, e.g. Hast'n du die Renate gesehen? Wie bist'n du gekommen?

## b. If there is a negative element in the question, denn signals reproach

The negative element may not be explicit. The question itself expects a justification rather than an answer:

Hast du denn keinen Führerschein? Come on, haven't you got a driving licence?

Bist du denn blind? Come on now, are you blind?

Wo bist du denn so lange geblieben? Where on earth have you been all this time?

Was ist denn hier los? What on earth's going on here?

## c. denn can convert w- questions into rhetorical questions

A negative answer is expected:

Who's talking of giving in? (prompting the answer: Wer redet denn von nachgeben?

nobody!)

And what have we achieved by that? (prompting the Was haben wir denn damit erreicht?

answer: nothing!)

Adding *schon* makes it absolutely clear that the question is rhetorical:

And what did he gain by that? (prompting the answer: Was hat er denn schon damit gewonnen?

nothing!)

## d. Yes/no questions with denn can be used as exclamations of surprise

They often begin with so:

Ist das Wetter denn nicht herrlich? How lovely the weather is! So hat er denn die Stellung erhalten? So he did get the job!

#### e. The combination denn noch is used to recall a fact

Wie heißt er denn noch? What is his name again?

NB: The force of *denn noch* is similar to that of *doch gleich* in section 10.7.4.

#### 10.6.2 Further uses of Denn

## a. The combination es sei denn, (dass) is a conjunction meaning 'unless'

e.g.: Sie kommt gegen ein Uhr, es sei denn, sie wird aufgehalten. It is used chiefly in formal German; for further details see 16.5.3d.

#### b. geschweige denn means 'let alone', 'still less'

It is used mainly in formal registers:

Er wollte mir kein Geld leihen, geschweige denn He wouldn't even lend me any money, let alone give schenken me any

## c. denn is often used in place of dann 'then'

e.g.: Na, denn geht es eben nicht. This usage is common in North German colloquial speech, but is not accepted as standard.

## d. denn is used as a coordinating conjunction indicating a cause or reason

It corresponds to English 'for', 'because', e.g.: Er kann uns nicht verstehen, denn er spricht kein Deutsch. For details, see 19.1.2.

e. denn is sometimes used in formal German and set phrases for als 'than' e.g. mehr denn je 'more than ever'.

For details, see 8.3.1a.

## 10.7 Doch

doch is used typically in an attempt to persuade the listener of the speaker's point of view. It usually expresses a contradiction or disagreement and often corresponds to English 'though' or a tag question. The element of persuasion is given more force if doch is stressed.

## 10.7.1 In Statements, Doch Indicates Disagreement with What has been Said

If *doch* is stressed, it clearly contradicts, and its meaning is close to that of *dennoch* or *trotzdem*. If it is unstressed, it appeals more politely and tentatively for agreement or confirmation:

Gestern hat es **doch** geschneit

Gestern hat es doch geschneit

It snowed yesterday, didn't it?

Ich habe **doch** Recht gehabt

Ich habe doch Recht gehabt

I was right, wasn't I?

Wir müssen **doch** morgen nach Bremen

All the same, we <u>have</u> got to go to Bremen tomorrow

Ich habe ihm abgeraten, aber er hat es **doch** getan

I advised him against it, but he did it all the same

Du hast doch gesagt, dass du kommst You did say you were coming, didn't you?

Unstressed *doch* may also mildly point out a reason for disagreement. In such contexts it has much the same force as *aber*, see 10.1.2:

Wir wollten doch heute Abend ins Theater gehen

Surely we were going to go to the theatre tonight (, weren't we?)

Die Ampel zeigt doch rot, wir dürfen noch nicht gehen But the lights are red, we can't go yet

In literary German *doch* can be used with the verb first in the clause. This explains the preceding statement:

War ich doch so durch den Lehrbetrieb beansprucht, dass After all, I was so busy with my lessons that I didn't have ich dafür keine Zeit fand (Grass) any time for that

NB: For the difference in meaning between doch and ja in statements appealing for the listener's agreement, see 10.19.1b.

## 10.7.2 Unstressed Doch can Turn a Statement into a Question Expecting a Positive Answer

doch is then the equivalent of a following oder? or nicht (wahr)?, and one of these may be used as well:

Den Wagen kann ich mir doch morgen abholen? I can collect the car tomorrow, can't I?

Du kannst mir doch helfen(, oder)? You can help me, can't you?

Du glaubst doch nicht, dass ich es getan habe? Surely you don't think I did it?

Es hat ihr doch Sandra gesagt

It was Sandra who told her, wasn't it?

## 10.7.3 Doch in Commands

The force of *doch* in commands can vary depending on the context. Sometimes it adds a note of impatience or urgency, and in this sense it can be strengthened by *endlich* or, in a negative sentence, by *immer*:

Reg dich doch nicht so auf! For heaven's sake, don't get so excited

Bring den Wagen doch (endlich) in die Werkstatt! For goodness' sake, take the car to the garage

Mach doch nicht (immer) so ein Gesicht! Don't keep making faces like that

Freu dich doch! Do cheer up

In other sentences, *doch* can moderate the force of the command, making it sound more advisory or encouraging. This can be made even more clear by adding *mal* or *ruhig*:

Lassen Sie mich doch (mal) das Foto sehen! Why don't you just let me see the photograph?

Kommen Sie doch (ruhig) morgen vorbei! Why not drop by tomorrow?

## 10.7.4 In W- Questions, Doch asks for Confirmation of an Answer or the Repetition of Information

doch can be strengthened by adding gleich (see 10.16), and its force is then similar to that of denn noch, see 10.6.1e:

Wie heißt doch euer Hund? What did you say your dog is called?

Wer war das doch (gleich)? Who was that again?

Wohin fahrt ihr doch auf Urlaub? Where did you say you were going on holiday?

## 10.7.5 In Exclamations, Doch Emphasises the Speaker's Surprise

In such sentences the force of *doch* is close to that of *ja*, see 10.19.2:

Wie winzig doch alles von hier oben aussieht! But how tiny everything looks from up here!

Du bist doch kein kleines Kind mehr! You're not a baby any more, you know!

Das ist doch die Höhe! That really is the limit!

Wir haben doch Gulasch bestellt! But it was goulash we ordered!

## 10.7.6 In Wishes Expressed with KONJUNKTIV II, Doch Emphasises the Urgency of the Wish

See also 16.7.6b. In such sentences *doch* is the equivalent of *nur* and may be used together with it, see 10.26.1c:

Wenn er doch jetzt käme! If only he would come now!
Wäre ich doch zu Hause geblieben! If only I'd just stayed at home!

## 10.7.7 Further Uses of Doch

#### a. In reply to a question, doch contradicts a negative or emphasises an affirmative reply

Bist du nicht zufrieden? Doch!

Aren't you satisfied? Yes, I am
Kommt er bald? Doch!

Is he coming soon? Oh, yes

Er hat nie etwas für uns getan. – Doch, er hat mir einmal He's never done anything for us. – Oh, yes he has, he

100 Euro geliehen once lent me a hundred euros

When used with *nein* or *nicht*, *doch* emphasises a negative reply:

Mutti, kann ich ein Stück Schokolade haben?

— Nein doch, du hast jetzt genug gegessen

— Certainly not, vou've had enough to eat

## b. As a conjunction, doch is an alternative to aber 'but'

e.g.: Sie wollten baden gehen, doch es hat geschneit. Further details are given in 19.1.1.

#### 10.8 **Eben**

## 10.8.1 As a Modal Particle, Eben Typically Expresses a Confirmation that Something is the Case

eben often corresponds to English 'just'.

#### a. In statements,

## eben emphasises an inescapable conclusion

Das ist eben so But there, that's how it is

Ich kann ihn nicht überreden. Er ist eben hartnäckig
Er zeichnet ganz gut – Nun, er ist eben ein Künstler

\*I can't convince him. He's just obstinate

\*He draws quite well – Well, he is an artist\*

Ich mache es, so gut ich eben kann I'll do it as well as I can (given the circumstances)

Eben das hat er schreiben wollen That's what he wanted to write

## b. n commands, eben emphasises that there is no real alternative

These commands are often introduced by dann:

(Dann) bleib eben im Zug sitzen! Well, just stay on the train, then

(Dann) fahr eben durch die Stadtmitte! Well, just drive through the town centre, then

*halt* is a frequent alternative to *eben*, see 10.17. It was originally restricted to South Germany, but it has become more widely used recently.

#### 10.8.2 Further Uses of Eben

## a. ben can be used in the sense of 'exactly', 'precisely', 'just'

In this meaning it can be used as a focus particle before another word, or as a response to a statement or a question. *genau* is a common alternative:

Das ware mir eben recht That would be just what I'd like

Eben 'daran hatte ich nie gedacht

That's the one thing I hadn't thought of
Eben dieses Haus hatte mir zugesagt

It was this house which attracted me

Das wird sie doch kaum schaffen. – Eben! She won't manage it, will she? – Precisely!

**b.** Used with *nicht* before an adjective, *eben* lessens the force of *nicht gerade* is a common alternative:

Sie ist nicht eben fleißig She's not exactly hard- working

Der Zug war nicht eben pünktlich The train wasn't what you'd call on time

#### c. As an adverb, eben means 'just (now)'

gerade is very similar in its force:

Wir sind **eben** (erst) angekommen **Eben** geht mir ein Licht auf

Mit zweitausend Euro im Monat kommen wir eben (noch) aus

## d. As an adjective, eben means 'level'

e.g.: Die Straße ist hier nicht eben

## 10.9 **Eh**

*eh* has a very similar force to *ohnehin* or *sowieso*. Like them, it is an equivalent of English 'anyway' or 'in any case', but it is used predominantly in colloquial South German, especially in Austria and Bavaria. However, it has recently come to be used more widely:

Wenn ich arbeite, brauche ich eh immer mehr zum Essen (*Kroetz*)

Für eine Markenpersönlichkeit wie Sie ist das neue Magazin der Süddeutschen eh ein Muss (*SZ*)

When I'm working I need more to eat anyway

It goes without saying that the new magazine of the "Süddeutsche Zeitung" is a must for a person of quality like you

## 10.10 Eigentlich

eigentlich emphasises that something is actually the case, even if it appears otherwise. It is often used to change the topic of conversation.

## 10.10.1 Eigentlich in Questions

## a. eigentlich can tone a question down and makes it sound more casual

In such cases it is relatively lightly stressed. It comes close to the sense of English 'actually' and is often used in conjunction with *denn*:

Sind Sie eigentlich dieses Jahr schon in Urlaub

gewesen?

Wohnt Eva eigentlich schon lange in Hameln?

Tell me, have you been on holiday yet this year?

Has Eva actually been living a long time in Hamelin?

## b. In w- questions, eigentlich implies that the question has not yet been answered fully or satisfactorily

In such contexts it is rather more heavily stressed and very close in meaning to *im Grunde genommen*, *tatsächlich* or *wirklich*, with the sense of 'at bottom', 'in actual fact', 'in reality':

Wie heißt er eigentlich?

What's his real name?

Warum besuchst du mich eigentlich?

Why, basically, did you come to visit me?

## 10.10.2 *Eigentlich* in Statements

## a. eigentlich indicates that something actually is the case, despite appearances

It moderates a refusal, an objection or a contradiction by indicating how strong the reasons are:

Er scheint manchmal faul, aber er ist eigentlich sehr

fleißig

Ich wollte eigentlich zu Fuß gehen

Ich trinke eigentlich keinen Kaffee mehr

He appears lazy sometimes, but in actual fact he's

very hard- working

In actual fact, I did want to walk

Well, actually, I don't drink coffee now

## b. Sometimes eigentlich can signal that the matter is still a little open

Wir haben eigentlich schon zu

Well, actually, we're already closed (hinting that an exception might not be wholly out of the question)

Strictly speaking, that's not allowed here (but, possibly, . . .)

Das darf man hier eigentlich nicht

## 10.10.3 As an Adjective, Eigentlich Means 'Real', 'Actual', 'Fundamental'

Was ist die eigentliche Ursache?

Er nannte nicht den eigentlichen Grund

## 10.11 **Einfach**

*einfach* emphasises that alternative possibilities are excluded. It usually corresponds to English 'simply' or, especially in commands, 'just'. In commands it is frequently used in conjunction with *doch* and/or *mal*, and in exclamations it is often combined with *ja*:

Ich bin einfach weggegangen

I simply walked away

Ich werde ihm einfach sagen, dass es nicht möglich ist I'll simply tell him it's not possible

Warum gehst du nicht einfach ins Bett? Why don't you simply go to bed?

Leg dich (doch) einfach hin! Why don't you just go and lie down?

Geh doch einfach mal zum Zahnarzt! Why not just simply go to the dentist?

Heute ist das Wetter (ja) einfach herrlich!

The weather is simply lovely today!

*einfach* is used as a true adverb, as well as a particle, but there is a clear difference in meaning. As an adverb, *einfach* is always stressed and means 'in a simple manner'. Compare:

Sie macht es einfach

She is doing it simply (in an uncomplicated way)

Sie macht es einfach

She is doing it simply (in an uncomplicated way)

She is simply doing it ('just', 'without further ado')

Du musst **einfach** anfangen

You have to begin simply

Du musst einfach **anfangen**You simply have to begin

## 10.12 **Erst**

## 10.12.1 As a Modal Particle, Erst has Intensifying Force

#### a. In statements and exclamations

Here *erst* implies that something really is the absolute limit and perhaps more than expected or desirable. It is often strengthened by adding *recht*:

Dann ging es erst (recht) los

Then things really got going

Das konnte sie erst recht nicht

That she really couldn't manage

Das macht es erst recht schlimm

That really does make it bad

Sie hat schon Hunger, aber das Kind erst (recht)! She may be hungry, but it's the kid who's really

hungry

#### b. In wishes

Here, nur or  $blo\beta$  are alternatives to erst (and may be used with it):

Wäre er doch erst zu Hause! If only he were at home!

Wenn er (bloß) erst wieder arbeiten könnte! If only he could start work again!

## 10.12.2 As a Focus Particle, Erst Indicates that there are/were Less or Fewer than Expected

## a. Before a number or an expression of quantity it corresponds to 'only'

Ich habe erst zehn Seiten geschrieben

I've only written ten pages
Er ist erst sieben Jahre alt

He's only seven years old
Ich habe erst die Hälfte fertig

I've only got half of it finished

Before other nouns the sense is 'nothing less than':

Erst mit einem Lehrstuhl in Berlin wird er sich zufrieden geben He'll only be satisfied with a professorship in Berlin

## b. In time expressions, erst implies that it is later than expected or desirable

It usually corresponds to English 'only', 'not before', 'not until' or, in certain contexts, 'as late as':

Er kommt erst (am) Montag

| He's not coming till Monday | He's only coming on Monday |

Es ist erst acht Uhr It's only eight o'clock

Ich kam erst im Sommer nach Heidelberg

I didn't get to Heidelberg until the summer

erst wenn/als (see 19.3.2b) not until, only when wenn er erst zu Hause ist, . . . once he's home . . .

Es hatte eben erst zu schneien aufgehört (Jünger)

It had only just stopped snowing

Ich kann den Wagen erst Anfang nächste Woche abholen I shan't be able to collect the car till the beginning of

next week

Erst im September ist es mir aufgefallen It was only in September that I noticed it

c. erst 'only' must be carefully distinguished from nur (see 10.26.2)

**d.** With numbers, as in the examples in (a) above, *erst* implies that more are to follow. In English this can be made clear by adding 'as yet' to the sentence. *nur*, on the other hand, sets a clear limit, i.e. that number and no more. Compare:

Ich habe **erst** drei Briefe bekommen

I've only received three letters (as yet) (more are

expected)

Ich habe **nur** drei Briefe bekommen

I've only received three letters (i.e. three and no more)

**e.** In time expressions, like the examples in (b) above, *erst* has the sense 'not before', etc., but *nur* means 'on that one occasion'. Compare:

Sie ist erst (am) Montag gekommen

She only came on Monday (i.e. not before Monday)

Sie ist nur (am) Montag gekommen

She only came on Monday (i.e. on no other day)

NB:

- (i) The opposite of *erst* 'only' as a focus particle is *schon*, see 10.30.5.
- (ii) The distinction between *erst* and *nur* described above is not always consistently maintained in colloquial speech.

#### 10.13 Etwa

# 10.13.1 In Yes/No Questions, *Etwa* Implies that Something is Undesirable and Suggests that the Answer Ought to be *Nein*

A common English equivalent is a negative statement followed by a positive tag question or an exclamation beginning with 'Don't tell me . . . ':

Hast du die Zeitung etwa schon weggeworfen? You haven't thrown the paper away already, have you?

Ist das etwa dein Wagen? That's not your car, is it?

Habt ihr etwa geschlafen? Don't tell me you've been asleep!

Such questions with etwa can be in the form of statements, in which case they also contain doch nicht:

Sie wollen doch nicht etwa nach Paderborn umziehen? You don't want to move to Paderborn, do you?

## 10.13.2 In Negative Sentences, Etwa Intensifies the Negation

Sie müssen nicht etwa denken, dass ich ihn verteidigen

will

Komm nicht etwa zu spät zum Flughafen!

Now don't go and think I want to defend him

*Make sure you don't get to the airport too late!* 

## 10.13.3 In Conditional Sentences Etwa Stresses the Idea of a Possibility

Wenn der Zug etwa verspätet sein sollte, dann verpassen

wir den Anschluss nach Gera

Wenn das Wetter etwa umschlagen sollte, müssen wir die If the weather were to change, we'll have to shorten our Wanderung verkürzen

If the train should be delayed we'll miss our connection to Gera

walk

#### 10.13.4 Uses of Etwa before a Noun or Noun Phrase

In such contexts *etwa* is used as a focus or scalar particle.

## a. Before a number or expression of size or quantity, etwa expresses approximation

Ich komme etwa um zwei I'll come at about two

Es kostet etwa dreißig Euro It costs about thirty euros

Er ist etwa so groß wie dein Vater He is about as tall as your father

Wir haben es uns etwa so vorgestellt We imagined it to be something like that

#### b. Before a noun or list of nouns, etwa suggests a possibility

It is often close in meaning to English 'for instance' or 'for example':

Er begnügte sich mit etwa folgender Antwort

Bist du sicher, dass du den Jürgen gesehen hast, und

nicht etwa seinen Bruder Thomas?

Er hat viele Hobbys, (wie) etwa Reisen, Musik und

Willst du etwa (am) Sonntag kommen?

Hast du etwa Martina in Verdacht?

He was satisfied with, for instance, the following answer

Are you sure you saw Jürgen, and not perhaps his brother Thomas?

He has a lot of hobbies, for example travelling, music

and sport You're not thinking of coming on Sunday, are you?

*Is it Martina you suspect?* 

## 10.14 Freilich

freilich usually has a concessive sense and its force is very similar to that of aller dings, see 10.2. It was originally typically South German, but it is now used more widely.

## 10.14.1 Within a Clause Freilich Means 'Admittedly', 'all the Same'

Es scheint freilich nicht ganz so einfach zu sein

Wir nehmen ihn mit, freilich muss er pünktlich am

Treffpunkt sein

Admittedly, it doesn't appear to be that simple We'll take him with us, even so he'll have to get to the meeting place on time

## 10.14.2 In Answer to a Question, Freilich Stresses that the Answer is Yes

*freilich* is often used in conjunction with *ja*. It lacks the hint that there is some kind of reservation or qualification to the answer which is sometimes present with *allerdings*:

Kennst du die Angelika? – (Ja,) freilich (kenne ich sie)!

Do you know Angelika? – Of course (I know her)!

Kannst du auch alles besorgen? – (Ja,) freilich!

Can you see to it all? - Certainly I can!

NB: freilich can never mean 'freely', which is frei in most contexts.

## 10.15 Gar

gar is used in a number of ways with an intensifying sense.

## a. The commonest use of gar is to intensify a negative

Sie hatte gar nicht gewusst, ob er abfahren wollte

(Johnson)

She hadn't even known whether he wanted to leave

Ich habe doch heute gar keine Zeit

I really haven't got any time at all today

Less commonly, gar can intensify so or zu with an adjective (allzu is a more frequent alternative in this sense):

Du darfst das nicht gar so ernst nehmen Es waren gar zu viele Leute auf der Straße You really mustn't take that quite so seriously There were far too many people in the street

## b. gar can be used to emphasise the following word and indicate surprise

In such contexts gar is the equivalent of English 'even' or 'possibly' and is a less frequent alternative to sogar, used mainly in literary registers:

Eher würde ich einem Habicht oder gar Aasgeier eine Friedensbotschaft anvertrauen als der Taube (*Grass*)

I would rather entrust a message of peace to a hawk or even a vulture than to a dove

#### 10.16 Gleich

As a modal particle *gleich* is used in *w*- questions to politely request the repetition of information. It is often used with *doch*, see 10.7.4:

Wie war Ihr Name (doch) gleich?

What was your name again?

Was hast du gleich gesagt? What was it you said?

gleich is also used as a time adverb in the sense of 'immediately', e.g.: Ich werde ihn gleich fragen, or to mean 'at once' or 'at the same time', e.g. Er hat gleich zwei Hemden gekauft.

#### 10.17 Halt

*halt* is an alternative to *eben* in some senses (see 10.8). It was originally characteristic of South German speech, but it is now used much more widely:

Da kann man halt nichts machen Dann nimm halt die U- Bahn! There's just nothing to be done Just take the underground, then!

## 10.18 Immerhin

immerhin indicates that something might not have come up to expectations, but is acceptable at a pinch. It corresponds most often to English 'all the same' or 'even so' and can be used within a sentence or (very frequently) as a response:

Du hast immerhin tausend Euro gewonnen

Wir haben uns immerhin einen neuen Blu- ray- Player

anschaffen können

schönes Zimmer – (Na,) immerhin!

All the same, you won a thousand euros

Even so, we were able to buy a new blu-ray player

Das Wetter im Urlaub war miserabel, aber wir hatten ein The weather was lousy on holiday, but we did have a nice

room. – Well, that was something, at least!

## 10.19 **Ja**

## 10.19.1 In Statements, ja Appeals for Agreement

## a. By using *ja* the speaker insists that what s/he is saying is correct

A common English equivalent is the 'do' form of the verb, or a cleft sentence:

Wir haben ja gestern davon gesprochen We did talk about that yesterday (you know)

Ihr habt ja früher zwei Autos gehabt Of course, you used to have two cars

Hier im Gebirge ist es ja im Frühjahr am schönsten It's in spring when it's nicest here in the mountains

Ich komme ja schon It's all right, I'm on my way

Der katastrophale Zustand des Landes ist ja gerade das It is the catastrophic state of the country which is the

Erbe der Diktatur (*Spiegel*) legacy of dictatorship

## b. ja has a distinct meaning from doch when used to appeal for agreement

doch (see 10.7.1), implies that the listener might have a different opinion, but ja always presupposes that speaker and listener are agreed. Compare:

You could borrow Karl's bike, of course (we both Du könntest dir ja Karls Rad leihen

know you can)

Surely, you could borrow Karl's bike (you might have Du könntest dir doch Karls Rad leihen

thought you couldn't)

Das ist es ja eben Why, of course, that's the point

Das ist es doch eben Don't you see, that's just the point

Er kann unmöglich kommen, er ist ja krank er ist doch He can't possibly come, he's ill, as you know he's ill,

krank don't you know

## 10.19.2 In Exclamations, Ja Expresses Surprise

Heute ist es ja kalt! Oh, it is cold today! Er hat ja ein neues Auto! Why, he's got a new car! That really is the limit! Das ist ja unerhört!

Da kommt ja der Arzt! Oh (good), here comes the doctor!

By using ja (or doch, which has a very similar force in exclamations, see 10.7.5), the speaker can express surprise that something is the case at all. When aber or vielleicht are used in exclamations, though, surprise is expressed at the extent of a quality, see 10.1.1 and 10.34.1. Thus die Milch ist ja/doch sauer! would be said if the milk had been expected to be fresh, while *die Milch ist aber/vielleicht sauer* expresses surprise at how sour the milk is.

#### 10.19.3 Ja Intensifies a Command

There is often an implied warning or threat, especially if *ja* is stressed:

Bleib ja hier! Be sure to stay here!

Geht ja nicht auf die Straße! Just don't go out onto the street!

Er soll **ja** nichts sagen

He really must not say anything (or else)

NB: nur is an alternative to ja to intensify commands and sound a note of warning, see 10.26.1a.

#### 10.19.4 Ja can be Used as a Focus Particle

In a string of nouns, verbs or adjectives, *ja* (sometimes in combination with *sogar*) emphasises the importance of the one (usually the last) before which it is placed. This often corresponds to English *indeed*, *even* or *nay*:

Es war ein Erfolg, ja ein Triumph

It was a success, indeed a triumph

Es war ein unerwarteter, ja ein sensationeller Erfolg
Sie konnte die Aussage bestätigen, ja (sogar) beeiden

\*\*It was an unexpected, indeed a sensational success\*\*

She was able to confirm the testimony, even on oath

#### 10.19.5 Ja is the Affirmative Particle

It corresponds to English 'yes', e.g.: Kommst du morgen? – Ja! It can also be used as a tag:

Es geht um acht los, ja? We're starting at eight, aren't we?

#### 10.20 Jedenfalls

The phrases auf jeden Fall and auf alle Fälle are possible alternatives to the particle jedenfalls.

## 10.20.1 In Statements Jedenfalls Stresses the Reason why Something should be the Case

(or why something is not as bad as it may seem). In these contexts, *jedenfalls* corresponds to 'at least' or 'at any rate':

Vielleicht ist er krank, er sieht jedenfalls schlecht aus
Er ist nicht gekommen, aber er hat sich jedenfalls
He didn't come, but at least he did apologise

entschuldigt He alan i come, but at least he ala apologise

wenigstens or zumindest are alternatives to jedenfalls in this sense, see 8.4.4b.

## 10.20.2 In Commands Jedenfalls Indicates that Something should be Done in Any Event

jedenfalls corresponds to English 'anyhow' or 'in any case':

Bei schönem Wetter gehen wir morgen baden. Bring jedenfalls deinen Badeanzug mit

If it's fine we'll go swimming tomorrow. Bring your costume along anyhow

## 10.21 Lediglich

Ich verlange lediglich mein Recht

*lediglich* is used before another word to indicate a restriction or a limit. It is an emphatic alternative to *nur* in the sense of 'only', 'no more than'. It is used mainly in formal registers and can sound stilted:

I am only asking for what's due to me

Er hat lediglich zwei Semester in Münster studiert He only studied two semesters in Münster

## 10.22 **Mal**

## 10.22.1 Mal Moderates the Tone of a Sentence, Making it Sound Less Blunt

*mal* is frequent in commands, requests and questions. It can correspond to English 'just', (although in practice this is used far less than German *mal*):

Lies den Brief mal durch!

Just read the letter through (will you?)

Hol mal schnell den Feuerlöscher!

Just quickly go and get the fire extinguisher

Das sollst du mal probieren

You just ought to try that
Ich will ihr schnell mal simsen

I just want to text her quickly
Würden Sie mir bitte mal helfen?

Could you just help me?

Hältst du mir mal die Tasche?

Just hold my bag for me, will you?

*mal* is almost automatically added to a command in colloquial speech, especially if there is nothing else in the sentence apart from the verb:

Sieh mal her! Hör mal zu! Komm mal herüber! Sag mal!

The tone of a request or a command may be moderated further by adding *eben*:

Reich mir eben mal das Brot! Just pass me the bread, would you?

Lies den Brief eben mal durch! Won't you please just read the letter through?

The combination *doch mal* makes a command sound more casual:

Nimm doch mal ein neues Blatt! Why don't you get another piece of paper? Melde dich doch mal beim Chef! Why not just arrange to see the boss?

#### 10.22.2 The Particle Mal is Quite Distinct from the Adverb Einmal 'Once'

(see 9.4.3). In other words, *mal* is not simply a shortened form of *einmal*, which cannot be used for *mal* in any of the contexts explained in 10.22.1. However, in some contexts *einmal* is often shortened to *mal* in colloquial speech, e.g.:

a. noch einmal '(once) again', 'once more'

Ich habe ihn noch (ein)mal gewarnt

I warned him once again

b. nun einmal 'just'

This combination emphasises the lack of alternatives. It is a rather more forceful equivalent to *eben* or *halt*, see 10.8.1a:

c. nicht einmal 'not even':

Er hat sie nicht (ein)mal gegrüßt

He didn't even say hello to her

10.23 Man

man is a colloquial North German equivalent to mal in commands and requests

Geh du man vor! You just go ahead

#### 10.24 Noch

## 10.24.1 Noch Indicates Something Additional

In this sense *noch* can be used as a focus particle preceding a noun or pronoun, or as a modal particle within the clause:

Er hat noch drei Stunden geschlafen

He slept another three hours
Ich trinke noch eine Tasse Kaffee

Das wird sich noch herausstellen

He slept another three hours
I'll have another cup of coffee
That will remain to be seen, too

Wer war noch da? Who else was there?

Und es hat auch noch geregnet! And apart from that, it rained too

## 10.24.2 Noch in Time Expressions

## a. noch can indicate that something is going on longer than expected

noch can be strengthened by immer and corresponds to English 'still 'or 'yet':

Angela schläft (immer) noch Angela's still asleep

Klaus ist (immer) noch nicht gekommen Klaus hasn't come yet/Klaus still hasn't come

Sie wohnen noch in Fritzlar

Ich habe sie noch nie gesehen

I've never seen her (yet)

Sie ist doch noch jung

She's still young, isn't she?

## b. If a particular point in time is indicated, noch indicates that an event took place or will take place by then

The implication may be that this is contrary to expectations:

Ich habe ihn noch vor zwei Tagen gesehen

I saw him only two days ago

Noch im Mai hat sie ihre Dissertation abgegeben She managed to hand her thesis in by the end of May

Ich werde noch heute den Arzt anrufen

I'll ring the doctor before tomorrow

In this sense, *noch* can alternatively come after short time words and phrases as well as before them, e.g. *Ich* werde heute noch den Arzt anrufen.

#### 10.24.3 Further Uses of Noch

## a. In w- questions, noch asks for the listener to jog the speaker's memory

i.e. suggesting that something has just slipped his/her mind:

Wie hieß er noch? Oh now, what was his name? Wann war das Spiel noch? Oh now, when was the game?

## b. *noch* is used with comparatives in the sense of 'even'

e.g.: Er ist noch größer als du. For further details, see 8.3.1c.

## c. noch is used with weder as the equivalent of English 'neither . . . nor'

e.g.: Er liest weder Bücher noch Zeitungen (see 19.1.3d).

## d. noch is used with so and an adjective in a concessive sense

e.g.: Wenn sie (auch) noch so fleißig ist, sie wird die Prüfung doch nicht bestehen (see 19.6.2a).

## 10.25 Nun

## 10.25.1 In Questions, Nun Signals Dissatisfaction with a Previous Answer

By using *nun* the speaker insists that the correct or complete information should be provided:

Wann kommt der Zug nun an? When <u>does</u> this train get in, now?

Stimmt es nun, dass sie verheiratet ist? Now, is it really true that she's married?

nun is commonly used on its own as a question to push the other speaker to give more information, cf. Nun? 'Well?', Nun . . . und? 'And then what?'

## 10.25.2 Nun Signals that the Speaker Considers the Topic Exhausted

In this sense *nun* occurs characteristically in isolation at the beginning of a sentence. It often corresponds to English 'well':

Nun, das ist alles schon wichtig, aber ich glaube, wir müssen zunächst das Wahlergebnis besprechen

Nun, natürlich hat er die besten Erfahrungen

Nun, wir werden ja sehen

Nun, meinetwegen!

Well, of course that's all very important, but I think we've got to discuss the election results first Well, of course he's got the widest experience

Well, we shall see All right then

## 10.25.3 Nun is Used as an Adverb of Time to Mean 'Now'

*nun* is rather less definite than *jetzt* and it is used less frequently to refer simply to the present moment as such:

Nun wollen wir umkehren Now we'll turn back

Nun hat er mehr Zeit als früher Now he's got more time than he used to have

Geht es dir nun besser? Are you better now?

#### 10.26 Nur

nur is used as a modal particle with an intensifying sense, and as a focus particle with a restrictive sense (= 'only').  $blo\beta$ , see 10.5, is a frequent alternative to nur in all its uses except where indicated below; it is slightly more emphatic than nur, and more characteristic of less formal registers.

## 10.26.1 As a Modal Particle, Nur Usually has Intensifying Force

## a. In a command, nur intensifies the basic meaning

Depending on the sense of the command, i.e. whether it is an urgent instruction or a request, *nur* can make it sound more of a threat or more reassuring respectively.

i. 'threatening' or 'warning' nur is more common in negative commands or when nur is stressed. This sense is similar to that of ja (see 10.19.3):

Komm nur nicht zu spät! You'd better not be late!
Nimm dich nur in Acht! You'd better be careful!

Geh nur nicht in dieses Geschäft! Whatever you do, don't go into that shop

Sehen Sie nur, was Sie gemacht haben!

Just look what you've done!

In this sense, nur (but not  $blo\beta$ ) can be used initially in a positive or negative command using the infinitive or with no verb at all:

Nur nicht so schnell laufen!

Nur aufpassen!

Just don't run so fast!

Just be sure to look out!

Nur immer schön langsam!

Take it nice and slow!

ii. The 'reassuring' sense of *nur* is close to that of *ruhig* (see 10.28):

Lass ihn nur reden! Just let him speak, do!

Kommen Sie nur herein! Do come in!

Hab nur keine Angst! Don't be afraid, will you!

Nur weiter! Just carry on! (implying: It's all right so far)

 $blo\beta$  is <u>not</u> used in this 'reassuring' sense, and commands with  $blo\beta$  always have a 'warning' tone. Compare Lass ihn  $blo\beta$  reden! 'Just let him speak (and you'll suffer the consequences)' with the first example in (ii) above.

## b. nur intensifies w- questions and makes them sound more urgent

Wie kann er nur so taktlos sein? How on earth can he be so tactless? Was können wir nur tun, um ihr zu helfen? Whatever can we do to help her?

Wo bleibt sie nur? Where on earth is she?

Such questions can be used as exclamations of reproach or astonishment, as no real answer is possible or

expected:

Wie siehst du nur wieder aus?! What on earth do you look like?!

Warum musste er nur wegfahren?! Why on earth did he have to go away?!

#### c. nur intensifies a wish in the form of a wenn-clause

See also 16.7.6b. The force of *nur* similar to that of *doch*, see 10.7.6, and they are often used together to add an even greater intensity to the wish:

Wenn sie (doch) nur anrufen würde!

Hätte ich nur mehr Zeit!

If only I had more time!

Wenn er mir nur geschrieben hätte!

If only he had written me!

## 10.26.2 *Nur* is Used as a Focus Particle to Express a Restriction

i.e. with the force of English 'only'. *nur* is used in all kinds of sentences to qualify nouns, verbs or adjectives:

Ich wollte nur Guten Tag sagen

I only/just wanted to say Hello

Die Mittelmeerküste ist sehr schön, sie ist leider nur etwas The Mediterranean coast is very nice, only I'm afraid

dreckig it's rather dirty

Er geht nur bei schönem Wetter spazieren He only goes for a walk when it's fine

Ich vermute nur, dass er gestern in Urlaub gefahren ist I'm only assuming that he went on holiday yesterday

Man kann es nur dort kaufen It's only there you can buy it

Dort kann man nicht nur Bücher kaufen, sondern auch You can not only buy books there, but also magazines of

allerlei Zeitschriften all kinds

#### NB:

- (i) For the difference between *erst* and *nur* as an equivalent of English 'only', see 10.12.2c.
- (ii) nur dass is used as the equivalent of the English conjunction 'only' (see also 19.7.6), e.g. Die Zimmer waren in Ordnung, nur dass die Duschen fehlten.
- (iii) *lediglich*, see 10.21, is a more formal alternative to *nur*.

## 10.27 **Ohnehin**

*ohnehin* indicates that something is correct irrespective of any other reasons given or implied. A typical English equivalent is 'anyway' or 'in any case'. It is a more formal alternative to (southern) colloquial *eh* (see 10.9), or *sowieso* (see 10.31):

Er trinkt ohnehin zu viel He drinks too much anyway
Der Zug hat ohnehin Verspätung The train's late anyway

Du musst sofort zum Arzt – Ich hätte ihn ohnehin morgen besucht You'll have to go to the doctor right away – I would have gone to see him tomorrow in any case

## 10.28 **Ruhig**

*ruhig* lends a reassuring tone to what the speaker is saying. This meaning is clearly related to that of the adjective *ruhig* 'quiet'. It is used in commands (where it is an alternative to *nur*, see 10.26.1a), and in statements, especially with a modal auxiliary:

Bleib ruhig sitzen! Don't get up for me

Arbeite ruhig weiter! Just carry on (i.e. don't let me disturb you)

Auf dieser Straße kannst du ruhig etwas schneller fahren

It's all right, you can go a bit faster on this road

Sie dürfen ruhig hier im Zimmer bleiben You can stay here in this room, I don't mind

## 10.29 Schließlich

schlieβlich indicates that the speaker accepts the validity of a reason. It usually corresponds to English 'after all':

Es liegt schließlich nicht genug Schnee auf der Piste
Wir wollen ihn schließlich nicht zu sehr reizen

\*\*Medon't want to annoy him too much, after all\*\*

\*\*Collins\*\* In the schließlich nicht zu sehr reizen\*\*

\*\*The description of the piste of the schließlich nicht zu sehr reizen auf der Piste of the schließlich nicht genug Schnee auf der Piste of the schließlich nicht genug Schnee auf der Piste of the schließlich nicht genug Schnee auf der Piste of the schließlich nicht genug Schnee auf der Piste of the schließlich nicht zu sehr reizen of the schließlich nicht

Schließlich kann das einem jeden passieren After all, it can happen to anybody

#### 10.30 Schon

schon has the widest range of meaning of all the German particles.

#### 10.30.1 The use of Schon as a Modal Particle in Statements

a. In statements generally, schon expresses agreement or confirmation in principle, but with slight reservations

This sense is in practice concessive. *schon* often has (or implies) a following *aber*, *nur* or the like. *zwar* or, especially in North Germany, *wohl* are possible alternatives, see 10.35.3 and 10.36.1:

Das ist schon möglich (aber . . . )

That's quite possible (but . . . )

Ich wollte schon kommen Well, I did want to come

Das stimmt schon, aber es könnte auch anders kommen That may be true, but things might turn out differently

Ja, ich glaube schon (aber . . . ) Well, I think so (but . . . )

Der Film hatte schon wunderschöne Aufnahmen, nur war The movie may have had some lovely shots, only it was er etwas langweilig a bit boring

In a response, schon corrects what has just been said and indicates why it was wrong:

Niemand fährt über Ostern weg – Mutter schon! *Nobody's going away over Easter – But mother is* 

Heute waren keine deiner Freunde da – Der Uli aber

None of your friends came today- But Uli did

Er hat da ein sehr schönes Haus gekauft – (Das) schon, He's bought himself a nice house there—Well yes, but.

aber . . .

## b. In statements referring to the future, schon emphasises the speaker's confidence that something will happen

schon usually sounds reassuring, but in some contexts and situations it may take on a more threatening tone. English 'all right' has similar force:

Er wird uns schon helfen He'll help us all right

Es wird schon gehen It'll be all right, don't worry Ich krieg's schon hin I'll manage it all right Dem werde ich's schon zeigen! I'll show him all right!

## 10.30.2 Schon gives Persuasive Force to a W- Question Which Expects a Negative Answer or Where the Speaker has a Negative Attitude

Was sagt die Regierung zu Russland? – Nichts. Was sollen What does the government say about Russia? – Nothing.

sie schon sagen? But then, what are they to say?

Wer kann diesem Angebot schon widerstehen? Who can refuse this offer? (i.e. 'nobody')

What's he coming again for? (implying: 'he's up to no Warum kommt der schon wieder?

good')

So what? Na, und wenn schon?

## 10.30.3 In Conditional Sentences Schon Emphasises the Condition

In addition, schon may point to the inescapability of the conclusion. It is normally used only in open conditions, with the indicative, see 16.5.2:

Wenn ich das schon mache, dann muss ich über alle If I am going to do it, I'll need to be told about all the

Probleme informiert sein problems

Wenn du schon ein neues Auto kaufst, dann aber kein so If you are going to buy a new car, then don't get such an

teures expensive one

If she's going to the seaside, she will want to go Wenn sie schon ans Meer fährt, dann will sie auch baden swimming

## 10.30.4 In Commands, Schon Adds an Insistent Note

The sentence often begins with *nun*:

(Nun,) beeile dich schon! Do hurry up (then)! Fang schon an! Do make a start!

Sag mir schon, was du denkst! Ich werde es dir nicht übel

Do tell me what you think. I shan't take it amiss nehmen

## 10.30.5 Schon is Used as a Focus Particle to Express a Restriction

erst is the opposite of schon in the contexts dealt with under (a) and (b) below, see 10.12.2.

# a. Referring to time, *schon* indicates that something is happening or has happened sooner than expected or desirable

In some contexts, *schon* can stress that something actually has happened. In this meaning, it can correspond to English 'already', but it is much more widely used:

Er war schon angekommen He had already arrived

schon am nächsten Tag the very next day

Da bist du ja schon wieder There you are back again

Sind Sie schon einmal in Köln gewesen?

Ich habe ihn auch schon in der Bibliothek gesehen

Das habe ich schon 2005 geahnt

Have you been to Cologne before?

I've sometimes seen him in the library

I suspected that as early as 2005

Schon im Mai ist es mir zum ersten Mal aufgefallen It was in May I noticed it for the first time

## b. Before a number or an expression of quantity, schon indicates that this is more than

## expected or desirable

Sie hat schon dreißig Mails bekommen

She has already received thirty e- mails

Ich habe schon die Hälfte des Buches gelesen

I've already read half the book

Er wartet schon eine Stunde auf dich He's already been waiting for you for an hour

## c. When used to qualify most nouns, schon expresses a restriction

schon can occasionally be used to qualify another part of speech:

Schon der Gedanke ist mir unsympathisch, schon wegen The very thought is repugnant to me, if only because of

ihrer Kinder their children

Das geht schon daher nicht, weil . . . That's impossible, not least because . . .

Schon vor dem Krieg war die Eisenbahn in Even before the war the railways had run into

Schwierigkeiten geraten difficulties

#### 10.31 **Sowieso**

*sowieso* indicates that something is correct irrespective of any other reasons given or implied. It usually corresponds to English 'anyway' or 'in any case'. It is a rather more informal alternative to *ohnehin*, see 10.27:

Ich kann heute sowieso nicht arbeiten

I can't work today anyway

Der ist sowieso scharf auf sie

He fancies her anyway

Ich wäre sowieso nach Nürnberg gefahren

I would have gone to Nuremberg in any case

## 10.32 Überhaupt

## 10.32.1 Überhaupt Makes Statements and Commands More General

The English equivalent is often 'at all' or 'anyhow':

Duisburg ist überhaupt eine grässliche Stadt

Duisburg is a dreadful city anyhow

Das ist überhaupt eine gefährliche Angelegenheit

That's a risky business in any case

Er liebte die italienische Sprache, ja die Sprachen

überhaupt (Goes)

Seinen Mut müsste man haben, dachte ich. Oder überhaupt One ought to have his courage, I thought. Or any

Mut (Walser)

Ihr sollt überhaupt besser aufpassen!

He loved the Italian language, indeed, languages in

general

courage at all

You ought anyway to pay more attention

## 10.32.2 In Questions, Überhaupt Casts Doubt on the Basic Assumption

Er singt nicht besonders gut – Kann er denn überhaupt

singen?

Wie konntest du überhaupt so was tun? Der Brief ist nicht da. Wo kann er überhaupt sein?

Was will er denn überhaupt?

He doesn't sing particularly well— Can he sing at all?

How could you do such a thing at all? The letter's not there. Wherever can it be?

What the dickens does he want?

## 10.32.3 Überhaupt Intensifies a Negative

gar is a frequent alternative, see 10.15a:

Du hättest es überhaupt nicht tun sollen, und besonders

jetzt nicht

Sie hat überhaupt keine Ahnung

Ich weiß überhaupt nichts von seinen Plänen

You ought not to have done it at all, and particularly not

now

She's got no idea at all

I don't know anything about his plans

## 10.33 Übrigens

übrigens is used in statements and questions to indicate a casual remark which is incidental to the main topic of conversation. It corresponds to English 'by the way', etc.:

Ich habe übrigens erfahren, dass er eine neue Stelle

bekommen hat

Sie hat übrigens vollkommen Recht

Wo wollt ihr übrigens dieses Jahr hin?

*Incidentally, I've found out he's got a new job* 

*She's perfectly right, by the way* 

By the way, where are you going this year?

#### 10.34 Vielleicht

## 10.34.1 In Exclamations Unstressed Vielleicht Expresses Surprise

These exclamations can have the form of statements or questions.

Oh, you really do look awful!

Die Kiste ist vielleicht schwer! How heavy the crate is! Du bist vielleicht ein Idiot! You really are stupid! Das hat vielleicht gegossen! It really did pour! Ich habe vielleicht gestaunt! I wasn't half surprised!

Like aber (see 10.1.1), vielleicht expresses surprise at a difference in degree from the speaker's expectation, whereas *ja* (see 10.19.2) relates to a difference in **kind**.

#### 10.34.2 In Yes/No Questions, Vielleicht Signals that the Speaker Expects a Negative Answer

The sense of vielleicht is close to that of etwa, see 10.13.1. The English equivalent is often an exclamation beginning

with 'Don't tell me . . .' or a negative statement followed by a positive tag question:

Willst du mir vielleicht erzählen, dass . . .? You don't mean to tell me that . . . , do you?

Soll ich vielleicht bis 7 Uhr abends hier sitzen? I'm not supposed to sit here till seven at night, am I?

Arbeitet er vielleicht? Don't tell me he's working?

#### 10.34.3 Vielleicht is Used as an Adverb of Attitude

i.e. corresponding to English 'perhaps':

Sie ist vielleicht 30 Jahre alt

She is perhaps thirty years old

Sie wird vielleicht morgen kommen

She may come tomorrow

Wird sie uns vielleicht morgen besuchen? Will she come to see us tomorrow, perhaps?

*vielleicht* has a quite different meaning when it is used as an adverb from when it is used as a particle. The difference is often only clear from the intonation, with heavy emphases when it is being used as a particle:

Die Kiste ist vielleicht schwer Perhaps the case is heavy

Die Kiste ist vielleicht schwer! How heavy this case is!

In requests in the form of a question, *vielleicht*, like English 'perhaps', expresses polite reserve on the part of the speaker:

Könnten Sie mir vielleicht sagen, wo es zum Bahnhof geht? Could you perhaps tell me the way to the station?

Würden Sie mir vielleicht helfen? Would you perhaps help me?

#### 10.35 Wohl

## 10.35.1 In Statements, Wohl Signals a Fair Degree of Probability

The force of *wohl* is very similar to that of the future tense, see 14.4.3, and it is often used in conjunction with it. It corresponds to the English future tense or a positive statement followed by a negative tag question, or to formulae like 'I suppose', 'probably':

Das wird wohl der Briefträger sein

That'll be the postman

You're new here, aren't you?

Sie hat wohl ihr Auto schon verkauft

I suppose she's already sold her car

Diese Probleme versteht er wohl nicht He probably doesn't understand these problems
Ich habe ihn nie gesprochen, wohl aber oft gesehen I've never spoken to him, but I have often seen him

The combination ja wohl sounds rather more certain, corresponding to English '(pretty) certainly' or 'no doubt':

Sie wird ja wohl noch in Potsdam sein She's pretty certainly still in Potsdam

Das weißt du ja wohl

No doubt you know that

The combination wohl doch (or, for some speakers, doch wohl) sounds rather less certain, though the speaker hopes that it is the case:

Er hat wohl doch noch einen Schlüssel Surely he's got another key, hasn't he?

Die Antje wird doch wohl noch das Abitur schaffen Antje's surely going to get through her Abitur, isn't she?

## 10.35.2 In Questions Wohl Signals Uncertainty on the Part of the Speaker

wohl can make the question sound tentative, as if the speaker doubts whether the other can give a clear answer. The question can be in statement form.

Wer hat den Brief wohl geschrieben? Who can possibly have written the letter?

Wie spät ist es wohl? I wonder what time it is Ist Peter wohl schon zu Hause? Peter is at home, isn't he? Darf ich wohl bei Ihnen telefonieren? *Might I use your telephone?* 

Horst ist wohl gestern Abend angekommen? Horst arrived last night, didn't he?

#### 10.35.3 In Statements, Stressed Wohl has a Concessive Sense

wohl expresses agreement or confirmation in principle, but tinged with a slight reservation. It often has (or implies) a following aber, nur or the like. zwar or, especially in South Germany, schon are possible alternatives, see 10.30.1a and 10.36.1:

Er ist wohl mein Freund, aber ich kann ihm nicht helfen He may be my friend, but I can't help him

Das ist wohl möglich(, aber . . .) That may be possible(, but . . .)

Herbert ist wohl nach Basel gefahren, aber nur für eine Herbert did go to Basle, but only for a week Woche

## 10.35.4 Wohl Intensifies a Command, Making it Sound Urgent, Insistent and Rather Abrupt

wohl is often used with werden or wollen.

Hebst du wohl das Buch wieder auf! Pick that book up again right away! Wirst du wohl sofort wieder ins Bett gehen! Will you go straight back to bed! Wollt ihr wohl endlich still sein! *Once and for all, will you be quiet!* 

## 10.35.5 As an Adverb, Usually Stressed, Wohl has the Sense 'Well', 'Fully'

wohl often strengthens an affirmative response (i.e. jawohl! 'yes, indeed'):

Ich fühle mich wohl I feel well

Er hatte es sich wohl überlegt He had considered it fully

Er weiß sehr wohl, dass er Unrecht hat He knows full well that he's wrong

Schlaf wohl! Sleep well! Leb wohl! Farewell!

Und er war so geartet, dass er solche Erfahrungen wohl

vermerkte (Th. Mann)

And his nature was such that he took full note of such experiences

## 10.36 **Zwar**

#### 10.36.1 Zwar can be Used in a Concessive Sense

In this sense, zwar is typically followed by a clause with aber (or one is implied), and the combination zwar . . . aber can have the force of English '(al)though', see also 19.6.1b:

Er ist zwar krank, aber er kommt heute Abend noch mit Er stand nach Kinkels Aussage "zwar in der Mitte, aber doch mehr nach rechts als nach links" (Böll)

Although he's ill, he's still coming with us tonight According to Kinkel he was 'politically in the centre, but tending all the same to the right rather than the left'

In North Germany *wohl* is a possible alternative to *zwar* in this concessive sense, see 10.35.3, whilst in South and Central Germany *schon* is used, see 10.30.1a.

# 10.36.2 *Und Zwar* is Used in the Sense of English 'Namely' to Specify What has Just been Mentioned

Mein Entschluss fiel auf dem neuen Flugplatz in Mexico-City, und zwar im letzten Augenblick (*Frisch*) Ich habe die wichtigsten Museen besucht, und zwar das kunsthistorische, das *museums*, *namely the* naturhistorische und die Albertina My decision was taken at the new airport in Mexico City, (in actual fact) at the very last minute

I visited the most important Museum of Art History, the Museum of Natural History and the Albertina

# 11 Expressions of Time

Usage in TIME EXPRESSIONS is characteristically idiomatic in all languages, involving special uses and meanings. German usage can differ quite markedly from English in the way in which various aspects of time are referred to, and particular attention is paid to these differences in this chapter, where the following aspects of time expressions are treated in detail:

- clock times (section 11.1)
- the days of the week, months and public holidays (section 11.2)
- dates (section 11.3)
- the use of cases (i.e. the accusative and the genitive) in time adverbials (section 11.4)
- the use of **prepositions** in time adverbials (section 11.5)
- simple time adverbs (section 11.6)

## 11.1 Times of the Clock

## 11.1.1 In Everyday Speech the Twelve-Hour Clock is the Norm

As in English, the twelve-hour clock is used in everyday conversation, when reference is not being made to public events, official timetables and the like. The relevant forms are given in Table 11.1.

Table 11.1 Clock times

1.00	Es ist ein Uhr Es ist eins	It's one (o'clock)
3.00	Es ist drei (Uhr)	It's three (o'clock)
3.05	fünf (Minuten) nach drei	fi ve (minutes) past three
3.07	sieben Minuten nach drei	seven minutes past three
3.10	zehn (Minuten) nach drei	ten (minutes) past three
3.15	Viertel nach drei viertel vier (South and East Germany)	quarter past three
3.20	zwanzig nach drei zehn vor halb vier	twenty past three
3.25	fünf vor halb vier	twenty-fi ve past three
3.30	halb vier	half past three/half three
3.35	fünf nach halb vier	twenty- fi ve to four
3.40	zwanzig vor vier zehn nach halb vier	twenty to four
3.45	Viertel vor vier dreiviertel vier (South and East Germany)	quarter to four
3.47	dreizehn Minuten vor vier	thirteen minutes to four
3.50	zehn (Minuten) vor vier	ten (minutes) to four
3.55	fünf (Minuten) vor vier	fi ve (minutes) to four

Note the striking difference between English and German when referring to the half hour: halb vier 'half past three'.

## 11.1.2 In Official Contexts the Twenty- four- Hour Clock is Used

This is the norm in timetables, for television and radio programmes, theatrical performances, official meetings, business hours, and in all other official contexts. Examples are given in Table 11.2:

Table 11.2 The twenty- four- hour clock

0.27	null Uhr siebenundzwanzig	12.27 a.m.
5.15	fünf Uhr fünfzehn	5.15 a.m.
10.30	zehn Uhr dreißig	10.30 a.m.
13.07	dreizehn Uhr sieben	1.07 p.m.
21.37	einundzwanzig Uhr siebenunddreißig	9.37 p.m.
24.00	vierundzwanzig Uhr	12.00 midnight

When these are used in speech, the word *Uhr* is only omitted in giving the full hours between 1 a.m. and noon, e.g. *Ihr Zug kommt um 9.00 an* (spoken: *um neun (Uhr)*). Otherwise the full forms, with *Uhr*, are used, e.g. *Die Vorstellung beginnt um 20.00* (spoken: *um zwanzig Uhr*), *um 20.15* (*um zwanzig Uhr fünfzehn*), *Der Zug fährt um 9.17* (spoken: *um neun Uhr siebzehn*), etc.

Even in everyday conversation it is common for 'official' times to be given using the twenty- four hour clock. Thus one would say *Mein Zug fährt um 19.35* (i.e. *um neunzehn Uhr fünfunddreißig*), but it would be odd to say *Tante Käthe hat uns für fünfzehn Uhr dreißig zum Kaffee eingeladen* – you would say: *halb vier*.

## 11.1.3 Further Phrases With Clock Times

Wie viel Uhr ist es?
Wie spät ist es? (coll.)
Wie viel Uhr haben Sie?
Um wie viel Uhr kommt sie?
Sie kommt um halb drei
um drei Uhr nachts

um neun Uhr vormittags um zwölf Uhr mittags um drei Uhr nachmittags um sieben Uhr abends

um Mitternacht

Es ist Punkt/genau neun (Uhr)

Es ist gerade halb

Es ist ungefähr neun (Uhr)
Es ist (schon) neun Uhr vorbei
Er kommt ungefähr um neun Uhr
Er kam gegen neun (Uhr) an

NB: gegen is ambiguous with clock times, see 11.5.7.

What's the time?

What time do you make it?
What time is she coming?
She's coming at half- past two
at three in the morning
at nine in the morning
at twelve noon
at three in the afternoon
at seven in the evening
at midnight
It is exactly nine (o'clock)

It is just half- past It's about nine (o'clock) It's gone nine o'clock

He's coming at about nine o'clock
He came at about nine/just before nine

## 11.2 Days of the Week, Months and Public Holidays

## 11.2.1 The Days of the Week

The names of the days of the week in German are shown in Table 11.3.

Table 11.3 The days of the week

Sonntag	Sunday	Donnerstag	Thursday
Montag	Monday	Freitag	Friday
Dienstag	Tuesday	Samstag/Sonnabend	Saturday
Mittwoch	Wednesday		

As the equivalent for 'Saturday', *Samstag* was originally used only in the South and *Sonnabend* in the North (i.e. North of Frankfurt am Main). *Samstag* has recently come to be used much more widely at the expense of *Sonnabend*, which is

now largely restricted to the far North and the former East Germany.

NB: For English 'on Sunday', etc. German uses am Sonntag, etc., see 4.5.3 and 11.5.2.

#### 11.2.2 The Months

The German names of the **months** are shown in Table 11.4.

Table 11.4 The months of the year

Januar Februar	January February	Mai Juni	200000000000000000000000000000000000000	September Oktober	September October
März	March	Juli	July	November	November
April	April	August	August	Dezember	December

NB:

- i. In Austria, Jänner is always used for Januar, and, less commonly, Feber for Februar.
- ii. Juni and Juli are sometimes pronounced Juno and Julei to avoid confusion, especially on the telephone.
- iii. For English 'in January', etc., German has im Januar, etc., see 4.5.3 and 11.5.8.

## 11.2.3 The Major Public Holidays and Religious Festivals

Neujahr(stag)

New Year's Day

Dreikönigsfest/- tag

Epiphany

Rosenmontag Carnival Monday (the day before Shrove Tuesday)

Aschermittwoch Ash Wednesday
Gründonnerstag Maundy Thursday
Karfreitag Good Friday
Ostersonntag Easter Sunday

Ostern Easter

Ostermontag Easter Monday
Fronleichnam Corpus Christi

Pfingsten Whitsun
Pfingstsonntag Whit Sunday
Pfingstmontag Whit Monday
(Christi) Himmelfahrt Ascension Day

Mariä Himmelfahrt Assumption of the Virgin Mary (15th August)

Tag der Deutschen Einheit Day of German Unity (3rd October)
Allerheiligen All Saints' Day (1st November)

Buß- und Bettag

Day of Penitence and Prayer (Wednesday before the last

Sunday before Advent)

der Heilige Abend or Heiligabend

Weihnachten

Erster Weihnachts(feier)tag

Zweiter Weihnachts(feier)tag

Boxing Day

Silvester

New Year's Eve

NB: Ostern, Pfingsten and Weihnachten are usually treated as plurals, see 1.2.10b.

#### **11.3 Dates**

## 11.3.1 Ordinal Numbers are Used for the Days of the Month

i.e. der fünfte April 'the fifth of April'. This usage is similar to that in English, although there is no equivalent to English 'of'. In practice, numbers are always used for them in writing, i.e. they are never written out as words:

Der Wievielte ist heute?

Den Wievielten haben wir heute?

Heute ist der 8. (spoken: achte) Mai
Wir haben heute den 8. (achten) Mai

Er kam am 5. (spoken: fünften) Juni, 2008 am 5.6.2008
(spoken: am fünften, sechsten, zweitausendacht)

What's the date today?

Today is the eighth of May

He came on the fifth of June 2008 on 5.6.2008

NB: As the last example shows, the day is given **before** the month in German. This corresponds to usage in British English, but it is the reverse of American practice.

## 11.3.2 Usage Where the day of the Week Precedes the Date

i.e. equivalents of 'Monday, the fifth of June'. There are three alternative ways of expressing this in German.

i. with the day of the week and the date in the accusative case:

Wir fliegen Montag, den 5. Juni(,) nach Australien

ii. with the day of the week preceded by am and followed by the date in the accusative case:

Wir fliegen am Montag, den 5. Juni(,) nach Australien

iii. with the day of the week preceded by am and followed by the date in the dative case:

Wir fliegen am Montag, dem 5. Juni(,) nach Australien

## 11.3.3 Usage in Letter Headings

In private correspondence (i.e. where the address is not printed on the notepaper), the writer's address is not usually written out in full at the head of the letter, as is the usual British practice. Instead, just the town is given, followed by the date, which may be written in various ways, i.e.:

Siegen, (den) 5.6.11 Siegen, am 5.6.11 Siegen, (den/d.) 5. Juni 2011 Siegen, im Juni 2011

When writing a formal letter to an unknown person, especially for the first time, some Germans put their full name and address in the top left- hand corner of the letter and the town and date, as given above, in the top right- hand corner.

## 11.4 The Accusative and Genitive Cases Used in Time Adverbials

In certain contexts the **accusative** and **genitive** cases of nouns can be used adverbially, without a preposition, to express ideas of time.

## 11.4.1 Adverbial Time Phrases with the ACCUSATIVE

A noun denoting time can be used in the accusative case to express duration of time or a specific point in time or period of time.

## a. The accusative can be used to indicate a length of time

The period of time lies entirely in the past, present or future, and the accusative usually corresponds to the English phrase with 'for '(see 11.5.6b). The word or phrase in the accusative may optionally be followed by *lang*, or, emphasising the duration, *über* or *hindurch*:

Ich war einen Monat/drei Monate (lang) in Kassel

I was in Kassel for a month/for three months

Dort blieb sie **viele Jahre** (lang) She stayed there for many years **Jahre hindurch** blieb er im Gefängnis He stayed in prison for years (on end)

Ich bin jede Woche **einen Tag** (lang) in Kaiserslautern I am in Kaiserslautern one day every week
Er lag **den ganzen Tag** (lang/über) im Bett
He lay in bed the whole day/all day (long)

den ganzen Sommer (lang)

all summer, for the whole of the summer
den ganzen Winter hindurch/über

throughout the winter

den ganzen Winter hindurch/überthroughout the wintersein ganzes Leben (lang)all his life/for his whole lifeWo warst du die ganze Zeit?Where were you the whole time?

eine ganze Weile for quite a while

## b. The accusative can be used to indicate a specific time

i. Particularly in phrases denoting a period of time, corresponding to English 'last week', 'next year', etc.:

**Einen Auge**nblick zuvor hätte sie ihn noch retten können

A moment before she could still have saved him

Er kommt jeden Tag/jede Woche

He comes every day/every week

Sie fährt alle vierzehn Tage/alle paar Jahre in die She goes to Switzerland every two weeks/every few

Schweiz years

**Jede halbe Stunde** kommt er vorbei Every half hour he comes past

Wir besuchen sie nächsten Dienstag/kommenden
Dienstag

We are visiting her next Tuesday

Wir besuchen sie **kommende/nächste Woche**We are visiting her next week

Wir werden dieses Jahr nicht verreisen

We're not going away this year

Sie ist 2011 wieder zur Vorsitzenden des Vereins

gewählt worden

Ich sah sie letzten Freitag/vorigen
Freitag/vergangenen Freitag

I saw her last Friday

Ich sah sie letzte/vorige/vergangene Woche/den 5.

Juni

I saw her last week/on the 5th of June (see 11.3.1)

In many contexts a prepositional phrase (see 11.5) can be used as an alternative to a phrase in the accusative case, and the phrases below illustrate typical alternatives to the examples above:

In 2011 she was elected chair of the society again

am nächsten/kommenden Dienstagnext Tuesdayam letzten/vorigen/vergangenen Freitaglast Fridayin der nächsten/kommenden Wochenext weekin diesem Jahrthis yearim Jahre 2009in 2009

In general, phrases with the accusative case are more frequent in everyday speech, whereas those with a preposition are rather commoner in writing. Further examples, with other prepositions:

Ich bin **Mittag** (for: um Mittag) wieder zu Hause I'll be back home at noon

Fährst du **Ostern** (for: zu Ostern) zu deinen Eltern? Are you going to your parents at Easter? Sind Sie **das erste Mal** (for: zum ersten Mal) hier? Is this the first time you've been here?

ii. Anfang, Mitte and Ende, unlike their English equivalents, are used without a preposition, i.e. in the

accusative, in time phrases:

Er ist Anfang Januar/Mitte Januar/Ende Januar

gestorben

Ich fahre schon Anfang Ende nächster Woche

Landtag statt

He died at the beginning of January/in the middle of

January/at the end of January

I'm leaving at the beginning at the end of next week

Anfang 2009 fanden die Wahlen zum 18. hessischen At the beginning of 2009 the regional elections in

Hesse took place

If Anfang and Ende are used without a following time phrase, they are preceded by am, e.g. am Anfang 'at the beginning', am Ende 'at the end'.

#### 11.4.2 Adverbial Time Phrases With the GENITIVE

The genitive case of nouns denoting time can refer to indefinite or habitual time. These are now mainly set expressions, and they are commonly extended by adjectives only in formal literary language:

eines Tages one day

eines schönen Tages one fine day eines schönen Sommers one fine summer eines Sonntags one Sunday

eines Morgens one morning

eines Sonntagmorgens one Sunday morning eines nebligen Morgens one foggy morning

eines Nachts one night

dieser Tage in the next/last few days

NB: Note the form eines Nachts, although die Nacht is feminine.

Some genitive phrases have become simple adverbs, and they are written with a small initial letter:

morgens, vormittags in the mornings

nachmittags, abends in the afternoons, in the evenings

tags, nachts by day, at night

dienstags, freitags on Tuesdays, on Fridays on weekdays, on working days wochentags, werktags

donnerstagabends/donnerstags abends on Thursday evenings von morgens bis abends from morning till night morgens und abends morning and evening

sommers, winters in the summer, in the winter

all year round sommers wie winters

These adverbs are sometimes used to refer to single occasions, (e.g. nachmittags for am Nachmittag), especially in South German usage:

Wir kamen dort **sonntags** auf dem Spaziergang vorüber (*Gaiser*)

We came past there on Sunday during our walk

NB: The adverbs morgens, abends, etc. originated from noun phrases in the genitive with the definite article, e.g. des Morgens, des Abends. These full phrases are still sometimes used in formal written German.

# 11.5 Adverbial Time Phrases with Prepositions

This section treats the most common prepositions used with nouns denoting time. Other uses of all prepositions are

## 11.5.1 Ab (+ Accusative or Dative)

ab is used in the meaning 'from' and is an alternative to von . . . an, see 11.5.13. If it is used without a following determiner (as is usually the case, see 4.9.3c), both the dative and the accusative are found and considered equally correct:

**ab** neun Uhr, **ab** heute from nine o'clock, from today

ab sofortwith immediate effectab ersten/erstem Maifrom the first of Mayab kommende(r) Wochefrom next weekab nächsten/nächstem Monatfrom next month

However, if there is a definite article, then the dative must be used:

ab dem ersten Mai.from the first of Mayab dem 21. Lebensjahrfrom the age of 21

## 11.5.2 **An (+ dative)**

an is used with nouns denoting days and parts of the day. It is always followed by a noun in the dative case when referring to time, and the definite article is always used with nouns in the singular, see 4.5.3. In most contexts it corresponds to English 'in' or 'on':

**am** Tag in the daytime

**am** Montag, **am** Dienstag, ... on Monday, on Tuesday, ...

an Wochentagenon weekdaysan besonderen Tagenon particular days

**am** Morgen, **am** Nachmittag, **am** Abend in the morning, in the afternoon, in the evening

am 31. Oktober (see 11.3.1) on the 31st of October

Combinations of the days of the week and nouns denoting parts of the day are written together, e.g. am Donnerstagabend.

an is used with Tag, etc. even in contexts where English has no preposition:

**am** Tag nach seinem Tod the day after his death

An diesem Morgen war er schlecht gelaunt

That morning he was in a bad mood
am anderen Tag, am anderen Morgen

the next day, the next morning

an occurs in a few other contexts:

Es ist an der Zeit, dass ...

am Anfang, am Ende (see 11.4.1b)

gleich am Anfang

at the beginning, at the end

at the very beginning

NB:

- i. in is used with Nacht, see 11.5.8a.
- ii. An accusative time phrase is often an alternative to a phrase with an, especially in spoken German, see 11.4.1b.

#### 11.5.3 *Auf* (+ Accusative)

auf indicates a period of time from 'now', corresponding to English 'for'. It is always used with a following accusative case in time phrases. In this sense it is a less common alternative to  $f\ddot{u}r$ , see 11.5.6, found mainly in formal registers, regionally and in some set phrases:

Sie fährt auf vier Monate in die Schweiz She is going to Switzerland for three months

auf unbestimmte Zeit indefinitely

auf ewig, auf immer for ever, for good

NB: auf is used idiomatically in auf die Minute (genau) '(precisely) to the minute'.

#### 11.5.4 **Bei**

bei is used chiefly with nouns which do not of themselves express time to indicate the 'time when x took/was taking/will take place':

bei seiner Geburtat his birthbei dieser Gelegenheiton this occasionbei der Probeduring the rehearsal

It is also used in a similar meaning in a few set phrases with nouns expressing time:

Paris bei Tag, London bei Nacht Paris by day, London by night

bei Tagesanbruchat daybreakbei Einbruch der Nachtat nightfallbei Sonnenuntergangat sunset

#### 11.5.5 **Bis**

bis indicates an end- point in time and can correspond to English 'until' or 'by'. It can only be used on its own with adverbs and simple time phrases like dates and the days of the week, and it is never followed by a definite article:

**Bis** 1945 hat er in Wien gelebt

Until 1945 he lived in Vienna

Das Geschäft ist von 9 Uhr **bis** 18.30 Uhr durchgehend geöffnet

The shop is open continuously from 9 a.m. until 6.30 p.m.

Ich werde es bis heute Abend/bis Montag fertig haben I'll have it finished by tonight/by Monday

**bis** nächste Woche, nächstes Jahr *until next week, next year* 

bis dahin/bis dann

by then, until then

**bis** jetzt, **bis** anhin (Sw.)

up to now

Bis dahin bin ich längst zurück

1'll be back long before then

With days of the week, months and dates, bis can be used with or without a following zu (and the definite article):

bis (zum) Freitag by/until Friday

bis (zum) 11. Juni by/until the 11th of June

Bis (zum) kommenden Montag kannst du mich hier erreichen

You can reach me here till next Monday

In other contexts bis  $\underline{\text{must}}$  be followed by zu (or another appropriate preposition) with the definite article:

**bis zum** 18. Jahrhundert *until/by the 18th century* 

**bis zu** seinem Tode until his death

bis zu den Ferienuntil the holidaysbis vor kurzemuntil recently

**Bis vor** zwei Wochen war er hier He was here until two weeks ago

Ich arbeite **bis gegen** Mittag im Büro

I'm working at the office until about noon

Wir wollen es **bis auf** weiteres verschieben We'll postpone it for the present

**bis auf** weiteres

until further notice

bis tief/spät in die Nacht hinein

till late at night

A date following a phrase with bis and a weekday is in the accusative, e.g. bis Montag, den 5. September. In other contexts, the date is in the dative, e.g. bis morgen, den 11. November.

NB:

- i. erst is used for 'not until', e.g. Er kommt erst am Montag, see 10.12.2.
- ii. bis is frequently used in colloquial leave- taking phrases, e.g. Bis gleich! Bis bald! Bis morgen! Bis nächste Woche!

# 11.5.6 Für and English 'for'

## a. für indicates a period of time extending from 'now'

In this sense, it corresponds to English 'for':

Ich habe das Haus **für** sechs Monate gemietet

I've rented the house for six months

Am nächsten Tag fuhren wir **für** einen Monat in den Schwarzwald

The next

The next day we went to the Black Forest for a month

NB:

- i. *auf* (+ accusative) is a less common alternative in this meaning, used chiefly in formal registers and set expressions, see 11.5.3.
- ii. The use of für is idiomatic in Tag für Tag 'day by day'.

#### b. English 'for' has the following main German equivalents

- i. a phrase in the accusative case, used to denote a period of time lying entirely in the past or future, e.g. *Er blieb einen Monat (lang)* in *Berlin* 'He remained in Berlin for a month'. See 11.4.1a for further details.
- ii. seit refers to a period of time which began in the past and extends up to the present, e.g. Ich warte seit einer Stunde auf dich 'I've been waiting for you for an hour'. See 11.5.10 for further details.
- iii. für (or more formal auf) to refer to a period of time extending from the present, as illustrated in (a) above.
- **c.** In colloquial speech a phrase in the accusative is sometimes used instead of *für* to refer to a period of time extending from the present, e.g.: *Ich gehe eine halbe Stunde (lang) ins Cafe*. On the other hand, *für* is not unknown in the place of an accusative phrase to refer to a period of time lying entirely in the past or future, e.g. *Nur während der Wintermonate blieb er für längere Zeit an einem Ort (Bumke)*.

#### 11.5.7 **Gegen**

gegen means 'about' or 'towards'. It can be ambiguous, especially with clock times, as some Germans understand gegen zwei Uhr to mean 'at about two o'clock', while others interpret it as 'just before two o'clock'. In other phrases it usually has the meaning 'towards'. It is normally used without an article in time expressions:

gegen Mittag, gegen Abendtowards noon, towards eveninggegen Monatsendetowards the end of the monthgegen Ende des Jahrhundertstowards the end of the century

## 11.5.8 *In* (+ dative)

in can refer to a specific period of time or a length of time. It is always used with the dative case in time expressions.

## a. in is used with most words denoting periods of time

It is used with all such words except those with which *an* is used (see 11.5.2), i.e. especially with the names of the months and seasons (<u>always</u> with a definite article, see 4.5.3), and with the following nouns:

der Augenblickder Monatdie Epochedie Nachtdas Jahrdie Wochedas Jahrhundertdas Zeitalter

die Minute

Examples of use:

im Augenblick, im letzten Augenblick at the moment, at the last

a Augenonek moment

in der Frühe (South German) early in the morning

**im** Jahre 2012 *in 2012* 

in den letzten paar Jahrenin the last few yearsin letzter Minuteat the last momentim Mittelalterin the Middle Ages

**in** der Nacht at night

in der Nacht von Sonntag auf Montag }

during the night from Sunday to

Monday

in der Nacht zum/auf Montag

zweimal in der Woche twice a week

in der Woche vor Weihnachten in the week before Christmas

in der Vergangenheitin the pastin Zukunftin future

The normal equivalent for English 'in 2015' in German is <u>either</u> *im Jahre 2015* or simply 2015, with no preposition. However, the form *in 2015* is becoming widely used, in imitation of English usage, although many Germans consider this to be quite incorrect.

**b.** For the use of *in* or *zu* with *Zeit* and *Stunde*, see 11.5.15b.

## c. in indicates a period of time within which something happens

Ich habe die Arbeit **in** zwei Stunden gemacht

I did the work in two hours

In zwei Jahren ist der Umsatz um 40 Prozent gestiegen In two years the turnover rose by 40%

im Lauf(e) der Zeit in the course of time

Das kann man **in** zwei Tagen schaffen

You can do that in two days

NB: Das kann man an einem Morgen, Nachmittag, Abend schaffen.

#### d. in can indicate the time after which something happens or is done

Er kommt in einer halben Stunde zurück heute in acht He's coming back in half an hour a week today, in a

Tagen week's time

Sie fliegt **in** ein paar Tagen nach Sydney She's flying to Sydney in a few days (time)

In some contexts, in can be ambiguous, like English 'in', so that in drei Tagen can mean 'in the course of three days' or 'in three days' time'. This ambiguity can be avoided by using binnen or innerhalb, which clearly mean 'within', e.g. Der Rhein hat zum zweitenmal binnen 13 Monaten die Kölner Altstadt überschwemmt (SZ).

#### 11.5.9 Nach

nach usually corresponds to English 'after' or 'later':

Nach vielen Jahren ließen sie sich scheiden

Einen Monat nach seiner Verhaftung wurde er freigelassen A month after his arrest he was released

Nach Ostern studiert sie in Erlangen Chemie

bald nach Anfang des 17. Jahrhunderts

nach einer Weile nach Wochen, Jahren After many years they got divorced

After Easter she's going to study chemistry in Erlangen

soon after the beginning of the 17th century

after a while

weeks, years later

#### 11.5.10 **Seit**

seit marks a period of time beginning in the past and continuing to the present or a more recent point in the past. It corresponds to English 'since' or 'for', see 11.5.6b:

Er ist **seit** drei Wochen hier He's been here for three weeks

Ich wartete seit einer halben Stunde auf dem Marktplatz I had been waiting in the market- place for half an hour

Since when have you been back home? **Seit** wann bist du wieder zu Hause?

**Seit** seiner Krankheit habe ich ihn nicht mehr gesehen I haven't seen him again since his illness

There have only been special fares to Egypt for a short Erst **seit** kurzem gibt es Sondertarife nach Ägypten while

NB·

- i. For the use of tenses with *seit* 'for', see 14.2.2 and 14.3.4a.
- ii. An accusative phrase with schon is a possible alternative to seit 'for', e.g. Er ist schon drei Wochen hier, see 10.30.5a.

# 11.5.11 *Über* (+ Accusative)

über occurs in a few time expressions in the sense of 'over'. It is always used with the accusative case in time expressions:

Sie ist **über** Nacht/**übers** Wochenende geblieben *She stayed overnight/over the weekend* 

sooner or later **über** kurz oder lang

It can be used **after** a noun in the accusative (see 11.4.1a) to emphasise duration:

She stayed the whole night Sie blieb die ganze Nacht **über** 

die Schwäne, die den Winter über geblieben waren The swans which had stayed the whole winter (Surminski)

#### 11.5.12 Um

um is used with clock times (= 'at') and to express approximation.

#### a. um corresponds to English 'at' with clock times

e.g. um vier Uhr 'at four o'clock', etc., see 11.1.3.

#### b. With other time words um expresses approximation

It corresponds to English 'around' or 'about' and is often used with herum following the noun:

**um** Mitternacht (herum) around midnight

**um** Ostern (herum) round about Easter time

**um** 1890 (herum) *around 1890* 

die Tage **um** die Sommersonnenwende (herum) the days either side of the summer solstice

NB: um diese Zeit is ambiguous. It can mean 'at this time' or 'around this time'. Adding herum, i.e. um diese Zeit herum, makes it clear that the second meaning is intended.

## c. Idiomatic time phrases with um

Stunde **um** Stunde hour after hour

einen Tag **um** den anderen one day after the other

#### 11.5.13 Von

von indicates a starting-point in time. It corresponds to English 'from' and is often linked with a following an:

**Von** 1991 an lebte sie in Rostock From 1991 she lived in Rostock

Von kommendem Montag an kostet das Benzin 10 Cent

mehr pro Liter

From next Monday petrol will cost 10 cents a litre more

von Anfang an(right) from the startvon neun Uhr anfrom nine o'clock (on)

von nun anfrom now onvon der Zeit anfrom then on

**von** Anfang bis Ende from beginning to end

von heute auf morgenfrom one day to the next, overnightvon vornhereinfrom the outset, from the firstvon jeher/von alters herfrom time immemorial, always

**von** Jugend auf from his (my, etc.) youth

von Zeit zu Zeit from time to time

NB: ab can be used in the sense 'from' in time expressions, e.g. ab Montag den/dem 5. August, ab nächste(r) Woche, see 11.5.1.

#### 11.5.14 *Vor* (+ dative)

vor corresponds to English 'ago' or 'before'. It is always used with the dative case in time expressions:

vor einem Jahr, vor mehreren Jahrena year ago, several years agovor langer Zeit, vor einiger Zeita long time ago, some time agovor kurzemnot long ago, recently (see 11.6.5)

gestern **vor** acht Tagen a week ago yesterday

die Verhältnisse vor der Krise the conditions before the crisis

In many contexts her can be used in the sense of English 'ago', e.g. Es ist schon lange, einen Monat her 'It's a long time, a month ago'. Wie lange ist es (schon) her? 'How long ago is it?', see 7.2.5e.

## 11.5.15 Während

während usually corresponds to English 'during':

Sie hat während der Aufführung geschlafen

während der Wintermonate (Bumke)

She slept during the performance during the winter months

während des letzten Urlaubs, den sie in Italien verbracht

hatten (Walser)

during the last holiday which they had spent in Italy

Unlike English 'during', während is not used with time words like Tag, Abend, Nacht or Jahr if these simply have a definite article with them. Compare:

am Tag, am Abend, in der Nacht

during the day, during the evening, during the night

However, während can be used with these nouns if there is an adjective with them, or if they are used with a determiner other than the definite article:

Während der letzten Nacht ist der Junge zweimal

aufgewacht

During the previous night the boy woke up twice

während eines einzigen Tages

during/in the course of a single day

während indicates a period rather than simply duration, and it can be used in this sense in contexts where 'during' would be unusual or impossible in English:

während der ganzen Nacht

throughout the night

Andere Vogelarten wie der Star können **während** mindestens zweier Jahre Neues dazulernen (*NZZ*)

Other species of birds like starlings can learn new things

over the course of at least two years

Während dreier Jahre verbrachten sie den Urlaub auf Sylt Three years running they spent their holidays on Sylt

#### 11.5.16 **Zu**

zu is used with a number of time words, i.e.:

## a. with the major festivals

zu Weihnachten zu Pfingsten zu Ostern zu Neujahr

NB: In south German, an is often used rather than zu with these festivals. In colloquial speech, there may be no preposition, e.g. Sie kommt Weihnachten.

#### b. Both zu and in are used with Zeit and Stunde

i. zu is used in contexts denoting one or more specific points or limited periods of time:

zur Zeit der letzten Wahlen

at the time of the last election

zu der Zeit, zu dieser Zeit

at that time

zu der Zeit, als du hier warst

at the time when you were here

 ${\bf z}{\bf u}$  einer anderen Zeit

at some other time

zu jeder Zeit

at all times, at any time

zu jeder Tageszeit

at any time of the day

zu gewissen Zeiten

at certain times

zur gewohnten Zeit gerade noch zur rechten Zeit at the usual time in the nick of time

zu gleicher Zeit

at the same time, simultaneously

**Zu** meiner Zeit war das alles anders

In my time that was all different

**zu** dieser Stunde

at this hour

zu jeder Stundeat any timezur selben Stundeat the same hourzu später Stunde (lit.)at a late hour

NB: also) zu diesem Zeitpunkt 'at this point in time'.

ii. in is used to denote a period within or after which something occurs, or in phrases which are felt to denote duration rather than a point or limited period in time:

In all that time we didn't see her

In all der Zeit (or: In der ganzen Zeit) haben wir sie

nicht gesehen

In kurzer Zeit war er wieder da

In a short time he was back again
In unserer Zeit tut man das nicht mehr
In our times that is no longer done
in einer Zeit, in der die Städte wachsen
at a time when towns are growing

**in** einer solchen Zeit wie heute at a time like the present

in früheren Zeitenin earlier timesin künftigen Zeitenin times to come

in der ersten Zeit at first

in ruhigen Stundenin peaceful hoursin elfter Stundeat the eleventh hour

iii. zu is used with Mal

e.g.: zum ersten Mal, zum zehnten Mal, etc. (see 9.4.3)

### 11.6 Adverbs of Time

Adverbs of time can indicate a **point in time** (e.g. *damals*), **duration** (e.g. *lange*) or **frequency** (e.g. *oft*). A selection of commonly used German time adverbs listed in terms of these categories is given in 11.6.1. Sections 11.6.2–11.6.5 deal with some time adverbs where German and English usage does not correspond.

## 11.6.1 Commonly Used Adverbs of Time

Further information on some of these adverbs is given in other sections, as indicated. Note that the 'present' in terms of time adverbs can sometimes be a point of reference in the past or future rather than the actual present moment.

## a. indicating a point in time

**b.** referring to the present:

augenblicklich at the moment derzeit at present

gegenwärtig at present, currently gleichzeitig at the same time

heuer (S. G.)

heute (11.6.2)

heutzutage

jetzt

now

momentan

nun (10.25)

today

nowadays

now

at present

now

vorerst for the moment zugleich at the same time

zurzeit at present

## **c.** referring to the past (or 'previously'):

damals (11.6.3a) then, at that time ehedem (arch.) formerly ehemals (form.) formerly einst once früher formerly, previously

gerade just (now)
gestern (11.6.2) yesterday
jüngst (elev.) lately

kürzlich (11.6.5) a short time ago

neuerdings, neulich (11.6.5) recently seinerzeit at the time soeben just (now) unlängst (11.6.5) recently vordem (lit.) in olden times vorher (11.6.4) before(hand) vorhin just now zuvor (11.6.4) before(hand)

## **d.** referring to the future (or 'subsequently'):

alsbald (lit.) straightaway augenblicklich at once bald soon danach (11.6.4) afterwards darauf (11.6.4) after that daraufhin after that demnächst soon einst once gleich (10.16) at once hernach (form.) after(wards) morgen (11.6.2) tomorrow

nächstens (8.4.4) shortly sofort, sogleich at once, immediately

after(wards)

später later

vorher, zuvor (11.6.4) before(hand)

## e. indicating duration

nachher (11.6.4)

bisher, bislang up to now, hitherto

fortan (elev.) henceforth indessen (form.), inzwischen meanwhile künftig in future

kurz for a short time

lange for a long time
längst (8.4.4a) for a long time
mittlerweile in the meantime
momentan for an instant
nunmehr (elev.) from now/then on

seither, seitdemsince thensolangemeanwhileunterdessenin the meantime

vorerst, vorläufig, vorübergehend temporarily, for the time being, for the moment

währenddem (inf.), währenddessen meanwhile zeitweilig temporarily

lang can be suffixed to other time words to indicate duration, e.g. stundenlang, monatelang, jahre-lang 'for hours, months, years (on end)', see also 11.4.1a.

## f. indicating frequency

abermals once more bisweilen (elev.) now and then gelegentlich occasionally häufig frequently immer always irgendwann (5.5.11b) sometime je ever jederzeit at any time

jederzeit at any time
manchmal sometimes
mehrmals repeatedly
meistens (8.4.4b) mostly
mitunter now and then

nie, niemals, nimmer (lit.)nevernochmalsagainoft, öftersoften

selten seldom, rarely standing continually stets always unaufhörlich incessantly wieder, wiederum (elev.) again zeitweise at times

zuweilen from time to time zwischendurch in between times

## 11.6.2 Gestern, Heute and Morgen

These are used in conjunction with words indicating periods of the day to give the equivalent of English 'last night', 'this afternoon', etc.:

gestern Morgen yesterday morning

gestern Abend last night (before bedtime)
vorgestern the day before yesterday

heute Nacht tonight (after bedtime), last night (after bedtime)

heute Morgen/heute früh this morning

heute Vormittag this morning (after breakfast)

heute Nachmittag this afternoon

heute Abend this evening, tonight (before bedtime)

morgen früh tomorrow morning

morgen Vormittag tomorrow morning (after breakfast)

übermorgen the day after tomorrow

## 11.6.3 German Equivalents of English 'Then'

#### a. damals refers to past time

i.e. meaning 'at that time':

Sie war **damals** sehr arm

She was very poor, then

damals, vor dem großen Kriege (Roth) at that time, before the Great War

## b. dann is used for other meanings of 'then' referring to time

especially in the sense of 'after that' with a series of actions or events:

**Dann** ist er weggefahren

Then he left

Erst bist du an der Reihe, dann ich First it's your turn, then mine

Wenn er dir schreibt, dann musst du es deiner Mutter

sagen

If he writes to you, then you'll have to tell your mother

Und wenn sie kommt, was machst du **dann**?

And if she comes, what will you do then?

dann is not used after a preposition, cf.: bis dahin 'till then, by then', seither, seitdem 'since then', von da an 'from then on', vorher, zuvor 'before then' (see also 11.6.4a).

#### c. To intensify a question, the German equivalent is denn

e.g. Was ist denn daran so komisch? See 10.6.1 for further details.

#### 11.6.4 German Equivalents of English 'Before' and 'After'

#### a. vorher and zuvor are the commonest equivalents of 'before'

Both can be used with reference to past **or** future time:

Ich war ein Jahr vorher/zuvor da gewesen

I had been there a year before

Ich muss **vorher/zuvor** noch telefonieren

Er hatte uns am Tag **vorher/zuvor** besucht

He had been to visit us the day before

einige Zeit vorher/zuvor some time previously

Referring to time up to the present moment, *früher* or *zuvor* is used (or, in a negative context, *noch*):

Sie hätten es mir **früher/zuvor** sagen sollen

You ought to have told me before
Ich habe sie **nie zuvor/noch nie** gesehen

I've never seen her before

b. danach or nachher are the usual equivalents for 'after' (or 'later')

darauf is also often used after words expressing a period of time:

Ich habe sie einen Monat danach/nachher gesehen

I saw her a month after/later

Kurz danach/Kurz nachher/Kurz darauf sah ich sie wieder

\*I saw her a short time after/shortly afterwards\*

Am Tag darauf/danach gingen wir ins Theater The day after we went to the theatre

Das werde ich dir **nachher** erzählen

1'll tell vou that afterwards

im Nachhinein and hinterher are also frequently used for 'afterwards'.

## 11.6.5 German Equivalents for English 'Recent(ly)'

German has no single word with the range of meaning of English 'recent(ly)'. The following are the main equivalents, and the choice depends on the precise meaning to be expressed:

vor kurzem/kürzlich at a point in time not long ago

unlängst/jüngst (both elev., southern)

neulich/letztens (elev.) at a point in time not long ago (recalled well by speaker

and relevant to the present)

neuerdings up to and including the present (sth. which started

recently)

letzthin recently (a point in the recent past or during a period up to

the present)

in letzter Zeit latterly (over a period of time up to and including the

present)

seit kurzem not for very long (continuing to the present)

As the above are all adverbial, they have to be used in paraphrases, etc. to give German equivalents for the English adjective 'recent', e.g.:

auf der kürzlich stattgefundenen Konferenz

bei unserer Begegnung neulich

als er vor kurzem krank war

eine erst kürzlich eingeführte Neuerung

sein neuestes Buch

at the recent conference

at our recent meeting

during his recent illness

a (very) recent innovation

his most recent book

Some other equivalents:

bis vor kurzem *until recently* 

Ich habe ihn noch später gesehen als Sie I have seen him more recently than you

Kurt hat sie zuletzt gesehen Kurt has seen her most recently/just recently

The word *rezent* is occasionally found, but at present it appears to be used predominantly in Austria and Switzerland, e.g. *im Lichte der rezenten Erfahrungen (Presse)* 'in the light of recent experience', and in specialist geological or biological contexts, e.g. *rezente Amphibien* 'recent (i.e. still living) amphibians'.

# 12 Verbs: Conjugation

Chapters 12–18 deal with the forms of verbs in German and their uses:

- Chapter 12: the forms of verbs (their CONJUGATION)
- Chapter 13: the uses of the INFINITIVE (e.g. machen, schlafen) and the PARTICIPLES (e.g. machend, schlafend; gemacht, geschlafen)
- Chapter 14: the uses of the TENSES
- Chapter 15: the uses of the PASSIVE
- Chapter 16: the uses of the MOODS (the *imperative* and the *subjunctive*)
- Chapter 17: the MODAL AUXILIARY verbs (e.g. dürfen, können, müssen)
- Chapter 18: the VALENCY of verbs (i.e. which complements the need to make up a sentence)

Verbs typically express actions or activities (like *fallen*, *gehen*, *schreiben*, *stehlen*), processes (like *gelingen*, *sterben*, *wachsen*) or states (like *bleiben*, *leben*, *wohnen*). They constitute the core of the sentence and are usually accompanied by one or more noun phrases, i.e. the subject and the other complements of the verb:

Subject	Verb	Complement(s)
Der Lehrer	redet	Unsinn
Ihre Freundin	unterrichtet	die deutsche Sprache
Die Mutter	gibt	ihrer Tochter die Mappe
Der alte Mann	wartet	auf seine Frau

In German, verbs change their form (typically adding endings or changing the vowel) to express various grammatical ideas like tense, e.g. present and past; mood, e.g. the imperative and the subjunctive; and person and number, e.g. *du* (second person singular), *wir* (first person plural). These are known as the grammatical categories of the verb. All the different forms of each verb make up its conjugation. This chapter gives details on the conjugation of regular and irregular verbs in German, as follows:

- Basic principles of the **conjugation** of verbs in German (section 12.1)
- The conjugation of the simple **present** and **past** tenses and the **imperative** (section 12.2)
- The conjugation of the compound tenses: **future** and **perfect** (section 12.3)
- The conjugation of the **passive** (section 12.4)
- The conjugation of the **subjunctive** (section 12.5)

The forms of all strong and irregular verbs are given in Table 12.12, at the end of the chapter.

Table 12.12 Principal parts of strong and irregular verbs

Infinitive 3rd person singular present	Past tense Konjunktiv II	Past participle
backen bake	backte (buk)	hat <b>gebacken</b>
es bäckt (backt)	büke	
befehlen command	befahl	hat <b>befohlen</b>
es befi ehlt	beföhle (befähle)	
NB: fehlen 'lack' is weak, i.e. fehlte, gefehlt.		
beginnen begin	begann	hat <b>begonnen</b>
	begänne (begönne)	
beißen bite	biss	hat <b>gebissen</b>
	bisse	
bergen rescue; hide	barg	hat <b>geborgen</b>

es birgt	bärge	
bersten crack, burst	barst	hat <b>geborsten</b>
es birst (berstet)	bärste	
bewegen induce	bewog	hat <b>bewogen</b>
	bewöge	
NB: bewegen 'move' is weak, i.e. bewegte, bewegt		
biegen bend; turn	bog	hat <b>gebogen</b>
history offen	böge	h-4 h-4
bieten offer	bot	hat <b>geboten</b>
binden bind	böte band	hat <b>gebunden</b>
biliden bilid	bände bände	nat gebunden
bitten ask, request	bat	hat <b>gebeten</b>
	bäte	g.
blasen blow	blies	hat <b>geblasen</b>
es bläst	bliese	-
bleiben stay, remain	blieb	ist <b>geblieben</b>
	bliebe	
braten fry, roast	briet	hat <b>gebraten</b>
es brät (bratet)	briete	
brechen break	brach	hat/ist <b>gebrochen</b>
es bricht	bräche	hat a drawar
brennen burn	brannte	hat <b>gebrannt</b>
bringen bring	brennte brachte	hat <b>as brookt</b>
bringen bring	brächte	hat <b>gebracht</b>
denken think	dachte	hat <b>gedacht</b>
defined think	dächte	nat geddent
dreschen thresh	drosch	hat <b>gedroschen</b>
es drischt	drösche	<b>U</b>
dingen hire, engage	dingte (dang)	hat <b>gedungen</b>
(e.g. servant)	dingte/dänge	
	(etwas) ausbedingen 'make (sth.) a	
NB: Simple <i>dingen</i> is archaic, but the compound <i>sich</i>	condition' is still used in formal registers. It	
always has strong forms, i.e. bedang sich aus,	ausbedungen.	
dringen penetrate	drang	hat/ist gedrungen
	dränge	
empfehlen recommend	empfahl	hat <b>empfohlen</b>
es empfi ehlt	empföhle (empfähle)	
erkiesen choose	erkor	hat <b>erkoren</b>
	erköre	
NB: Only the past tense and the past participle are		
now used, and then only in elevated registers.  erlöschen go out (lights)	erlosch	ist erloschen
es erlischt	erlösche	ist crioschen
NB: Transitive <i>löschen</i> 'extinguish' is weak, i.e.		
löschte	, gelöscht	
erschrecken be startled	erschrak	ist <b>erschrocken</b>
es erschrickt	erschräke	
NB: Transitive erschrecken 'frighten' is weak, i.e. erschreckte	, erschreckt.	
essen eat	aß	hat <b>gegessen</b>
es isst	äße	
fahren go, drive	fuhr	ist/hat <b>gefahren</b>
es fährt	führe	iot mofalla -
fallen fall es fällt	fi el	ist <b>gefallen</b>
es failt  fangen catch	fi ele <b>fi ng</b>	hat <b>gefangen</b>
iangen caton	9	nat gerangen

es fangt finge fechten if yit, fence es ficht finden if nd finden find finden finden finden find finden	es fi cht fi nden fi nd fl echten plait, braid es fl icht	focht (fechtete) föchte fand fände fl ocht (fl echtete) fl öchte	hat <b>gefunden</b>
es ficht finden find finden finde fiechten plait, braid es filcht filegen fly filegen fly filegen fly filegen fly filegen fly filegen flow finden filegen flow filegen flow finden filegen flow filegen fl	es fi cht fi nden fi nd fl echten plait, braid es fl icht	föchte fand fände fl ocht (fl echtete) fl öchte	hat <b>gefunden</b>
fanchen plaif, braid   fi ocht (fi echtete)   hat gelf ochten   fi ocht (fi echtete)   fi ocht   fi oc	fl echten plait, braid es fl icht	fände fl ocht (fl echtete) fl öchte	
flachten plaif, braid   flocht (flechtete)   hat gefl ochten   flogen fly   flogen flogen fly   flogen fl	es fl icht	fl ocht (fl echtete) fl öchte	
es flicht         fl ogn         ist/hat gefl ogen           fl logen fl y         fl oge         ist/hat gefl ogen           fl lohen fl ee         fl oh         ist gefl ohen           fl ohe         fl ohe         st gefl ossen           fl in gen ask         ff osse         ist gefl ossen           fragen ask         fragte (lif. frug)         hat gefragt           fressen aat (of animals)         frage         hat gefressen           es first         fraße         hat/ist gefroren           frore         hat/ist gefroren         frore           gären ferment         gor/gärte         hat/ist gegoren/gegärt           NB: Strong forms are usual when gärer is used illerally, the weak ones when it is used fi guratively gebärre give birth         gebärre give         hat geboren           es gebiert (gebärt)         gab         hat geboren           geben give         gab         is gedlehen           gedien firm         gedien         is geglienen           gelien go         ging         is t gedienen           gelien po         ging         is t gelienen           gelien be valid         galt         galt           es gilt         galt         galt           genesen recover (elev.)         genas	es fl icht	fl öchte	hat <b>gefl ochten</b>
fl iegen fl y			
fl lehen fl ee	fl iegen fl y	fl og	
fl ohen fl ee			ist/hat <b>gefl ogen</b>
fl ohe   fl ow   fl ose   frage   fl ose   frage   fl ose   frage   frage   frage   frage   frage   from son act   for animals)   frage   from   from   from   hat/ist gefroren   from   from   from   hat/ist gefroren   from   from   from   hat/ist gefroren   from   from   from   from   hat/ist gefroren   from   fro		fl öge	
fi ose   firage	fl iehen fl ee	fl oh	ist <b>gefl ohen</b>
fragen ask fragte (lif. frug) hat gefragt frage frage (S.G. fragt) frage frage (lif. frug) frage frage frage (S.G. fragt) frage from frage from frage from hat/ist gefroren from hat/ist gegroren/gegärt from hat/ist gegroren/gegärt gore/garte hat/ist gegroren/gegärt goren give birth gebären give birth gebären give gab hat gegeben give gab hat gegeben give gab hat gegeben gore gediehe goren ging gediehen frinve gediehen frinve gediehen goren ging gelang ist gegangen ging gelang succeed gelang ist gegangen gelang succeed gelang ist gegungen gelang gelten be valid gegeben recover (elev.) genas ist genesen genäse genießen enjoy genoss hat genossen genäse genösse geschieht geschieht geschieh geschieh geschieht geschiehen pour goss hat gegosen hat gegosen geläen pour goss hat gegosen geläen gewann hat gewonnen gewänne gewänne gewänne gewänne geschieht geliche hat geglichen frage gelichen resemble glich juitte stigelitten gelitten		fl öhe	
fragen ask er fragt (S. G. fragt) (ressen eat (of animals) (raße fressen eat (of animals) (raße fressen eat (of animals) (raße from eat (or animals) (research freeze freeze from eat (or animals) (research freeze	fließen flow	fl oss	ist <b>gefl ossen</b>
refragt (S.G. frágt) fressen eat (of animals) se frisit se frisit se frisit frieren freeze fror fror gären ferment gor/gärte NB: Strong forms are usual when gären is used literally, the weak ones when it is used fi guratively. gebären give birth se gebiert (gebärt) geben give se gibt gedeihen thrive gelingen succeed ging NB: misslingen fall has similar forms, i.e. misslang, gelang gelten be valid se gilt genesen recover (elev.) genas genießen enjoy geschiehn happen es geschieht gewinnen win gewännen gelich gewännen win gewännen geliche gewännen win gewännen geliche geschieht gewinnen win gewännen geliche geschieht gewännen win gewännen geliche gelichen resemble glich glitt glitte glitte glitte glitt		fl össe	
freesen eat (of animals)         fraße           risist         fraße           freen freeze         fror         hat/ist gefroren           gären ferment         gor/gärte         hat/ist gegoren/gegärt           NB: Strong forms are usual when gären is used literally, the weak ones when it is used fi guratively. gebären give brith         gebar         hat geboren           es gebiert (gebärt)         gebäre         yeben give brith         gebäre           es gibt         gedieh         ist gediehen           gedeinen give         gedieh         jist gediehen           gelingen succeed         gelang         jist gegangen           gelingen succeed         gelang         jist gelungen           gelingen succeed         gelang         jist gelungen           gelang         jist gelungen         gelang           gelang         jist gelungen         gelang           gelen be valid         galt (gölte)         hat gegolten           genesen recover (elev.)         genas         ist genesen           geniseen erjoy         genas         ist genesen           geschehen happen         geschähe         jist geschehen           gewinnen win         gewanne/gewönne         hat gegossen           gösse         jösse <td>fr<b>agen</b> ask</td> <td>fragte (lit. frug)</td> <td>hat <b>gefragt</b></td>	fr <b>agen</b> ask	fragte (lit. frug)	hat <b>gefragt</b>
es frisst frieren freeze fror hat/ist gefroren freeze fror hat/ist gefroren freeze fror hat/ist gegoren/gegärt gore/gärte hat/ist gegoren/gegärt gore/gärte  NB: Strong forms are usual when gären is used literally, the weak ones when it is used fi guratively. gebären give birth es gebiert (gebärt) geben give gabe give gediehn thrive gedieh ging gelänge gelänge gelänge gelänge gelänge list gegangen ging ging gelänge gelänge list gelungen gelänge misstlungen.  Selten be valid gälte (gölte) genas genäse geschehn happen geschah geschehn gewann hat gewonnen gelännen win gewann hat gegossen gösse gelichen resemble glich glich gliche glitte glitt	er fragt (S.G. frägt)	_	
frore     frore     hat/ist gefroren       gären ferment     gor/gärte     hat/ist gegoren/gegärt       NB: Strong forms are usual when gären is used literally, the weak ones when it is used fi guratively. gebären give birth     gebar     hat geboren       es gebiert (gebärt)     gebäre     hat gegeben       geben give     gab     hat gegeben       es gibit (gebärt)     gabe     hat gegeben       gediehn fhrive     gedieh     ist gediehen       gelingen succeed     ginge     gelang     ist gelungen       NB: misslingen fail' has similar forms, i.e. misslang     gelang     ist gelungen       nes gilt     gälte (gölte)     gelang     ist gensen       genesen recover (elev.)     genas     ist genesen       genießen enjoy     genoss     hat genossen       genießen enjoy     genoss     hat genossen       geschehen happen     geschah     ist geschehen       es geschleht     geschah     jeschehen       geschehn papen     geschahe     jeschehen       es geschleht     geschahe     jeschahe       geschehen happen     geschahe     jeschahe       geschehn papen     geschahe     jeschahe       geschehn papen     geschahe     jeschahe       geschehn papen     geschahe     jeschahe	fressen eat (of animals)	fraß	hat <b>gefressen</b>
gären ferment gor/gärte por/gärte por/gärte provisite goren/gegärt gore/gärte  NB: Strong forms are usual when gären is used literally, the weak ones when it is used fi guratively. gebäre give birth es gebiert (gebärt) gebäre geben give gäb hat gegeben göre göre göre göre göre göre göre göre	es frisst	fräße	
garen ferment gore/garte gore/garte  NB: Strong forms are usual when garen is used literally, the weak ones when it is used fi guratively.  gebären give birth geben give gebäre geben give es gibt gediehn thrive gedieh gediehe gediehe gediehe gediehe gelänge gelänge gelänge gelänge gelänge gelänge gelänge gelänge misslungen.  gelten be valid es gilt gälte (gölte)  genesen recover (elev.) genösse genösse geschieht geschieht geschähe geschähe geschieht geschähe	frieren freeze	fror	hat/ist <b>gefroren</b>
NB: Strong forms are usual when gären is used literally, the weak ones when it is used fi guratively.  gebären give birth geber gebäre geben give es gebiert (gebärt) gedeihen thrive gediehe gediehe gediehe gediehe gelingen succeed gelang ist gegangen NB: misslingen 'fall' has similar forms, i.e. misslang, misslungen.  gelten be valid gensen recover (elev.) gensen genses genießen enjoy genses genses hat genossen geschehen happen geschah geschah es geschieht gewann hat gegossen gelänge mour gewänne/gewönne gewännen win gewann gelänge hat gegossen geschehen resemble glich gilte genses gilt geschehen gewänner/gewönne geschen pour goss gelänge hat gegossen geschen pour goss hat gegossen geschen hat gegossen geschen hat gegossen geschen pour goss hat gegossen geschen glich glitt ist geglitten		fröre	
NB: Strong forms are usual when gären is used literally, the weak ones when it is used fi guratively.  gebäre give birth es gebiert (gebärt) geben give es gibt gedeihen thrive gediehe gediehe gediehe gelingen succeed gelang NB: misslingen fail' has similar forms, i.e. misslang, gelten be valid es gilt genesen recover (elev.) genöse genießen enjoy geschehen happen es geschleht gewinnen win gewänner/gewönne geließen pour glichen resemble gliche niglite geliten glide, slide glitt glitt glitt glitt glitt gelten gide geliten glide, slide glitt glitt glitt gelbar gebar hat geboren hat geboren hat geboren hat geboren hat geboren hat geboren hat gewonnen hat gewonnen hat gewonnen hat gewonnen geschehen hat gegolten hat gegolten hat gegolten hat gewonnen hat gewonnen hat gewonnen hat gewonnen hat gegossen gilich glitt glitt	gären ferment	gor/gärte	hat/ist <b>gegoren/gegärt</b>
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es gebiert (gebärt) geben give es gibt gedeihen thrive gedieh gedeihen thrive gediehe gedieh gediehe gediehe gediehe gediehe gediehe gelingen succeed gelang NB: misslingen 'fail' has similar forms, i.e. misslang, misslungen. gelten be valid es gilt genesen recover (elev.) genas genäse genießen enjoy genos genösse geschieht geschehen happen es geschieht gewann gewanne win gewanne win gewanne win gewanne gewanne gelichen resemble glichen resemble gliche gliche glitt glitt glitt giltt giltt geliten bat geglitten gelitten glitt geliten glide, siide geliten ist geglitten gelitten glitt geliten ist geglitten	literally, the weak ones when it is used fi guratively.		
geben give     gabe       es gibt     gabe       gediehn thrive     gedieh     ist gediehen       gehen go     ging     ist gegangen       gelingen succeed     gelang     ist gelungen       NB: misslingen 'fail' has similar forms, i.e. misslang, geltange     misslungen.       gelten be valid     galt     hat gegolten       es gilt     gölte)     genas     ist genesen       genießen enjoy     genas     ist genesen       genießen enjoy     genoss     hat genossen       geschehen happen     geschah     ist geschehen       es geschieht     geschah     jeschehen       gewinnen win     gewann     hat gewonnen       gießen pour     gösse     hat gegossen       gießen pour     gösse     hat gegossen       gichen resemble     glich     hat geglichen       glichen slide, slide     glitt     ist geglitten       glitte     glitte     jlitte		•	hat <b>geboren</b>
es gibt  gedeihen thrive  gedieh  gedieh  gedieh  gedieh  gedieh  gedieh  gedieh  gedieh  gedieh  gelinge  gelinge  gelinge succeed  gelang  NB: misslingen 'fail' has similar forms, i.e. misslang, gelten be valid  es gilt  genesen recover (elev.)  genas  genäse  genießen enjoy  genoss  genösse  geschehen happen es egschieht geschieht gewinnen win  gewann gewann gewann gewänne/gewönne geißen pour  gelichen resemble glich glitt glitte glitte glitte glitte glitte geliten glide, slide		•	
gediehen thrive  gediehe  gediehe  gediehe  gelinge  gelingen succeed  gelang  NB: misslingen 'fail' has similar forms, i.e. misslang, gelten be valid es gilt genesen recover (elev.)  genas genäse  genießen enjoy  genose  geschehen happen es geschieht geschieht geschieht gewinnen win  gewann gewänne/gewönne gießen pour  glichen resemble gliche gliche gliche glitt glitt geliene geliten geliten geliten geliten glitt geliten giltt gegliten gilte geliten geliten geliten geliten geliten glitt geliten gilte		•	hat <b>gegeben</b>
gehen go ging ging gelang gelang gelang NB: misslingen 'fail' has similar forms, i.e. misslang, gelten be valid es gilt genesen recover (elev.) genas genas genäse genießen enjoy genos geschehen happen es geschieht geschieht geschähe geschähe geschähe geschähe gewinnen win gewänne/gewönne gießen pour gjösse glichen resemble gliche glitt glitt giltt giltt giltt giltt giltt giltt gengs geng	_	_	
gelingen succeed gelang NB: misslingen 'fail' has similar forms, i.e. misslang, selten be valid es gilt genesen recover (elev.) genas genäse genießen enjoy geschehen happen es geschieht gewinnen win gewänne min gewännel win gelsen pour gelsen pour gelsen glide, slide glitt glitt giltt gilte gilte glitt gilte gilte glitt gilte gilten gilte gilten gilte gilten gilten gilte gelang ist gelungen ist gelungen hat gegolten ist geschehen hat genossen ist geschehen hat gewonnen hat gewonnen hat gewonnen hat gewonnen hat gegossen geschehen hat gegossen gilten giliche gilite giltten gilitte gilten gil	gedeihen thrive	=	ist <b>gediehen</b>
gelingen succeed  gelang  NB: misslingen 'fail' has similar forms, i.e. misslang, gelten be valid es gilt genesen recover (elev.) genas genäse genießen enjoy genösse geschehen happen es geschieht gewinnen win gewänne/gewönne gießen pour gösse gleichen resemble glich glitt glitt ginge gelang misslungen. gelänge misslungen. gelänge misslungen. gelänge misslungen. gelänge misslungen. gelänge misslungen. gelänge misslungen. jet gegolten hat gegolten ist genesen jet genossen jenösse geschehen happen geschähe geschähe gewinnen win gewänne/gewönne gießen pour gösse gleichen resemble glich glich jet jet geglitten glitt jet geglitten		-	
gelingen succeed gelang ist gelungen   NB: misslingen 'fail' has similar forms, i.e. misslang, misslungen.   gelten be valid galt hat gegolten   es gilt galte (gölte)   genesen recover (elev.) genas ist genesen   genäse genösse   genießen enjoy genoss hat genossen   geschehen happen geschah ist geschehen   es geschieht geschähe ist geschehen   gewinnen win gewann hat gewonnen   gewänne/gewönne gießen pour gösse hat gegossen   gleichen resemble glich hat geglichen   gliche gliche sit geglitten	gehen go	ging	ist <b>gegangen</b>
gelänge NB: misslingen 'fail' has similar forms, i.e. misslang,  gelten be valid es gilt gälte (gölte) genas genäse genießen recover (elev.) genas genäse genießen enjoy genösse geschehen happen es geschähe es geschieht gewinnen win gewänne/gewönne geißen pour gösse glichen resemble gliche gliche gliche glitt glitte glitte glitte glitte			
NB: misslingen 'fail' has similar forms, i.e. misslang, misslungen.  gelten be valid galt hat gegolten es gilt gälte (gölte) genesen recover (elev.) genas ist genesen genäse genießen enjoy genoss hat genossen genösse geschehen happen geschah ist geschehen es geschieht gewinnen win gewann hat gewonnen gewänne/gewönne gießen pour goss hat gegossen gösse gleichen resemble glich hat geglichen gliche glitt ist geglitten	gelingen succeed	-	ist <b>gelungen</b>
gelten be valid es gilt genesen recover (elev.) genas genas genäse genießen enjoy genoss genösse geschehen happen es geschieht gewinnen win gewänne/gewönne gießen pour gießen pour gösse gleichen resemble glich glich glitt glitte glitte glitte glitte glitte glitte geliten glide, slide geschen genäse genösse genösse geschehen hat genossen jet genoss hat genossen jet genossen jet geschehen hat gewonnen hat gewonnen hat gegossen gösse glich jet glitt jet gelitten glitte		-	
es gilt  genesen recover (elev.)  genas  genäse  genießen enjoy  genoss  genösse  geschehen happen es geschieht gewinnen win  gewänne/gewönne gießen pour  goss  gleichen resemble glich gliche glitt glitte glitte	_		
genesen recover (elev.)  genas  genäse  genießen enjoy  genoss  genösse  geschehen happen es geschähe gewinnen win  gewänne/gewönne  gießen pour  goss  gleichen resemble  glich glich gliche glitt glitte	_	-	hat <b>gegolten</b>
genießen enjoy genoss genösse geschehen happen es geschieht gewinnen win gewänne/gewönne gesen geschahe gewänne/gewönne gelichen resemble glich gliche gliche glitt glitte	_	- '- '	
genießen enjoy  genösse  geschehen happen geschah es geschieht gewinnen win  gewänne/gewönne gießen pour gösse  gleichen resemble glich glich gliche glitt glitte	genesen recover (elev.)	genas	ist <b>genesen</b>
geschehen happen geschah geschieht gewinnen win gewann gewänne/gewönne geschieht gewinnen win gewänne/gewönne geschieht gewonnen gewänne/gewönne gießen pour gösse gleichen resemble glich glich gliche gliche glitt jest geglitten			
geschehen happen       geschah       ist geschehen         es geschieht       geschähe       gewann       hat gewonnen         gewänne/gewönne       gewänne/gewönne       hat gegossen         gösse       gösse       hat geglichen         gleichen resemble       glich       hat geglichen         gleiten glide, slide       glitt       ist geglitten         glitte       glitten	genießen <i>enjoy</i>	•	hat <b>genossen</b>
es geschieht gewinnen win gewann gewänne/gewönne  gießen pour goss gösse glichen resemble gliche gliche gliche glitt glitte glitte		_	
gewinnen win     gewann     hat gewonnen       gießen pour     goss     hat gegossen       gösse     glich     hat geglichen       gliche     gliche       gleiten glide, slide     glitt     ist geglitten       glitte		=	ist <b>geschehen</b>
gießen pour goss hat gegossen gösse gleichen resemble glich hat geglichen gliche gliche glitt ist geglitten glitte	=	=	
gießen pour göss gleichen resemble glich glich gliche gliche gliche glitt glitt glitte	gewinnen <i>wn</i>	-	hat <b>gewonnen</b>
gösse gleichen resemble glich glich gliche gliche gliche glitt glitte		•	
gleichen resemble     glich     hat geglichen       gliche     gliche       gleiten glide, slide     glitt     ist geglitten       glitte	gießen pour	=	hat <b>gegossen</b>
gliche  gleiten glide, slide  glitt  glitte		•	
gleiten glide, slide glitt ist geglitten glitte	gleichen resemble	=	hat <b>geglichen</b>
glitte		_	
	gleiten glide, slide	=	ist <b>geglítten</b>
	NB: begleiten 'accompany' is weak, i.e. begleitete,	glitte	
begleitet.	-	L t. P t.	Latera Lance de la Paret
glimmen glimmer (elev.) glomm/glimmte hat geglommen/geglimmt	gummen <i>gummer</i> (elev.)		nat gegiommen/geglimmt
glömme/glimmte	washe are alia		had wa mush sus
graben dig grub hat gegraben		<u> </u>	nat <b>gegrapen</b>
es gräbt grübe	_	_	hat ma mulffa u
greifen grasp griff hat gegriffen	grenen grasp	=	nat <b>gegriπen</b>
griffe	naltan hald: atan	=	hat walkaltar
	halten hold; stop	hielt	hat <b>gehalten</b>

es hält hielte NB: The compound verbs beinhalten 'comprise' and haushalten 'be economical' are weak. hängen hang hat gehangen hing (intrans.) hinge NB: The transitive verb hängen 'hang' is weak, i.e. hängte, gehängt. hauen hew, cut haute (hieb) hat gehauen (coll. gehaut) haute (hiebe) NB: The strong past form hieb is used in literary German in the meaning 'hew, cut (with a sword)'. hat gehoben heben lift hob (hub) höbe (hübe) NB: The forms hub and hübe are archaic, but they are still occasionally used in formal literary registers, particularly with the compound anheben 'commence'. heißen be called hieß hat geheißen hieße helfen help half hat geholfen es hilft hülfe (hälfe) kennen know hat gekannt kannte kennte klimmen climb klomm (klimmte) hat geklommen (geklimmt) klömme klingen sound hat geklungen klang klänge kneifen pinch kniff hat gekniffen kniffe kommen come kam ist gekommen käme kriechen creep, crawl kroch ist gekrochen kröche küren choose kürte (elev. kor) hat gekürt (elev. gekoren) kürte/köre laden load; invite lud hat geladen es lädt (ladet) lüde NB: ladet is regional, and only used in the sense 'invite', or with the compound einladen. lassen leave; let ließ hat gelassen es lässt ließe NB: veranlassen 'cause' is weak, i.e. veranlasste, veranlasst. laufen run lief ist/hat gelaufen es läuft liefe leiden suffer litt hat gelitten litte NB: verleiden 'spoil' is weak, i.e. verleidete, verleidet. leihen lend; borrow lieh hat geliehen liehe lesen read las hat gelesen es liest läse liegen lie lag hat gelegen läge lügen tell lies log hat gelogen löge mahlen grind mahlte hat gemahlen mahlte meiden avoid mied hat gemieden miede melken milk melkte (molk) hat gemolken (gemelkt) es melkt (milkt) melkte messen measure maß hat gemessen es misst mäße nehmen take nahm hat genommen es nimmt nähme

nennen name, call nannte hat genannt nennte pfeifen whistle pfi ff hat gepfi ffen pfi ffe hat gepriesen preisen praise pries priese NB: The compound lobpreisen can be weak (e.g. lobpreiste) or strong (e.g. lobpries). quellen gush, well up ist gequollen quoll es quillt quölle raten advise riet hat geraten es rät riete reiben rub rieb hat gerieben riebe reißen tear riss hat/ist gerissen risse reiten ride (a horse) ritt hat/ist geritten ritte rennen run hat/ist gerannt rannte rennte riechen smell roch hat gerochen röche ringen wrestle hat gerungen rang ränge rinnen fl ow, trickle rann ist geronnen ränne (rönne) hat gerufen rufen call, cry rief riefe salzen salt salzte hat gesalzen (gesalzt) salzte saufen drink (of animals); booze soff hat gesoffen es säuft söffe saugen suck saugte/sog hat gesaugt/gesogen saugte/söge NB: In technical language, only weak forms are used, especially in the compound staubsaugen 'vacuum'. hat geschaffen schaffen create schuf schüfe NB: schaffen is weak (schaffte, geschafft) in the meaning 'manage', 'work'. scheiden separate; depart schied hat/ist geschieden schiede scheinen seem; shine schien hat geschienen schiene scheißen shit (vulg.) schiss hat geschissen schisse schelten scold schalt hat gescholten es schilt schölte scheren shear, clip schor hat geschoren schöre NB: scheren is weak (i.e. scherte, geschert) in the meaning 'concern', as is the refl exive sich scheren 'bother about; clear off'. schieben push, shove schob hat geschoben schöbe schießen shoot schoss hat/ist geschossen schösse schinden fl ay, ill- treat hat geschunden [schindete (not used)] schlafen sleep schlief hat geschlafen es schläft schliefe schlagen hit, beat schlug hat geschlagen es schlägt schlüge

schlich schleichen creep ist geschlichen schliche schleifen grind, sharpen schliff hat geschliffen schliffe NB: schleifen is weak (i.e. schleifte, geschleift) in the meaning 'drag'. schließen shut schloss hat geschlossen schlösse schlingen wind, wrap schlang hat geschlungen schlänge schmeißen chuck (coll.) schmiss hat geschmissen schmisse schmelzen melt schmolz (schmelzte) hat/ist geschmolzen (geschmelzt) es schmilzt (schmelzt) schmölze NB: The weak forms only occur if schmelzen is used transitively, and are colloquial. schneiden cut hat geschnitten schnitt schnitte schreiben write schrieb hat geschrieben schriebe schreien shout, scream hat geschrie(e)n schrie schriee schreiten stride ist geschritten schritt schritte schweigen not speak schwieg hat geschwiegen schwiege schwellen swell schwoll ist geschwollen es schwillt schwölle NB: schwellen is weak (i.e. schwellte, geschwellt) when used transitively. schwimmen swim schwamm ist/hat geschwommen schwömme (schwämme) schwinden disappear schwand ist geschwunden schwände schwingen swing schwang hat geschwungen schwänge schwören swear schwor (lit. schwur) hat geschworen schwüre (schwöre) sehen see sah hat gesehen es sieht sähe senden send sendete/sandte hat gesendet/gesandt sendete NB: The weak forms sendete, gesendet are used in technical senses, (i.e. = 'broadcast'). Otherwise the irregular forms are commoner. sieden boil (elev.; S.G.) siedete/sott hat gesotten (gesiedet) siedete (sötte) singen sing sang hat gesungen sänge sinken sink sank ist gesunken sänke sinnen meditate (elev.) sann hat gesonnen sänne (sönne) sitzen sit saß hat gesessen säße hat/ist gespaltet (gespalten) spalten split, cleave spaltete spaltete NB: The strong past participle gespalten is used mainly as an adjective, e.g. das gespaltene Deutschland. speien spit, spew(elev.) hat gespie(e)n spie spiee spinnen spin; be stupid spann hat gesponnen spönne (spänne)

sprach

sprechen speak

hat gesprochen

es spricht	spräche	
sprießen sprout (elev.)	spross	ist <b>gesprossen</b>
<b></b>	sprösse	<b>39</b>
springen jump	sprang	ist <b>gesprungen</b>
	spränge	
stechen prick, sting	stach	hat <b>gestochen</b>
es sticht	stäche	
stehen stand	stand	hat <b>gestanden</b>
	stünde (stände)	
stehlen steal	stahl	hat <b>gestohlen</b>
es stiehlt	stähle (stöhle)	
steigen climb; rise	stieg 	ist <b>gestiegen</b>
ata uba u alia	stiege	ist we stoub an
sterben die es stirbt	<b>starb</b> stürbe	ist <b>gestorben</b>
stieben fl y up (like dust) (elev.)	stube stob (stiebte)	ist gestoben (gestiebt)
steben if y up (like dust) (elev.)	stöbe	ist <b>gestoberi</b> (gestiebt)
stinken stink	stank	hat <b>gestunken</b>
	stänke	gootaon
stoßen bump; push	stieß	ist/hat <b>gestoßen</b>
es stößt	stieße	ŭ
streichen stroke	strich	ist/hat <b>gestrichen</b>
	striche	-
streiten quarrel	stritt	hat <b>gestritten</b>
	stritte	
tragen carry; wear	trug	hat <b>getragen</b>
es trägt	trüge	
NB: beantragen 'apply' and beauftragen 'co		
treffen meet, hit	traf	hat <b>getroffen</b>
es trifft	träfe	: h // h h
treiben drive; drift	trieb	ist/hat <b>getrieben</b>
treten step	triebe <b>trat</b>	ist/hat <b>getreten</b>
es tritt	träte	istriat getreteri
triefen drip (elev.)	triefte/troff	hat <b>getrieft</b> (getroffen)
	tröffe	nat <b>go</b> ttion (gottonon)
trinken drink	trank	hat <b>getrunken</b>
	tränke	•
trügen deceive	trog	hat <b>getrogen</b>
	tröge	
tun do	tat	hat <b>getan</b>
	täte	
verbleichen fade	verblich	ist <b>verblichen</b>
	verbliche	
NB: Simple <i>bleichen</i> 'bleach' is weak (i.e. <i>l</i>		
verderben spoil	verdarb	hat/ist <b>verdorben</b>
es verdiribt	verdürbe	hat <b>verdrossen</b>
verdrießen vex (elev.)	<b>verdross</b> verdrösse	nat verdrossen
vergessen forget	vergaß	hat <b>vergessen</b>
es vergisst	vergäße	nat voi gooden
verlieren lose	vergase	hat <b>verloren</b>
	verlöre	
verschleißen wear out	verschliss	ist/hat <b>verschlissen</b>
	verschlisse	
verzeihen excuse	verzieh	hat <b>verziehen</b>
	verziehe	
wachsen grow	wuchs	ist <b>gewachsen</b>

es wächst wüchse wägen weigh (one's words) wog/wägte hat gewogen/gewägt NB: Simple wägen is archaic. The compound erwägen 'consider' only has strong forms, erwog, erwogen. waschen wash hat gewaschen wusch es wäscht wüsche weben weave webte (wob) hat gewebt (gewoben) webte NB: Usually weak, but the strong forms are used in literary German in fi gurative senses. weichen yield, give way ist gewichen wiche NB: The weak verb weichen (weichte, geweicht) means 'soften'. It has the compounds einweichen 'soak' and aufweichen 'make soft'. weisen point wies hat gewiesen wandte/wendete hat gewandt/gewendet wenden turn wendete NB: The irregular forms wandte, gewandt are generally more frequent, except in the sense 'turn over', 'turn round' (e.g. das Auto, das Heu wenden) and in the compounds entwenden and verwenden. werben recruit; advertise warb hat geworben es wirbt würbe werfen throw warf hat geworfen es wirft würfe wiegen weigh hat gewogen wog wöge NB: wiegen is weak (wiegte, gewiegt) in the meaning 'rock' (cradle, etc.). winden wind, twist (elev.) hat gewunden wände winken wave winkte hat gewinkt/gewunken winkte wringen wring (N.G.) (clothes) hat gewrungen wrang wränge ziehen pull; move hat/ist gezogen zog zöge zwingen force zwang hat gezwungen zwänge

# 12.1 Verb Conjugation

#### 12.1.1 The Forms and Grammatical Categories of German Verbs

German verbs are usually given in dictionaries in the form of the INFINITIVE, which ends in - en or - n, e.g. kaufen, singen, wandern. If we take off this - (e)n, we obtain the basic core of the verb, which is called the root, e.g. kauf-, sing-, wander-. The root carries the basic meaning of the verb (i.e. 'buy', 'sing' 'wander', etc.). By adding endings to this root, or by changing the vowel, we can show different grammatical categories, i.e.:

### a. Indicate the person and number of the subject of the verb

There is a particularly close link between a verb and its subject. This is indicated in German by adding special endings to the verb for each PERSON (i.e. **first**, **second** or **third** person, see Chapter 3) in the **singular** and **plural**.

	First person	Second person	Third person
Singular Plural		_	er/sie/es wandert sie wandern

In this way verbs are said to **agree** with the subject. Those forms of verbs which have an ending in AGREEMENT with the subject like this are known as FINITE verbs. For further details on the agreement between subject and verb,

#### b. Indicate the time of the action, process or event expressed by the verb

We can add endings to the root of the verb (or change the vowel of some verbs) to show time:

Present tense	Past tense
ich kaufe	ich kaufte
du singst	du s <b>a</b> ngst
er wandert	er wandert <b>e</b>

The various forms of the verb which express time relationships are known as the TENSES of the verb. German, like English, has two SIMPLE TENSES (i.e. with a single word), the PRESENT tense and the PAST tense, as illustrated above. The formation of these simple tenses is explained in section 12.2.

The other tenses are COMPOUND TENSES, formed by using the AUXILIARY VERBS *haben*, *sein* or *werden*, together with the PAST PARTICIPLE or the INFINITIVE of the verb:

Perfect tense	Pluperfect tense	Future tense
ich <b>habe</b> gekauft	er <b>hatte</b> gekauft	sie <b>werden</b> kaufen
ich <b>habe</b> gesungen	er hatte gesungen	sie <b>werden</b> singen
ich <b>bin</b> gewandert	er <b>war</b> gewandert	sie <b>werden</b> wandern

The **formation** of the compound tenses is explained in section 12.3, and the **use** of the tenses in German is treated in detail in Chapter 14.

#### c. Show whether we are dealing with a fact, a possibility or a command

This is shown by the mood of the verb. German has three moods:

- 1. (i) The INDICATIVE mood states a fact
  - (ii) The SUBJUNCTIVE mood indicates a possibility or a report
  - (iii) The IMPERATIVE mood expresses a command

Indicative	Subjunctive	Imperative
sie kauft	sie kaufe	kaufe!
sie singt	sie sänge	singt!
Sie sind gewandert	Sie würden wandern	wandern Sie!

The indicative is the usual mood for statements or questions, and all the information about verb conjugation in sections 12.2–12.4 relates to the indicative mood. The formation of the subjunctive in German is detailed in section 12.5, and its use is dealt with in Chapter 16. The use of the imperative is explained in section 16.2, together with other ways of expressing commands.

## d. Change the relationship between the elements in the sentence

Using a different voice of the verb, i.e. the ACTIVE VOICE or the PASSIVE VOICE, allows different elements to appear as the subject of the verb and thus relates the action from a different perspective. German has two forms of the passive voice, formed by using the past participle with the auxiliary verb *werden* (the *werden*- passive), or the auxiliary verb *sein* (the *sein*- passive):

Active	werden- passive	sein- passive
Sie <b>schickt</b> die Mail ab	Die Mail wird abgeschickt	Die Mail ist abgeschickt
Er verkaufte das Buch	Das Buch wurde verkauft	Das Buch war verkauft

The conjugation of the passives is treated in section 12.4, and their uses are explained in Chapter 15.

#### e. Construct the non-finite forms of the verb

Some forms of the verb do not show agreement with the subject of the verb, unlike the finite forms dealt with in (a) above. These are called the non-finite forms of the verb, i.e. the INFINITIVE, the PRESENT PARTICIPLE and the PAST PARTICIPLE.

Infinitive	Present participle	Past participle
kauf <b>en</b>	kauf <b>end</b>	<b>ge</b> kauf <b>t</b>
sing <b>en</b>	sing <b>end</b>	<b>ge</b> sung <b>en</b>
wander <b>n</b>	wander <b>nd</b>	<b>ge</b> wander <b>t</b>

The non- finite parts of the verb can be combined with auxiliary verbs to form the compound tenses and the passive voice (see 12.3–4). They also have some other uses which are treated in Chapter 13.

## 12.1.2 How a Verb is Conjugated Depends on whether it is WEAK or STRONG

There are two main types of conjugation for verbs in German, which are called WEAK and STRONG. The main difference between these is the way in which the **past tense** is formed:

## 1. WEAK verbs form their past tense by adding - te to the root:

kauf-en $\rightarrow$  kauf-  $\mathbf{te}$ mach-en $\rightarrow$  mach- $\mathbf{te}$ wander-n $\rightarrow$  wander-  $\mathbf{te}$ 

## 2. STRONG verbs form their past tense by changing the vowel of the root:

flieg- en  $\rightarrow$  flog greif- en  $\rightarrow$  griff sing- en  $\rightarrow$  sang

Most German verbs are weak; they are the regular verbs. There are far fewer strong verbs, but many of them are very common, so that half the verbs in a typical text will be strong. There is no way of telling from the infinitive of a verb whether it is weak or strong. Foreign learners therefore need to remember which verbs are strong, and learn their three most important forms, the **principal parts**, i.e. the **infinitive**, the **past tense** and the **past participle**. All the other forms can be built up from these three basic forms.

Infinitive	Past tense	Past participle
bleiben	blieb	geblieben
singen	sang	gesungen
fahren	fuhr	gefahren

The principal parts of all strong and irregular verbs are given in Table 12.12.

In practice, the vowel changes in most strong verbs (called *ABLAUT* in German) follow a number of recurrent patterns. It is useful to be aware of these patterns, which are shown in Table 12.1.

 Table 12.1
 Vowel changes in strong verbs

Vowel change	Example
ei – ie – ie	bleiben – blieb – geblieben
ei – i – i	greifen – griff – gegriffen

i – a – u	singen – sang – gesungen
i – a – o	schwimmen – schwamm – geschwommen
ie – o – o	fliegen – flog – geflogen
e – a – o	helfen – half – geholfen
e – a – e	geben – gab – gegeben
e - o - o	fechten – focht – gefochten
a – u – a	fahren – fuhr – gefahren
a – ie – a	fallen – fiel – gefallen

## 12.1.3 There are a Few other Irregular Verbs

They fall into four groups:

# a. A few irregular weak verbs have vowel changes (and sometimes also consonant changes) in the past tense and the past participle

These changes are in addition to the usual endings of weak verbs:

```
kennen – kannte – gekannt rennen – rannte – gerannt
bringen – brachte – gebracht denken – dachte – gedacht
```

The principal parts of these irregular weak verbs are given in Table 12.12.

# b. A few irregular strong verbs have consonant changes as well as vowel changes in the past tense and the past participle

```
gehen – ging – gegangen leid en – litt – gelitten stehen – stand – gestanden zieh en – zog – gezogen
```

The principal parts of these irregular strong verbs are given in Table 12.12.

## c. The modal auxiliary verbs and wissen

The six modal auxiliary verbs *dürfen*, *können*, *mögen*, *müssen*, *sollen*, *wollen* and the verb *wissen* 'know' have an irregular present tense with no ending - *t* in the third person singular and, in most cases, a different vowel in the singular and plural. Most of them also change the vowel in the past tense and the past participle:

```
können – er kann, wir können – konnte – gekonnt

müssen – er muss, wir müssen – musste – gemusst

wissen – er weiß, wir wissen – wusste – gewusst
```

All the forms of these verbs in the indicative tenses are given in Table 12.4.

Table 12.4 Conjugation of the modal auxiliary verbs and wissen

	First person	Second person	Third person		
Singular	ich kaufe		er/sie/es wandert		
Plural	wir kaufen		sie/Sie wandern		

#### d. The verbs haben, > sein and werden

These three verbs are wholly irregular. Aside from their basic meanings, i.e. *haben* 'have', *sein* 'be', *werden* 'become', they are used as AUXILIARY VERBS to form the compound tenses and the passives. The indicative forms of these verbs are given in Table 12.3.

Table 12.3 Conjugation of sein, haben, warden

Infinitive	sein		h	aben	werden		
Present participle	seiend			habend		werdend	
Past participle	gewesen			gehabt		geworden	
Present tense	ich	bin	ich	habe	ich	werde	
	du	bist	du	hast	du	wirst	
	es	ist	es	hat	es	wird	
	wir	sind	wir	haben	wir	werden	
	ihr	seid	ihr	habt	ihr	werdet	
	Sie	sind	Sie	haben	Sie	werden	
	sie	sind	sie	haben	sie	werden	
Past tense	ich	war	ich	hatte	ich	wurde	
	du	warst	du	hattest	du	wurdest	
	es	war	es	hatte	es	wurde	
	wir	waren	wir	hatten	wir	wurden	
	ihr	wart	ihr	hattet	ihr	wurdet	
	Sie	waren	Sie	hatten	Sie	wurden	
	sie	waren	sie	hatten	sie	wurden	
Imperative singular plural (familiar) plural (polite)	sei! seid! seien	Sie!	hab! habt! haben	Sie!	werde werde werde		

# 12.1.4 Agreement of Subject and Finite Verb

As explained in 12.1.1a, finite verbs have endings in agreement with the person and number (i.e. singular or plural) of the subject.

	First person	Second person	Third person
Singular	ich kaufe	du singst	er/sie/es wandert
Plural	wir kaufen	ihr singt	sie/Sie wandern

NB

The 'polite' form of the second person (with Sie) always has the same ending as the third person plural.

In some constructions there can be uncertainty about what the verb agrees with.

## a. If the subject of the verb is a clause, the verb has the third person singular endings

The clause can be a subordinate clause (see Chapter 19) or an infinitive clause (see 13.2.3):

Dass sie nichts tut, **ärgert** mich sehr Sie wiederzusehen **hat** mich gefreut I'm very annoyed that she isn't doing anything

I was pleased to see her again

### b. If the verb sein is followed by a noun in the plural, the verb has a plural ending even if the subject is singular

Mein Lieblingsobst sind Kirschen

*My favourite fruit is cherries* 

This is in particular the case with es, das and other neuter pronouns (see 3.6.2b, 5.1.1h and 5.3.1a):

Was **sind** das für große Vögel? – Es **sind** Störche

 $What \ kind \ of \ large \ birds \ are \ they?-They \ are \ storks$ 

**Sind** es deine Handschuhe? Welches **sind** deine Handschuhe?

Are they your gloves? Which are your gloves?

## c. If the subject consists of a series of linked nouns, the verb is usually plural

Helmut und sein Bruder sind gekommen

Vater, Mutter, Tochter saßen beim Essen

Helmut and his brother have come

Father, mother and daughter were sitting down to a

meal

owever, there are some constructions where it is possible to use a singular ending (although this is still less common than the plural ending):

i. if the subject follows the verb:

Im Osten winkte das Völkerschlachtdenkmal, die Türme und die Essen von Leipzig

In the east, the war memorial, the towers and chimneys of Leipzig beckoned

ii. if the parts of the subject are seen as separate or distinct (this is especially the case if the nouns are qualified by *jeder* or *kein*):

Wenig später wurde heiße Suppe und Weißbrot ausgeteilt

A little later hot soup and white bread were distributed

Ihm konnte kein Arzt und kein Apotheker mehr helfen No doctor and no chemist could help him now

iii. if the linked nouns are felt to form a single whole:

Diese Haltung und Miene war ihm eigentümlich

This attitude and facial expression were peculiar (Th. Mann) to him

iv. with the conjunctions sowie and sowohl . . . als/wie (auch), see 19.1.4:

Sowohl Manfred als auch seine Frau war einverstanden

Both Manfred and his wife agreed

## d. If the subject consists of nouns linked by a disjunctive conjunction (= 'or') the verb is usually in the singular

**i.** This applies in particular to (entweder . . .) oder and nicht (nur) . . ., sondern (auch)

Entweder Hans oder Karl wird mir helfen

Either Hans or Karl will help me

Mit dieser Lösung wäre nicht nur die Mehrheit der Partei, sondern auch Stöber selbst zufrieden gewesen

Not only the majority of the party but Stöber too would have been satisfied with this solution

A plural verb is sometimes used with these, especially if the nearest noun is plural, e.g.: Entweder Karl oder seine Brüder **werden** mir helfen.

ii. With weder . . . noch, either a singular or a plural verb is possible, but the plural is more frequent:

In Berlin waren sich weder Kabinett noch Regierungsfraktionen einig

*In Berlin neither the cabinet nor the governing* parties were agreed

## e. If a coordinated subject includes a pronoun, the verb has the ending which corresponds to the combination

i. This applies in particular with the conjunction *und* and its synonyms:

Mein Mann und ich (= wir) **trennten** uns im Frühjahr (Spiegel)

My husband and I separated in the spring

Du und sie (= ihr) könnt damit zufrieden sein

You and she can be satisfied with that

Sowohl sie als auch er (= sie) haben sich darüber

Both she and he were pleased about it

gefreut

These combinations can sound artificial, especially if the second person plural *ihr* is involved, and they are often avoided by adding the appropriate plural pronoun, e.g.: *Ihr* könnt damit zufrieden sein, du und sie.

ii. With disjunctive conjunctions, the verb usually agrees with the nearest pronoun, whether this precedes or follows:

Entweder du oder ich werde es ihnen sagen

Nicht ich, sondern ihr **sollt** es ihnen sagen

Dann werden nicht nur sie, sondern auch ihr es ihnen sagen

Ich, nicht du, **sollst** es ihnen sagen

These, too, can sound unnatural, and can be avoided by repeating the verb or splitting one pronoun off, e.g.:

Entweder du sagst es ihnen, oder ich sage es ihnen

Entweder du sollst es ihr sagen oder ich

## f. Usage with expressions of measure or quantity

i. (i) With singular nouns of indefinite quantity followed by a plural noun, the verb is normally plural:

Ein Dutzend Eier kosten 2 Euro A dozen eggs cost 2 euros

*There were a lot of people there* Es waren eine Menge Leute da

 $\hbox{Eine Gruppe von Studenten ${\bf standen}$ vor dem Bahnhof} \ ^{A\,group\ of\,students\ were\ standing\ in\ front\ of\ the}$ 

Die Hälfte meiner Gedanken waren bei ihr (Grass) Half my thoughts were with her

This is the predominant usage in speech and common in writing. Some authorities continue to insist that the use of the singular (e.g. ein Dutzend Eier kostet 2 Euro or Die Hälfte meiner Gedanken war bei ihr) is still current, but it is in practice unusual.

ii. With singular measurement words followed by a plural noun, the verb can be either singular or plural:

Ein Kilogramm Kartoffeln reicht/reichen aus

Ein Kubikmeter Ziegelsteine wiegt/wiegen fast zwei Tonnen

In these contexts the singular is rather more frequent.

iii. With nouns of measurement used with a numeral or with a plural determiner, the verb is normally in the plural, although in such cases, masculine and neuter nouns of measurement have no plural ending (see 1.2.14):

Mehrere Liter Benzin waren verschüttet Several litres of petrol were spilled

Five kilograms cost 15 euros Fünf Kilo kosten fünfzehn Euro

Dafür wurden mir tausend Euro angeboten 80 Prozent I was offered a thousand euros for it 80% of the

population was opposed to it der Bevölkerung waren dagegen

However, a singular ending is often used in such contexts, especially in spoken German, as the quantity is thought of as a single whole: Zwanzig Euro ist/sind zu viel; 80 Prozent der Bevölkerung war/waren dagegen.

#### g. Singular collective nouns are used with a singular verb

This contrasts strongly with English usage, where the plural is the norm (or at least frequent), and Englishspeaking learners need to pay careful attention to German usage in such contexts:

The whole family have/has gone away Die ganze Familie ist verreist

Unsere Mannschaft hat wieder verloren Our team have/has lost again

Die Polizei kommt gleich *The police are coming straight away* 

The government have/has decided it Die Regierung hat es beschlossen

# 12.2 The Simple Present and Past Tenses, The Non-Finite Forms and the Imperative

These forms make up the basic conjugation of the German verb. They are all single words, formed by adding different prefixes or suffixes to the verb root, or by changing the form of the root, especially by altering the vowel.

## 12.2.1 Weak And Strong Verbs

WEAK and STRONG verbs differ mainly in the way in which they form the PAST TENSE and the PAST PARTICIPLE (their 'principal parts'). Weak verbs have the ending - te in the past tense and - t in the past participle, while strong verbs change the vowel of the root in the past tense and have the ending - en (sometimes with a further change of vowel) in the past participle.

Otherwise, both weak and strong verbs have the same endings marking person and number in the two simple tenses and in the imperative mood, and the same affixes in the non-finite forms. Table 12.2 gives these forms for typical weak and strong verbs. The principal parts of all strong and irregular verbs are given in Table 12.12.

Table 12.2 Conjugation of the verb in the simple tenses

	Weak						Strong	
Infinitive Present participle Past participle Present tense	kaufen kaufend gekauft		warten wartend gewartet		wandern wandernd gewandert		singen singend gesungen	
	ich du es wir ihr Sie sie	kaufe kaufst kauft kaufen kauft kaufen kaufen	ich du es wir ihr Sie sie	warte wartest wartet warten wartet warten warten	ich du es wir ihr Sie sie	wand(e)re wanderst wandert wandern wandert wandern wandern	ich du es wir ihr Sie sie	singe singst singt singen singt singen singen
Past tense	ich du es wir ihr Sie sie	kaufte kauftest kaufte kauften kauftet kauften kauften	ich du es wir ihr Sie sie	wartete wartetest wartete warteten wartetet warteten warteten	ich du es wir ihr Sie sie	wanderte wandertest wanderte wanderten wandertet wanderten wanderten	ich du es wir ihr Sie sie	sang sangst sang sangen sangt sangen sangen
Imperative singular plural (familiar) plural (polite)	kauf(e)! kauft! kaufen Sie!		warte! wartet! warten Sie!		wand(e)re! wandert! wandern Sie!		sing(e)! singt! singen Sie!	

There are a few regular variations to the pattern of endings for strong and weak verbs as given in Table 12.2:

## a. Verbs whose root ends in - d or - t, or in - m or - n after a consonant

These verbs add - e- before the endings - t, - st, and the - te of the past tense of weak verbs: du arbeit-est, er arbeitet, er arbeitet, etc. The full forms of warten 'wait' are given in Table 12.2 as illustration. Other examples:

finden *find*: du findest, er findet, ihr findet; ihr fandet regnen *rain*: es regnet, es regnete, geregnet atmen *breathe*: du atmest, sie atmet, ihr atmet, ich atmete, geatmet

These verbs also always have the ending - e in the imperative singular: arbeite!, finde!, warte!

NB:

- i. e- is not added in the second person singular of the past tense of strong verbs: du fandst.
- ii. Verbs with *l* or *r* before *m* or *n* do not need the linking *e* : *sie filmt* 'she is filming', *er lernt* 'he is learning'.

iii. Some strong verbs with a vowel change do not add - t in the third person singular of the present tense, see (e) and (f) below.

## b. Verbs whose root ends in - s, - $\beta$ , - x or - z

These drop the - s- of the ending - st in the second person singular of the present tense:

```
rasen race – du rast grüßen greet – du grüßt faxen fax – du faxt sitzen sit – du sitzt
```

The use of the ending - est with these verbs, e.g. du sitzest, is archaic, except in Swiss usage. Strong verbs in - s, -  $\beta$ , or - z add - e- before the ending - st in the second person singular of the present tense:

```
lesen read – du lasest heißen be called – du hießest sitzen sit – du saßest wachsen grow – du wuchsest
```

Adding - *e*- before the ending - *t* of the second person plural of the past tense is now archaic, and *ihr last* is used rather than *ihr laset*.

#### c. Verbs whose root ends in - el and - er

These verbs have some differences from the general pattern of endings, as illustrated by the forms of wandern given in Table 12.2.

They have the ending - n in the infinitive, and the first and third person plural of the present tense, e.g. klingeln 'ring', wandern 'wander'.

In the first person singular of the present tense and the imperative singular, the - e- of the root is always dropped with verbs in - el and frequently with verbs in - er (more commonly in speech than in writing), e.g.: ich klingle, ich wand(e)re.

NB: In spoken German forms are heard where the - e- of the root is kept, but the - e of the ending dropped, e.g. ich klingel, ich wander, etc.

#### d. Verbs whose root ends in a long vowel or diphthong

These sometimes drop - e- in their endings, in particular:

- i. The present tense and infinitive of tun 'do': ich tue, du tust, es tut, wir tun, ihr tut, sie tun
- ii. The present tense of *knien* [kni:ən] 'kneel' is as follows (see also 23.5.1): ich knie [kni:ə], du kniest [kni:st], er kniet [kni:t], wir knien [kni:ən], ihr kniet [kni:t], sie knien [kni:ən].

The past tense of the strong verb *schreien* 'shout, scream' is similar, i.e.: *ich/er schrie, wir/sie schrien* [fri:ən]. The past participle is *geschrieen* or *geschrien*.

iii. Other such verbs generally lose the - e- of the ending - en in spoken German, and these forms are occasionally found in writing, e.g.: schaun, gehn, gesehn (for schauen, gehen, gesehen).

# e. Most strong verbs with - e- in the root change this to - i- or - ie- in the second and third person singular present, and in the imperative singular

In general, verbs in **short** - e-  $[\varepsilon]$  change this to - i-, whilst those in **long** - e-  $[\varepsilon]$  usually change this to - ie-:

```
essen eat:

helfen help:

du hilfst, es hilft, hilf!

lesen read:

du liest, es liest, lies!

stehlen steal:

du stiehlst, es stiehlt, stiehl!
```

There are some exceptions and further irregularities with these verbs, and full details are given for each verb in

#### Table 12.12. However, the following general points may be noted:

i. (i) The following strong verbs in - e- do not change the vowel to - i- or - ie-:

 $\begin{array}{lll} \text{bewegen } \textit{induce} & \text{melken } \textit{milk} \\ \text{gehen } \textit{go} & \text{scheren } \textit{shear} \\ \text{genesen } \textit{recover} & \text{stehen } \textit{stand} \\ \text{heben } \textit{lift} & \text{weben } \textit{weave} \end{array}$ 

- ii. erlöschen 'go out' (of lights, fires) changes ö- to i- : es erlischt
- iii. Three strong verbs which have long e- in their root change this to short i- rather than long ie-:

geben give: du gibst, es gibt, gib!

nehmen *take*: du nimmst, es nimmt, nimm!

treten *step*: du trittst, es tritt, tritt!

iv. Verbs with this vowel change whose root ends in - d or - t do not add - et in the third person singular of the present tense (see (a) above):

gelten be worth: es gilt treten step: es tritt

- v. In colloquial speech, imperative forms without the vowel change are commonly heard: ess!, geb!, nehm! These are considered incorrect.
- f. Most strong verbs with a- or au- in their root have *Umlaut* in the second and third person singular of the present

fahren go: du fährst, es fährt lassen let: du lässt, es lässt wachsen grow: du wächst, es wächst laufen run: du läufst, es läuft

There are some exceptions and further irregularities with these verbs:

- i. stoßen 'push' has Umlaut of o- : du stößt, es stößt
- ii. schaffen 'create' and saugen 'suck' do not have Umlaut: du schaffst, saugst; er schafft, saugt.
- iii. Verbs whose root ends in d or t and which have *Umlaut* in these forms do not add et in the third person singular (see (a) above):

halten hold: es h**ä**lt laden load: es l**ädt** raten advise: es r**ät** 

Full details are given for each verb in Table 12.12.

NB: In spoken South German, *Umlaut* is often lacking with these verbs, and one hears, for example, *sie schlaft* instead of *sie schläft*. This is a non- standard regionalism.

## g. The ending - e of the imperative singular

- i. With most weak or strong verbs, this ending is optional: *Komm(e) in den Garten! Setz(e) dich! Stör(e) mich nicht!*. It is usually dropped in speech, but quite commonly used in written German.
- **ii.** The verbs with a vowel change of *e* to *i* or *ie* in the imperative (see (e) above) never have the ending: *Lies! Gib! Nimm!*

- iii. Verbs with roots ending in ig, and m or n after another consonant (see (a) above) keep the ending -e: Entschuldige bitte! Segne mich!
- iv. Verbs in el (see (c) above) drop the e- of the root, but keep the ending: Klingle laut!.

## h. Some verbs lack the prefix ge- in the past participle

All these are verbs which are not stressed on the first syllable, i.e.:

i. Verbs with inseparable prefixes (see 22.4):

bedeuten mean: bedeutet zerbrechen smash: zerbrochen erfinden invent: erfunden überlegen consider: überlegt gelingen succeed: gelungen unterdrücken suppress: unterdrückt misslingen fail: misslungen anvertrauen entrust: anvertraut

**ii.** Verbs in - *ieren*:

gratulieren congratulate: gratuliert studieren study: studieren

iii. A few other verbs which are not stressed on the first syllable:

frohlocken rejoice: frohlockt posaunen bellow: posaunt recykelt interviewen interview: interviewt recykeln recycle: liebkosen caress: liebkost schmarotzen sponge: schmarotzt stibitzen nick, pinch: stibitzt offenbaren reveal: offenbart prophezeien prophesy prophezeit

NB: Some of these verbs can, alternatively, be pronounced with the first syllable stressed, and in this case the past participle has the prefix ge: 'frohlocken -ge'frohlockt; 'liebkosen -ge'liebkost; 'offenbaren -ge'offenbart.

#### i. Separable verbs

Separable verbs are made by adding a prefix to a simple verb to form a new verb with a distinctive meaning (as explained in 22.5 and 22.6). These verbs are called separable verbs because this prefix is separated from the main verb in certain contexts.

Separable verbs have exactly the same endings and forms, whether weak or strong, as the simple verbs from which they are derived. Thus, *ankommen* 'arrive' conjugates like *kommen*, *zumachen* 'shut' like *machen*.

i. In main clauses, the prefix is separated from the verb and is placed at the end of the clause (see also 21.1.2):

ankommen arrive:

an

ausgehen go out:

nachahmen imitate:

Sie geht heute Abend aus.

Sie ahmten seine Bewegungen nach

totschlagen kill:

Er schlug das Tier mit einer Keule tot

**ii.** The prefix remains joined to the verb in all the non-finite forms. The *ge*- of the past participle is inserted between the prefix and the verb:

ankommen – ankommend – an**ge**kommen ausgehen – ausgehend – aus**ge**gangen ausmachen – ausmachend – aus**ge**macht vorstellen – vorstellend – vor**ge**stellt

If the simple verb has no ge- in the past participle (see (h) above), it is also lacking in all corresponding separable verbs:

einstudieren *rehearse*: einstudiert

anerkennen recognise:

anerkannt

The zu of the expanded infinitive is also added between the prefix and the verb (see 13.1.4b):

```
ankommen – anzukommen
ausgehen – auszugehen
anerkennen – anzuerkennen
```

iii. In subordinate clauses, the prefix rejoins the finite verb in final position:

Ich weiß, dass sie heute Abend **ausgeht** 

Er sah, wie sie seine Bewegungen nachahmten

## j. Usage in colloquial speech differs in some cases from that in writing

Although widespread in the spoken language, these forms are considered to be non-standard colloquialisms and they are rarely used in writing.

- i. Final e tends to be dropped in all endings, e.g.: ich kauf, ich fall, ich/es sucht for ich kaufe, ich falle, ich/es suchte. If this occurs in written German, especially to give the impression of colloquial usage, or in dialogue, the missing ending is often indicated by using an apostrophe, e.g. ich kauf', ich hätt'.
- **ii.** The ending *en* tends to be reduced to *n*, e.g. *wir kaufn*, *sie falln*, *wir kauftn*, *sie botn*, *getretn* for standard German *wir kaufen*, *sie fallen*, *wir kauften*, *sie boten*, *getreten*.
- iii. brauchen is sometimes heard without the ending t in the third person singular of the present tense, e.g. er, sie brauch.

#### k. Recent loan words from English are typically fully integrated and have the regular endings of weak verbs

Thus surfen 'surf (the web)', mailen 'e- mail', simsen 'text', bloggen 'blog' or googeln 'google' have forms like ich surfe, Hast du ihr gemailt, sie simst zu viel, wir bloggen täglich, Was wird in Deutschland am häufigsten gegoogelt? etc.

## 12.2.2 Irregular Verbs

The verbs *sein* 'be', *haben* 'have', *werden* 'become', the six modal auxiliary verbs *dürfen*, > *können*, > *mögen*, > *müssen*, > *sollen*, > *wollen* and the verb *wissen* 'know' are wholly irregular in their conjugation. The conjugation of *sein*, *haben* and *werden* is given in full in Table 12.3 and that of the modal auxiliaries and *wissen* in Table 12.4. Some specific points about the forms of these verbs should be noted:

#### a. Reduced forms of sein and haben are usual in colloquial speech

e.g.: es is for es ist; wir/sie sin, ham for wir/sie sind, haben; simmer, hammer for sind wir, haben wir.

#### b. Special forms of werden

- i. The old form *ich/es ward* was sometimes used for *ich/es wurde* in elevated styles into the twentieth century, and it is still occasionally found in deliberately archaicising (especially biblical) contexts.
- **ii.** The past participle of *werden* has no *ge* when used as an auxiliary to form the passive, see 12.4.2a, e.g.: *Er ist gelobt worden*. Compare its use as a full verb meaning 'become': *Er ist Schauspieler geworden*.

#### c. The past participle of the modal auxiliaries is rarely used

When these verbs are used in the perfect tenses in conjunction with a main verb, the infinitive is used rather than the past participle (see 13.3.2):

Ich habe es machen **müssen**Wir haben ihn lehren **sollen**Sie hatte es sehen **können**Sie hatten es uns sagen **wollen** 

#### d. The present participle and imperative of the modal auxiliaries are not used

Those of wissen are regular, i.e. present participle: wissend. Imperative: wisse! wisse! wissen Sie!

# 12.3 The Compound Tenses

## 12.3.1 The Conjugation of the Verb in the Compound Tenses

## a. (a) The perfect and future are formed with the auxiliary verbs sein, haben and werden

The perfect tenses are formed with the past participle and haben or sein, and the future tense is constructed using werden and the infinitive, e.g.:

ich habe gekauft I have bought ich bin gekommen I have come perfect: ich hatte gekauft I had bought ich war gekommen I had come pluperfect: ich werde kaufen I shall/will buy ich werde kommen I shall/will future:

ich werde gekauft haben I ich werde gekommen sein I future perfect: shall/will have bought shall/will have come

Full forms of all these tenses are given in Table 12.5 for the weak verb machen 'make' and the strong verb singen 'sing', which form their perfect tenses with the auxiliary haben, and for the strong verb bleiben 'remain' which forms its perfect tenses with the auxiliary sein (see 12.3.2). The uses of the tenses are explained in detail in Chapter 14.

Table 12.5 Compound tenses of strong and weak verbs

	with haben							with sein		
Perfect	ich du es wir ihr Sie sie	habe hast hat haben habt haben haben	gemacht gemacht gemacht gemacht gemacht gemacht		habe hast hat haben habt haben haben	gesungen gesungen gesungen gesungen gesungen gesungen		bin bist ist sind seid sind sind	geblieben geblieben geblieben geblieben geblieben geblieben	
Pluperfect	ich du es wir ihr Sie sie	hatte hattest hatte hatten hattet hatten hatten	gemacht gemacht gemacht gemacht gemacht gemacht gemacht		hatte hattest hatte hatten hattet hatten hatten	gesungen gesungen gesungen gesungen gesungen gesungen gesungen		war warst war waren wart waren waren	geblieben geblieben geblieben geblieben geblieben geblieben geblieben	
Future	ich du es wir ihr Sie sie	werde wirst wird werden werdet werden werden	machen machen machen machen machen machen machen		werde wirst wird werden werdet werden werden	singen singen singen singen singen singen singen		werde wirst wird werden werdet werden werden	bleiben bleiben bleiben bleiben bleiben bleiben bleiben	
Future perfect	ich du es wir ihr Sie sie	werde wirst wird werden werdet werden werden	gemacht gemacht gemacht gemacht gemacht gemacht gemacht	haben haben haben haben haben haben	werde wirst wird werden werdet werden werden	gesungen gesungen gesungen gesungen gesungen gesungen gesungen	haben haben haben haben haben haben	werde wirst wird werden werdet werden werden	geblieben geblieben geblieben geblieben geblieben geblieben	sein sein sein sein sein sein

## b. The non-finite parts of compound tenses are placed at the end of the clause in main clauses

i.e. they constitute the final part of the 'verbal bracket', see 21.1.2, e.g. Ich habe sie gestern in der Stadt gesehen. In subordinate clauses the auxiliary usually follows the non-finite part at the end of the clause, see 21.1.3, e.g. Sie wissen, dass ich sie gestern in der Stadt gesehen habe.

#### 12.3.2 Haben Or Sein in the Perfect?

Whether the perfect tenses are constructed with *haben* or *sein* depends on the meaning of the verb.

## a. (a) The following groups of verbs form their perfect with sein

All these verbs are INTRANSITIVE, i.e. they do not have a direct object in the accusative case (see 18.3):

i. (i) Intransitive verbs of motion:

Ich bin in die Stadt gegangenSie war zum Boden gefallenWir sind aus dem Haus entkommenIhr wart auf die Mauer geklettert

Um die Zeit werden wir schon angekommen sein

NB: Some verbs of motion take sein or haben in different contexts, see (c) below.

ii. Intransitive verbs expressing a change of state. This group includes a large number of verbs which point to the beginning or end of a process, including many with the prefixes *er*- and *ver*- (see 22.4):

Sie **ist** schon eingeschlafen Die Blumen **sind** verwelkt Die Bombe **ist** um zwei Uhr explodiert Der Reifen **war** geplatzt

Das Licht **ist** ausgegangen Der Schnee **war** schon geschmolzen

Mein Buch ist verschwunden Sie werden gleich danach ertrunken sein

Die Glocke ist erklungen

NB: In colloquial North German, anfangen and beginnen form their perfect with sein. One thus hears ich bin angefangen, begonnen for standard German ich habe angefangen, begonnen.

iii. Most verbs meaning 'happen', 'succeed', 'fail', i.e.:

begegnen meet (by chance) misslingen fail
fehlschlagen fail passieren happen
gelingen succeed vorgehen happen
geschehen happen vorkommen occur
glücken succeed zustoßen happen

Ich **bin** ihr gestern begegnet Das **war** schon einmal vorgekommen

Der Plan **ist** fehlgeschlagen Was wird mit ihr passiert **sein**?

Es war mir gelungen, ihn zu überzeugen

NB: The colloquial verb *klappen* 'succeed' takes *haben*, e.g. *Hat's mit den Karten geklappt*? 'Did you manage to get the tickets?'

iv. The verbs *bleiben* and *sein*:

missglücken fail

Sie ist früher Lehrerin gewesen Wir sind in Dessau geblieben War er mal Diplomat gewesen? Sie wird dort geblieben sein

#### b. All other verbs form their perfect tenses with haben

This includes the majority of German verbs. The most important fall into the following groups:

i. Transitive verbs, i.e. those taking an accusative object (see 18.3):

Ich habe sie gesehen

Er hat die Wohnung geputzt

Der Hund hatte die Mülltonne umgeworfen

Sie hatte mich geschlagen

Ich werde den Brief bis morgen früh geschrieben haben

A few compounds of *gehen* and *werden* are exceptions to this rule, e.g.:

Er ist die Strecke abgegangen He paced the distance

Sie ist die Arbeit mit dem Schüler durchgegangen She went through the work with the pupil

Er ist die Wette eingegangen He made the bet

Ich bin ihn endlich losgeworden

I have finally got rid of him

ii. Reflexive verbs:

Sie **hat** sich sehr gefreut Ich **hatte** mir alles eingebildet Ich **habe** mich schon erholt Sie wird sich müde gelaufen **haben** 

Ich hatte mich aus dem Zimmer gestohlen

When verbs which normally form their perfect with *sein* are used with a reflexive pronoun in the dative (= 'each other', see 3.2.7), the perfect is still constructed with *sein*, e.g.:

Sie sind sich ausgewichen They avoided each other
Wir sind uns in der Stadt begegnet We met (each other) in town

iii. Intransitive verbs which do not express motion or a change of state, (see (a) above). Many of these verbs denote a continuous action or state, e.g.:

Ich habe gestern lange gearbeitet

Hast du in der Nacht gut geschlafen?

Dort hat jemand auf der Bank gesessen

Oben hat vorhin das Licht gebrannt

Sie hatte dabei gepfiffen

Sie hatten in Münster studiert

Sie wird dort lange gewartet haben

Gerhard wird ihr gesimst haben

The verbs *liegen*, *sitzen* and *stehen* form their perfect tenses with *haben* in standard German in Germany, e.g. *ich habe gelegen*, *gesessen*, *gestanden*. However, in South German, *sein* is commonly used (i.e. *ich bin gelegen*, etc.) and this usage is accepted as standard in Austria and Switzerland.

iv. Most impersonal verbs:

Es hat geregnet, geschneit, gehagelt

An der Tür hat es geklopft

Es hatte nach Benzin gerochen

Da hatte es einen Krach gegeben

Impersonal expressions with verbs which form their perfect tenses with *sein* form an exception to this rule, e.g.: Es ist mir kalt geworden; Wie war es Ihnen in Berlin gegangen?

v. The modal auxiliaries:

Ich **habe** es hinnehmen müssen Wir **haben** es nicht gekonnt Sie **hat** ihn besuchen wollen Sie **hat** ihn nie gemocht

#### c. The use of *haben* and *sein* with the same verb

**i.** The choice of *haben* or *sein* depends on meaning, i.e. it is not an automatic feature of a particular verb. Several verbs which have more than one meaning can be used with *haben* or *sein* in the perfect if they have one meaning of the kind which requires *haben* for the perfect tense, and another which requires *sein*, as explained in (a) and (b) above. This variation between *haben* and *sein* is most common with verbs which can be used transitively or intransitively. Thus, *fahren*, used as an intransitive verb of motion (= 'go'), forms its perfect with *sein*:

Sie ist nach Stuttgart gefahren

Wir sind zu schnell gefahren

But when it is used transitively (= 'drive'), it takes *haben*:

Sie **hat** einen neuen Porsche gefahren

Ich habe ihn nach Hause gefahren

Some further examples with other verbs:

Ich habe eine Mail bekommenI have received an e- mailDas Essen ist mir gut bekommenThe meal agreed with meEr hat das Rohr gebrochenHe has broken the pipeDas Rohr ist gebrochenThe pipe has broken

Sie **hat** auf Zahlung gedrungen She has pressed for payment

Wasser **ist** in das Haus gedrungen Water has penetrated into the house

Er **hat** ihr gefolgt

He has obeyed her
Er **ist** ihr gefolgt

He has followed her

Es hat in der Nacht gefroren

There was a frost in the night

Der See ist gefroren

Da haben Sie sich geirrt

You have made a mistake

Er ist durch die Straßen geirrt

He roamed through the streets

Sie hat ihn zur Seite gestoßen

She pushed him to one side

Ich bin an den Schrank gestoßen

Du hast mir den Spaß verdorben

Das Fleisch ist verdorben

The meat has gone bad

Sie **hat** viel Benzin verfahren She has used a lot of petrol/gas
Wir **sind** nach diesem Grundsatz verfahren We acted according to this principle

Sie **hat** in Künstlerkreisen verkehrt

Die Züge **sind** heute nicht verkehrt

The trains didn't run today
Ich **habe** die Vase zerbrochen

I have broken the vase
Die Vase **ist** zerbrochen

The vase has broken

**ii.** A few verbs of motion form their perfect with *sein* if they express movement from one place to another, but *haben* if they just refer to the activity as such, without any idea of getting somewhere, e.g.:

Ich **habe** als junger Mann viel getanzt

I danced a lot when I was a young man

Er **ist** aus dem Zimmer getanzt He danced out of the room

Sie hat den ganzen Morgen gesegelt She's been sailing the whole morning

Sie **ist** über den See gesegelt She sailed across the lake

This usage is more frequent in North Germany, and it is restricted to a few verbs, i.e. *flattern*, 'flutter', paddeln 'paddle', reiten 'ride', rudern 'row', schwimmen 'swim', segeln 'sail', tanzen 'dance', treten 'step'.

#### 12.4 The Passive

#### 12.4.1 There are Two Passives in German, the Werden- Passive and the Sein- Passive

They are formed by combining the auxiliary verbs werden or sein with the past participle:

werden- passive: Die Stadt wird zerstört Ich wurde verletzt sein- passive: Die Stadt ist zerstört Ich war verletzt

The werden- passive is often called the *Vorgangspassiv* in German, and the *sein*- passive the *Zustandspassiv*. The uses of both passives are dealt with in Chapter 15. The forms of the *werden*-passive are given in Table 12.6, and those of the *sein*- passive which are in current use are given in Table 12.7.

Table 12.6 The forms of the werden- passive

	Present			P	erfect				Future		
ich du es wir ihr Sie sie	werde wirst wird werden werdet werden werden	gelobt gelobt gelobt gelobt gelobt gelobt gelobt	ich du es wir ihr Sie sie	bin bist ist sind seid sind sind	gelobt gelobt gelobt gelobt gelobt gelobt gelobt	worden worden worden worden worden worden worden	ich du es wir ihr Sie sie	werde wirst wird werden werdet werden werden	gelobt gelobt gelobt gelobt gelobt gelobt gelobt	werden werden werden werden werden werden werden	
	Past			Plu	perfect			F	uture perfe	ect	
ich du es wir ihr Sie sie	wurde wurdest wurde wurden wurdet wurden wurden	gelobt gelobt gelobt gelobt gelobt gelobt gelobt	ich du es wir ihr Sie sie	war warst war waren wart waren	gelobt gelobt gelobt gelobt gelobt gelobt gelobt	worden worden worden worden worden worden worden	ich du er wir ihr Sie sie	werde wirst wird werden werdet werden werden	gelobt gelobt gelobt gelobt gelobt gelobt gelobt	worden worden worden worden worden worden	sein sein sein sein sein sein

Table 12.7 Current forms of the sein-passive

	Preser	nt		Past		Imperative
ich du es	bin bist ist	verletzt verletzt verletzt	ich du es	war warst war	verletzt verletzt verletzt	Sei gegrüßt!
wir ihr Sie sie	sind seid sind sind	verletzt verletzt verletzt verletzt	wir ihr Sie sie	waren wart waren waren	verletzt verletzt verletzt verletzt	Seid gegrüßt! Seien Sie gegrüßt!

#### 12.4.2 The Formation of the Passive

#### a. the werden- passive

- i. In the perfect tenses of the passive the past participle of werden has no prefix ge-, i.e. worden: Das Haus ist 1845 gebaut worden.
- **ii.** Imperative forms of the *werden* passive, e.g. *werde gelobt!* are rare. If a passive imperative is needed, the form with *sein* is used, see (b) below.

## b. the sein-passive

In practice, only the present and past tenses of the *sein*- passive, and the imperative, are at all frequently used. Other tenses, e.g. the perfect (*ich bin verletzt gewesen*, etc.) or the future (*ich werde verletzt sein*, etc.) are only used occasionally.

c. The participle is placed at the end of the clause in main clauses (as in other compound verb forms, see 21.1.2):

Das Haus wurde 1845 gebaut

Das Kind war schwer verletzt

In subordinate clauses the participle comes at the end, before the auxiliary, see 21.1.3:

Ich weiß, dass das Haus voriges Jahr gebaut wurde

# 12.5 The Subjunctive

The SUBJUNCTIVE mood presents what the speaker is saying as **not necessarily true**, whereas the INDICATIVE presents what is said as a **fact**. Most modern German grammars and textbooks divide the forms of the German subjunctive into two major groups, which they refer to as *Konjunktiv I* and *Konjunktiv II*, since these terms make it simpler to explain their use. There are no English equivalents for them, and the German ones are used here. Table 12.8 shows how these groupings are related to the traditional 'tenses' of the subjunctive:

Table 12.8 `The forms of Konjunktiv I and Konjunktiv II

Konjunktiv I	present subjunctive	es habe
	perfect subjunctive	es hanbe geben
	future subjunctive	es werde geben
Konjunktiv II	past subjunctive	es gäbe
	pluperfect subjunctive	es hätte gegeben
	conditional	es würde geben

The subjunctive has the same compound tenses and passive forms as the indicative, formed in the same way, with the auxiliaries *haben*, *sein* and *werden*. In this section we give information about the various forms of the subjunctive. Their uses are treated in detail in Chapter 16.

# 12.5.1 Konjunktiv I

# a. The simple form of Konjunktiv I is regular for all verbs except sein

For all verbs except *sein* the endings are added to the root of the verb without any other changes or irregularities, as illustrated for a range of typical regular and irregular verbs in Table 12.9.

		sein	haben	können	werden	geben	machen
Konjunktiv I (presentsubjunctive)	ich du es wir ihr Sie sie	sei sei(e)st sei seien seiet seien seien	habe habest habe haben habet haben haben	könne könnest könne können könnet können	werde werdest werde werden werdet werden werden	gebe gebest gebe geben gebet geben geben	mache machest mache machen machet machen machen
Konjunktiv II (past subjunctive)	ich du es wir ihr Sie sie	wäre wärest wäre wären wäret wären	hätte hättest hätte hätten hättet hätten hätten	könnte könntest könnte könnten könntet könnten könnten	würde würdest würde würden würdet würden würden	gäbe gäbest gäbe gäbe gäbet gäben gäben	machte machtest machte machten machtet machten machten

Table 12.9 The simple forms of Konjunktiv I and Konjunktiv II

Points to note about the simple form of *Konjunktiv I*:

- i. The second person singular and plural forms in est and et (e.g. du sagest, ihr saget), are felt to be artificial and are rarely used.
- **ii.** For most verbs except *sein*, the only difference in practice between the simple form of *Konjunktiv I* and the present indicative is in the third person singular, which has the ending *e* as opposed to the ending -*t* of the indicative.
- iii. There are no vowel changes in the second or third person singular of any strong or irregular verbs. Compare subjunctive: *es gebe*, *es fahre*, with indicative: *es gibt*, *es fährt*.
- iv. Verbs with a root in el (see 12.2.1c) usually drop the e- of the root before the ending e, e.g. es segle, es

lächle, etc.

#### b. Compound forms of Konjunktiv I

Compound perfect and future tenses of *Konjunktiv I*, and the *werden*- and *sein* passive, are constructed in exactly the same way as in the indicative, using subjunctive forms of the appropriate auxiliary verb, i.e. *haben*, *sein* or *werden*, together with the past participle or the infinitive. Examples are given in the third person singular, which is in practice the only form used.

perfect subjunctive with haben: es

perfect subjunctive with sein: es

future subjunctive:

werden- passive (present):

werden- passive (perfect):

werden- passive (future):

es werde gekauft

es werde gekauft worden

es werde gekauft worden

es werde gekauft worden

es werde gekauft werden

# 12.5.2 Konjunktiv II

# a. There are three important and frequently used forms of Konjunktiv II

i. The simple form, in one word, traditionally called the past subjunctive. Table 12.9 gives typical examples of its forms and endings with some common verbs. It is formed from the past indicative, as explained in paragraph (b) below and illustrated in Table 12.11.

 Table 12.11
 Formation of the simple form of Konjunktiv II (past subjunctive)

	Verb	Past tense	Konjunktiv II
Regular weak verbs	kaufen machen	kaufte machte	kaufte machte
Regular strong verbs	bleiben brechen fahren gehen kommen lassen sprechen tragen tun ziehen	blieb brach fuhr ging kam ließ sprach trug tat zog	bliebe bräche führe ginge käme ließe spräche trüge täte zöge
Strong verbs with irregular past subjunctive	helfen stehen sterben	half stand starb	hülfe stünde stürbe
Irregular verbs	sein haben werden dürfen können mögen müssen wissen bringen denken	war hatte wurde durfte konnte mochte musste wusste brachte dachte	wäre hätte würde dürfte könnte möchte müsste wüsste brächte dächte

ii. The pluperfect subjunctive, formed from the past subjunctive of the auxiliary verbs haben or sein and the past

participle, as illustrated in Table 12.10.

Table 12.10 The pluperfect subjunctive and conditional forms of Konjunktiv II

Pluperfect subjunctive (with <i>haben</i> )		subj	perfect unctive th <i>sein</i> )	Conditional		
ich du es wir ihr Sie sie	hätte hättest hätte hätten hättet hätten hätten	gekauft gekauft gekauft gekauft gekauft gekauft gekauft	wäre wärest wäre wären wäret wären	geblieben geblieben geblieben geblieben geblieben geblieben	würde würdest würde würden würdet würden würden	kaufen kaufen kaufen kaufen kaufen kaufen

iii. The conditional, formed from the past subjunctive of the auxiliary verb werden and the infinitive, as illustrated in Table 12.10.

## b. The simple form of Konjunktiv II is formed from the past tense of the indicative

This is done in the following ways, as illustrated in Table 12.11. The forms are listed for each individual strong or irregular verb in Table 12.12.

- 1. For regular weak verbs it is identical with the past indicative.
- 2. For regular strong verbs, it is formed by taking the form of the past tense, umlauting the vowel if possible, and adding e to the endings if possible (although this e of these endings is often dropped in speech).
- **3.** A few strong verbs have an irregular *Konjunktiv II* form with a different vowel from that of the past tense. Only those given in Table 12.11 are at all commonly used nowadays.
- **4.** Some other irregular verbs also have *Umlaut* in the simple *Konjunktiv II*. The most frequent are given in Table 12.11.

NB: In colloquial speech, *brauchen* 'need' also often has a *Konjunktiv II* form with *Umlaut*, e.g. *ich bräuchte*. This usage is not universally accepted as standard, but it is very widespread, and increasingly common in writing.

# c. The simple form of Konjunktiv II and the compound form with würde

The compound conditional form with *würde* is often used rather than the simple 'past subjunctive' form of *Konjunktiv II*, so that, for example, *ich würde kommen* is often used rather than *ich käme*. Which form is used depends on register, meaning and the individual verb involved. Current usage is explained fully in 16.4.4, but it can be summarised briefly here as follows:

- **i.** The simple forms of the weak verbs and those of many less frequent strong verbs are only used in formal writing. Indeed, several simple forms of strong verbs (e.g. *ich flöge*, *ich röche*) are felt to be stilted and avoided entirely. These are indicated in Table 12.12.
- **ii.** On the other hand, with the most common verbs, in particular *haben, sein, werden* and the modal auxiliaries, the simple form is much more common than the compound form in <u>both</u> writing <u>and</u> everyday speech.

## d. Passive forms of Konjunktiv II

The *werden*- and *sein*- passive of *Konjunktiv II* are constructed in exactly the same way as in the indicative, using subjunctive forms of the auxiliary verb *werden* or *sein* and the past participle:

werden- passive (past):es würde gekauft (werden)werden- passive (pluperfect):es wäre gekauft wordensein- passive: eswäre gekauft

#### Notes on Table 12.12

Table 12.12 gives the principal parts, i.e. the infinitive, the past tense and the past participle, of all strong and irregular verbs, with the exception of the wholly irregular verbs and the modal auxiliaries whose forms are given

#### in Tables 12.3 and 12.4.

- 1. The third person singular of the present tense is given for those verbs which have vowel changes (see 12.2.1e/f).
- **2.** The simple past subjunctive form of *Konjunktiv II* (see 12.5.2) is given for all verbs listed, but it is given in italics if it is obsolete, archaic or rarely used.
- **3.** The auxiliary used to form the perfect tenses (i.e. *haben* or *sein*, see 12.3.2) is indicated by *hat* or *ist* alongside the past participle.
- **4.** Less common alternative forms are given in brackets after the commoner ones.
- **5.** In principle, simple verbs (i.e. without prefixes) are given if they exist, even in cases when they are less frequent than compound verbs. As a rule, compound verbs conjugate in the same way as the simple verb from which they are derived; exceptions to this are given in the table.

# 13 The Infinitive and the Participles

This chapter deals with the main uses of the non-finite forms of the verb, i.e. the infinitive and the present and past participles:

Infinitive	Present participle	Past participle
kaufen	kaufend	gekauft
wandern	wandernd	gewandert
singen	singend	gesungen
aufmachen	aufmachend	aufgemacht
bestellen	bestellen	bestellt

As explained in 12.1.1e, these forms of the verb do not have endings to show agreement with the subject, or to express other categories of the verb such as tense and mood. They are used to form the compound tenses and the passive (see 12.3 and 12.4), and they occur in a number of constructions which depend on an element in a full clause with a finite verb. The formation of the infinitive and the participles of regular verbs is shown in Table 12.2. In German the present participle is often referred to as *Partizip I*, and the past participle as *Partizip II*.

Despite certain similarities, German differs quite markedly from English in respect of some nonfinite constructions and their use, especially those with the present participle. The various uses and forms of these non-finite forms are explained in this chapter as follows:

- The forms of the infinitive: the compound infinitive; the infinitive with and without zu (section 13.1)
- The uses of the **infinitive with** *zu* (section 13.2)
- The uses of the **infinitive without** zu the bare infinitive (section 13.3)
- Infinitives used as **nouns** (section 13.4)
- The uses of the **present** and **past participles** (section 13.5)
- Clauses with participles (section 13.6)
- Equivalents of the **English 'ing'- form** in German (section 13.7)

#### 13.1 Forms of the Infinitive

#### 13.1.1 The Simple Infinitive

The simple INFINITIVE is the basic form under which verbs are listed in dictionaries, see 12.1. For most verbs it ends in - en (e.g. kommen, machen, sehen), but a few verbs have an infinitive ending in - n, i.e. sein, tun and verbs with a stem ending in - el and - er, see 12.2.1c.

#### 13.1.2 The Compound Infinitive

The infinitive of the auxiliaries *haben*, *sein* and *werden* can be combined with the past participle of a verb to form compound infinitives:

perfect infinitive (with haben or sein):gesehen habenangekommen seinpassive infinitive (with warden or sein):verletzt werdenverletzt sein

perfect passive infinitive: verletzt worden sein

The perfect infinitive is formed with *haben* or *sein* in accordance with the rules given in 12.3.2. For the use of *sein* or *werden* in the passive, see 15.2.2. The German perfect infinitive is used to show that an action took place before that of the main verb. This is similar to English:

Sie muss das Buch lesen Sie muss das Buch gelesen haben She must **read** the book

She must **have read** the book

#### 13.1.3 The Infinitive with and Without zu

In some constructions in German, the infinitive is accompanied by the particle zu, whilst in others a so-called **bare infinitive** is used, without zu:

Ich riet ihr zum Arzt **zu gehen**I advised her **to go** to the doctor
Ich konnte nicht zum Arzt **gehen**I couldn't **go** to the doctor

Constructions with zu (which are more frequent) are explained in section 13.2. Constructions with the bare infinitive are treated in section 13.3.

## 13.1.4 The form of the Infinitive with zu

#### a. Simple verbs and verbs with inseparable prefixes

zu comes immediately before the verb and is separated from it in writing:

Sie fing an **zu schreiben**Ur kamen auf dieses Thema **zu sprechen**Ich war bereit **zu verhandeln**Es gefiel mir mich mit ihr **zu unterhalten** 

# b. Verbs with a separable prefix

zu is placed between the prefix and the verb. The whole is written as a single word (see 12.2.1i and 22.5):

Sie hatte vor ihn **anzurufen** Es war schön euch **wiederzusehen** 

Es wäre wohl besser ihr davon abzuraten Sie wusste mit diesem Mann umzugehen

Similarly, if a verb has a separable prefix followed by an inseparable one, as in *anvertrauen*, *zu* comes between the prefixes:

Es fällt mir nicht ein mich ihm anzuvertrauen

**c.** NB: Although the verb *missverstehen* is inseparable, the *zu* is placed **after** the prefix, i.e. *misszuverstehen*. This is an alternative, if less frequent, possibility with a few other verbs with the prefix *miss*-, e.g. *zu missachten* or (less commonly) *misszuachten*, see 22.6.3.

# d. With compound infinitives

zu is placed between the participle and the auxiliary haben, sein or werden:

Er verleugnet es sie betrogen **zu** haben

He denies having deceived her

Ihr gefällt es nicht betrogen **zu** werden

She doesn't like being deceived

Sie behauptete betrogen worden **zu** sein

She claimed to have been deceived

Similarly, zu comes between the main verb and a modal auxiliary:

Es freut mich Sie hier begrüßen zu dürfen It is a pleasure to be able to welcome you here

# 13.2 The Use of the Infinitive with zu

#### 13.2.1 The Infinitive with zu Occurs in a Reduced Clause

In German this is called the *Infinitivsatz*. It can depend on a noun, verb or adjective in a full clause within the same

sentence. The infinitive with zu comes at the end of its clause, i.e. in the same position as the finite verb in a subordinate clause (see 21.1.1c):

Er fing an **heftig zu weinen**He began to cry bitterly

Er gab mir die Erlaubnis **in Berlin zu bleiben**He gave me permission to stay in Berlin
Es ist nicht schwer **eine fremde Sprache zu lernen**It is not difficult to learn a foreign language

If there are a number of infinitives, zu should be repeated with each, e.g.: Es begann zu blitzen und zu donnern und zu stürmen.

NB: For the use of the comma with infinitive clauses, see 23.6.3.

#### 13.2.2 The Position of the Infinitive Clause with zu

# a. The infinitive clause is usually quite separate from the main clause

i.e. it is <u>not</u> normally enclosed inside the clause it depends on (see 21.9.2), coming after whatever part of the verb comes in last position in that clause.

Sie hatten beschlossen vor dem Rathaus zu warten

(NOT: \*Sie hatten vor dem Rathaus zu warten beschlossen)

Wir hatten vor im Urlaub nach Rom zu fliegen

(NOT: \*Wir hatten im Urlaub nach Rom zu fliegen vor)

. . . weil er sich bemüht hat rechtzeitig fertig zu sein

(NOT: \*... weil er sich rechtzeitig fertig zu sein bemüht hat)

# b. In a few contexts the infinitive clause can be (or must be) enclosed within the main clause it depends on

These constructions are exceptions to the general rule given under (a), and the infinitive clause comes inside the main clause:

- i. Enclosure is the rule with the semi-auxiliary verbs (see 13.2.5):
  - ... bevor sein Duft ihn **zu ersticken drohte** (Süβkind)

Seine Brutalität ist nicht mehr zu ertragen gewesen (Wickert)

**ii.** Enclosure is possible with some other common verbs, although it is never obligatory. It is more typical of formal, especially written registers, and it is more common in subordinate clauses than with the compound tenses:

Dass sie ihn **entdeckt zu haben** glaubte, war ein Beweis dafür, dass . . . (Süßkind)

(or: Dass sie glaubte ihn entdeckt zu haben, . . .)

Du hast mir das zu tun versprochen

(or: Du hast mir versprochen das zu tun)

**c.** Verbs frequently used like this are *anfangen*, *beginnen*, *hoffen*, *meinen*, *trachten*, *vermögen*, *versuchen*, *wagen*, *wünschen*. In very formal registers, enclosed infinitive clauses are found with other verbs and phrases, but such constructions can sound stilted or clumsy.

#### d. Incorporation of infinitive clause and main clause

If there is only the finite verb and its subject in the main clause, the infinitive clause can be incorporated with the main clause by splicing the object of the infinitive into it:

Er wagte die Reise aus diesem Grunde nicht abzubrechen

He didn't dare to break his journey for this reason

Diesen Vorgang wollen wir zu erklären versuchen

We want to try to explain this series of events

This construction is restricted to formal registers. It is usual only with those verbs which regularly enclose an infinitive clause, see (b) above.

#### e. Infinitive clauses depending on relative clauses

The German equivalent of English constructions such as 'a man whom I tried to kill' typically has the infinitive clause enclosed within the relative clause, e.g. ein Mann, den ich zu töten versuchte. Other examples:

. . . die Person, deren Gesicht ich zu erraten versucht hatte (Frisch)
. . . the person whose face I had tried to recognise
. . . the person whose face I had tried to recognise
. . . not a man whom it would have been worth a revolution to get rid of

Alternatively, if there is only a simple infinitive clause (i.e. one consisting only of zu plus the infinitive), it can follow the finite verb, e.g. ein Mann, den er versuchte zu töten.

## 13.2.3 An Infinitive Clause with zu can be the Subject of a Verb

a. A German infinitive clause used as the subject of a verb can correspond in English to an infinitive clause or to a clause with an 'ing'- form

In many contexts a choice exists in English between using the 'ing'- form or the infinitive with 'to' which is lacking in German, since German does not use present participles in the way the 'ing'-form is used in English (see 13.7.1). The finite verb has the ending of the third person singular:

Ihn zu überzeugen wird nicht leicht sein

To convince him/Convincing him won't be easy

So etwas zu erlauben ist unerhört

To allow/allowing that kind of thing is outrageous

Her aim is to write/writing a novel

b. If a subject infinitive clause is short, it can, optionally, lack zu

This is most frequent with the verb *sein* and in set phrases:

**Lange Auto (zu) fahren** ist sehr anstrengend Driving a car for long periods is very strenuous

**Irren** ist menschlich To err is human

c. A subject infinitive clause which follows the main verb is often anticipated by es in the main clause

(see 3.6.2e for further details of when this *es* is used):

Es war mir nicht möglich ihm früher zu mailen It wasn't possible for me to e- mail him earlier

Ihm steht (es) nicht zu ein Urteil zu fällen

It's not up to him to pass judgement

#### 13.2.4 Many Verbs can have an Infinitive Clause with zu as their Object

a. A German infinitive clause used as the object of a verb can correspond to an English infinitive clause OR a clause with an 'ing'- form

In English the choice of infinitive or 'ing'- form depends on the individual verb used:

Ich hoffe dich bald wiedersehen zu können

I hope to be able to see you again soon

Ich gebe zu das gesagt zu haben

I admit having said that

Ich habe vor **sie morgen zu besuchen**I intend to visit them/am visiting them tomorrow

**b.** In some contexts, it is the SUBJECT of the main verb which is taken as the subject of the infinitive clause, but in other contexts it is the OBJECT

Compare the following sentence, where the **subject** of *versprechen* is understood as the subject of *mitzunehmen*:

Christian promised Ellen to take her with him

Christian versprach Ellen **sie** mitzunehmen

with this one, where the **object** of *bitten* is understood as the subject of *mitzunehmen*:

Christian bat Ellen **ihn** mitzunehmen

Christian asked Ellen to take him with her

What is understood to be the subject of the infinitive depends on the sense of the verbs involved and the context. In practice English and German generally agree on whether the subject or object of the main verb is to be understood as the subject of the infinitive. More examples:

Er gab zu sich geirrt zu haben He admitted having made a mistake Sie hat **ihm** geraten die Ausstellung zu besuchen *She advised him to see the exhibition* 

However, there are one or two contexts where there are significant differences between the two languages:

i. There are fewer verbs in German than English which allow an object to be taken as the subject of a following infinitive clause. In particular, it is not possible with verbs of wishing, desiring, saying, knowing, thinking and the like. With these a dass- or wenn- clause has to be used in German, not an infinitive clause:

Sie will, dass ich mit ihr gehe

She wants me to go with her

Ich möchte nicht, dass es irgendein Missverständnis

I don't want there to be any misunderstanding

Ich erwarte, dass sie bald nach Flensburg umzieht

I expect her to move to Flensburg soon

Mir wäre es lieber, wenn Sie hier nicht rauchen würden

I would prefer you not to smoke here

Sage ihm doch, dass er warten soll Ich wusste, dass es ein Irrtum war

Tell him to wait, though I knew it to be a mistake

It is not possible, either, to use these verbs in the passive with a following infinitive clause. Thus, there is no direct equivalent in German for English constructions of this type, and a subordinate clause has to be used:

Man erwartet, dass sie bald nach Flensburg umzieht She is expected to move to Flensburg soon

Man sagte uns/Uns wurde gesagt, dass wir warten sollten

We were told to wait

ii. With some verbs the subject of the infinitive has to be understood as indefinite (i.e. = man):

Der Präsident hat angewiesen alle Universitäten zu schließen

The president has instructed that all the universities

should be closed

He ordered the prisoners to be released

Helmut befahl früh aufzubrechen

Er ordnete an die Gefangenen zu entlassen

Helmut ordered an early start

Other verbs commonly used this way are anregen, auffordern, beantragen, befürworten, bitten, drängen, eintreten, empfehlen, ersuchen, fordern, plädieren, raten, veranlassen, verlangen, warnen.

iii. With a few verbs, the subject or the object (or either) can be taken to be the subject of the infinitive:

Er schlug mir vor das Zimmer aufzuräumen

He suggested that I/he/we should tidy the room up

Other verbs which can be used like this are anbieten, einreden, zusichern.

# c. An infinitive clause can be used in German after some verbs denoting mental processes

The English equivalents typically require a subordinate clause:

Er behauptete (glaubte, meinte, war überzeugt) mich gesehen zu haben

He maintained (believed, thought, was convinced) that he had seen me

This construction is more usual in writing than in speech, where a subordinate clause (typically with the conjunction dass omitted, see 19.2.1b) will often be preferred, e.g. Er meinte, er hätte mich gesehen/dass er mich gesehen hätte.

# d. A following object infinitive is sometimes anticipated by es

(see 3.6.3a for details of when this es is used):

Ich konnte es kaum ertragen ihn so leiden zu sehen

I could hardly bear to see him suffer like that

Sie hat (es) versäumt die Miete zu zahlen

She failed to pay the rent

# e. When verbs which govern a prepositional object are followed by an infinitive clause, it is frequently anticipated by a prepositional adverb

(i.e. da(r)+preposition. See 18.5.14 for further details of when this is used):

Ich verlasse mich darauf, ihn zu Hause zu finden

I am relying on finding him at home

Ich erinnere mich (daran), sie voriges Jahr in Bremen gesehen zu haben

I remember having seen her in Bremen last year

## 13.2.5 Infinitive Clauses with 'Semi- Auxiliary' Verbs

Some verbs have a closer link with a following infinitive clause than others. Their main role is to modify the meaning of the verb used in the infinitive in some way, like a modal auxiliary verb (see Chapter 17), and it is useful to think of them as 'semi-auxiliary' verbs.

English has a much wider range of such 'semi- auxiliary' verbs than German. The natural German equivalent to many of these English verbs is a construction with an adverb, e.g. *Ich spiele gern* 

Tennis 'I like to play tennis', Ich sah sie zufällig in der Stadt 'I happened to see her in town'. A survey of these equivalences is given in 7.3.4.

A feature of these semi- auxiliary verbs in German is that they **ALWAYS** enclose the infinitive in dependent clauses or compound tenses (see 13.2.2b):

- . . . da er den eben Angekommenen zu erkennen schien
- . . . als das Boot zu kentern drohte

Sie hat uns zu verstehen gegeben, dass sie morgen kommt

These verbs are also often incorporated with a dependent infinitive clause, see 13.2.2c.

The most important verbs which can be used as semi- auxiliaries in German are listed below. Many of them have other uses and meanings.

bekommen 'get':

Und wenn ich dich zu fassen bekomme . . .

And if I lay hands on you, . . .

belieben 'like', 'wish'. Nowadays archaic except in an ironic sense:

Sie belieben zu scherzen

You must be joking

**bleiben** 'remain'. The following infinitive has the force of a passive:

Die Gesetzesvorlage bleibt noch zu diskutieren

The draft bill still remains to be discussed

NB: For the use of *bleiben* with a **bare infinitive**, see 13.3.1f.

**brauchen** 'need'. In this sense it only occurs with a negative (or with nur or  $blo\beta$ ). This is the most common negative to müssen (see 17.5.1c):

Du brauchst nur anzurufen, und ich komme sofort You only need to call and I'll come straight away eine Sprache, die sie nie zu erlernen brauchten (Spiegel) a language which they never needed to learn

NB: In colloquial speech, brauchen is commonly used without zu (see 13.3.1a): Ich brauche nicht hingehen. The infinitive is used rather than the past participle in the perfect tenses (see 13.3.2a): Du hättest nicht hin(zu) gehen brauchen and in this context it is considered acceptable to omit zu.

*drohen* 'threaten'. The subject is usually inanimate in this use:

Oskars Herz **drohte** zu Stein **zu werden** (*Grass*)

Oskar's heart threatened to turn to stone

**geben** 'give'. Used mainly with denken, erkennen, verstehen:

... weil sie uns **zu verstehen gab**, dass sie bald kommen ... because she gave us to understand that she would be würde coming soon

NB: es gibt 'there is' (see 18.2.5) is also used as a semi- auxiliary, e.g. . . . weil es hier wenig zu trinken gibt.

**gedenken** 'propose'. It is restricted to elevated, formal registers:

die Zahl der Truppen, die die Nato nach Afghanistan zu schicken gedenkt

the number of troops which NATO proposes to send to Afghanistan

gehen 'go'. The use of gehen as a semi- auxiliary is colloquial. It expresses a possibility and the infinitive has passive force (see 15.4.5):

Die Uhr geht zu reparieren

The clock can be repaired

NB: For the use of gehen with a bare infinitive, see 13.3.1e.

*haben* 'have' expresses necessity or obligation. It is a (rather less frequent) alternative to *müssen* or *sollen*:

Was habe ich zu bezahlen? What have I got to pay?

Ich habe mehrere Mails zu schreiben I have several e- mails to write Sie haben hier nichts zu suchen You have no business here

With some verbs (especially tun), this use of haben is idiomatic and there is little sense of obligation or necessity:

Das hat mit dieser Sache nichts zu tun That's got nothing to do with this matter

That doesn't mean very much Das hat wenig zu bedeuten

NB: For the use of haben with a bare infinitive, see 13.3.1f.

kommen 'come' expresses a (chance) result:

Es war nicht meine Absicht, dass wir auf dieses Thema **zu**It was not my intention for us to get onto this subject sprechen kamen

Wir arrangierten es so, dass ich neben ihr zu sitzen kam We arranged it so that I came to sit next to her

NB: For the use of *kommen* with a bare infinitive, see 13.3.1e

**pflegen** 'to be accustomed to' is restricted to formal registers:

Am Abend dann studierte Stefan die Sonntagsergebnisse der Bundesliga, wie er es immer zu tun pflegte (HMP) So in the evening Stefan studied the Sunday Bundesliga results, as he always used to do

scheinen 'seem'

Ihm schien es zu gefallen

Das Dorf Lidiče, wohin die Spuren der beiden Attentäter **zu führen schienen**, wurde zerstört (*Presse*)

He seemed to like it

The village of Lidiče, where the tracks of the two assassins seemed to lead, was destroyed

sein 'be', as a semi- auxiliary, is the equivalent of können (or sometimes müssen or sollen). The following infinitive has the force of a passive, see 15.4.5:

Ist der Direktor heute zu sprechen?

Die Fahrausweise sind auf Verlangen vorzuzeigen

Das Haus ist zu verkaufen

Can I see the manager today?

The tickets are to be shown on demand

The house is for sale

NB: For the use of sein with a bare infinitive, see 13.3.1e

stehen has a similar sense to sein, and the following infinitive also has the force of a passive. It is used chiefly with befürchten, erwarten and hoffen:

Es **steht zu erwarten**, dass er bald nachgibt

It can be expected that he will soon give in

**suchen** 'try', 'seek' is restricted to formal registers:

eine Ordnung, die die selbständige militärische Betätigung a decree which sought to limit the independent military des Adels einzuschränken suchte (Bumke) activities of the nobility

versprechen 'promise'. In this sense, versprechen refers to an involuntary action with something desirable in the offing. The subject is normally **inanimate**:

Das Wetter verspricht schön zu werden

The weather promises to be nice

Wir sind froh, weil das Unternehmen zu gedeihen

We are happy because the enterprise promises to prosper

verspricht

NB: As a full verb, in the sense of 'make a promise', versprechen is used with a separated infinitive clause and the subject is always animate, e.g. Der Arzt versprach mir sofort zu kommen.

verstehen 'be able to', 'know how to':

Sie war in Verhältnisse geschleudert worden, mit denen sie nicht **umzugehen verstand** (*Fleiβer*)

She had been catapulted into circumstances which she didn't know how to cope with

wissen 'know how to'. In this sense wissen is similar to verstehen:

Er weiß mit den Leuten umzugehen

He knows how to deal with people

zu schicken weiß? (Wolf)

Wie soll zurechtkommen, wer sich in das Gegebene nicht How is anyone going to manage who doesn't know how to come to terms with reality?

#### 13.2.6 The Infinitive with zu after Adjectives

In some infinitive constructions after sein used with an adjective, the **subject** of sein has to be understood as the **object** of the infinitive:

Diese Aufgabe ist einfach zu lösen Er ist leicht zu überzeugen Diese Frage ist schwer zu beantworten This problem is simple to solve
He is easy to convince
This question is difficult to answer

This construction is common in English, but it is only possible with very few adjectives in German, i.e.: *einfach*, *interessant*, *leicht*, *schwer*, *schwierig*. In German, too, the construction is only possible if the verb takes an accusative object, i.e. it cannot occur with verbs like *helfen* which govern a dative object. These other English constructions have quite different German equivalents:

Es war schön, sie zu kennen (i.e. NOT \*Sie war schön zu kennen)

She was nice to know

Meiner Schwester zu helfen war schwierig /Es war schwierig, meiner Schwester zu helfen (i.e. NOT \*Meine Schwester war schwierig zu helfen)

My sister was difficult to help

Zum Trinken war der Kaffee zu heiß (i.e. NOT \*Der Kaffee war zu heiß zu trinken)

The coffee was too hot to drink

In English we can also use these adjectives attributively (i.e. in front of a noun), with an infinitive depending on them, e.g. 'That is a **difficult** question **to answer**'. This construction does not exist in German, and other constructions are used:

Diese Frage zu beantworten ist schwer

Das ist eine schwer zu beantwortende Frage

That is a difficult question to

answer

Es ist ein leicht erreichbarer Ort

It's an easy place to reach

Es war dumm diese Frage gestellt zu haben

That was a silly question to have

asked

# 13.2.7 The Infinitive with zu After Prepositions

An infinitive with zu can be used after a few prepositions, i.e. um, ohne, (an) statt and außer. Such constructions have special meanings and are the equivalent of adverbial clauses. These clauses are always preceded by a comma in writing, see 23.6.3b.

#### a. The construction $um \dots zu$

This has a number of different uses:

**i.** It can express purpose, often corresponding to English 'in order to'. It is the equivalent of a clause introduced by *damit* (see 19.5.1):

Ich konnte nichts tun, **um** ihn **zu** beruhigen

I couldn't do anything to reassure him

Er zündete das Haus an, **um** die Versicherung **zu** kassieren

He set fire to the house (in order) to collect on the

insurance

Da war kein Wasser, **um** das Feuer **zu** löschen

There was no water to put the fire out

NB: The um is sometimes omitted, in elevated and colloquial registers, e.g. Ich konnte nichts tun ihn zu beruhigen.

ii. It is used after an adjective qualified by zu or genug:

Er ist **zu jung, um** alles **zu** verstehen Er ist alt **genug, um** alles **zu** verstehen He is too young to understand everything He is old enough to understand everything

NB: um is sometimes omitted, especially in colloquial speech, e.g. Er ist zu jung alles zu verstehen.

If the subject of the two clauses is different, the conjunction als dass is used, e.g. Er ist zu jung/nicht alt genug, als dass wir es ihm erklären können. See 19.5.3 for further details.

iii. It can be used simply to link clauses, as an equivalent to und:

Er betrat die Gaststätte, um sie nach kurzer Zeit wieder zu verlassen

He went into the restaurant, only to leave it again after a short time

NB: This construction is limited to formal writing and has been criticised by stylists, as it might be misunderstood to imply purpose, e.g. Karl ging nach Australien, um dort von einem Auto überfahren zu werden.

#### b. ohne . . . zu

This corresponds to English 'without' followed by an 'ing'- form:

Ball zu trennen (*HMP*)

Er sollte den Gegner ablaufen, ohne ihn per Foul vom He ought to run down his opponent without getting the

ball off him by fouling him

Er verließ das Haus, **ohne** gesehen **zu** werden

He left the house without being seen

With a change of subject, the conjunction ohne dass (see 19.7.7) is used, e.g.: Er verließ das Haus, ohne dass ich ihn sah.

#### c. (an)statt...zu

This corresponds to English 'instead of' followed by an 'ing'- form:

Er hat gespielt, (an)statt zu arbeiten

He played instead of working

A clause with (an)statt dass, e.g. Er hat gespielt, (an)statt dass er gearbeitet hat, is an alternative to this construction. No change of subject is possible with either (an)statt zu or (an)statt dass.

#### d. außer . . . zu

This corresponds to English 'except', 'apart from' or 'besides' with an infinitive:

Was konnten sie tun, außer zu protestieren? (Zeit)

What could they do except protest?

The use of außer with a following infinitive is quite recent. A common alternative is to use the preposition außer with an infinitive noun, e.g. Sie tat nichts außer Schlafen. With a different subject, a clause with the conjunction außer dass is used (see 19.7.2a).

#### e. German equivalents for other English constructions with prepositions and a following infinitive

In German only the prepositions um, ohne, (an) statt and außer can be used with a following infinitive. English can use other prepositions, notably 'for' and 'with', with a following infinitive. These correspond to different constructions in German.

**i.** English 'for' followed by a noun or a pronoun and an infinitive.

In a few contexts this corresponds in German to a noun with *für*, or a noun in the dative in the main clause:

Es ist Zeit für uns loszugehen

It is time for us to leave

Es war ihm unmöglich, das auch nur zu verstehen

It was impossible for him even to understand that

However, the most usual German equivalent is a construction with a subordinate clause, with the conjunction used depending on the sense:

Ihr lag es sehr daran, dass er die Stelle annahm

She was very keen for him to take the job

Hier sind ein paar Formulare, die Sie ausfüllen sollen Here are a few forms for vou to fill in

Er wartete darauf, dass sie ankam

He was waiting for her to arrive

Sie bringt die Fotos, damit wir sie uns ansehen können

She's bringing the photographs for us to look at

Sie muss schon sehr krank sein, wenn ihre Mutter den She must be verv ill for her mother to have phoned Arzt angerufen hat

the doctor

# ii. English 'with' followed by a noun or a pronoun and an infinitive:

Depending on the sense, the German equivalent for this can be a subordinate clause with da or weil, a main clause with *und*, or a relative clause:

Da ich diesen Aufsatz schreiben muss, werde ich wohl nicht ins Kino gehen können

Sie waren nur auf der Durchreise in München und konnten dort nur ein paar Stunden verbringen

Auch der Sonntag, an dem sie nicht ins Büro ging, verging irgendwie

With this essay to write, I probably shan't be able to go to the cinema

They were just passing through Munich, with no more than an hour or two to spend

Even Sunday, with no office to go to, passed somehow

# 13.2.8 English uses Infinitives in other Constructions where an Infinitive with zu is not Used in German

## a. English infinitives in indirect statements and questions

e.g. 'He told me **how to do** it'. In German a subordinate clause (often with sollen, müssen or können) is used:

Er sagte mir, wie ich es machen soll He told me how to do it Ich weiß nicht, was ich tun soll/muss I don't know what to do

Woher weiß man, welchen Knopf man drücken soll? How do you tell which button to press?

#### b. English infinitives used after a noun

e.g. 'the person to apply to'. A relative clause is used in German:

Ich möchte ein Paar Handschuhe, die zu meinem

Wintermantel passen

I want a pair of gloves to go with my winter coat

das Einzige, was man tun kann the only thing to do

These constructions are especially common after superlatives:

Er war der Erste (der Letzte, der beste Spieler), der gekommen ist

He was the first (the last, the best player) to come

#### 13.2.9 Other Uses of the Infinitive with zu

# a. In comparative phrases with als

zu can be omitted, although it is more usual for it to be included:

Du kannst nichts Besseres tun als zu Hause (zu) bleiben

Man sollte lieber erst alles gründlich besprechen als sofort (zu) streiten

#### b. In exclamations

These are very similar to the corresponding English constructions:

Und zu denken, dass es ihr nichts bedeutet hat! And to think it didn't mean anything to her!

Ach, immer hier zu bleiben! *Oh, to stay here for ever!* 

#### c. In small ads

Zwei- Zimmer- Wohnung ab 1. Mai zu vermieten

Two-room flat to let from May 1st

### 13.3 The Use of the Infinitive without zu

The bare infinitive, without zu, is used in fewer constructions than the infinitive with zu, but these are very frequent.

## 13.3.1 A Few Verbs are Followed by an Infinitive Without zu

These infinitives are placed at the end of the clause: Sie will diese Briefe morgen schreiben. They are enclosed in subordinate clauses and compound tenses: Ich weiß, dass sie diese Briefe morgen schreiben will or Sie hat diese Briefe heute schreiben wollen. For further details see 21.1. The infinitive without zu is used with a small number of common verbs:

#### a. The modal auxiliaries

i.e. dürfen, können, mögen, müssen, sollen, wollen (see Chapter 17):

Sie darf heute nicht ausgehen Ich musste heute früh aufstehen Wir können es nicht verhindern Er wird mir nicht helfen wollen

In colloquial German, brauchen is often treated as a modal auxiliary and used with a bare infinitive, e.g. Sie brauchen heute nicht hingehen. However, many German speakers consider this to be unacceptable in standard, and brauchen is normally used with zu in writing: Sie brauchen heute nicht hinzugehen. Nevertheless, the omission of zu is acceptable in the perfect tense, when the infinitive of brauchen is used rather than a past participle, see 13.3.2a, e.g. Das hättest du wirklich nicht (zu) lesen brauchen.

# b. A few verbs of perception

fühlen, hören, sehen, spüren, e.g.:

Ich sah ihn ins Zimmer kommenI saw him come into the roomSie hörte das Kind weinenShe heard the child cryingEr fühlte sein Herz klopfenHe felt his heart beat(ing)

Ich **spürte** seinen Einfluss **wachsen** *I sensed how his influence was growing* 

With these verbs, a clause with wie is an alternative to the infinitive construction, e.g.:

Ich **hörte**, **wie** das Kind weinte Ich **spürte**, **wie** sein Einfluss wuchs

Ich sah, wie der Polizist sich nach dem alten Mann umsah

This tends to be more frequent than the infinitive construction in certain contexts, in particular if the sentence is long or complex, with the verbs *fühlen* and *spüren*, and in colloquial registers.

#### c. lassen

lassen with a bare infinitive has two principal meanings:

i. 'let', 'allow':

Er ließ mich das Buch behalten

He let me keep the book

Lass sie doch hereinkommen!

Do let her come in!

In this sense *lassen* is often used reflexively with a similar force to a passive construction (see 15.4.6):

Das **lässt sich** leicht **ändern**Das Buch **lässt sich** leicht **lesen**That can easily be changed

The book is easy to read

ii. 'cause', 'make':

Sie **ließ** den Schlosser die Tür **reparieren**She had the locksmith fix the door

Die Nachricht **ließ** ihn **erblassen**The news made him turn pale

Er ließ sich die Haare schneiden He had his hair cut

*lassen* is never followed by a passive infinitive, but in both meanings the infinitive after *lassen* can express the sense of a passive:

Er **lässt** die Bäume **fällen**He has the trees felled

Er **ließ** sich **sehen**He allowed himself to be seen

Sie **ließen** die Autobahnen **von den Gefangenen**They had the motorways built by the prisoners

bauen

#### d. tun

The use of *tun* with a bare infinitive is typical of colloquial speech:

Er **tut** ja immer noch **essen**He's still eating

Tust du mich auch verstehen? Do you understand me?

Ich täte gern ins Kino gehen

I would like to go to the cinema

This usage is widespread and common in spoken German, but is not generally considered to be standard and not normally thought to be acceptable in writing. It is, however, permissible in written German to use *tun* in order to allow an emphasised verb to be placed first in the sentence:

**Bewundern tue** ich ihn nicht, aber er imponiert mir

I don't admire him, but he does impress me

Aber **schmerzen tat** es darum nicht weniger (*Reuter*) But it was no less painful for all that

#### e. Certain verbs of motion

gehen, kommen, fahren, schicken. The verb in the infinitive expresses the purpose of going:

Während ich **öffnen ging**, ... (Andersch) While I went to open the door, ... **Kommst** du heute **schwimmen**? Are you coming swimming today?

Er **fährt** immer vormittags **einkaufen**He always goes shopping in the mornings

Sie hat den Opa **einkaufen geschickt**She sent granddad shopping

This usage is typically (but not only) colloquial. In everyday speech, too, the past tenses of *sein* can be used with a bare infinitive to mean 'go':

Ich war heute Morgen schwimmenI went swimming this morningEr ist einkaufen gewesenHe went/has been shopping

NB: schicken can alternatively be used with zu and an infinitive: Sie hat den Großvater geschickt, Kartoffeln und Gemüse zu kaufen. This is most usual if the infinitive clause is fairly long.

#### f. bleiben, finden and haben followed by a verb of position

Er blieb im Zimmer sitzen

Sie ist an den Ampeln stehen geblieben

Er hat sein Auto vor der Tür stehen

He stayed sitting in the room

She stopped at the lights

He's got his car at the door

Sie **hat** einen Bruder in Köln **wohnen**She's got a brother living in Cologne
Sie **fand** das Buch auf dem Boden **liegen**She found the book lying on the floor

NB:

- i. stehen bleiben 'stop' and sitzen bleiben 'repeat a year' (at school) are sometimes understood to have a distinct lexical meaning and written as single words.
- ii. For *finden* with the present participle, see 13.7.5c.
- iii. haben is used with a bare infinitive in a few set constructions with adjectives, i.e. Du hast gut/leicht reden 'It's all very well for you to talk'.

## g. heißen 'command', helfen, lehren, lernen

These verbs can be followed by a bare infinitive or an infinitive with zu:

Sie hieß ihn schweigen

She bade him be silent

Er hieß seine Truppen die Burg bis zum letzten Mann zu He ordered his troops to defend the castle to the last verteidigen man

... und jetzt **hilf** mir **anpacken** (*Remarque*) ... and now give me a hand

Er half Carla die Weinflaschen zu öffnen (Horbach) He helped Carla to open the wine- bottles

Sie lehrte mich kochen She taught me to cook

Sie **lehrte** mich Suppe **zu kochen**She taught me how to make soup

Er lernte beim Militär Russisch sprechen/zu sprechen He learnt to speak Russian in the army

NB:

- i. This sense of  $hei\beta en$ , i.e. 'command', is restricted to older literary language. In the sense 'mean',  $hei\beta en$  is always followed by an infinitive without zu, see (h) below.
- **ii.** *kennen lernen* 'meet', 'get to know' has developed a distinct lexical meaning and is sometimes written as a single word.
- **h.** The construction with zu tends to be used with longer and more complex infinitive clauses. However, the bare infinitive is preferred if the alternative is an awkward construction, e.g.:

Es geht darum, die seit vierzig Jahren geforderte Freiheit der osteuropäischen Völker verwirklichen zu helfen (*FR*)

It is a matter of helping the peoples of Eastern Europe to realise the freedom which has been demanded for forty years

(i.e. NOT \*zu verwirklichen zu helfen)

#### i. A few other verbs in certain constructions or idioms

- **j.** With *machen* in a couple of idioms, i.e. *von sich reden machen* 'become a talking point' and *jdn. etwas glauben machen* 'convince sb. of sth.', and with a few other verbs, i.e. *jdn. gruseln*, *lachen*, *schwindeln*, *weinen*, *zittern machen* 'make sb. have the creeps', 'laugh', 'feel dizzy', 'cry', 'tremble'.
- **k.** A bare infinitive is used as the complement of  $hei\beta en$  'be (the equivalent of)', 'mean' and *nennen* 'call', e.g.:

Das heißt lügen That amounts to lying

Das hieße wieder von vorne anfangen

That would mean starting again from scratch

Das **nennst** du höflich **sein**! You call that being polite!

NB:  $hei\beta en$  in the meaning 'command' is followed by a bare infinitive or an infinitive with zu, see (g) above.

**l.** legen is followed by a bare infinitive in the idiom sich schlafen legen 'go to bed', e.g. Ich legte mich schlafen.

## 13.3.2 The use of the Infinitive for a past Participle

The infinitive is used rather than a past participle in the perfect tenses of some verbs used with a bare infinitive, e.g. *Sie hat kommen wollen* (NOT: *gewollt*), see 17.1.3. This is the case with the following verbs:

#### a. the modal auxiliaries

Er hat heute ausgehen **dürfen**Wir hätten Ihnen helfen **können**Er hat ihn sehen **müssen**Sie hätte es machen **sollen** 

Karl hatte Sie sehen wollen

brauchen also forms its perfect tenses with the infinitive rather than the past participle, whether used with an infinitive with zu or with a bare infinitive (see 13.3.1a), e.g.: Wir haben nicht (zu) warten brauchen.

NB: The past participle is occasionally used with these verbs in spoken German, e.g. *Sie hat arbeiten gemusst*, *gekonnt*, *gewollt*, etc.

These forms are regarded as incorrect.

#### b. lassen

Sie hat den Schlosser die Tür reparieren lassen

Er hat sich die Haare schneiden lassen

Er hat sie in das Zimmer kommen lassen

**c.** The infinitive of *lassen* is generally used rather than the past participle, but it is occasionally heard in the sense of 'leaving something somewhere', e.g. *Ich habe Kaffee und Kuchen stehen gelassen* (more usual: *stehen lassen*), and with the combinations *fallen lassen*, *liegen lassen*, etc.

# d. sehen, hören and other verbs of perception

With *sehen* and *hören*, the norm is to use the infinitive:

Ich habe sie hereinkommen **sehen**Sie hatte ihn nicht kommen **hören**She hadn't heard him come

In colloquial speech, the past participle is sometimes used with these verbs, e.g. *Sie hatte ihn nicht kommen gehört*. This is not usually regarded as standard, but it is occasionally encountered in writing. However, *fühlen* and *spüren* are now more often used with a past participle, e.g. *Sie hat die Katastrophe kommen gefühlt*.

# e. helfen, heißen and other verbs used with a bare infinitive

Both the infinitive and the past participle are accepted with all these verbs, but there are differences in frequency of usage.

i. With *helfen*, the infinitive is more usual than the past participle:

Sie hat ihm den Koffer tragen helfen (also common: tragen geholfen)

ii. With heißen the infinitive and the past participle are equally common:

Wer hat dich kommen heißen/geheißen?

iii. With other verbs, i.e. lehren, lernen, machen, the past participle is the norm, rather than the infinitive:

Er hat sie lachen gemacht (unusual: lachen machen)

**f.** NB: In subordinate clauses the auxiliary normally precedes these double infinitives: *Er sagte, dass sie es hätte machen sollen*/. . . , *dass sie den Koffer hat tragen helfen*, etc. (see 17.1.4c and 21.1.3b).

#### 13.3.3 Other Uses of the Bare Infinitive

a. in commands, in place of an imperative

The use of the infinitive with the force of a command is particularly frequent in official language and instructions, see also 16.2.2a.

Nicht rauchen! Bitte anschnallen! No smoking. Fasten seat- belts

## b. in isolation, especially in elliptical questions, wishes and similar

Wie? Alles vergessen und vergeben? What? (Am I supposed to) forgive and forget?

Wozu sich weiter bemühen? Why (should we) bother further?

Was möchtest du jetzt? – Schlafen bis Mittag! What would you like to do now? – Sleep till lunchtime!

# 13.4 Infinitives Used as Nouns

# 13.4.1 The Infinitive of Almost any verb can be used as a noun in German

# a. Infinitival nouns often correspond to English 'ing'- forms used as nouns

Such nouns from infinitives are neuter, see 1.1.3e, and they are spelled with a capital letter:

Ich hörte das laute **Bellen** eines Hundes

I heard the loud barking of a dog

Nach monatelangem **Warten** erhielt sie die Nachricht After waiting for months she received news of his

von seinem Erfolg success

Das **Mitnehmen** von Hunden ist polizeilich verboten Bringing dogs in is forbidden by law

die Kunst des **Schreibens** the art of writing

#### b. With reflexive verbs, the pronoun sich is usually omitted

- **i.** This is especially the case if the use of the infinitive as a noun is well- established and frequent, e.g. *das Benehmen* 'behaviour' (from *sich benehmen* 'behave').
- ii. However, it may be included to avoid ambiguity, e.g. *die Kunst des Sichäußerns* 'the art of expressing oneself', where *das Äußern* could mean something different.
- iii. Increasingly, sich tends to be included with forms which have not yet become established

usage, e.g. dieses ständige Sichumschauen 'this continual looking round', das meditative Sichannähern an Gott 'coming closer to God through meditation', das Sichnichtbegnügenkönnen (Süßkind) 'not being able to be satisfied'.

**c.** NB: The spelling of nouns from reflexive verbs produces uncertainties, and spellings like *das sich Äußern* are sometimes seen, although they are incorrect.

#### d. Infinitival nouns are not normally used in the plural

This is because, like the English 'ing'- form, they simply express the action denoted by the verb. However, one or two established forms, with extended meanings, are commonly used in the plural, see 13.4.4.

# e. They can be compounded with the object or another part of the clause

e.g. das Zeitunglesen 'reading the newspaper', das Rückwärtsfahren 'reversing', das Schlafengehen 'going to bed'. If there are several words in these additional elements, they are normally written with hyphens, e.g. dieses ständige Mit- sich- selbst- Beschäftigen (SWF), das Auf- die- lange- Bank-Schieben. The first word, the infinitive, and any nouns in the combination are all spelled with capital letters.

# 13.4.2 Wide use of Infinitival Nouns is Typical of written German

They are especially frequent in technical registers, e.g.:

In der Bundesrepublik beginnt sich diese Basis humanen In the Federal Republic this basis of humanely living

Miteinanderlebens, Untereinanderaussprechens und Miteinanderwirkens aufzulösen (FAZ)

together, freely exchanging ideas and cooperating is beginning to dissolve

But they are used in literary prose, too, e.g.:

Dann kam das Schiff, und ich beobachtete, wie so viele Male schon, das vorsichtige **Längsfahren**, **Stoppen**, **Zurückweichen** in dem **Sprudeln** und **Rauschen** und **Räderklatschen**, das **Taueschleudern** und **Festbinden** (*Strauß*).

## 13.4.3 Infinitival Nouns used with Prepositions

The preposition is usually fused with the appropriate form of the definite article in these constructions (see 4.1.1c).

#### a. beim + infinitival noun

This usually corresponds to English 'on' with an 'ing'- form, or an adverbial time clause with 'when' or 'as':

Beim Erwachen am Morgen erschrak ich eine Sekunde lang (Frisch)

Die Brücke war so dicht mit vierstöckigen Häusern
bebaut, dass man beim Überschreiten den Fluss nicht

On waking up/When I woke up in the morning I was frightened for an instant

The bridge was so densely built up with four- storey houses that you couldn't see the river as you

#### b. zum + infinitival noun

zu Gesicht bekam (Süβkind)

i. This combination expresses purpose. It often corresponds to English 'for' with an 'ing'- form or an infinitive with 'to':

crossed it

Zum Fußballspielen ist der Garten viel zu kleinThe garden is much too small for playing football inIch gebrauche den Computer vor allem zum Spielen von GamesI use the computer more than anything for playing gamesDer Kaffee ist zu heiß zum TrinkenThe coffee is too hot to drink

ii. Some combinations of infinitival nouns with zum are idiomatic:

Das ist doch zum Lachen, zum Kotzen,

But that's laughable, enough to make you sick,

enough to drive you mad

iii. bis zum with an infinitival noun is used for 'until':

Bitte bewahren Sie den Fahrschein **bis**\*\*Please retain your ticket until you leave the station\*\*

\*\*Table 1.5 \*\*Table 2.5 \*\*Table 3.5 \*\*

**iv.** Combinations of infinitival nouns with *zum* are used with *bringen* or *kommen* to form phrasal verbs expressing the completion of an action:

zum Halten bringen/kommenbring/come to a stopzum Kochen bringen/kommenbring/come to the boil

#### c. *ins* + infinitive

This combination is frequent with *geraten* or *kommen* to form phrasal verbs denoting the beginning of an action, e.g.:

Der Ball geriet/kam ins Rollen The ball started rolling
Der Turm kam/geriet ins Schwanken The tower started to sway

## 13.4.4 Some Infinitival Nouns have Extended Meanings

These have lost their close link to the verb they originally came from and no longer simply express the action denoted by it. The following is a selection of the most frequent:

das Andenken	souvenir	das Schrecken	terror
das Benehmen	behaviour	das Unternehmen	enterprise
das Dasein	existence	das Verbrechen	crime
das Einkommen	income	das Vergnügen	pleasure
das Essen	meal	das Vermögen	wealth
das Gutachten	reference	das Versprechen	promise
das Guthaben	credit balance	das Vorhaben	intention
das Leben	life		

Such nouns are sometimes used in the plural, and plural forms of most of the above may be encountered (except for *das Benehmen* and *das Dasein*).

# 13.5 The Present and Past Participles

Aside from the use of the past participle to form the perfect tenses and the passive (see 12.3–4), the German participles are chiefly employed as adjectives (see 13.5.2–4) or in participial clauses (see 13.6).

# 13.5.1 The Names and Meanings of the Participles

In English, the two participles are usually called the **present participle** (e.g. *lesend*, *überwältigend*, etc.), and the **past participle** (e.g. *gestellt*, *geworfen*, etc.). These terms are rather misleading, as the participles do not necessarily refer to present or past time, and they are often referred to as *das erste Partizip* and *das zweite Partizip* in German (or simply *Partizip I* and *Partizip II*).

a. The present participle usually indicates an action which is taking place at the same time as that of the finite verb

Den Schildern **folgend**, fanden sie das Krankenhaus (Walser)

Following the signs, they found the hospital

#### b. The meaning of the past participle differs according to the verb

i. With intransitive verbs, the past participle has an active (i.e. not passive) sense, and refers to an action which has taken place before that indicated by the finite verb:

Der neue Lehrer, in Freiburg **angekommen**, suchte das Humboldt- Gymnasium auf

Having arrived in Freiburg, the new teacher went to the Humboldt Secondary School

**ii.** With transitive verbs, the past participle has the force of a passive. If the verb denotes a continuous action, the participle refers to an action simultaneous with that of the main verb:

Der Zug, von zwei Lokomotiven **gezogen**, fuhr in den *The train, which was being pulled by two engines,*Bahnhof ein came into the station

**c.** With transitive verbs which denote a momentary action, the past participle refers to an action which has taken place before that of the main verb:

Der Flüchtling, von seinen Freunden gewarnt, verließ The fugitive, who had been warned by his friends, left

# 13.5.2 The Adjectival use of the Participles

# a. Most German present and past participles can be used as adjectives

This is their most frequent use outside the compound tenses:

die schreienden Vögel mein verlorener Schirm das kochende Wasser der gehasste Feind

#### b. Like other adjectives, they can be used as nouns

See 6.4 for more information on the use of adjectives as nouns.

die Streikenden the people on strike die Gehasste the detested woman der Sterbende the dying man das Hervorragende the outstanding thing

ein bitterer Kampf zwischen Habenden und Habenichtsen, zwischen Überfütterten und **Zukurzgekommenen** (*Zeit*)

a bitter struggle between the haves and the have-nots, between the overfed and those who have come off badly

Many such participles used as nouns have taken on special meanings, e.g. der/die Abgeordnete 'member of parliament', der/die Vorsitzende 'chairperson', etc. More of these are given in 6.4.3.

#### c. Like many other adjectives, they can also be used as adverbs

They mainly become adverbs of manner or viewpoint, see 7.3.1c:

Er hat die Sache **überraschend** schnell erledigt Sie rannten **schreiend** davon, als sie ihn sahen

(Süßkind)

Die alte Frau ging gebückt zum Rathaus hin

He settled the matter surprisingly quickly

They ran off screaming when they saw him

The old woman was walking with a stoop towards the town- hall

#### d. They are often compounded, especially in written German

See also 22.3.3. These compounds can then also be used as nouns or adverbs in the same way as simple participles:

Vancouver ist eine Stadt von atemberaubender

Schönheit

Vancouver is a breathtakingly beautiful city

the people looking for work die Arbeitsuchenden

a soft- boiled egg ein weichgekochtes Ei frozen food **Tiefgefrorenes** 

#### e. Present participles can be used adjectivally with an accompanying zu

e.g. das abzufertigende Gepäck 'the baggage for checking'. This is an adjectival form of the construction with sein and an infinitive with zu expressing possibility or necessity (see 13.2.5). As in that construction the participle has passive force:

a mistake which cannot be overlooked ein nicht zu übersehender Fehler ihre anzuerkennende Leistung her achievement which must be acknowledged ein Auszubildender a trainee

As the last example shows, these forms, too, can be used as nouns. This construction is common in formal, especially official registers.

# 13.5.3 The Extended Participial Phrase

In German, a participle used adjectivally can be expanded leftwards by adding objects and/or adverbials. In this way, what in English would be a phrase or a relative clause placed after the noun can appear in German as an extended adjectival phrase placed before the noun:

# Die um ihre eigenen Arbeitsplätze fürchtenden

Stahlarbeiter wollten nicht streiken (FR)

Ich habe dieses von meinem Vetter warm empfohlene Buch mit Genuss gelesen

# Wegen Überproduktion entlassene Arbeiter demonstrierten im Fabrikhof

Entwicklung

eine von allen echten Demokraten zu begrüßende

The steelworkers, who were afraid for their own jobs, did not want to strike

I enjoyed reading this book which was strongly recommended to me by my cousin

Workers who had been laid off on account of overproduction were demonstrating in the factory

a development which must be welcomed by all true democrats

These extended adjectival phrases can be made into nouns, e.g. das wirklich Entscheidende 'what is really decisive', die soeben Angekommenen 'the people who have just arrived', etc.

This construction is common in formal written German, especially in non-literary registers (journalism, official writing, non-fiction, etc.), but it is not common in everyday speech. The following example shows that there can be a considerable distance between the article and the noun in phrases like this:

Zwar gilt der in den vergangenen vier Jahren auf der Basis einer deutsch- amerikanischen Regierungsvereinbarung für bislang 552 Millionen Mark entwickelte **Panzer** als Spitzenmodell seiner Klasse (Spiegel)

Although such constructions typically occur with participles, they are used with other adjectives, too: eine für sie ganz typische Haltung (see 6.6.3).

#### 13.5.4 Lexicalisation of Participles used as Adjectives

Many participles used as adjectives have become **lexicalised**, i.e. they have developed a meaning distinct from that of the original verb, so that they are now felt to be independent adjectives rather than simply the participles of a particular verb. A clear indication of this is that lexicalised participles can be used with the usual comparative and superlative endings, e.g. spannender, am span-nendsten 'more, most exciting'. With true participles, mehr and meist are used, see 8.2.7. Another indication of lexicalisation is the possibility of using the prefix un- with them, e.g. (un) bedeutend '(in)significant', (un)angebracht '(in)appropriate', etc. A selection of those most frequently used is given below.

#### a. Lexicalised present participles

abstoßend	repulsive	empörend	outrageous
abwesend	absent	entscheidend	decisive
ansteckend	infectious	glühend	glowing
anstrengend	strenuous	reizend	charming
anwesend	present	rührend	touching
auffallend	conspicuous	spannend	exciting
aufregend	exciting	überraschend	surprising
bedeutend	significant	überzeugend	convincing
beruhigend	reassuring	umfassend	extensive
dringend	urgent	verblüffend	amazing
drückend	oppressive	verlockend	tempting
einleuchtend	reasonable	wütend	furious

These can be used not only before an adjective, but also after *sein*:

ein **spannender** Film
der Film war **spannend**an exciting film
the film was exciting

True present participles cannot be used like this in German, and English speakers must beware of confusing these lexicalised participles with the 'ing'- forms of the English progressive tenses. Compare:

die **brennenden** Lichter the burning lights die Lichter **brannten** the lights were burning

i.e. NOT: \*die Lichter waren brennend. German present participles <u>cannot</u> be used with sein to form progressive tenses like the English 'ing'- form with the verb 'be' (see also 14.6).

# b. Lexicalised past participles

angebracht	appropriate	belegt	occupied
angesehen	respected	erfahren	experienced
aufgebracht	outraged	gelehrt	scholarly
aufgeregt	excited	geschickt	clever
ausgezeichnet	excellent	verliebt	in love
bekannt	famous	verrückt	insane

Some lexicalised past participles are archaic and are no longer the current past participle of the verb in question, e.g.:

erhaben illustrious (erheben 'raise' – modern past participle erhoben)
gediegen solid, upright (gedeihen 'prosper' – modern past participle gediehen)
verhohlen secret (verhehlen 'conceal' – modern past participle verhehlt)
verworren confused (verwirren 'confuse' – modern past participle verwirrt)

A few adjectives which look like past participles are in fact not from verbs at all, e.g. *beleibt* 'portly' and *benachbart* 'neighbouring'. These come directly from the nouns *der Leib* 'body' and *der Nachbar* 'neighbour' – there are no verbs \*beleiben or \*benachbaren.

#### 13.5.5 Other Uses of the Past Participle

#### a. Elliptical use of the past participle

The past participle is sometimes used in isolation as an exclamation or a depersonalized command. Many such forms have become idiomatic:

Verdammt! Verflucht (noch mal)! Blast!

Frisch gewagt! Let's get on with it!

Aufgepasst! Watch out!

For further details, see 16.2.2b.

# b. The past participle after finden

This corresponds closely to the English construction:

Ich fand sie vor dem Ofen zusammengesunken Du wirst ihn dort aufgebahrt finden

You will find him laid out there

NB: For the use of *finden* with a present participle, see 13.7.5c.

I found her slumped in front of the stove

## c. The past participle after kommen

This corresponds to an English 'ing'- form:

Er kam ins Zimmer gelaufen Sie kam herbeigeeilt He came running into the room She came hurrying along

## d. The past participle after bleiben and scheinen

These are similar to English constructions, e.g. *Ihr Brief blieb unbeantwortet* 'Her letter remained unanswered'; *Die Tür schien geschlossen* 'The door seemed/appeared closed'. The participle with these verbs has a similar force to that of the *sein*- passive, see 15.2.2c.

# 13.6 Clauses with Participles

## 13.6.1 Both Participles can be Used to Construct non- Finite Clauses

These can have the force of an adjective, qualifying a noun or pronoun, or of an adverb, giving the circumstances of the action. The participle is usually placed last in the clause, but, exceptionally, it may come earlier:

Ich putzte **auf dem Brett stehend** das Fenster von außen (*Spiegel*)

eine ständige Verbesserung des Automobils nach den Möglichkeiten der Zeit, doch zugleich immer aufbauend auf das Erreichte (Mercedes advert)

Zwar hatte dieses Mal der Dolch, **durch ein seidenes Unterkleid abgelenkt**, das Opfer nicht sogleich tödlich getroffen (*Heyse*)

Von der Wucht seiner Rede hingerissen, brachen die Zuhörer immer wieder in Beifall aus

Da saß eine zarte Dame mit einem zarten Gesicht, umrahmt von einem blonden Pagenkopf I was cleaning the window from the outside, standing on the plank

a continuous improvement of the car according to the possibilities of the time, but at the same time always building on what has been achieved

Although this time the dagger, deflected by a silk petticoat, had not immediately wounded the victim fatally

Carried away be the force of his speech, the audience continually broke out into applause

There sat a delicate lady with a delicate face, which was framed by blond hair cut in the page- boy style

Participial clauses like these are restricted to formal written registers in German. In particular, those with present participles can sound stilted and they are used much less frequently than clauses with 'ing'- forms in English. In practice, English learners are best advised to avoid them entirely in German and use instead one of the alternatives detailed in 13.7.

#### 13.6.2 Comparative Clauses can be Formed with wie and a Past Participle

eine Betonburg, **wie** von einem anderen Stern in diesen Wald **gefallen** (*Walser*)

a concrete castle, as if it had fallen into this forest from another star

In general, this construction is also typical of formal registers, but some have become established idioms and are more widely used:

Also, wie ausgemacht: Wir treffen uns um acht wie gesagt, wie erwartet, wie vorausgesehen wie gehabt (*coll*.)

Well, then, as arranged, we'll meet at eight o'clock as I said, as expected, as foreseen as before, as usual

# 13.6.3 A Clause with a Past Participle can be Introduced by Obwohl

This is similar to the English construction with '(al)though':

Obwohl von seinen Kollegen geachtet, war er nicht sehr beliebt Although respected by his colleagues, he was not very popular

No other conjunction can introduce a participial clause in German.

# 13.7 German Equivalents of English Constructions with the 'ing'- form

The English 'ing'- form is used much more widely than the German present participle, which is found mainly as an adjective (see 13.5). In other contexts, different constructions are usually preferred in German. In particular, the German present participle is not often used in participial clauses (see 13.6.1), and English learners are advised to avoid clauses with the present participle entirely in German. In general, the equivalents given below for constructions with the English 'ing'- form represent more idiomatic German usage.

# 13.7.1 The English 'ing'- form Used as a Noun

The usual German equivalent is one of the following. Often, more than one alternative is possible, as the examples in (a), (b) and (c) below show.

#### a. An infinitive used as a noun, or another noun derived from a verb

(see 13.4 and 22.2):

Aufmerksames **Zuhören** ist wichtig

die Freuden des Skilaufens

Warum hat man die Eröffnung der neuen Schule

aufgeschoben?

Er ist einer solchen Tat nicht fähig

Attentive listening is important

the pleasures of skiing

Why has the opening of the new school been delayed?

He is not capable of doing such a thing

#### b. An infinitive clause with zu

Es ist wichtig aufmerksam zuzuhören

Er gab zu das Fenster zerbrochen zu haben

Ich verlasse mich darauf, ihn zu Hause zu finden

Attentive listening is important

He admitted having broken the window

I rely on finding him at home

#### c. A dass- clause

Es ist wichtig, dass man aufmerksam zuhört

Er gab zu, dass er das Fenster zerbrochen hatte

Ich verlasse mich darauf, dass ich ihn zu Hause finde

Attentive listening is important

He admitted having broken the window

I rely on finding him at home

This alternative <u>must</u> be used if the English 'ing'- form has a different subject from that of the main verb:

Ich kann es mir nicht vorstellen, dass sie ihren Ring

verkauft

I can't imagine her selling her ring

Ich verlasse mich darauf, dass er alles arrangiert

I rely on his/him arranging everything

NB: After verbs (or nouns and adjectives) governing a preposition, the infinitive clause or dass- clause of alternatives (b) and (c) above is often anticipated by a prepositional adverb (e.g. darauf), as the relevant examples show. For details see 6.6.2 and 18.6.14.

## (d) A finite verb

Wer **kocht** bei Ihnen zu Hause?

Who does the cooking at your house?

The subjectless passive (see 15.1.4) can be used for an English 'ing'- form after 'there is/are':

There was loud singing everywhere

For 'there is/are' followed by 'no' and an 'ing'- form, a construction with *sich lassen* (see 15.4.6) is often possible, e.g.:

Das lässt sich nicht leugnen

There's no denying that

# 13.7.2 The English 'ing'- form After Prepositions

# a. 'by' (or 'through') + 'ing'- form

This construction usually corresponds to a clause with *dadurch*, *dass* or *indem* (see 19.7.3), or to *durch* followed by an infinitival noun. Thus the following are possible equivalents for the English sentence 'He escaped by jumping out of the window':

Er rettete sich dadurch, dass er aus dem Fenster sprang

Er rettete sich, indem er aus dem Fenster sprang

Er rettete sich durch einen Sprung aus dem Fenster

# **b.** 'for' + 'ing'- form

The commonest equivalents are  $(um) \dots zu$  (see 13.2.7a), or zum with an infinitival noun (see 13.4.3b):

Sie hat keine Zeit mehr, (um) zu üben

Sie hat keine Zeit mehr **zum Üben** 

She no longer has any time for practising

Es ist zu kalt **zum Schwimmen** 

It's too cold for swimming

#### c. 'instead of' + 'ing'- form

For this, (an)statt . . . zu or (an)statt dass is used (see 13.2.7c):

Er spielt, anstatt zu arbeiten/anstatt dass er arbeitet He is playing instead of working

}

#### **d.** 'on' + 'ing'- form

This usually corresponds to a clause with als or wenn, or beim followed by an infinitival noun (see 13.4.3a):

Als sie den Brief las, wurde sie rot Beim Lesen des Briefes wurde sie rot On reading the letter, she blushed

#### e. 'with' + 'ing'- form

This construction has a variety of possible equivalents in German, similar to those for participial clauses with 'ing'- forms (see 13.7.3):

Wenn der Berg nur als ein unbestimmtes Gebilde erscheint, **wobei** sich die Baumgruppen bloß als blasse Schatten zeigen, . . .

Es ist schön hier, **wenn** die Sonne durch die Bäume scheint

Wir sahen die alte Stadt, **über die** die zerfallene Burg emporragte

**Da** der Fluss rasch stieg, mussten Notmaßnahmen getroffen warden

Der Bürgermeister eröffnete die Sitzung unter

If the hill only appears as an indefinite shape with the groups of trees showing only as faint shadows, . . .

It's lovely here with the sun shining through the trees

We could see the old town with the ruined castle towering above it

With the river rising rapidly, emergency measures had to be taken

The mayor opened the meeting, with the public being

Ausschluss der Öffentlichkeit

excluded

Sie eilte durch die Stadt, **und dabei** wehten ihre Haare

behind her

nach hinten

# f. 'without' + ing'- form

This corresponds to *ohne*  $\dots$  zu or *ohne* dass (see 13.2.7b):

Der Zug fuhr durch, ohne zu halten

The train went through without stopping

Er bot uns seine Hilfe an, ohne dass wir ihn darum bitten mussten

He offered us his help without our/us having to ask

*She raced through the town with her hair streaming* 

him for it

# g. Other prepositions followed by 'ing'- forms

These correspond most often to a German subordinate clause or an appropriate preposition with an infinitival noun:

Nach seiner Ankunft/Nachdem er angekommen war, ging er sofort zum Rathaus

After arriving he went straight to the town hall

Vor dem Einschlafen/Bevor er einschlief, las er schnell die Zeitung

Before going to sleep he read the newspaper quickly

Trotz seiner Hilfe/Obwohl er mir geholfen hatte, kam ich zu spät an

In spite of his/him having helped me, I arrived late

NB: 'ing'- forms after prepositions governed by nouns, verbs or adjectives (e.g. 'I rely on finding him at home') are dealt with in 13.7.1.

# 13.7.3 Participial Clauses with 'ing'- Forms

The German equivalent depends on the sense of the clause.

#### a. The participial clause and the main verb refer to consecutive or simultaneous actions

i. The simplest German equivalent is to use main clauses joined by und. dabei can be used in the second to stress that the actions are taking place (or took place) at the same time:

Sie öffnete die Schublade und nahm das Testament heraus

Opening the drawer, she took out the will

Ich saß an seinem Tisch **und** schrieb einen Brief

I was sitting at his table writing a letter

Er erzählte seine Geschichte und machte (dabei) nach jedem Satz eine Pause

He told his story, pausing after each sentence

NB: In modern German, clauses with indem do NOT correspond to English participial clauses like those above, despite what some English handbooks of German claim. For the use of indem, see 19.7.3.

ii. A clause introduced by wobei can be used if the actions in the two clauses are simultaneous, see also 19.3.8: Er erzählte seine Geschichte, wobei er nach jedem Satz eine Pause machte.

iii. If the action of the English participial clause precedes that of the main clause, the German equivalent can be a clause with als, wenn or nachdem:

Als wir zum Fenster hinausschauten, sahen wir einen Looking out of the window, we saw a police car Polizeiwagen heranfahren

approaching

Wenn man oben auf dem Kirchturm steht, sieht man das ganze Dorf

Standing on top of the church tower, you can see the whole village

Nachdem ich die Briefe beantwortet hatte, ging ich spazieren

Having answered the letters, I went for a walk

## b. Participial clauses which give a reason or cause

In German, a subordinate clause with da or weil can be used:

**Da** es schon spät war, gingen wir nach Hause

It being late, we went home

**Weil** ich wusste, dass sie verreist war, habe ich sie nicht angerufen

Knowing that she was away, I didn't call her

# c. Participial clauses introduced by a conjunction

Subordinate clauses with the appropriate conjunction are used in German:

**Während** ich auf dich wartete, habe ich einen schweren Unfall gesehen

While waiting for you, I saw a bad accident

# 13.7.4 Clauses with 'ing'- forms Used to Qualify Nouns

These correspond in German to a relative clause or, especially in formal written German, to an extended participial phrase (see 13.5.3):

Er sah ein in entgegengesetzter Richtung

kommendes Auto
Er sah ein Auto, das in entgegengesetzter

He saw a car coming in the opposite direction

Richtung kam

Einige Minuten später eilte der Arzt, der einen kleinen Koffer trug, zum Krankenhaus hin

A few minutes later the doctor, carrying a small suitcase, was hurrying towards the hospital

# 13.7.5 English 'ing'- Forms After Some Verbs

The usual German equivalent of English 'ing'- forms after verbs is an infinitive with zu or a clause, see 13.7.1. However, a few verbs are special cases.

## a. verbs of perception

i.e. 'see', 'hear', 'feel'. The English 'ing'- form corresponds to a bare infinitive or a clause with *wie* (see 13.3.1b):

Ich höre die Vögel laut singen

Ich höre, **wie die Vögel laut singen** }

I can hear the birds singing loudly

#### b. verbs of motion

e.g. 'go', 'come', 'send', etc. If the 'ing'- form expresses purpose, a bare infinitive is used in German (see 13.3.1e):

Wir gehen heute **schwimmen** We're going swimming today

Kommst du heute mit **schwimmen**? *Are you coming swimming with us today*?

Sie schickte ihn **einkaufen**She sent him shopping

The past participle is used after kommen, e.g. Sie kam herangelaufen 'She came running up', see 13.5.5c.

#### c. ing- form expressing position

i.e. standing, sitting, etc. after find, have, remain, stay.

i. German uses a bare infinitive after bleiben, finden, haben and lassen (see 13.3.1):

Sie **blieb** neben dem Ofen **sitzen**Ich **fand** ihn am Fenster **stehen**She remained sitting by the stove
I found him standing by the window

**Haben** Sie einen Mantel in der Garderobe hängen? Have you got a coat hanging in the wardrobe?

Sie ließ ihre Sachen herumliegen She left her things lying about

**ii.** finden can also be used with the present participle of many verbs, e.g. Sie fand ihn schlafend. Er fand sie Pilze suchend im Wald. This construction is also possible with verbs of place, as an alternative to the infinitive (see 13.3.1f): Sie fand das Buch auf dem Boden liegend/liegen.

# d. 'keep' + 'ing'- form

A frequent equivalent is *lassen* with a bare infinitive, see 13.3.1:

Sie ließ uns warten She kept us waiting

e. 'keep'/'go on' + 'ing'- form

The simplest idiomatic equivalent is *weiter* with the verb (see 7.3.4):

Sie sang weiter She kept/went on singing

f. 'need', 'want' + 'ing'- form

These most often correspond to müssen, see 17.5.1b:

Das muss noch erklärt werden That still needs/wants explaining
Man muss sich um sie kümmern She needs/wants looking after

g. 'can't help' + 'ing'- form

einfach müssen is the commonest German equivalent, see 17.3.6:

Sie musste einfach lachen She couldn't help laughing

# 14 Uses of the Tenses

The grammatical category of TENSE involves the indication of time through special forms of the verb (see 12.1.1b). This chapter deals with the uses of the tenses of the INDICATIVE MOOD (i.e. not the subjunctive) in German:

- General notes on the **German tenses** (section 14.1)
- The **present tense** (section 14.2)
- The uses of the **past** and the **perfect tenses** (section 14.3)
- The **future tenses** (section 14.4)
- The pluperfect tense (14.5)
- German equivalents for the **English progressive tenses** (section 14.6)

The conjugation (i.e. the forms) of the tenses in German is explained in Chapter 12 and shown in full in the following tables:

- Table 12.2: the simple tenses of regular verbs
- Table 12.3: the simple tenses of the irregular verbs *haben*, *sein* and *werden*
- Table 12.4: the simple tenses of the modal auxiliary verbs and wissen
- Table 12.5: the compound tenses

# 14.1 The German Tenses: General

#### 14.1.1 There are Six Tenses in German

These are illustrated for the verb *kaufen* 'buy' in Table 14.1 (see also section 12.1.1b). There are, exactly as in English:

Table 14.1 German and English tenses

Present	ich kaufe	I buy
Past	ich kaufte	I bought
Perfect	ich habe gekauft	I have bought
Pluperfect	ich hatte gekauft	I had bought
Future	ich werde kaufen	l shall/will buy
Future perfect	ich werde gekauft haben	l shall/will have bought

- two SIMPLE TENSES, with a single word: the PRESENT tense and the PAST tense
- four COMPOUND TENSES, formed with the AUXILIARY VERBS *haben*, *sein* and *werden*: the PERFECT tense, the PLUPERFECT tense, and the FUTURE PERFECT tense.

In general, the forms and uses of the tenses in German and English are very similar, as shown in Table 14.1. For this reason this chapter concentrates on those aspects of the use of German tenses which differ significantly from those of the corresponding English tenses.

Table 14.1 illustrates the tenses of the active voice of *kaufen*. Exactly the same set of tenses are also found in the passive voice, with the same meanings, as shown in Chapter 15. This chapter only deals with the tenses of the indicative mood, which signal a fact. The subjunctive mood also has tense forms, but these are used in a rather different way, as explained in Chapter 16.

#### 14.1.2 The German Past Tense

What in this book is referred to as the **past tense** is sometimes called the **imperfect tense**. However, unlike the imperfect tense of some languages (e.g. French, Spanish and Latin), but like the English past tense, this German tense does <u>not</u> convey the idea of an incomplete or continuous action. It simply indicates that the action or event took place at some time in the past. For this reason, the less misleading term 'past tense' is preferable.

# 14.1.3 There are no Progressive Tenses in German

*ich kaufe*, for instance, normally corresponds to <u>both</u> English 'I buy' and 'I am buying'. However, in some contexts the difference in meaning between these English forms can (or must) be made clear in German in other ways, by using additional words or different constructions. Details are given in section 14.6.

## 14.2 The Present Tense

#### 14.2.1 The Present Tense is used to Relate Present, Habitual or 'Timeless' Actions or Events

This corresponds to the normal use of the present tense (simple or progressive) in English:

Sie **singt** gut She sings/is singing well

Ich **lese** die Zeitung von gestern

I'm reading yesterday's newspaper

Dankend bestätigen wir den Empfang Ihres Schreibens We gratefully acknowledge receipt of your letter of 30th

vom 30. Juni June

Ursula **spricht** ein wenig Spanisch

Ursula speaks a little Spanish

# 14.2.2 The Present Tense Indicates an Action or State which Began in the Past and is Still Going on at the Moment of Speaking

Such sentences typically contain an adverb (*schon* or *bisher*), an adverbial phrase with *seit*, or an adverbial clause with *seit*(*dem*) or *solange*. These express the idea of 'up to now'.

#### a. In 'up- to- now' contexts the PRESENT tense is used in German

This is different to English, where we use the **perfect** tense, typically the perfect progressive (e.g. 'have been doing', etc.):

Ich **stehe** schon lange hier vor dem Bahnhof

I've been standing in front of the station for a long

Seit wann **kennst** du Frau Wiegand? How long have you known Mrs Wiegand?

Ich **suche** sie seit vier Jahren zu verstehen (*C. Weyden*) I have been trying to understand her for four years
Seitdem die Spanier die deutschen Finanzämter darüber Since the Spanish have informed the German tax
informieren, wurden viele Villen rasch verkauft.

authorities about it, a lot of villas have been swiftly

(HMP). sold off

Er **wohnt** in Hamburg, solange ich ihn kenne

He's been living in Hamburg as long as I've known
him

#### b. In a few 'up- to- now' contexts German uses the perfect tense

i.e. the perfect tense, rather than the present tense. These constitute exceptions to the general rule given in (a) above. There are two main types of such contexts:

i. in negative statements:

Ich habe ihn seit Jahren nicht gesehen

I haven't seen him for years

Seitdem ich ihn kenne, haben wir uns nie gestritten Since I've known him, we have never quarrelled

However, the present tense is used, even in negative statements, if there has been a continuous action or state lasting up to the present time:

Seit Weihnachten arbeitet er nicht mehr He hasn't worked since Christmas

Since I've been living here in the village, I've never Seitdem ich hier im Dorf wohne, bin ich nie einsam

been lonely

ii. when referring to a series of repeated actions or states

He's been ill several times since Christmas Er ist seit Weihnachten mehrmals krank gewesen

Seit ihrer Erkrankung/Seitdem sie krank ist, hat sie Since she's been ill, she has read a lot of books viele Bücher gelesen

However, the present tense is used to refer to a habit or state which has continued up to the present. English uses a different tense here, too, as can be seen by comparing this example with the one above:

Seit ihrer Erkrankung/Seitdem sie krank ist, **liest** sie Since she's been ill, she's been reading a lot of viele Bücher books

c. The present tense of kommen is often used to refer to the immediate past

Again, the idea is of an action continuing up to the present moment. English normally uses the perfect tense:

Ich **komme**, die Miete zu bezahlen I've come to pay the rent

#### 14.2.3 The Present Tense can Refer to Future Time

#### a. German often uses the present tense in contexts where a future tense is needed in English

This applies whether English uses the future tense with 'will/shall/'ll' or 'be going to':

I'll be back in two hours In zwei Stunden **bin** ich wieder da Wir **finden** es nie We're never going to find it

In practice, the present tense is much more frequent than the future in German to refer to future time as long as it is clear from the context that the future is meant. This is especially the case if there is an adverbial in the sentence pointing to the future:

I'll write the letter tonight Ich schreibe den Brief heute Abend

This time tomorrow I'll be in Vienna Morgen um diese Zeit bin ich in Wien

But a present tense can always be used in German to refer to future time even when no adverbial is present, as long as the context points unambiguously to the future:

Astrid **holt** uns von der Bahn ab Astrid is going to meet us from the station

Ich erwarte, dass sie kommt I expect she'll come

Our specialist staff will give you further information Weitere Einzelheiten **erteilt** Ihnen unser Fachpersonal

Vielleicht sage ich es ihm Perhaps I'll tell him

The only contexts where a future tense needs to be used in German are those where the present tense could be interpreted as referring to the present, i.e. if the rest of the context does not make the reference to the future clear. Compare the following pairs of sentences, where we must use the future tense in German if we want to make it clear that the future is meant, because the present tense could only be understood to refer to the present:

Er wird wieder in der Bank arbeiten

He's going to work for the bank again

He's working for the bank again

Ich werde auf euch wartenI'll be waiting for youIch warte auf euchI'm waiting for you

Sie weiß, was **geschehen wird**Sie weiß, was **geschieht**She knows what will happen
Sie weiß, was **geschieht**She knows what is happening

## b. If the future tense is used where it would be possible to use the present tense, it often emphasises the idea of a prediction, an intention or a supposition

This is particularly the case where reference to the future is clear, e.g. through an adverbial. In English we often use *be going to* (rather than *will*) in such contexts, as this tends to emphasise intention strongly:

Ich werde den Brief heute Abend schreiben

I am going to write the letter tonight

Wir aber fliegen dorthin, wo die Sonne scheint, und

keine Wolken werden uns jetzt noch stoppen (Grzimek)

But we're flying to where the sun shines, and no clouds are going to stop us now

#### 14.2.4 The Present Tense is Sometimes used to Refer to the Past

This so-called 'historic present' is used more often in writing in German than English. It makes the past seem more immediate and it is a common stylistic device in narrative fiction and historical writing:

Mit zuckenden Nerven marschieren sie näher, noch immer versuchen sie sich gegenseitig zu täuschen, so sehr sie alle schon die Wahrheit wissen: dass die Norweger, dass Amundsen ihnen zuvorgekommen ist. Bald zerbricht der letzte Zweifel . . . (Zweig)

Similarly in newspaper headlines:

40-Tonner **zermalmt** Trabi – 2 starben (*BILD*) Forty-ton lorry squashes Trabi – two dead

It is also a typical feature of narration in colloquial speech, as in English:

Gestern Abend **geh** ich ins Café und **seh** den Horst

Brunner dort an der Theke sitzen

Last night I go down the pub and see Horst Brunner

sitting there at the bar

#### 14.3 The Past Tense and the Perfect Tense

#### 14.3.1 The uses of the past and the perfect tenses in German: Summary

In English there is a clear difference in meaning between the past and the perfect tenses, and the sentences 'I broke my leg' and 'I have broken my leg' are quite distinct in meaning. The English past tense simply tells us that something happened in the past, so that 'I broke my leg' tells us that it happened at some time in the past — and it's probably mended now. The English perfect tense, on the other hand, usually indicates that what happened in the past still has some relevance at the present. When we say 'I have broken my leg', for instance, it usually means that it is still broken at the moment of speaking.

The German sentences *Ich brach mir das Bein* and *Ich habe mir das Bein gebrochen* are deceptively similar to English. However, there is no clear-cut difference in meaning as in English, and in many contexts either is used without any real difference in meaning. Which one is used is often rather a matter of style or register. The main differences between the two German tenses can be summarised as follows:

- The PERFECT tense is used principally:
  - to refer to a past action or event which has relevance to the present
  - in spoken German, to refer to past actions and events
- The PAST tense is used principally:
  - in written German, to refer to past actions and events

More details on specific usage are given in the remainder of this section.

## 14.3.2 The use of the Perfect and Past Tenses to Refer to a Past Action or event which has **Continuing Relevance in the Present**

a. The PERFECT tense is usual in both spoken and written German to indicate a past action or event whose effect is relevant or apparent at the moment of speaking

Linking the past with the present is the typical function of the English perfect tense, and in practice the perfect tense is also normally used in German in such contexts, i.e.:

i. where the result of a past action or event is still evident at the moment of speaking:

Es hat in der Nacht geschneit (there's snow on the ground)

It has snowed in the night

Sie hat sich das Bein gebrochen (her leg is still in

She's broken her leg

Meine Tante ist gestern angekommen (and she's still here)

My aunt arrived last night

As the last example above shows, the perfect tense is used in German to express the present relevance of a past action even if there is a past time adverbial in the sentence. English, on the other hand, always uses the past tense in sentences which contain adverbials expressing past time.

ii. to refer to something which happened in the immediate past:

Jetzt hat Ballack den Ball eingeworfen

Ballack has just thrown the ball in

Damit **haben** wir diese kleine Führung **beendet** 

With this we have come to the end of this short guided tour

iii. to refer to states or repeated actions which have lasted up to the moment of speaking:

Ich **habe** immer **gefunden**, dass es nützlich ist, viel zu *I've always found it useful to know a lot* 

Ich habe ihm wiederholt gesagt, dass er ihr

I've told him repeatedly that he ought to write to

schreiben sollte

her

Das Paket ist noch nicht angekommen

The parcel hasn't arrived yet

The present tense is used in German to refer to activities or states which began in the past and continue into the present, where English typically uses a perfect progressive, see 14.2.2.

#### b. The PAST tense is occasionally used to indicate a past action or event which has relevance for the present

i.e. in the kind of contexts given under (a) above. This use of the past tense is mainly restricted to the following contexts, almost exclusively in written German:

i. in newspaper headlines and short announcements. In these contexts the past tense, with its single word, can sound neater and snappier:

Lastwagenfahrer gaben Blockade am Brenner nach Lorry drivers have given up their blockade on the

einer Woche auf (*FR*)
Sie **sahen** soeben einen Bericht von unserem Korrespondenten in Moskau

Brenner pass after a week You have just been watching a report from our Moscow correspondent

ii. with common verbs, especially the auxiliary verbs, and in the passive:

In der letzten Zeit **war** sie sehr krank

She has been very ill recently
Er **musste** heute kommen

He has had to come today

Noch nie wurde ein Auto so oft gebaut (VW advert) No car has ever been produced in such numbers

iii. in relative clauses:

Das sind die ersten Bilder der Unruhen in Birma, die These are the first pictures which have reached us uns **erreichten** of the disturbances in Burma

In all the above examples the perfect tense would be equally possible.

#### 14.3.3 The use of the Past and Perfect Tenses to Relate Past Actions or Events

Narrations of past actions and events are typically in the past tense in <u>written</u> German and in the PERFECT tense in <u>spoken</u> German. In English, we typically use the past tense to relate an action or event lying entirely in the past. In German, however, while the past tense is usual in such contexts in the written language, the perfect predominates in everyday speech, especially in south Germany.

The characteristic use of the past tense for a written narrative can be seen in the following passage from Bernhard Schlink's novel *Der Vorleser*:

Den Sommer nach dem Prozess verbrachte ich im Lesesaal der Universitätsbibliothek. Ich kam, wenn der Lesesaal öffnete, und ging, wenn er schloss. An den Wochenenden lernte ich zu Hause. Ich lernte so ausschließlich, so besessen, dass die Gefühle und Gedanken, die der Prozess betäubt hatte, betäubt blieben. Ich vermied Kontakte. Ich zog zu Hause aus und mietete ein Zimmer. Die wenigen Bekannten, die mich im Lesesaal oder bei gelegentlichen Kinobesuchen ansprachen, stieß ich zurück.

In Franz Xaver Kroetz's *Chiemgauer Gschichten*, by contrast, where ordinary people (from South Germany) are telling their stories to the author, the narrative is in the perfect tense:

Ja, und dann hats wieder ein bisschen gedauert, bis sie wieder eine Arbeit gekriegt hat, also Lohn von ihr ist praktisch nichts eingegangen. Hab ich alles selbst verdienen müssen. Da wo wir dann geheiratet haben, da hab ich zwei Monate so noch gearbeitet auf Montage, und dann bin ich gekündigt worden.

Nevertheless, there are some exceptions to this general tendency for the past tense to be used in written narrative and the perfect tense in spoken narrative:

**a.** The Past Tense in Spoken German

In South Germany (and Austria and Switzerland) the past tense is practically never used in every day speech. However, this is much less true in North Germany (i.e. north of the river Main), where the past tense is quite frequently used in everyday speech, especially in the following contexts:

- i. with commonly used verbs, i.e.:
  - sein, haben, bleiben, gehen, kommen, stehen and es gibt
  - the modal auxiliaries
  - verbs of saying, thinking and feeling

In this way, the following would be equally frequent in North German speech:

Ich war vorige Woche in Bremen Sie konnte gestern nicht kommen Was sagten Sie? Ich bin vorige Woche in Bremen gewesen Sie hat gestern nicht kommen können Was haben Sie gesagt?

The past tense of other verbs can be heard in spoken North German, but, in general, rather less often than the perfect tense.

- ii. with the passive, e.g. Das alte Haus wurde abgerissen or Das alte Haus ist abgerissen worden
- iii. in clauses introduced by als or wie, and in any sentence with the adverb damals:

Ich habe sie gesehen, als sie aus der Straßenbahn ausstieg

Ich habe gehört, wie sie die Treppe **herunterkam** 

Damals **mussten** alle Russisch lernen

I saw her when she got out of the tram

I heard her coming down the stairs

At that time everybody had to learn Russian

## iv. to record a state, or a habitual or repeated action in the past:

Die Rechnung **lag** auf dem Tisch Bei uns in der alten Heimat **dauerten** die Sommerferien länger als hier Ich habe gewusst, dass sein Vater **trank**  The bill was lying on the table
In our old homeland the summer holidays used to
last longer than they do here
I knew his father used to drink

## b. There is a tendency for a longer narrative to start with a perfect tense, and then continue in the past tense

The perfect is used to set the scene, as it were. This usage is especially frequent in newspaper reports:

10 Tage nach der Jumbo-Katastrophe in Japan **ist** schon wieder eine Boeing **explodiert**. 54 Urlauber **starben** gestern in einem flammenden Inferno auf dem Flughafen Manchester (England). Als ihr Jet nach Korfu (Griechenland) starten **wollte**, **wurde** das linke Triebwerk krachend zerfetzt. Sofort **brannte** die Maschine wie eine Riesenfackel. Im Rumpf eingeschlossene Urlauber **trampelten** andere tot. (*BILD*)

#### c. The perfect is sometimes used as a narrative tense in written German

The perfect tense is sometimes used deliberately to give a more colloquial tone. However, particularly outside fiction, it is often treated simply as an alternative to the past and seems to be used for reasons which relate to style, emphasis and sentence rhythm, as in the following text from Grzimek's *Serengeti darf nicht sterben*:

Ein tüchtiger Mann namens Rothe, der Verwalter bei den Siedentopfs war, hat 1913 die Reste einer uralten Siedlung und eines Friedhofs aus der Jungsteinzeit am Nordende des Kraters entdeckt. Schon diese Leute, die einige Jahrhunderte vor Christus gelebt haben, weideten als Hirten ihr Vieh wie heute die Massai. Rothe hieß eigentlich anders, er war 1905 bei der ersten finnischen Revolution kurze Zeit Minister gewesen, ... In Ägypten stellte ihm die russische Geheimpolizei nach, und so kam er als Tierpfleger mit Maultieren nach Deutsch-Ostafrika.

In practice, the past tense could be substituted for any of the perfect tenses in this passage, or vice versa, without any real difference in meaning.

#### 14.3.4 Other uses of the Past Tense

The perfect tense cannot be used in any of these contexts.

# a. to relate a state or activity which began in the past and was still in progress at a more recent point in the past

This is the equivalent in past time of the use of the present with *seit* phrases, etc. (see 14.2.2). In English the pluperfect tense (especially the pluperfect progressive) is used in such contexts:

Ich wartete schon zwei Stunden/seit zwei Stunden auf sie

I had been waiting for her for two hours

Das Schloss gehörte seit Jahrhunderten den Grafen von The castle had belonged to the Counts of Solms for Solms

centuries

However, as with the use of the perfect tense rather than the present (see 14.2.2), the pluperfect tense, not the past tense, is used in **negative statements** or when referring to a **series** of actions or states:

Ich hatte ihm seit Jahren zugeredet, sein Haus zu verkaufen

I had been urging him for years to sell his house

#### b. with the sense of a future-in-the-past

In such contexts, the past tense is an uncommon alternative to the würde-form of Konjunktiv II (the 'conditional', see 16.4.5):

Nachdem er sicher war, dass der Vorgang nicht mehr hochging (more usual: hochgehen würde), verließ er das Theater

When he was sure that the curtain would not go up again, he left the theatre

### c. to refer to the present moment

This is a special usage to recall information which has already been given in the past:

Wie war ihr Name doch gleich? Wer erhielt das Eisbein?

Herr Ober, ich **bekam** noch ein Bier

What was your name again? Who is getting the knuckle of pork? Waiter, I did order another beer

#### 14.3.5 Further uses of the Perfect Tense

The past tense cannot be used in any of these contexts.

#### a. as an alternative to the future perfect tense

i. The perfect tense is often used in the sense of a future perfect:

Bis morgen um diese Zeit habe ich alles geregelt

By this time tomorrow I shall have settled everything

Bald habe ich den Brief geschrieben

I'll have written the letter soon

As with the use of the present tense to refer to future time (see 14.2.3), the perfect tense can only substitute for the future perfect if it is clear from the context (e.g. from a time adverbial) that the reference is to the future. There is no comparable usage in English, where the future perfect tense is always used in such contexts. When the future perfect tense is used in such sentences in German, e.g. Bis morgen um diese Zeit werde ich alles geregelt haben, there is often an additional sense of a prediction or a supposition, see

ii. The perfect is the usual tense in subordinate time clauses with future reference. In these contexts English and German correspond in the use of the perfect tense.

Wenn ich von ihm gehört habe, werde ich dir schreiben

When I've heard from him, I shall write to you

Very occasionally a future perfect is used in such sentences in written German:

Ich will fortgehen, wenn ich genug gelesen haben

#### b. to indicate a characteristic state

The perfect tense can be used in German to indicate an action whose completion can be taken to define a particular person or thing. This usage is particularly common in technical and legal language and has no equivalent in English.

Ein Unglück ist schnell geschehen

Accidents happen quickly (i.e. if you don't watch out)

Ein Akademiker **hat studiert**A graduate is a person who has completed a course of

studies

Die Mannschaft, die zuerst 50 Punkte erreicht hat, ist

Sieger

The first team to reach 50 points is the winner

## 14.4 The Future Tense and the Future Perfect Tense

The future tense in German is formed with the auxiliary verb werden and the **infinitive** (e.g. *Ich werde sie am Montag sehen*). The future perfect is formed with werden, the **past participle** of the main verb, and the **infinitive** of the auxiliary verb haben or sein (depending on what main verb is involved, e.g. *Ich werde den Brief geschrieben haben*; Sie wird schon gegangen sein). The conjugation of these tenses is explained and shown in detail in 12.3.1 and Table 12.5.

The English future has two forms, one with the auxiliary will (in some contexts, especially in British English, shall), which is usually reduced to 'll in speech (e.g. I'll probably see her on Monday), and one with the phrase be going to (e.g. I'm going to see her on Monday). The difference in meaning between these English forms is slight, although be going to is rather less frequent, more typical of informal registers, and tends to emphasise immediacy or intention more strongly.

## 14.4.1 The Basic Uses of the Future Tense and the Future Perfect Tense are to Refer to Future Time

The **future tense** (sometimes called *Futur I* in German) relates an action or event which will happen after the time of speaking:

Ich werde sie nicht mehr sehen

I won't/shan't see her again

Wirst du ihr helfen können?

Will you be able to help her?

The **future perfect** tense (sometimes called *Futur II* in German) is a 'relative' tense; it indicates an action or event which will take place **before** another action or event in the future:

Gewiss wird sie den Brief bis morgen Abend **geschrieben** She will certainly have written the letter by tomorrow haben evening

However, if the reference to future time is otherwise clear from the context, German often prefers the present tense to the future (see 14.2.3), and the perfect tense to the future perfect (see 14.3.5a). However, there are contexts where these tenses must be used simply to indicate futurity, since the present or the perfect would have their basic meaning:

Ich mag sie nicht und werde sie nie mögen

I don't like her and I'll never like her

Hat er Ihnen nicht gesagt, dass er Sie **besuchen wird**?

Am Montag **wird** sie den Gipfel **erreicht haben**Didn't he tell you that he's going to visit you?

On Monday she'll have reached the summit

# 14.4.2 The Future and Future Perfect Tenses Often Convey the Idea of an Intention or an Assumption

This is generally the case when future time reference is otherwise clear from the context, and the present or the perfect tense could be used rather than the future tenses:

Morgen wird es bestimmt schneien It will definitely snow tomorrow Ich werde es heute Abend noch erledigen I am going to finish it tonight

Morgen wird er die Arbeit beendet haben He'll have finished the work tomorrow

#### 14.4.3 The Future Tenses Often Simply Express an Assumption in the Present

In these contexts these tenses do not refer to future time at all; the future refers to the present and the future perfect to the past. English uses its future tenses in a similar way:

Sie wird bereits zu Hause sein She'll be home already

Er ist nicht gekommen. Er wird wieder zu viel zu tun haben

He hasn't come. He'll have too much to do again

Sie wird den Zug verpasst haben She'll have missed the train

Sie wird sich gestern ein neues Handy gekauft haben She'll have bought a new mobile/cellphone yesterday

When used like this to express a supposition, these tenses are often accompanied by the particle *wohl* (see 10.35.1):

Sie wird **wohl** bereits zu Hause sein Sie wird **wohl** den Zug verpasst haben

NB: This sense of the future and future perfect is very similar to the meaning of dürfte (see 17.2.2), so that Sie wird bereits zu Hause sein means much the same as Sie dürfte bereits zu Hause sein.

## 14.5 The Pluperfect Tense

The German pluperfect tense is formed with the **past tense** of *haben* or *sein* (depending on the verb involved) and the **past participle**: *Ich hatte sie nicht gesehen*; *Ich war schon gegangen*, see 12.3 and Table 12.5. This is similar to the formation of the pluperfect in English (e.g. 'I hadn't seen her').

## 14.5.1 The German Pluperfect Tense Mainly Indicates a Past within the Past

## a. The German pluperfect tense is a relative tense

Like the English pluperfect, it places an action or event further back in the past than the time of the context. It is characteristically used in clauses introduced by *nachdem* (see 19.3.4):

Nachdem sie **gegangen war**, fiel ihr ein, was sie After she had gone she remembered what she had vergessen hatte forgotten

Das bemerkte man erst, nachdem man Platz **genommen**hatte (Morgner)
You only noticed that after you had sat down

But it is used in many other types of context to indicate a more **remote past**:

Wir warteten, bis der Zug **abgefahren war**We waited until the train had left

Sie kamen zu spät, denn das Hochwasser **hatte** den *They came too late, as the high water had already* 

Damm schon **überflutet** flooded over the embankment

#### b. The perfect tense is occasionally used where one would expect a pluperfect

This may emphasise the immediacy of a state or an action. The effect is rather similar to that of the 'historic present', see 14.2.4:

Dann seufzte sie auf eine Weise, die mir deutlich machte, wie alt sie **geworden ist** (*Böll*)

Then she sighed in a way which made it clear to me how old she had become

This usage is quite frequent in writing, and it is increasingly common in everyday speech.

## c. The past tense is sometimes used for an expected pluperfect

This usage is mainly literary and is usually motivated by stylistic reasons, the one- word form being preferred in context:

... doch ergab der Befund jene hoffnungslose Krankheit, ... but the investigation revealed the terminal disease die man **vermutete** (*Dürrenmatt*) which had been suspected

## 14.5.2 The Pluperfect Tense is Sometimes used in Colloquial German Simply to Refer to the Past

i.e. the pluperfect occurs where a past or perfect tense would be expected:

Eva hatte dich gesucht Wer war das gewesen? Eva was looking for you

Who was that?

This 'pseudopluperfect', is increasingly common in everyday speech, but it is not accepted as standard.

### 14.5.3 Complex Pluperfect Tense Forms

In colloquial speech in South Germany the pluperfect tense is commonly formed with the perfect tense of the auxiliaries haben or sein. For example, Ich habe ihn gesehen gehabt, is used for standard German Ich hatte ihn gesehen. Forms like this are now widespread in spoken German and no longer restricted to the South. Indeed, if an extra dimension of remoteness in time is needed, the **pluperfect** tense of the auxiliary is sometimes used, e.g.: Sie hatte ihn gesehen gehabt, bevor er sie bemerkt hatte. This form is very common in speech if the action has been reversed again, e.g.:

Sie hatte ihren Schlüssel vergessen gehabt

She had forgotten her key (but she's remembered it again now)

These complex pluperfects are mainly typical of informal colloquial registers and considered to be non-standard. However, they are not unknown in writing:

Er dachte: Du kannst jetzt nichts gesehen haben, du kannst He thought 'You can't have seen anything now, you can wegdrücken . . . und hast bloß den Anschluss verloren **gehabt** und bist kein Jäger (*Gaiser*)

Wir haben uns alle schon daran gewöhnt gehabt, dass nichts geschieht, aber immer etwas geschehen soll (Musil)

sneak off... You had just got left behind and you're not a rifleman'

We had all got used to the idea that nothing was going to happen but that something always ought to happen

## 14.6 German Equivalents for the English Progressive Tenses

## 14.6.1 There are <u>no Progressive Tenses in German</u>

The distinction between the English **progressive present** tense 'He is singing well' (i.e. at the moment) and the **simple** present tense 'He sings well' (i.e. usually) cannot be expressed by using different forms of the verb in German. In most contexts the distinction is simply ignored in German and 'Er singt gut' is used for both these English sentences.

NB: The English perfect progressive can indicate that an action beginning in the past is still going on at the moment of speaking, e.g. I have been waiting here for an hour. German uses the simple present tense in these contexts, see 14.2.2.

### 14.6.2 Indicating Continuous Action in German

Nevertheless, there are contexts where we need to make it clear in German that we are dealing with a continuous action. For instance, an English sentence like 'He was reading War and Peace yesterday' implies that he didn't finish reading it, whereas to say in German Gestern las er "Krieg und Frieden" or Gestern hat er "Krieg und Frieden" gelesen could imply that he did finish it (which is unlikely in a single day). In such contexts, German has a number of possibilities for making it clear that the action was continuous or unfinished, i.e.:

### a. By using an appropriate adverb

i. especially *eben* or *gerade*:

Ich schreibe **eben** einen Brief an Anja

I'm writing a letter to Anja

Er rasiert sich **gerade**He's shaving

ii. With verbs of motion, schon or gleich can often be used:

Ich fahre schonI'm leavingSie kommt gleichShe's coming

iii. Other adverbs or particles can be used in other contexts:

Ich habe ihn **letzthin** zweimal in der Woche gesehen *I've been meeting him twice a week (recently)* 

Ich kümmere mich eben mal darum

I'm seeing to it now

**iv.** The sense of habitual or repeated action which is expressed by a simple (i.e non- progressive) tense in English can be indicated by an adverb in German:

Ich stehe **immer** um sechs auf
Sie spielt **meistens** gut

I always get up at six
She (usually) plays well

b. By using (gerade/eben) dabei sein followed by an infinitive with zu

Ich bin **gerade dabei**, das Zimmer ein bisschen aufzuräumen *I'm just tidying the room up a bit* 

Gestern war er **gerade dabei**, "Krieg und Frieden" zu lesen He was reading War and Peace yesterday

c. By using a construction with an infinitival noun

i. In standard German beim is used with an infinitival noun (see 13.4.3a):

Als seine Frau zurückkam, war er **beim Kochen** When his wife returned, he was cooking

Wir waren beim Kartenspielen, als er klingelte We were playing cards when he rang the bell

ii. In Northwest Germany, am is used with an infinitival noun to express continuous action:

Wir sind am Arbeiten We are working

In Köln ist es immer am Regnen

It's always raining in Cologne

Diese Lobby ist ständig **am Wachsen** (FAZ - Leserbrief)

This lobby is growing all the time

This usage was originally regional, and characteristic of the Northwest. It has recently become much more widely used in colloquial speech everywhere, but it is still considered non- standard and is rarely found in

#### writing.

#### d. by using a noun with a prepositional phrase

Wir sind an der Arbeit Er liest in der Zeitung Sie strickte an einem Strumpf We're working
He's reading the newspaper
She was knitting a stocking

### e. by using a different verb

Some German verbs, especially those with prefixes (see 22.4–22.5), imply the completion of an action. The corresponding unprefixed verbs do not necessarily imply that the action has finished and can in certain contexts correspond more closely to the sense of an English progressive tense:

Sie erkämpften die Freiheit ihres Landes

Sie kämpften für die Freiheit ihres Landes

Sie erstiegen den Berg

Sie stiegen auf den Berg

Wir haben die Würste aufgegessen Wir haben die Würste gegessen They fought for their country's freedom (i.e. they were successful)

They were fighting for their country's freedom

They climbed the mountain

They were climbing the mountain (i.e. in the process of climbing, or only part of the way)

We ate the sausages (up)
We were eating the sausages

## 15 The Passive

#### 15.1 The Werden-Passive

We typically express actions by using the ACTIVE VOICE, both in English and in German. The active sentence tells us what is happening and who or what is doing it. But we can present a different perspective on an action by using the PASSIVE VOICE, which places the emphasis on what is going on, without necessarily saying who or what is doing it.

active voice:Die Schlange frisst den Froschpassive voice:Der Frosch wird (von der Schlange) gefressen

Most active sentences with a TRANSITIVE VERB (i.e. a verb which has an accusative object, see 18.3) can be turned into passive sentences. The **accusative object** of the **active sentence** becomes **the subject** of the **passive sentence**. The subject of the active sentence (the person or thing carrying out the action, called the **agent**) can also appear in a phrase using *von* or *durch* (= English 'by'), but it is often left out altogether. The possibility of talking about an action without saying who or what did it is a major motivation for using the passive rather than the active.

There are two passive forms in German, using the auxiliary verbs werden or sein together with the past participle:

- The werden- passive (e.g. die Stadt wurde zerstört) expresses a process (German: Vorgangspassiv) and is closely related to the corresponding active voice.
- The *sein-* passive (e.g. *die Stadt war zerstört*) expresses a state (German: *Zustandspassiv*). Its use is more restricted than that of the (much more frequent) *werden-* passive.

The use of these, and other German constructions which are the equivalent of passives, is explained in this chapter:

- The werden- passive (section 15.1)
- The sein- passive, and the differences between it and the werden- passive (section 15.2)
- The use of *von* and *durch* for English 'by' with the passive (section 15.3)
- Other German constructions with passive meaning (section 15.4)
- The use of the active and passive voice in German and English (section 15.5)

#### 15.1.1 The Werden- Passive has the Same Range of Tenses and Moods as the Active Voice

The conjugation of these tenses in the indicative is given in Table 12.6. For passive forms in the subjunctive mood, see section 12.5. Table 15.1 shows the relationship between the tenses of the active and passive voice.

Table 15.1 Active and passive sentences

Tense	Active	Passive
Present	Der Arzt heilt den Patienten The doctor heals the patient	Der Patient wird (vom Arzt) geheilt The patient is healed (by the doctor)
Past	Die Bauleute <b>rissen das Haus ab</b> The builders <b>pulled down the house</b>	Das Haus wurde (von den Bauleuten) abgerissen The house was pulled down (by the builders)
Perfect	Die Firma hat den Angestellten entlassen The company has sacked the employee	Der Angestellte ist (von der Firma) entlassen worden The employee has been sacked (by the company)
Future	Der Computer wird das Buch verdrängen The computer will replace the book	Das Buch wird (vom Computer) verdrängt warden The book will be replaced (by the computer)

#### a. The use of the passive tenses is in general the same as in the active

(see Chapter 14). There is slight variation in use in a few instances:

i. The future tense is little used in the passive, and the present tense is preferred unless there is a risk of being misunderstood (see 14.4). Thus, in the sentence

Das Buch wird nächste Woche gelesen werden

The book will be read next week

normal usage will prefer the present tense *Das Buch wird nächste Woche gelesen* rather than the future, and this is possible because the phrase *nächste Woche* makes the time reference clear. However, in the sentence

Das Haus wird abgerissen werden

The house will be pulled down

we cannot replace the future tense by the present tense without changing the meaning. Das Haus wird abgerissen can only mean 'The house is being pulled down'.

ii. The past tense of the passive is quite common in both written and spoken German, even in contexts where the perfect tense might be expected in the active voice (see 14.3.2).

#### b. The werden- passive is hardly ever used in commands

To give commands in the passive, the *sein*- passive is used, e.g. *Sei gegrüßt! Sei beruhigt!* (see 15.2.1).

#### 15.1.2 The Werden- Passive can be Formed from Most Transitive Verbs

i.e. verbs which are used with a direct object in the accusative case, see 18.3.1.

**a.** The accusative object of the active verb becomes the subject of the corresponding passive construction

Mein Vater liest diesen Roman

 $\rightarrow$ 

Dieser Roman wird von meinem

Vater gelesen

This novel is being read by my father

My father is reading this novel

Further examples are shown in Table 15.1.

## b. A few transitive verbs cannot be used in the werden- passive

This group consists in particular of certain verbs of knowing, containing, possessing and receiving, i.e. *bedeuten, bekommen, besitzen, enthalten, erhalten, haben, kennen, kriegen, umfassen, wissen.* These are not used in the passive in German, although some of the English equivalents of these verbs do have passive forms. In German other constructions are used for these verbs, in particular active forms of another verb or a construction with *man*:

Dieses Schloss gehört dem Grafen von Libowitz

This palace is owned by Count von Libowitz

(i.e. NOT \*wird ... besessen)

Ihr Brief traf gestern ein

(i.e. NOT \*wurde ... erhalten)

Your letter was received vesterday

Man wusste nicht, wie viele Kinder kommen würden

(i.e. NOT \*es wurde ... gewusst)

It was not known how many children would come

NB:

enthalten can be used with sein, e.g. Wieviel Essig ist in diesem Gefäß enthalten? but this is not really a passive construction. A passive of erhalten can be formed with bleiben, see 15.2.2c.

#### c. No passive can be formed with the verbs of perception followed by a bare infinitive

(see 13.3.1). These verbs can be used in the passive with an 'ing'- form in English, but the equivalent sentences in German must use alternative constructions, usually with the active voice:

Man hörte ihn singen

He was heard singing

Ein Vorbeigehender sah ihn in das Haus einbrechen

He was seen breaking into the house by a passer- by

# 15.1.3 Passive Constructions with Verbs Governing a Dative Object, a Genitive object or a Prepositional Object

In German <u>only</u> the **accusative (direct) object** of a transitive verb can become the **subject** of a passive construction. This is an important restriction which does not apply in English. It means that the dative object, the genitive object or the prepositional object of a verb can never become the subject of a passive construction in German:

a. If a verb which takes a dative object is used in the passive, the dative object remains in the dative case

This is the case with all those verbs which govern the dative case, and have no accusative object (see 18.4.1).

Astrid dankte **ihm** für seine Hilfe *Astrid thanked him for his help* 

**Ihm** wurde für seine Hilfe gedankt *He was thanked for his help* 

As the dative object remains in the dative, the verbs in these passive constructions are **subjectless** (or **impersonal**) and the verb has the endings of the third person singular. Further examples:

Die Polizei kann **Ihnen** helfen The police can help you

Er empfahl **mir**, eine Kur zu nehmen

He recommended me to take a course of

treatment at a spa

Ihnen kann geholfen warden You can be helpedMir wurde empfohlen, eine Kur zu nehmenI was recommended to take a course of treatment at a spa

The dative object does not need to be placed before the verb, but if it is placed later in the sentence the pronoun *es* (see 3.6.2a) has to be used in first position in the clause, before the verb. Compare the following (equally acceptable) alternatives to the examples above:

Es kann Ihnen geholfen werden

Es wurde mir empfohlen, eine Kur zu nehmen

b. With verbs which have both an accusative object and a dative object, the dative object remains in the dative in the passive

Details on these *einem etwas* verbs are given in 18.4.2. In German, only an accusative object can become the subject of a passive verb. This differs from English, where, with many verbs which have two objects, either can become the subject of the passive. Thus, an English sentence like *He gave the old man the money* can be converted into the passive in two ways, with the direct object *the money* or the indirect object *the old man* as the subject. Compare the following sentences and their German equivalents:

The money (direct object) was given to the old man The old man (indirect object) was given the money Das Geld wurde dem alten Mann gegeben Dem alten Mann wurde das Geld gegeben

The direct object das Geld of the equivalent German sentence Er gab dem alten Mann das Geld can be the subject of the passive sentence in German, as in English. However the indirect (dative) object dem alten Mann must remain in the dative case in German. As these are effectively impersonal or 'subjectless' constructions, see 15.1.4, the verb is always in the form of the third person singular. Compare:

The old men were given the money

Den alten Männern wurde das Geld gegeben

Note that a dative object can become the subject of a passive construction with bekommen or kriegen, see 15.4.2.

c. The passive infinitive of a verb which governs the dative case cannot be used in an infinitive clause with zu

Sentences like 'He could not hope to be helped' are quite usual in English. In German, though, we cannot say \*Er konnte nicht hoffen geholfen zu werden, since helfen governs a dative and its object cannot be used as the subject of a passive construction. We have to use a dass- clause in such cases:

Er konnte nicht hoffen, dass ihm geholfen wurde Er besteht darauf, dass ihm geantwortet wird

He could not hope to be helped He insists on being answered

## d. Subjectless passives are also used with verbs which govern a genitive object or a prepositional object

See 18.5 and 18.6 for details on these verbs. Like dative objects, genitive objects and prepositional objects remain in the same form in the passive:

Sie gedachten der Toten They remembered the dead Meine Mutter sorgt für die Kinder My mother is taking care of the children Der Toten wurde gedacht The dead were remembered Für die Kinder wird gesorgt The children are being taken care of

With these verbs, too, the genitive or the prepositional phrase can be placed later in the sentence rather than at the beginning, but, similarly, es then has to be inserted before the verb:

Es wurde der Toten gedacht

Es wird für die Kinder gesorgt

As with the constructions with a dative object (see (b) above), the verb is always in the form of the third person singular.

NB In practice, gedenken is the only verb governing the genitive which is used in the passive in modern German.

### 15.1.4 The 'Subjectless' Werden- Passive

### a. The werden- passive can be used without a subject to denote an activity in general

A sentence like Es wird getanzt simply means 'There is dancing going on' without any indication of who is doing it. No comparable construction exists in English. The verb has the third person singular endings:

Sie hörten, wie im Nebenzimmer geredet wurde

They heard people talking in the next room

Hier darf nicht geraucht werden

Smoking is not allowed here

Vor Hunden wird gewarnt

Beware of dogs

Heute ist mit den Bauarbeiten begonnen worden (ARD) They started building today

## b. A subjectless passive can be formed from any verb which expresses a continuous activity

This construction can be used not only with transitive verbs, but also with verbs which otherwise cannot form a passive, i.e. intransitive verbs and, in colloquial German, even reflexive verbs:

Im Flugzeug darf ab Herbst **gesurft** und **gesimst werden** From the autumn it will be permitted to use the internet and send text messages in aeroplanes

An dem Abend wurde viel gesungen

There was a lot of singing that evening

Jetzt wird sich gewaschen

It's time to get washed

NB:

This is basically the same construction as that used with verbs which do not govern an accusative object (and which, strictly speaking, are also intransitive), see 15.1.3.

## c. The pronoun es is inserted in a main clause if there is no other word or phrase before the verb

(see 3.6.2a for further details on this use of *es*):

Es wurde auf den Straßen getanzt

There was dancing in the streets

Es wird besonders rücksichtslos geparkt (ARD)

People are parking in a particularly inconsiderate way

## d. The subjectless passive is often used to give commands

(see 16.2 for further details on commands):

Jetzt wird gearbeitet! Let's get down to work now

Jetzt wird nicht gelacht! No laughing now!

#### 15.2 The Sein-Passive

#### 15.2.1 Forms of the sein- Passive

The conjugation of verbs in the indicative mood of the sein- passive is given in Table 12.7. Subjunctive forms are explained in section 12.5. In practice, only a limited range of tenses and moods is in use:

Present tense:	lch <b>bin</b> beruhigt	Past tense:	lch war beruhigt
Konjunktiv I:	lch sei beruhigt	Konjunktiv II:	lch <b>wäre</b> beruhigt
Imperative:	Sei beruhigt		

The past tense tends to be used rather than the perfect tense, although the perfect tense is sometimes heard in spoken German and is occasionally found in writing:

Vierzig Lehrer sind gestern als krank gemeldet gewesen (Zeit)

Forty teachers were reported sick yesterday

The future tense (e.g. *Die Bilder werden morgen entwickelt sein*) is very rare.

#### 15.2.2 The sein- Passive and the Werden- Passive

The existence of two quite distinct passive forms in German, and the fact that the less frequently used of them looks deceptively like the English passive with be, means that English learners need to pay particular attention to the distinction between the two, which is explained in full in this section.

## a. The sein- passive indicates the state which the subject of the verb is in as the result of a previous action

This is reflected in its German name: Zustandspassiv. The werden-passive, on the other hand, relates an action or process, hence its German name: Vorgangspassiv.

**i.** The following sentence illustrates the difference between the two passives:

Als ich um fünf kam, war die Tür geschlossen, aber When I came at five the door was shut, but I don't ich weiß nicht, wann sie geschlossen wurde

know when it was shut

In the first case, someone had already shut the door by the time I arrived, i.e. it was in a state of being shut, and for this reason the sein- passive is used. In the second case I am referring to the time when the action of shutting the door occurred, and the werden- passive is used.

ii. As with the werden- passive, see 15.1.3, only the accusative object of a transitive verb can become the subject of a sein- passive. With verbs which take a dative, genitive or prepositional object, a 'subjectless' construction must be used in the *sein*- passive too:

Damit ist den Kranken nicht geholfen Für die Verletzten ist gesorgt

The patients have not been helped by that The wounded have been taken care of

In practice, few intransitive verbs are used in the sein- passive, chiefly dienen, helfen, nützen, schaden, sorgen für.

iii. The werden- passive is more frequent than the sein- passive and it can be used with more verbs. Nevertheless, the sein- passive can be quite common in some registers, e.g. in newspaper reports, which often have reason to refer to states or to the results of actions, and also in narrative fiction:

Deutschland **ist** fest in die NATO **eingebunden** (*Welt*)

Dass die Wahlergebnisse in der DDR **gefälscht waren**, bestreitet auch Modrow nicht (*Spiegel*)

## b. Examples of the difference between the sein- passive and the werden- passive

A possible source of confusion for English learners is that the English passive, which uses the auxiliary 'be', looks like the sein- passive. The examples below show that the two passives have distinct meanings and are rarely interchangeable:

Der Tisch wird gedeckt

Der Tisch ist gedeckt

Die Stadt wurde 1993 zerstört

Die Stadt war zerstört

Das Rathaus wurde allmählich von Demonstranten umringt

Das Rathaus war von Demonstranten umringt

The table is being laid (i.e. someone is performing the action of laying the table)

The table is laid (i.e. someone has already laid it) The town was destroyed in 1993 (i.e. the action took

place in 1993)

The town was destroyed (i.e. someone had already destroyed it)

The town hall was gradually (being) surrounded by demonstrators (i.e. the demonstrators were in the process of surrounding it)

The town hall was surrounded by demonstrators (i.e. the demonstrators were already all round the town

## c. Indicators pointing to the use of the werden- passive or the sein- passive

In practice, there are a number of indicators which can prove helpful in determining whether to use the seinpassive or the *werden*- passive:

- i. The werden- passive often corresponds to an English progressive tense, while this is never the case with the sein-passive. As the examples in (b) above show, this is especially the case in the present tense.
- ii. As the sein- passive relates the state resulting from a previous action, its meaning is close to that of the perfect tense, since the perfect tense often presents a result (see 14.3.2). This means, for example, the following pairs of sentences are very close in meaning:

Das Haus ist gebaut Die Stadt war zerstört Das Haus ist gebaut worden Die Stadt war zerstört worden

As a consequence, the natural English equivalent of a German sein-passive is often a perfect or pluperfect tense rather than a present or a past tense:

Das Auto ist repariert

Rund 2500 Polizeibeamte riegelten die Stadt ab, über About 2500 police officers cordoned off the city, die ein umfassendes Demonstrationsverbot verhängt war (Welt)

The car has been repaired

which had been made subject to a comprehensive ban on demonstrations

iii. In the sein- passive, the past participle is essentially descriptive, being used with the force of an adjective describing the state of the subject of the verb. For example, geöffnet in the sentence Die Tür ist geöffnet has much the same function as offen in Die Tür ist offen. Compare also:

Der Brief ist geschrieben Der Brief ist fertig
Die Stadt war zerstört Die Stadt war kaputt

The past participles of many reflexive verbs (which cannot form a passive) can similarly be used with *sein* with the force of an adjective:

Das Mädchen ist verliebt (compare: Das Mädchen hat sich verliebt)

Ich bin erholt (compare: *Ich habe mich erholt*)

The past participle can be used in a similar way with the verbs *bleiben* and *scheinen*:

Das Museum **bleibt geschlossen**The museum remains closed

Der Wagen **schien** leicht **beschädigt**The car seemed slightly damaged

Nur Bruchstücke dieser Skulptur **sind erhalten**Only fragments of this sculpture have been

**geblieben** preserved

**iv.** As the *sein*- perfect expresses a state resulting from a previous action, it is normally only used with verbs whose action produces a clear result, e.g. *bauen*, *begraben*, *beunruhigen*, *brechen*, *öffnen*, *reparieren*, *schreiben*, *verletzen*, *waschen*, *zerstören*, etc. These are typically verbs which express a change in location or a change of state. Compare the following examples on page 304:

Meine Hand ist verletzt

My hand is injured (and you can see the resulting

injury)

Mein Wagen ist beschädigt

My car is damaged (and you can see the resulting

damage)

By contrast, verbs whose action produces no tangible or visible result, like *bewundern* or *zeigen*, cannot be used in the *sein*- passive at all, as admiring or showing do not involve any kind of result. Other verbs which are not used in the *sein*- passive include:

anbieten offer brauchen need begegnen meet erinnern remind bemerken notice loben praise betrachten look at sehen see

### d. The sein- and werden- passive with geboren

Current usage with this verb is as follows:

i. Ich bin geboren is used when no other circumstances or only the place of birth are mentioned:

Wann sind Sie geboren?

Ich bin in Hamburg geboren

**ii.** *Ich wurde geboren* is used if further circumstances, or the date, are mentioned:

Ich wurde im Jahre 1985 in Hamburg geboren

Als ich geboren wurde, schneite es

iii. Referring to people who are dead, either passive may be used:

Goethe wurde/war im Jahre 1749 in Frankfurt geboren

#### 15.2.3 The sein- Passive can Indicate a Continuous State

Diese Insel **ist** von Kannibalen **bewohnt**The island is inhabited by cannibals

Die Oberrheinebene ist durch ihre Randgebirge vor rauhen The Upper Rhine plain is protected from harsh winds by

Winden **geschützt** (Brinkmann) the hills which fringe it

Die Häuser **sind** nur durch einen Drahtzaun von der *The houses are only separated from the incinerating* 

Müllverbrennungsanlage **getrennt** plant by a wire fence

Das Esszimmer **ist** von einem großen Kronleuchter he dining- room is lit by a large chandelier

beleuchtet ne arming room is it by a range chanacter.

Die Bücher in der alten Bibliothek sind mit Staub bedeckt The books in the old library are covered with dust

Here we are not dealing with the result of a process, but with a lasting state, often a permanent one. In such sentences, the *werden*- passive and the *sein*- passive are interchangeable as long as the *werden*- passive cannot be interpreted as referring to an action. Thus, the following are equally acceptable alternatives to the first four examples above:

Diese Insel wird von Kannibalen bewohnt

Die Oberrheinebene wird durch ihre Randgebirge vor rauhen Winde geschützt

Die Häuser **werden** nur durch einen Drahtzaun von der Müllverbrennungsanlage getrennt Das Esszimmer **wird** von einem großen Kronleuchter beleuchtet

But NOT: Die Bücher in der alten Bibliothek werden mit Staub bedeckt, as this would mean someone is covering them with dust.

## 15.3 von, durch and mit with the Passive

A major motivation for using the passive rather than the active is to avoid mentioning who is performing the action, and this is the case with 90% of passive sentences in German. However, if required, the **agent** (i.e. the person or thing carrying out the action) can be included in a passive construction by adding a prepositional phrase introduced by *von* or *durch*, which correspond to English *by*.

The traditional rule of thumb is that *von* is used with persons, *durch* with things. This is a useful guideline, but it is not fully reliable. Phrases with the agent occur chiefly with the *werden*- passive. With the *sein*- passive they occur mainly when it is a matter of a continuing state, as in 15.2.3.

## 15.3.1 von Indicates the AGENT who Actually Carries out the Action

This is usually a person, but can be an inanimate force:

Ich war **von meinem Onkel** gewarnt worden

I had been warned by my uncle

Sie wurde **von zwei Polizeibeamten** verhaftet She was arrested by two police officers

Die Stadt wurde von einem großen Waldbrand bedroht The city was threatened by a huge forest fire

#### 15.3.2 durch Indicates the MEANS by Which the Action is Carried out

This is most often a thing which is the involuntary cause of the occurrence, but it can be a person acting as an intermediary. Thus, we would say *Ich wurde durch einen Boten benachrichtigt* 'I was informed by a messenger', not *von einem Boten*, because the messenger was bringing a message from someone else.

Die Ernte wurde **durch den Hagel** vernichtet

The crop was destroyed by hail
Ich wurde **durch den starken Verkehr** aufgehalten

I was held up by the heavy traffic

Die Hühnerpest wird durch ein mikroskopisch nicht

Fowl pest is caused by a virus which is not detectable

nachweisbares Virus verursacht (ND) under the microscope

#### 15.3.3 The Distinction Between von and Durch is not Always Upheld

#### a. In practice there is considerable hesitation between von and durch

It is often not wholly clear whether we are dealing with the 'agent' or the 'means'. von is always usual for persons who obviously carried out the action themselves. However, when this might be a matter of interpretation, or with 'things' (like storms and earthquakes) which people might think of as actually carrying out an action, either *von* or durch can be acceptable, as in the following sentences:

Sie ist von den Demonstranten/durch die Demonstranten aufgehalten worden

*She was held up by the demonstrators* 

Der Baum ist von dem Blitz/durch den Blitz getroffen worden

The tree has been struck by lightning

In the first sentence von den Demonstranten could imply that the demonstrators held her up deliberately, whereas durch die Demonstranten could mean that it just happened to be the case that she was held up by them. However, such fine distinctions are not always made in practice.

b. The difference between von and durch is most clear when both are used in the same sentence

worden

Ich war von meinem Onkel durch seinen Sohn gewarnt I had been warned by my uncle through his son (My uncle is doing the warning, his son is the intermediary)

Das Gebäude wurde von Terroristen durch einen Sprengstoffanschlag zerstört

The building was destroyed by terrorists in a bomb attack (Terrorists destroyed it, the bombs were the means)

#### 15.3.4 A Phrase with mit can be Used to Indicate the INSTRUMENT used to Perform an Action

Das Schloss musste mit einem Hammer geöffnet warden The lock had to be opened with a hammer

Dieser Brief ist **mit der Hand** geschrieben

This letter was written by hand

## 15.4 Other Passive Constructions

German has a wide range of alternative means of expressing the passive.

## 15.4.1 man is Often Used in German Where English Naturally Uses a Passive

See 5.5.18 for details on the use of *man*:

It is said that . . . Man sagt, dass ...

He had been warned about it Man hatte ihn davor gewarnt

Das **macht man** nicht That's not done

## 15.4.2 A Passive Construction is Possible with Bekommen and Kriegen

a. By using the verbs bekommen or kriegen a dative object can be made into the subject of a passive construction

As explained in 15.1.3, a dative object cannot be turned into the subject of the werden- passive. However, if bekommen or kriegen is used with the past participle of another verb, a dative object can be converted into the subject:

Ich schenke **meinem Bruder** das Buch

Mein Bruder kriegt/bekommt das Buch (von mir) geschenkt Mein Bruder kriegt/bekommt

This construction is chiefly found in speech (especially with *kriegen*), and not all Germans accept it as correct in writing, although it is increasingly frequent. The conditions under which it is possible are not fully clear, but in general it appears that it can only be used with verbs which express an action and where the original dative object can be interpreted in some way as receiving something.

NB: Less commonly, the verb *erhalten* is used rather than *bekommen* or *kriegen*, e.g. *Sie erhält die Kosten erstattet*.

## b. The bekommen/kriegen- passive can be formed from various kinds of dative object Specifically:

i. from the dative object of a verb which governs both a dative and an accusative object (see 18.4.2). In practice this passive occurs most frequently with this type of verb, and these constructions are now generally accepted. The English equivalent may be a passive, or a construction with 'have' and a past participle. Active sentences like

Man zahlt **mir** das Geld regelmäßig aus OR Somebody pays me the money regularly

Man hat **uns** viel gezeigt *Somebody showed us a lot* 

can be rephrased with the bekommen/kriegen- passive as:

Ich bekomme/kriege das Geld regelmäßig ausgezahlt

Wir haben viel gezeigt bekommen/gekriegt

I am paid the money regularly/
I have the money paid to me regularly

We were shown a lot/ We had a lot shown to us

This construction is possible with verbs which have an accusative and a dative object, with the notable exception of *geben*.

**ii.** from the dative object of verbs which only govern a dative object (see 18.4.1). The use of the *bekommen/kriegen* passive with these verbs is common in colloquial speech, but not (yet) widely accepted in writing:

Sie bekam gratuliert

Vera bekommt von dir geholfen

Er bekam von niemandem widersprochen

She was congratulated

Vera is being helped by you

He was contradicted by nobody

This construction is not possible with verbs which do not denote an activity or whose dative object cannot be interpreted as a recipient, e.g. *ähneln*, *begegnen*, *gefallen*, *gehören* or *schaden*.

**iii.** from the dative of advantage or the dative of possession (see 2.5.3 and 2.5.4). This often corresponds to an English construction with 'get'. As in the previous case, this use of the *bekommen/kriegen* passive with these verbs is colloquial and not widely accepted in writing:

Sie **kriegte** den Wagen **repariert** She got her car repaired Man **bekommt** den Schlips **abgeschnitten** (Grzimek) You get your tie cut off

Er **bekam** von mir die Wohnung **renoviert**Das Haus **bekam** einen Balkon **angebaut**He got his flat renovated by me

The house got a balcony built on

#### c. In a few instances, the subject of a construction with kriegen/bekommen does not relate to a dative

i. It can be used with verbs which take two accusatives, e.g. *lehren* 'teach' and *schimpfen* 'tell off', 'bawl out' (see 18.3.3). The conditions are the same, i.e. that the verb denotes an action and the subject of the *kriegen/bekommen* construction is a recipient. This usage is strictly colloquial:

Er **bekommt** (von mir) **geschimpft**He's getting told off (by me)

Der Junge **bekommt** die Vokabeln **gelehrt**The boy is getting the words taught him

ii. It can be used in other contexts where English can use a construction with 'get':

Ich kriege den Brief bis heute Abend geschrieben I'll get the letter written by tonight

## 15.4.3 A Reflexive Verb can Often be an Alternative to a Passive

With verbs which denote accomplishments or activities a verb can be used with *sich* to give the sense of a passive, e.g. *Das erklärt sich leicht* 'That is easily explained' (see 18.3.6 for further details on reflexive verbs). A sense of ability (= können) is often implied, but not with all verbs.

#### a. Reflexive constructions from transitive verbs

In most instances an adverbial of manner is needed to complete the sense:

Das lernt sich rasch

That is/can be quickly learned

Das Buch **verkaufte sich** in Rekordauflagen The book was sold in record numbers
Mein Verdacht **hat sich bestätigt** My suspicions have been confirmed

#### b. Reflexive constructions from intransitive verbs

An adverbial of manner **and** an adverbial of place or time are usually needed to complete the sense. These are impersonal constructions:

Es fährt sich gut auf der Autobahn

You can drive well on the motorway

In der Hauptstadt **lebt es sich** besser als anderswo

You can live better in the capital than anywhere else

(Zeit)

# c. A reflexive verb is the natural German equivalent of many English passives or constructions which look like passives

sich ärgern be annoyed sich schämen be ashamed sich freuen be pleased sich verbinden be associated

## 15.4.4 Many Phrasal Verbs Have a Passive Meaning

Such phrasal verbs comprise a verbal noun (especially in - *ung*) and a verb which has little real meaning in the context. The following verbs are frequently used to form such complex verb phrases with a passive sense: *erfahren*, *erhalten*, *finden*, *gehen*, *gelangen*, *kommen*, *stehen*:

eine große Vereinfachung erfahren (= sehr vereinfacht werden)

be greatly simplified

seine Vollendung finden (= vollendet werden)

in Vergessenheit greaten (= vergessen werden)

zur Anwendung kommen (= angewendet werden)

be completed

be forgotten

be used

Unsere Arbeit hat **Anerkennung gefunden**Der Wunsch **ging in Erfüllung**Das Stück **gelangte/kam zur Aufführung**Our work was appreciated

The wish was fulfilled

The play was performed

Diese Frage **steht zur Diskussion**This question is being discussed

Such phrasal verbs are very characteristic of modern written non-literary German. They have been criticised by

stylists as verbose, but they make it possible to express nuances lacking in the simple verb. For example, Das Stück gelangte zur Aufführung emphasises the start of the action, whilst Das Stück wurde aufgeführt simply records that the action took place.

## 15.4.5 The Infinitive with zu with Some Auxiliary and semi- Auxiliary Verbs has the Force of a **Passive**

This has been termed the 'modal infinitive' construction, and further details are given in 13.2.5. Depending on the verb, these constructions can express possibility, obligation or necessity, i.e. have the sense of können, müssen or sollen followed by a passive infinitive. The following verbs occur in this construction:

#### a. sein: the construction has the sense of können, müssen or sollen

The English construction with 'be to' has a very similar meaning:

können/müssen im Rathaus abgeholt werden)

Diese Frage ist noch zu erörtern (= Diese Frage muss/soll noch erörtert werden

Dieser Text ist bis morgen zu übersetzen (= Dieser Text muss/soll bis morgen übersetzt werden)

Die Anträge sind im Rathaus abzuholen (= Die Anträge The applications may/must be collected from the town hall/are to be collected from the town hall

> This question must still be discussed/is still to be discussed

This text must be translated by tomorrow/This text is to be translated by tomorrow

This construction can be turned into an extended adjective using a present participle, e.g. diese noch zu erörternde Frage (see 13.5.2e).

#### b. bleiben: the construction has the sense of müssen

Vieles bleibt noch zu erledigen (= Vieles muss noch erledigt werden)

Much still remains to be done

#### c. gehen: the construction has the sense of können

Das Bild geht nicht zu befestigen (= Das Bild kann nicht befestigt werden)

The picture cannot be secured

This construction is colloquial and not accepted as standard.

#### d. stehen: the construction has the sense of müssen

It is only used impersonally, with a limited number of verbs, principally befürchten and erwarten:

Es **steht zu befürchten**, dass sich diese Vorfälle häufen It is to be feared that these incidents will occur (= Es muss befürchtet werden, dass sich diese Vorfälle häufen)

increasingly

#### e. es gibt: the construction has the sense of müssen

Es gibt noch vieles zu tun (= Vieles muss noch getan werden)

There's still a lot to be done

#### 15.4.6 Sich Lassen with a Following Infinitive can Have the Force of a Passive

It expresses possibility and thus means much the same as using können with a passive infinitive. This construction is very frequent in all registers, with transitive verbs:

Das lässt sich aber erklären (= Das kann aber erklärt werden)

But that can be explained

Das Problem lässt sich leicht lösen

(= Das Problem kann leicht gelöst werden)

Das ließe sich aber ändern

(= Das könnte geändert werden)

Ein Ende lässt sich nicht absehen (*Lenz*)

The problem can be solved easily

That might be altered, though

There is no end in sight

This construction can be used impersonally with transitive or intransitive verbs. The impersonal subject es can be omitted if it is not in initial position in a main clause, see 3.6.2:

Es lässt sich dort gut leben Darüber lässt (es) sich streiten It's a good life there We can argue about that

In general, this construction is only possible if the subject is a thing rather than a person. Reflexive *lassen* with a person as subject usually has the sense of 'cause' or 'permit', see 13.3.1c.

## 15.4.7 Gehören With a Past Participle has Passive Force and the Sense of Obligation or Necessity

This construction is found mainly in colloquial South German speech:

Dieser Ker'l **gehört eingesperrt** 

(= Dieser Kerl sollte eingesperrt werden)

Dem **gehört** das deutlich **gesagt** 

(= Ihm sollte das deutlich gesagt werden)

That bloke ought to be locked up

*He ought to be told that clearly* 

## 15.4.8 Adjectives in - bar From Verbs can be Used with sein to Express a Possibility with a Passive

They correspond to English adjectives in '- able'/'- ible', see 22.3.1a:

Diese Muscheln sind nicht essbar

(= Diese Muscheln können nicht gegessen werden)

Das Argument ist nicht widerlegbar

(= Dieses Argument kann nicht widerlegt werden)

Man ist einfach **unerreichbar** (*Frisch*)

These shellfish are not edible/cannot be eaten

The argument is irrefutable/cannot be refuted

One simply cannot be reached

Adjectives with the suffixes - *lich* (from some verbs, see 22.3.1f) or - *fähig* (from some verbal nouns) can have similar force:

Seine Antwort war **unverständlich** (= Seine Antwort

konnte nicht verstanden werden)

Dieser Apparat ist nicht weiter **entwicklungsfähig** (=

Dieser Apparat kann nicht weiter entwickelt werden)

His answer was incomprehensible/could not be

understood

This apparatus cannot be developed further

#### 15.5 The Use of Active and Passive in German

The passive is used quite frequently in German, particularly in formal writing (especially in technical registers and journalism), and it is certainly not to be 'avoided' as a matter of course, as some English manuals and handbooks of German suggest. However, it does tend to be rather less frequent than in English. One reason for this is that we often use a passive in English to manoeuvre something other than the subject to the beginning of the sentence. In German, with its more flexible word order, this can be achieved simply by shifting the elements in the sentence round. Thus, the following sentences probably represent the most natural equivalents in the two languages:

Diesen Roman hat Thomas Mann während eines

This novel was written by Thomas Mann during a stay

Aufenthaltes	in	Italien	gesc	hrie	ben
1 Iuiciiuiui tes	111	IMIICII	5000	111 10	~~ 1

in Italy

In German, the accusative object can be placed before the verb and the subject after it, in order to change the emphasis of the sentence, without needing to use a passive construction, as in English. More details on this are given in 21.2.3b.

## 16 Mood: The Imperative and the Subjunctive

The grammatical category of mood makes it possible for speakers to signal their attitude to what they are saying, in particular to indicate whether what they are saying is to be understood as a fact, a possibility or a command. The different moods of the verb are shown by special endings or forms. German has three moods:

- The INDICATIVE mood states a **fact**
- The SUBJUNCTIVE mood indicates a possibility or a report
- The IMPERATIVE mood expresses a **command**

Indicative	Subjunctive	Imperative
sie ist	sie sei	sei!
sie kauft	sie kaufe	kaufe!
sie kam	sie käme	kommt!
sie ist gewandert	sie würde wandern	wandern Sie!

This chapter gives details on the **use** of the moods in German:

- The **three moods** of German (section 16.1)
- The imperative mood and other means of expressing commands (section 16.2)
- The **subjunctive** mood, its forms and tenses (sections 16.3–16.7)

The FORMS of the indicative and the imperative in the active voice are given in Tables 12.2–12.5, and in the passive in Tables 12.6 and 12.7. The formation of the subjunctive mood is explained in section 12.5 and the most important forms are shown in Tables 12.9–12.11.

## 16.1 Indicative, Imperative and Subjunctive

## 16.1.1 The INDICATIVE Mood Presents what the Speaker is Saying as a Fact

The **indicative** is the most frequent mood, used in all kinds of statements and in questions – in effect in all contexts where speakers do not want to give a command or to signal that what they are saying may not be the fact. As it is the 'normal' or default mood, its use is not treated specifically in this chapter.

## 16.1.2 The IMPERATIVE Mood is Used in Commands and Requests

As we normally address these to the person we are talking to, the **imperative** mood is restricted to the second person (i.e. the 'you'- form). The uses of the imperative in German are treated in section 16.2, together with the other ways of giving commands and requests.

#### 16.1.3 The SUBJUNCTIVE mood presents what the speaker is saying as not necessarily true

If we use the **subjunctive**, we are characterising an activity, an event or a state as unreal, possible or, at best, not necessarily true (hence its old German name of *Möglichkeitsform*). English has kept very few distinct subjunctive forms, and even these, like *If she were a teacher* . . ., often sound very archaic or artificial even in the most formal registers. These ideas are expressed in other ways in English, typically by using a 'modal auxiliary' verb like 'may' or 'should', or an adverb of attitude like 'perhaps' or 'presumably'. German has these possibilities too, with modal auxiliaries like *können* or *müssen* (see Chapter 17), adverbs of attitude like *vielleicht* and *vermutlich* (see 7.3.2) or modal particles (see Chapter 10). But the subjunctive mood is still widely used in German, in particular to signal a hypothetical possibility and in indirect speech. Full information is given in sections 16.3–16.7.

## 16.2 Commands and the Imperative

## 16.2.1 The Imperative Mood is Used in all Kinds of Commands and Requests

### a. The imperative mood only has special forms for the second person

i.e. the person to whom the request or command is being directly addressed. For its forms, see Tables 12.2 and 12.3:

Hans, sei doch nicht so dumm!

Angela, stell(e) dich nicht so an!

Kinder, bringt mal die Stühle zu uns in den Garten!

Kommen Sie doch bitte herein und nehmen Sie Platz, Frau Meier!

In speech the imperative is characteristically used with the modal particles mal (see 10.22.1) and/ or doch (see 10.7.3). Without one of these, a spoken command may sound insistent or harsh. Other modal particles which are commonly used with the imperative and alter the tone of a command are ja (10.19.3), nur (10.26.1a), ruhig (10.28) and schon (10.30.4).

#### b. Stressed du or ihr is sometimes added to the simple imperative form

A pronoun is normally only present in the *Sie*-form of the imperative, but the other pronouns are occasionally added to give strong emphasis:

Bestell du inzwischen das Frühstück! (Wendt)

Meanwhile, you order breakfast

Kinder, wir kommen gleich. **Geht ihr schon vor!** Children, we're just coming. You go first.

### 16.2.2 Other Ways of Expressing Commands and Requests

German has a range of constructions besides the imperative which express commands, requests, instructions and the like.

#### a. The infinitive is commonly used in official commands and instructions

Using the infinitive makes the command sound more general and less directed at a particular person or group (see also 13.3.3a):

Nicht rauchen! Bitte anschnallen! No smoking. Fasten seat belts

Erst gurten, dann starten

Fasten your safety belt before setting off (official

advice to motorists)

Bitte einsteigen! Please get in (railway announcement)

4 Eiweiß zu sehr steifem Schnee **schlagen**Beat 4 egg whites until stiff (cooking instruction)

With reflexive verbs, the reflexive pronoun is omitted, e.g. *Nicht hinauslehnen!* (from *sich hinauslehnen* 'lean out').

## b. The past participle is sometimes used for depersonalised commands

In practice, this construction is limited to idiomatic usage with a small number of verbs (see also 13.5.5a):

Abgemacht! Agreed! Aufgepasst! Look out!

Stillgestanden! Attention! (military command)

## c. The subjectless passive can have the force of a command

See also 15.1.4d. The speaker can include him/herself in the instruction:

Jetzt wird gearbeitet!

Let's get down to work now
Hier wird nicht geraucht!

No smoking here!

#### d. Statements or questions in the present or future can serve as commands

i.e. by being given the characteristic intonation of a command, as in English. These always sound more blunt than the simple imperative. In this way, any of the following could be used for English 'Are you going to listen now?!' or 'You're going to listen now!':

Hörst du jetzt zu?! Du hörst jetzt zu!
Wirst du jetzt zuhören?! Du wirst jetzt zuhören!

## e. The modal auxiliary sollen can be used with the force of a command

This usage is linked to the basic meaning of *sollen*, which expresses obligation, see 17.6.1b:

Du **sollst** das Fenster zumachen (I want you to) shut the window Sie **sollen** ihr sofort schreiben (You should) write to her at once

*sollen* is often used to repeat a command to someone who appears not to have heard the first time: *Du sollst sofort* nach Hause kommen!

Commands in indirect speech are most often given with *sollen*, e.g. *Sie sagte ihm, dass er sie am Dienstag anrufen sollte* 'She told him to call her on Tuesday'. For details see 16.6.4b. *sollen* is also commonly used in third person commands (see (g) below).

## f. Commands and requests in the first person plural

In English, these are typically in the form 'Let's ...'. German has a number of equivalents for this, i.e.:

i. the first person plural form of *Konjunktiv I*, with the verb first:

Seien wir dankbar, dass nichts passiert ist!

Na, also, gehen wir ganz langsam (Fallada)

Also, trinken wir doch noch ein Glas Wein!

Let's be thankful that nothing happened!

Well then, let's walk quite slowly

All right, let's have another glass of wine then!

Only the verb *sein* shows that a subjunctive is used in this construction, as this is the only verb with a distinctive first person plural *Konjunktiv I* form.

**ii.** the imperative of *lassen*. This construction is rather formal:

Lass uns jetzt ganz langsam gehen! Lasst uns dankbar sein!

Lassen Sie uns doch noch ein Glas Wein trinken!

iii. the modal auxiliary wollen:

Wir wollen doch noch ein Glas Wein trinken!

**iv.** Questions with *wollen*, e.g. *Wollen wir jetzt nach Hause gehen*? have the force of a suggestion, rather like English 'Shall we ...?' (see 17.7.1b).

#### g. Commands and requests in the third person

We use these, for instance, to ask someone else to tell a third person to do something, as in English 'Let/Have her come in', or when issuing general instructions to anyone concerned.

i. Third person commands are most often expressed using the modal auxiliary sollen, see 17.6.1b:

Er **soll** hereinkommen

Let him come in/Tell him to come in

Sie **sollen** draußen bleiben

Tell them to stay outside

**ii.** Konjunktiv I is sometimes used in third person commands (see 16.7.6d):

Es **sage** uns niemand, es gebe keine Alternative mehr Let nobody tell us that there is no longer any (Augstein) alternative

Er **komme** sofort

Let him come at once

A generalised command (i.e. 'to whom it may concern') can be expressed by using *Konjunktiv I* with the pronoun *man*:

Man **schlage** 4 Eiweiß zu steifem Schnee

Beat 4 egg whites until stiff

These constructions with *Konjunktiv I* now sound stilted and rather old- fashioned. *sollen* is preferred for third person commands, and the infinitive for generalised commands and instructions (see (a) above).

iii. Konjunktiv I of the modal auxiliary mögen can express a command to a third person: Er möge sofort kommen (see 17.4.4). This usage is formal and rather old-fashioned.

#### h. A dass- clause in isolation can be used as a command

These are emotive in tone and are normally heard exclusively with the particle ja (see 10.19.3) and/or with an 'ethic' dative (see 2.5.3d):

Dass du mir (ja) gut aufpasst! Be careful for my sake

Dass ihr ja der Mutter nichts davon erzählt!

Just don't tell your mother anything about it

## 16.3 The Subjunctive Mood: General

Although the subjunctive mood is widely used in modern German, some forms and uses are nowadays restricted to formal written German, while others have become obsolete. Even educated native speakers are often uncertain and insecure about what constitutes 'good' or 'correct' usage, and there is often a gulf between what people think they **ought** to say or write and what they actually **do** say or write.

Few other aspects of German grammar have attracted so much attention from self- appointed guardians of the language and sundry pedants, and information in German grammar books and even teaching manuals for foreign learners is often at variance with actual usage. This does not make it easy to describe modern usage clearly for the English- speaking learner, but in the following sections we shall try to explain as accurately as possible how the subjunctive is actually used in modern German, concentrating on those usages which are most likely to be encountered in practice or needed when speaking and writing German, as follows:

- The forms and tenses of the subjunctive: *Konjunktiv I* and *Konjunktiv II* (section 16.4)
- The use of the subjunctive in **conditional** sentences (section 16.5)
- The use of the subjunctive in **indirect speech** (section 16.6)
- Other uses of the subjunctive (section 16.7)

## 16.4 Forms and Tenses of the Subjunctive

#### 16.4.1 The German Subjunctive has Two Main Sets of Forms: Konjunktiv I and Konjunktiv II

The forms of the subjunctive are traditionally referred to by the names of the tenses, e.g. present subjunctive (*er komme*), past subjunctive (*er käme*), perfect subjunctive (*er sei gekommen*), etc. However, the six forms of the subjunctive do not correspond to time differences in the same way as the tenses of the indicative, and these traditional terms are misleading. Many modern German grammars group the subjunctive forms into two sets which they call *Konjunktiv I* and *Konjunktiv II* as set out in Table 16.1, and these terms will be adopted here since they also make it

easier to explain how the subjunctive is used in German.

Table 16.1 The forms of Konjunktiv I and Konjunktiv II

Konjunktiv I	present subjunctive	es gebe
	perfect subjunctive	es habe gegeben
	future subjunctive	es werde geben
Konjunktiv II	past subjunctive	es gäbe
	pluperfect subjunctive	es hätte gegeben
	conditional	es würde geben

## 16.4.2 Konjunktiv I and Konjunktiv II Have Largely Distinct Uses

These have nothing to do with time or tense, and the so- called 'present subjunctive' and 'past subjunctive' can both refer to the present time, as the following examples show:

## (a) present subjunctive

Gisela sagt ihrer Mutter, sie **komme** um sechs in Berlin an Gisela is telling her mother that she is arriving in Berlin at six

The main use of the present subjunctive – and all the other *Konjunktiv I* forms – is to mark indirect speech, see 16.6.

## (b) past subjunctive

Wenn ich es jetzt wüsste, könnte ich es dir sagen

If I knew it now, I would be able to tell you

The main use of the past subjunctive – and all the other *Konjunktiv II* forms – is to indicate an unreal condition or a possibility, see 16.5.

## 16.4.3 Time Differences are Indicated by Using Compound Forms

The difference between present and past time is expressed in *Konjunktiv I* and *Konjunktiv II* by using the 'perfect' or 'pluperfect' subjunctives:

## a. The perfect subjunctive functions as a past tense in Konjunktiv I

Gisela sagt ihrer Mutter, sie **sei** um sechs in Berlin **angekommen** 

Gisela is telling her mother that she arrived in Berlin at six

## b. The pluperfect subjunctive functions as the past tense of Konjunktiv II

Wenn ich es damals **gewusst hätte**, **hätte** ich es dir sagen **können** 

If I had known it then, I would have been able to tell you

#### 16.4.4 The CONDITIONAL form with würde Often Replaces the Simple Past Subjunctive

Konjunktiv II has three forms:

Past subjunctive	Pluperfect subjunctive	Conditional
ich hätte	ich hätte gehabt	ich würde haben
ich ware	ich wäre gewesen	ich würde sein
ich käme	ich wäre gekommen	ich würde kommen
ich schliefe	ich hätte geschlafen	ich würde schlafen
ich machte	ich hätte gemacht	ich würde machen

The conditional form is often used instead of the simple past subjunctive, in exactly the same meanings and contexts, so that people say or write *ich würde schlafen* rather than *ich schliefe*. Which one is used depends on the individual verb involved and on register (i.e. whether we want to sound formal or informal). The use of the simple forms is still often encouraged by German school teachers and traditionalists as a mark of good style, but in practice they often sound stilted or archaic, and they are avoided. Modern usage can be summarised as follows:

## a. With weak verbs the simple form is only used if the subjunctive meaning is otherwise clear from the context

This is because their past subjunctive form is exactly the same as the past indicative. For example:

Wenn ich das Fenster **aufmachte**, **hätten** wir frische Luft im Zimmer If I opened the window, we would have some fresh air in the room

Although *aufmachte* could be ambiguous (in isolation we would have no way of knowing whether it is indicative or subjunctive), the clear *Konjunktiv II* form *hätte* in the other half of the sentence makes it clear that the whole sentence is to be understood as expressing possibility.

However, the past subjunctive forms of weak verbs are hardly ever used in everyday speech, which usually prefers the conditional: *Wenn ich das Fenster aufmachen würde*, hätten wir frische Luft im Zimmer.

Even in writing, the conditional is used if the subjunctive meaning is not otherwise clear from the context:

Bei der Hitze würde ich das Fenster aufmachen

With this heat I would open the window

## b. With the common irregular verbs only the past subjunctive form is usual

This applies in particular to *sein*, *haben*, *werden* and the modal auxiliaries. With these, the past subjunctive forms wäre, hätte, würde, könnte, müsste, etc. are preferred in <u>both</u> spoken <u>and</u> written German. The conditional forms würde sein, würde haben, etc. are quite infrequent in any register, unless there is a sense of 'future- in- the- past', see 16.4.5.

#### c. The past subjunctive forms of a few other common strong or irregular verbs are quite frequent

With the following verbs the past subjunctive forms and the conditional are roughly equally frequent in written German:

```
finden geben gehen halten heißen kommen lassen stehen tun wissen fände gäbe ginge hielte hieße käme ließe stünde täte wüsste
```

*käme*, *täte* and *wüsste* are also quite common in spoken German, as well as in writing, and those of the others in this group are sometimes heard, too.

## d. The past subjunctive forms of the other strong or irregular verbs are infrequent

In practice, they only ever occur in formal written German, and even there they are less common than the conditional forms, so that, for example, *sie würde schlafen* or *sie würden hier sitzen* are significantly more frequent than *sie schliefe* or *sie säßen hier* 

In fact, the past subjunctive forms of many less common strong verbs, in particular most of the irregular ones and others in -  $\ddot{o}$ - and -  $\ddot{u}$ - (e.g. *begönne*, *flösse*, *verdürbe*), are felt to be impossibly archaic, stilted and even comical. Many Germans do not even know the forms, and they are generally avoided even in writing. The forms which are no longer used in practice are given in italics in Table 12.12.

## e. Pluperfect forms with würde . . . haben/sein are unusual

The pluperfect subjunctive normally has *hätte* or *wäre* (depending on whether the verb forms its perfect tenses with *haben* or *sein*) together with a past participle:

Ich hätte geschlafenI would have sleptIch ware gekommenI would have come

The longer forms (e.g.: *ich würde geschlafen haben*, *ich würde gekommen sein*) are occasionally used, but they are much less common than the shorter forms with *hätte* or *wäre*, especially in writing.

#### 16.4.5 The Conditional is Often Used in the Sense of a Future- in- the- Past

i.e. where the speaker or writer is looking forward within a narrative in the past tense, e.g.:

Er wusste viel besser als Chénier, dass er keine Eingebung **haben würde**; er hatte nämlich noch nie eine gehabt (Süβkind)

He knew much better than Chénier that he would not have an inspiration; because he had never had one

Ich beschloss, sobald ich groß **sein würde**, Spengler zu I decided I would read Spengler as soon as I was grown lesen (Dönhoff) up

Ich war sicher, dass ich den Job nicht **kriegen würde**I was sure I wouldn't get the job

The simple past subjunctive is not normally used in contexts of this type.

#### 16.5 Conditional Sentences

Typical CONDITIONAL SENTENCES consist of a subordinate clause, introduced by the conjunction *wenn* (= English 'if'), expressing a condition, and a main clause, expressing the consequence, as shown in Table 16.2:

Table 16.2 Conditional sentences

Condition	Consequence	
Wenn ich genug Zeit hätte,	käme ich gern mit	
If I had enough time	I would gladly come with you	
Wenn sie mich fragen würde,	würde ich ihr alles sagen	
If she asked me	I would tell her everything	
Wenn ich gewonnen hätte,	wäre ich nach Amerika gefahren	
If I had won	I would have gone to America	

## 16.5.1 Konjunktiv II is Used in Sentences which Express Unreal Conditions

a. he past subjunctive or conditional form of  $Konjunktiv\ II$  is used to express an unreal condition relating to the present

Wenn wir Zeit hätten, könnten wir einen Ausflug machen

If we had time, we would be able to go on an excursion

The Europeans would be relieved if England pulled out again

Wenn ich 200 000 Euro im Lotto gewinnen würde, würde ich eine Villa auf Teneriffa kaufen

If we had time, we would be able to go on an excursion

The Europeans would be relieved if England pulled out again

If I won 200,000 euros in the lottery I would buy a villa on Tenerife

Konjunktiv II is used in <u>both</u> the wenn- clause <u>and</u> the main clause in German. This contrasts with English, which uses the past tense in the 'if'- clause, and the conditional (with 'would') in the main clause. Either form – past subjunctive or conditional – can be used in German in either of the clauses. Which one is used depends on register and on the individual verb used, as explained in 16.4.4.

Stylists have long argued that sentences with two *würde*- forms should be avoided as they are clumsy. However, this prescription is widely ignored in both spoken and written German, especially if the simple *Konjunktiv II* forms of the verbs involved are obsolete or not used, as in the last example above and the following:

Was **würden** wir sagen, wenn die Gletscher immer größer und die Eiskappen am Süd- und Nordpol

What would we say if the glaciers kept on getting bigger and the ice- caps on the South and North

immer dicker würden? (MM)

Nevertheless, all things being equal, the most common pattern in conditional sentences is with a simple *Konjunktiv II* form to be used in the *wenn*-clause and a *würde*- form in the main clause:

Ich würde den schönen Top kaufen, wenn ich genug Geld hätte

I would buy that nice top if I had enough money

## b. Conditional sentences with the pluperfect subjunctive express a hypothetical possibility in the past

The pluperfect subjunctive is used in both the *wenn*- clause and the main clause:

Wenn ich es nicht mit eigenen Augen **gesehen hätte**, hätte ich es nicht **geglaubt** 

Wenn mich jener Anruf nicht mehr erreicht hätte, wären wir einander nie begegnet (Frisch)

Es **wäre** besser für mich **gewesen**, wenn ich **hätte** absagen **können** (*Böll*)

If I hadn't seen it with my own eyes, I wouldn't have believed it

If that call hadn't reached me, we would never have met

It would have been better for me if I had been able to refuse

# c. Time differences between the main clause and the *wenn*-clause can be indicated by using the past subjunctive/conditional forms or the pluperfect forms as appropriate

Wäre Sarkozy schon im ersten Wahlgang gewählt worden, würde die französische Bevölkerung schon jetzt das Datum kennen

Ich **säße** hier nicht auf demselben Stuhl, wenn wir bisher diesen Punkt nicht **erreicht hätten** (*Zeit*) If Sarkozy had been elected in the first ballot the French people would already know the date

I wouldn't be sitting here in the same chair if we hadn't already reached this point

## d. Other auxiliary verbs used in sentences expressing unreal conditions

**i.** The *Konjunktiv II* of *sollen* is often used in the *wenn*-clause. These normally point to the future, and the meaning is similar to using 'should' or 'were to' in English:

Wenn sie mich **fragen sollte**, würde ich ihr alles sagen

Er hält sich bereit, aus der Bodenluke zu springen, wenn sich nachts ein Auto der Sägemühle **nähern sollte** (*Strittmatter*) If she were to ask me, I would tell her everything

He is ready to jump out of the skylight if a car should approach the sawmill at night

**ii.** The *Konjunktiv II* of *wollen* also occurs frequently in the *wenn*-clause, often with only a faint suggestion of its basic meaning of 'want', 'intend':

Wenn du schneller **arbeiten wolltest**, könntest du mehr verdienen

If you worked a bit faster you could earn more

Wie wäre es, wenn wir ihr helfen wollten?

What about us helping her?

It is particularly common in formal written German if the conjunction wenn is omitted (see 16.5.3a):

Es würde uns zu lange aufhalten, **wollten wir** alle diese Probleme ausführlich behandeln

It would detain us too long if we were to treat all these problems in detail

iii. The *Konjunktiv II* of *tun* is common in colloquial speech instead of *würde*, especially in the South, see 13.3.1d. This usage is not accepted as standard:

### 16.5.2 The Indicative is Used in Conditional Sentences Which Express 'Open' Conditions

i.e. where there is a real possibility of the conditions being met. These correspond to conditional sentences without 'would' in English. In English, the future tense is the norm in the main clause of such sentences, but in German the present is at least as frequent, see 14.2.3:

Wenn sie immer noch krank **ist**, **muss** ich morgen allein kommen

If she's still ill, I'll have to come on my own tomorrow

Wenn ich ihr jetzt **schreibe**, **bekommt** sie den Brief morgen

If I write to her now, she'll get the letter tomorrow

Wenn wir jetzt **losfahren**, **werden** wir schon vor zwölf in Augsburg **sein** 

If we set off now, we'll be in Augsburg by twelve

If one half of a conditional sentence is seen as hypothetical, but the other as factual, the first can be in the subjunctive and the second in the indicative:

Was würdet ihr tun, wenn ihr mitbekommt, dass euer Freund mit anderen Frauen simst?

What would you do if you find out that your boyfriend has been texting other women?

With the past tense, the sense is that the conditions have been met, and *wenn* typically is very close to the meaning 'whenever', see 19.3.1e:

Wenn meine Eltern mir Geld **schickten**, **kaufte** ich mir sofort etwas zum Anziehen

If/Whenever my parents sent me money I immediately bought something to wear

#### 16.5.3 Alternative Forms for Conditional Sentences

A typical conditional sentence has a *wenn*- clause and a main clause, as shown in Table 16.2, but there are a number of possible variations on this pattern.

#### a. The conjunction wenn can be omitted

If this is done, the subordinate clause begins with the verb:

Hätte ich Zeit, käme ich gern mit

Ist sie krank, muss ich morgen allein kommen

If I had time, I should like to come with you
If she's ill, I'll have to come on my own tomorrow

**Sollte** ich nach Berlin kommen, würde ich sie sicher besuchen

If I should get to Berlin I'd be sure to visit her

This construction can be compared to the similar, rather old-fashioned English construction, e.g. 'Had I time, ...'. In German it is commoner in formal writing than in speech, and it is very often used in legal or quasi-legal contexts. Occasionally, the main clause comes first:

Das Bild wäre unvollständig, **würden** nicht die vielen Gruppen erwähnt, die den *groups* Einwanderern das Leben leichter machen (*FR*)

The picture would be incomplete if the many were not mentioned who make life easier for the immigrants

## b. If the *wenn*- clause comes first in the sentence, it can be picked up by so or dann at the start of the main clause

This 'correlating' so or dann is optional, but quite common:

Wenn ich Zeit hätte, (so/dann) käme ich gern mit Wenn ich ihr heute schreibe, (so/dann) bekommt sie den Brief morgen

This is particularly frequent if wenn is omitted (see (a) above):

Hätte ich Zeit, (so) käme ich gern mit

Ist sie krank, (so) muss ich morgen allein kommen

Sollte ich nach Berlin kommen, (so) würde ich sie sicher besuchen

### c. The condition may appear in another form than in a wenn-clause

e.g. in an adverbial or another kind of clause. In such contexts Konjunktiv II signals a hypothetical condition:

Dieser Unbekannte würde mich wahrscheinlich besser verstehen (Böll)

This stranger would probably understand me better

Ohne die Notlandung in Tamaulipas ware alles anders But for the emergency landing in Tamaulipas gekommen (Frisch)

everything would have turned out differently

Wer diese Entwicklung vorausgesehen hätte, hätte viel Geld verdienen können

Anyone foreseeing this development would have been able to make a lot of money

In some sentences the condition is implicit:

Lieber **bliebe** ich zu Hause (i.e. an deiner Stelle) Ich hätte dasselbe getan (i.e. wenn ich die Wahl hätte) I would have done the same

I would rather stay at home

#### d. Other conjunctions used in conditional sentences

wenn is the predominant conjunction in conditional sentences, but there are other possibilities:

i. falls 'if' unambiguously introduces a condition.

wenn, which can also mean 'when(ever)' (see 19.3.1e), and falls can be useful to make the sense clear in contexts where a misunderstanding would be possible. A sentence like:

Wenn ich nach Berlin komme, besuche ich sie

could mean 'When(ever) I get to Berlin I visit her' or 'If I get to Berlin I shall visit her'. But Falls ich nach Berlin komme, besuche ich sie can only mean 'If I get to Berlin I shall visit her'.

ii. falls is most often used to introduce 'open' conditions, with the indicative (see 16.5.2), although it does occasionally occur with Konjunktiv II, and it is particularly frequent with sollte:

Sie kann niemanden ins Oberhaus befördern lassen. **falls** er einen unsicheren Wahlkreis vertritt (FAZ)

Falls diese Hinweise zuträfen, wäre das eine eindeutige Verletzung der Abmachungen (MM)

Man hielt eine Ratskonferenz für denkbar, jedoch nur, A meeting of the Council was considered falls Frankreich dem Haushalt die Zustimmung verweigern sollte (FAZ)

She cannot elevate anybody into the Upper House if he hasn't got a safe seat

If these indications were correct, that would be a clear infringement of the agreements

conceivable, but only if France should refuse to give its consent to the budget

Even if it is used with the subjunctive, it still leaves the possibility open that the consequence can be realised - unlike wenn, which can indicate a completely hypothetical and unfulfillable condition.

iii. angenommen, dass ..., vorausgesetzt, dass ... 'assuming that', 'provided that' mainly introduce open conditions.

Angenommen, dass er den Brief erhalten hat, wird er Assuming he got the letter, he'll be here soon bald hier sein

Vorausgesetzt, dass nichts dazwischen kommt,

Provided that all goes well, we'll be moving to Graz in the spring

#### in the spring

The dass can be omitted, and then the following clause has the word order of a main clause, e.g. Angenommen, er hat den Brief erhalten, wird er bald hier sein.

iv. sofern and soweit are used in the sense of 'if' or 'provided that' in open conditions:

**Sofern/Soweit** es die Witterungs- bedingungen erlauben, findet die Aufführung im Freien vor der alten Abtei statt

If weather conditions permit, the performance will take place in the open air in front of the old abbev

**v.** selbst wenn, auch wenn, sogar wenn, wenn . . . auch all correspond to English 'even if'. For example, the German equivalent of 'Even if I wrote to him today, he wouldn't get the letter until Tuesday', could be any of the following:

**Selbst wenn** ich ihm heute schriebe,

Auch wenn ich ihm heute schriebe

würde er den Brief erst Dienstag bekommen

Sogar wenn ich ihm heute schriebe,

Wenn ich ihm auch heute schriebe,

**or** with *wenn* omitted, in formal written German only (often with an optional *doch* in the main clause):

Schriebe ich ihm auch heute, würde er den Brief (doch) erst Dienstag bekommen

vi. es sei denn, (dass) . . . 'unless' is chiefly used in 'open' conditions.

The dass can be omitted, and then the following clause has the word order of a main clause:

Ich komme um zwei, **es sei denn**, ich werde ich aufgehalten werde

I'll come at two, unless I'm held up aufgehalten/dass

In old- fashioned literary usage *denn* on its own can have this meaning:

"Ich lasse dich nicht fort", rief sie, " du sagst mir denn, was du im Sinn hast" (*Wiechert*)

'I shan't let you go', she cried, 'unless you tell me what you have in mind'

**vii.** wenn . . . nicht is the most frequent equivalent for English 'unless'. It is used with open or unreal conditions, in the latter case with *Konjunktiv II*:

Wenn er nicht bald kommt, wird es zu spät sein Unless he comes soon, it will be too late Er hätte es nicht gesagt, wenn er nicht schuldig ware He wouldn't have said it unless he was guilty

In some contexts, wenn . . . nicht can mean 'if not'. Compare:

Du brauchst die Suppe nicht zu essen, wenn du sie wirklich nicht magst

You needn't eat the soup if you really don't like it

## 16.6 Indirect Speech

## 16.6.1 Indirect and Direct Speech

a. In indirect speech we report what someone said by putting it into a sentence of our own

This is sometimes called 'reported speech'. It contrasts with direct speech, where we quote what someone said in

the original spoken form. Compare the following English examples:

**Direct speech**: She said, 'I am writing a letter'

Indirect speech: She said that she was writing a letter

There are marked differences in English between direct and indirect speech. In particular, we put what was said in a subordinate clause of its own, often introduced by 'that', the pronoun can be altered (especially from the first person to the third person) and the tense is shifted to the past.

#### b. In German, instead of shifting the tense, forms of Konjunktiv I mark indirect speech

Direct speech: Sie sagte: "Ich schreibe einen Brief"
Indirect speech: Sie sagte, dass sie einen Brief schreibe

This is the most important use of  $Konjunktiv\ I$  – so much so that  $Konjunktiv\ I$  on its own is often enough to indicate indirect speech.

However, the use of the subjunctive to mark indirect speech varies considerably. It is used much less in informal registers, and there is much uncertainty among native speakers about correct usage.

The conjunction *dass*, like English 'that', can be left out after the verb of saying, see 19.2.1b. In this case, the following clause has the order of a main clause, with the verb in second place (see 21.1.1a), e.g. *Sie sagte, sie schreibe einen Brief*.

## 16.6.2 Standard Rules for the use of the Subjunctive in Indirect Speech

All modern grammars of German prescribe the following rules, as summarised with examples in Table 16.3, as correct in formal writing.

Table 16.3 Konjunktiv I and Konjunktiv II in indirect speech – standard rules

Rule	Tense of direct speech	Direct speech	Indirect speech
Rule 1:	present	"Sie weiß es"	Er sagte, sie wisse es
Use Konjunktiv I	a. Province contr	She knows it'	He said she knew it
in indirect speech,	past	"Sie wusste es"	Er sagte, sie habe es gewusst
keeping the same	8330	'She knew it'	He said she had known it
tense as in the origi-	perfect	"Sie hat es gewusst"	Er sagte, sie habe es gewusst
nal direct speech	1075-1000 F0 F0 F0	'She knew/has known it'	He said she had known it
	future	"Sie wird es wissen"	Er sagte, sie werde es wissen
		'She will know it'	He said she would know it
Rule 2:	present	"Sie wissen es"	Er sagte, sie wüssten es
If the Konjunktiv I		'They know it'	He said they knew it
form is the same as	past	"Sie wussten es"	Er sagte, sie hätten es gewusst
the indicative, use	100000	'They knew it'	He said they had known it
Konjunktiv II	perfect	"Sie haben es gewusst"	Er sagte, sie hätten es gewusst
	Detector to toknow	'They knew/have known it'	He said they had known it
	future	"Sie werden es wissen"	Er sagte, sie würden es wissen
		'They will know it'	He said they would know it

#### a. Rule 1: Konjunktiv I is used to mark indirect speech wherever possible

i.e. as long as the forms of *Konjunktiv I* are clearly distinct from those of the present indicative tense.

- i. In practice, for all verbs except *sein*, this is the case only in the third person singular, where the *e* ending of *Konjunktiv I* (e.g. *sie schreibe*) contrasts with the present indicative ending *t* (e.g. *sie schreibt*)
- **ii.** The basic principle is that the same tense of *Konjunktiv I* is used for the indirect speech as was used in the indicative in the original direct speech, as shown in the examples in Table 16.3.

The only exception to this principle is that if the original direct speech was in the past or the pluperfect tense, the perfect subjunctive is used in indirect speech. In this way the following sentences of direct speech:

"Ich wusste es nicht" "Ich habe es nicht gewusst" "Ich ha

"Ich hatte es nicht gewusst"

are all converted into indirect speech as Sie sagte, sie habe es nicht gewusst.

NB: Complex pluperfect forms are sometimes used if the original direct speech was in the pluperfect, e.g: Sie sagte, sie habe es nicht gewusst gehabt. Such constructions are not considered acceptable.

iii. If the present tense of the original direct speech refers to the future (see 14.2.3), the future subjunctive is often used in indirect speech, as an alternative to the present subjunctive. In this way, there are two possibilities for converting the following sentence into indirect speech:

"Sie heiratet bald"

 $\rightarrow$ 

Sie sagte, sie heirate bald *or* Sie sagte, sie werde bald heiraten

### b. Rule 2: If the form of Konjunktiv I is the same as that of the indicative, Konjunktiv II is used

The principle underlying this **replacement rule** is that indirect speech should be marked by a distinct subjunctive form if possible. This is typically needed in the third person plural, where only *sein* has a *Konjunktiv I* form (*sie seien*) which differs from the form of the present indicative (see Table 12.9).

For example, to turn the sentence "Wir wissen es nicht" into indirect speech, we cannot use the Konjunktiv I form sie wissen, because it is not different from that of the present indicative. It is replaced by the Konjunktiv II form: Sie sagten, sie wüssten es nicht. Table 16.3 gives more examples of the application of this rule for the other tenses.

# c. The standard rules for the use of the subjunctive in indirect speech are adhered to with particular consistency in newspapers

By using *Konjunktiv I* we can indicate that we are simply reporting what someone else said, without committing ourselves to saying whether we think it is true or not. This makes it a handy device for journalists (especially when reporting politicians?!) and newspapers make wide use of it:

Der Bundespressechef verwies darauf, dass in den kommenden Gesprächen noch manches verfeinert werden **könne** (*FAZ*)

Mehdorn erklärte, die Bahn **könne** das Betriebsrisiko nicht übernehmen, auch wenn es zusätzliche öffentliche Mittel für den Bau der Strecke **gebe** (*Presse*) The Federal information officer pointed out that some things could be refined in future discussions

Mehdorn explained that the Federal Railways could not take on the operational risks even if there were additional public funds to build the line.

Konjunktiv I is such a clear indication of indirect speech that it can be used on its own to show that a statement is simply reported. This means that in German we can often dispense with the repeated cues like 'He said that . . .', 'He went on to say that . . .' which we usually need in English. Almost any newspaper report provides examples of how this possibility is exploited:

Der Regierungssprecher bedauerte die Veröffentlichung. Die Dokumente **seien** "auf illegale Art und Weise an die Öffentlichkeit gelangt". Die robusten und festen Beziehungen zu den USA **würden** aber in "keiner Weise" betrübt. Es **gebe** eine in Jahrzehnten gewachsene tiefe Freundschaft, die auf gemeinsamen Werten **beruhe** und durch die Publikation "nicht ernsthaft beschädigt wird". Passagen über deutsche Politiker **hätten** eher das "Niveau des Lästerns". (*SZ 30.11.2010*)

Note the alternation of *Konjunktiv I* and *Konjunktiv II* forms according to the 'replacement rule' and that, even in such a sequence of main clauses without any verb of saying the subjunctive on its own is enough to signal indirect speech. Interspersing a few stretches of direct speech in quotation marks, in the indicative, is also very typical in this style of presentation in newspapers.

# 16.6.3 Alternative Current Usage in Indirect Speech

where they occur most commonly. A summary is given for quick reference in Table 16.4.

Table 16.4 Indirect speech in spoken German

Formal writing <i>Konjunktiv I</i>	Everyday speech Indicative or Konjunktiv II	
Er sagte, sie wisse es Er hat gesagt, sie wüsste es	Er hat gesagt, sie weiß es	
Er sagte, sie habe es gewusst Er hat gesagt, sie hätte es gewusst	Er hat gesagt, sie hat es gewusst	

### a. Konjunktiv II is used rather than Konjunktiv I, even where a distinct Konjunktiv I form is available

i.e. in contexts – notably in the third person singular of most verbs except sein – where it is not required by the 'replacement rule' explained in 16.6.2b. This occurs:

i. in everyday speech:

Sie hat gesagt, sie **käme** heute nicht

She said she wasn't coming today

Sie hat gesagt, sie **hätte** es verstanden

She said she had understood it

Sie hat gesagt, sie **würde** den Brief noch heute

schreiben

She said she'd get the letter written today

In spoken German *Konjunktiv II* is an alternative to the indicative (see (c) below), and it is often preferred when the main verb is in the past tense. *Konjunktiv II* also tends to be used if there is a longer stretch of indirect speech covering more than one sentence:

Er sagt, er hat eben einen neuen Wagen gekauft. Der **hätte** über 80 000 Euro gekostet und **hätte** eine Klimaanlage

He says he's just bought a new car. It cost more than 80,000 euros and it's got air-conditioning

Konjunktiv I can sound very stilted and affected in informal registers and it is rarely used in colloquial German. The only exception is that forms of sein are occasionally heard, but these often imply that the speaker has doubts. If someone says Gertrud hat mir gesagt, sie sei heute krank it often indicates that s/he thinks that Gertrud might not have been telling the whole truth.

**ii.** in writing: *Konjunktiv II* is less usual than *Konjunktiv I*, but it is by no means unknown.

Sie sagte, ihr Vater **schliefe** erst gegen Morgen richtig *She said that her father didn't get to sleep properly* ein und **würde** bis neun im Bett **bleiben**, und sie till the morning and he would stay in bed till nine müsse den Laden aufmachen (Böll) and that she had to open the shop

Tante Sissi schrieb uns, es gehe Onkel Heinrich nicht Aunt Sissi wrote telling us that Uncle Heinrich gut und sie säße oft an seinem Bett (Dönhoff) wasn't well and she often sat at his bedside

('Standard' usage in the above examples would be schlafe, bleibe and sitze.)

## b. The conditional with würde is used in place of the past subjunctive form of Konjunktiv II

The use of *würde* in indirect speech as a substitute for the simple past subjunctive is potentially confusing for English speakers, as they could interpret it as having the same meaning as an English conditional with 'would'.

**i.** The use of *würde* is frequent in colloquial spoken German, especially since the simple past subjunctive is restricted to a few common verbs (see 16.4.4):

Er sagte, ich würde zu schnell reden

He said I talk too fast

Er wirft mir vor, ich würde ihm nicht vertrauen.

He tells me off, saying I don't trust him

- **ii.** In writing the use of the conditional in indirect speech is frowned on by purists, but it does occur, most often with those strong verbs whose simple *Konjunktiv II* forms are obsolete, or with weak verbs (see 16.4.4).
  - It can be used for a *Konjunktiv II* required by the 'replacement rule':

Immer häufiger, berichtet Professor N. von der Uni Hamburg, würden Studenten abends oder nachts **jobben**. Tagsüber seien sie dann furchtbar erschöpft (*Spiegel*)

Sieben Leser gaben an, sie **würden** regelmäßig Fachzeitschriften **lesen** (*MM*)

Professor N. from the University of Hamburg reports that more and more often students take on casual work in the evenings or at night. During the day they are then terribly exhausted, he said

Seven readers declared that they regularly read specialist journals

(The conditionals are used here rather than the ambiguous past subjunctive of *jobben* (i.e. *jobbte*) or the obsolescent *läsen*.)

• It can be used even where a distinct *Konjunktiv I* form is available:

Gleichzeitig informierte man die Presse, die Polizei würde auch die Namen zweier Komplizen kennen (*Horizont*)

At the same time the press was informed that the police also knew the names of two accomplices

(The past subjunctive *kennte* is obsolete, but by the standard rule one would expect the unambiguous *Konjunktiv I* form *kenne*.)

• It can be used in place of the *Konjunktiv I* form *werde* if the meaning is 'future- in- the- past' (see 16.4.5):

Er glaubte, er würde schon eine Lösung finden

He thought he would surely find a solution

In practice, this last usage is very frequent, and it is accepted in formal writing even by the most fastidious stylists.

### c. The indicative is used rather than the subjunctive

If the main verb is in the past tense, the verb in indirect speech is usually in the tense of the original direct speech. However, it is sometimes shifted to the past tense, as in English. The indicative is used:

i. in spoken German:

Sie hat gesagt, sie **weiß** es schon Sie hat gesagt, sie **hat** es verstanden Sie hat gesagt, sie **wird** den Brief noch heute schreiben She said she knew it already
She said she had understood it

She said she'd write the letter today

In practice, the indicative is the most frequent alternative in informal registers, although *Konjunktiv II* also occurs (see (a) above).

- **ii.** in writing. There are a few contexts where the indicative is fairly regular in indirect speech in written German, as a permissible alternative to the subjunctive, i.e.:
  - if the indirect speech is in a clause introduced by dass:

Der Kanzler erklärte, dass er zu weiteren Verhandlungen bereit **ist/war** Es wurde erzählt, dass der Verwalter ihnen persönlich das Mittagessen **auftrug** (*Wiechert*) The Chancellor declared that he was prepared to enter into further negotiations It was recounted that the administrator served them lunch in person

If dass is included, the indicative is almost as frequent as the subjunctive even in written German.

However, if *dass* is omitted (see 19.2.1b), then the subjunctive is regarded as obligatory in writing: *Der Kanzler erklärte, er sei zu weiteren Verhandlungen bereit*.

• if a **first** or **second person** is involved:

Er sagte ihr, von wo ich gekommen **bin**Er hat mir erzählt, dass der Fluss hier tief **ist**In deinem letzten Brief hast du mir geschrieben, seine Tochter **studiert** schon vier Semester in Hamburg

He told her where I had come from
He told me that the river was deep here
In your last letter you wrote that his daughter
had already been studying in Hamburg for four
semesters

The function of *Konjunktiv I* is to distance the speaker from what is being reported, i.e. to make it clear that s/he isn't willing to vouch for whether it is true or not. For this reason it may not make sense to use it in contexts where the speaker or the listener is directly involved.

• if the 'replacement rule' (see 16.6.2b) is ignored:

Die Verfügung des letzten deutschen Kaisers besagte, dass im Ruhrgebiet weder Universitäten noch Kasernen gebaut werden **dürfen** (v. d. Grün) The decree by the last German emperor declared that neither universities nor barracks were allowed to be built in the Ruhr

The standard rule would require *dürften*, as the form *dürfen* is identical with the indicative and not a clear subjunctive. However, these ambiguous third person plurals are not unusual. They are sometimes used, too, if the 'replacement rule' produces an obsolete past subjunctive form, as in the following example:

Der Unterhändler sagte, er hoffe, dass die Vernunft siege und Verhandlungen **beginnen**  The negotiator said he hoped that reason would prevail and talks would begin

Applying the replacement rule would result in the obsolete form *begönnen*.

• for stylistic reasons, to render the flavour of colloquial speech:

Seit der Wende denken die Nazis, sie **bestraft** ohnehin keiner

Since unification the Nazis have thought that nobody would punish them anyway

# d. There is no consistent distinction in meaning between Konjunktiv I, Konjunktiv II and the indicative when used in indirect speech

Some authorities have claimed that there is a difference between the three possible forms, i.e.:

- i. Manfred sagte, dass er krank gewesen sei
- ii. Manfred sagte, dass er krank gewesen wäre
- iii. Manfred sagte, dass er krank gewesen ist

According to this theory, (i) *Konjunktiv I* is used merely to report Manfred's statement neutrally, without offering any personal opinion as to whether it is true or false. Using (ii) *Konjunktiv II*, on the other hand, would make it clear that the speaker thinks Manfred's statement is untrue, while in (iii) the speaker's use of the indicative would acknowledge that it is a fact that he had been ill.

However, although some writers may try to operate with such a distinction, it is never consistently maintained. In practice the use of the three forms is determined not by meaning, but by register, stylistic considerations and norms of usage, as outlined in this section.

### 16.6.4 Indirect Questions and Commands

a. Usage in indirect questions follows the same pattern as in indirect statements

i.e. as outlined in 16.6.2 and 16.6.3:

i. In written German Konjunktiv I (or Konjunktiv II, by the 'replacement rule') is used:

Sie fragte ihn, wie alt sein Vater sei

She asked him how old his father was

Der Lehrer fragte uns, ob wir wüssten, was das bedeute (Böll)

The teacher asked us if we knew what that meant

Die Dame fragte, ob denn die Typen einer bestimmten The lady asked whether the characters of a Sorte von Schreibmaschinen alle ununterscheidbar gleich wären (Johnson)

particular make of typewriter were all the same and indistinguishable from each other

As in statements, Konjunktiv II is sometimes used even if a distinct Konjunktiv I form is available: Sie fragte ihn, wie alt sein Vater wäre.

The indicative occasionally occurs in indirect questions in formal writing, although it is less frequent than the subjunctive:

Warum ich nicht fragte, ob Hanna noch lebt, weiß ich I don't know why I didn't ask whether Hanna (Frisch) was still alive nicht

ii. In spoken German, the indicative, *Konjunktiv II* or the *würde*- form are found:

Sie hat ihn gefragt, wie alt sein Vater ist/wäre

Tante Emma hat sie gefragt, ob sie Hunger hat/hätte

Der Lehrer hat gefragt, ob sie es wissen/wüßten/wissen würden

### b. Commands are reported in indirect speech by using a modal verb

Konjunktiv I is used in writing, but both Konjunktiv II and the indicative are frequent, and these are more usual in spoken German.

i. sollen is the most frequent verb in indirect commands, see 17.6.1b. Thus the direct command Rufe mich morgen im Büro an! would correspond to the indirect command:

Herr Hempel sagte ihr, sie solle/sollte/soll ihn morgen im Büro anrufen

Mr Hempel told her to call him at the office tomorrow

- ii. müssen indicates a rather more forceful command, e.g. Herr Hempel sagte ihr, sie müsse/müsste/ muss ihn (unbedingt) morgen im Büro anrufen
- iii. mögen sounds less peremptory. It is most often used in the Konjunktiv II form möchte: Herr Hempel sagte ihr, sie möchte ihn morgen im Büro anrufen. The Konjunktiv I form möge is also found, especially in literary registers. Elsewhere it can sound old-fashioned and stilted (or facetious):

der junge Kleiderverkäufer, der mich bat, ich möge doch auch bei ihm etwas kaufen (Biehl)

the young clothing salesman who asked me please to buy something from him, too

# 16.7 Other Uses of the Subjunctive

### 16.7.1 Hypothetical Comparisons: 'as if'- Clauses

a. Clauses expressing a hypothetical comparison are typically introduced by als ob in German

This corresponds to English clauses with 'as if':

Er tat, als ob er krank wäre Das Kind weint, als ob es Schmerzen hätte He acted as if he was/were ill The child is crying as if it is in pain There are one or two alternatives to using **als ob**:

i. The ob can be left out. The finite verb then moves into the position immediately after the als:

Er tat, als wäre er krank

Das Kind weint, als hätte es Schmerzen

This is more frequent than **als ob** in writing, but it is rare in speech.

ii. als wenn and wie wenn are less frequent alternatives to als ob:

Er tat, als wenn/wie wenn er krank wäre

Das Kind weint, als wenn/wie wenn es Schmerzen hätte

### b. The verb in German 'as if'- clauses is usually in a form of Konjunktiv II

i. If the action in the 'as if'- clause is simultaneous with the action in the main clause, the simple past subjunctive is used:

Er tat, als ob er krank wäre

Das Kind weint, als ob es Schmerzen hätte

The conditional can be used if the simple past subjunctive is obsolete or unusual (see 16.4.4). See also (c) below:

Sie hatten den Eindruck, als würde sich Diana um die They got the impression that Diana was trying for a Rolle in einem Kostümfilm bewerben (Spiegel) (*Simple* bewürbe *is obsolete*)

part in a period film

ii. If the action in the 'as if' - clause took place before the action in the main clause, the pluperfect subjunctive is used:

Sie sieht aus, als ob sie seit Tagen nicht gegessen

hätte

She looks as if she hasn't eaten for days

Er tat, als ob nichts passiert wäre

He acted as if nothing had happened

iii. If the action in the 'as if' - clause will take place after the action in the main clause, the conditional is used:

Es sieht aus, als ob es regnen würde

It looks as if it will rain

Es sah aus, als ob er gleich hinfallen würde

It looked as if he was about to fall down

# c. In written German, Konjunktiv I can be used in 'as if'- clauses

It is less frequent than Konjunktiv II even in writing, and some Germans even consider it incorrect. It can be used if its form is distinct from that of the present indicative:

Er tat, als ob er krank sei

Es sah aus, als **werde** er hinfallen

Sie sieht aus, als ob sie seit Tagen nicht gegessen habe

There is no difference in meaning between using Konjunktiv I and Konjunktiv II in 'as if' - clauses. Konjunktiv I is sometimes used, rather than a conditional (see (b) above), to avoid an obsolete or unusual past subjunctive form (see 16.4.4):

Der Eindruck, als befände sich die Partei auf dem Weg zurück in ihre beschwerliche Vergangenheit – als kämpfe sie nicht für die Überwindung akuter Probleme (*Zeit*)

The impression that the party was on the road back to its problematic past, that it wasn't fighting to overcome immediate problems

The Konjunktiv II form kämpfte is not distinguishable from the past tense, and so the writer has preferred to use Konjunktiv I – although s/he **did** use the past subjunctive *befände* earlier in the same sentence.

# d. In spoken German the indicative is commonly used in 'as if'- clauses

The indicative is at least as frequent as the subjunctive in spoken German, especially in the North (Austrians consider it a 'Prussianism') but it is much less common in writing. The ob is never omitted (see (a) above) if the verb is in the indicative.

Er tat, als ob er krank war

Es ist mir, als ob ich hinfallen werde

Sie sieht aus, als ob sie seit Tagen nicht gegessen hat

# 16.7.2 The Subjunctive in Clauses of Purpose

### a. Clauses with damit 'so that' sometimes have a verb in the subjunctive

*Konjunktiv I* or *Konjunktiv II* is used without any difference in meaning:

Konstantin musste als Erster über den Graben, um die Flinte in Empfang zu nehmen, damit sie nicht womöglich mir ins Wasser **fiele** (*Dönhoff*)

Einmal schickte Dionysos dem Aristippos drei Mädchen, damit er sich eine davon als Geliebte aussuchen könne (SZ)

Konstantin had to cross the ditch first to take hold of the shotgun so that I shouldn't let it drop into the water

Dionysus once sent three girls to Aristippos so that he could choose one of them as a lover

This usage is typical of elevated registers and can sound rather old-fashioned. The indicative is nowadays more frequent in all registers, e.g.:

Ich habe ihm auch Bücher gebracht, damit er sich nicht I brought him some books too, so that he didn't get langweilte und nicht immer gezwungen war, an seine Verschwörungen zu denken (Bergengruen)

bored and wasn't always compelled to be thinking of his plots

The modal verbs können or sollen are often used in damit- clauses, especially (but not only) in spoken German, e.g.

Dieses Jahr vermietete ein Hausbesitzer einen Teil des This year a house- owner rented out part of his roof to Dachs an einen Mobilfunkbetreiber, damit dieser dort eine Antenne aufstellen **konnte** (MM)

a mobile telephone company, so that it could set up an aerial there.

### b. The conjunction auf dass 'so that'

auf dass is an alternative to damit. It sounds archaic and solemn and is usually followed by a subjunctive (usually *Konjunktiv I* if the form is unambiguous):

Der Häuptling eines Eingeborenenstammes verfluchte sie, auf dass ihnen nichts von allem, was sie dem Boden und den Gewässern abgewinnen würde, je zum Nutzen **gereiche** (*Spiegel*)

The chief of a native tribe cursed them, that they might never derive benefit from anything they gained from the soil or the waters

### 16.7.3 Konjunktiv II can Moderate the Tone of an Assertion, a Statement, a Request or a Question

It sounds less blunt than the indicative. This usage is very frequent, especially in spoken German, and the conditional is often used in similar contexts with a similar effect in English. The simple past subjunctive of the common verbs is used, or the conditional form of others (see 16.4.4):

Eine Frage hätte ich doch noch Da wäre er nun aufgewacht

Ich würde auch meinen, dass es jetzt zu spät ist

Diese Sache hätten wir also geregelt

Das wär's für heute

Hätten Sie sonst noch einen Wunsch?

Würden Sie bitte das Fenster zumachen?

Könnten Sie mir bitte sagen, wie ich zum Bahnhof

komme?

There's one more thing I'd like to ask

He seems to have woken up It seems a little late to me, too That would appear to be sorted out I think that's enough for today

*Is there anything else you would like?* 

Would you be so kind as to shut the window?

Could you please tell me how to get to the station?

# 16.7.4 Konjunktiv II is Sometimes Used in Time Clauses Introduced by bis, bevor or ehe

The use of the subjunctive in these clauses is restricted to formal written German and is an optional (and much less usual) alternative to the indicative. It can stress that it was still in doubt whether the action or event in question would actually take place:

Sie beschlossen zu warten, bis er käme

Er weigerte sich, den Vertrag zu unterzeichnen, bevor wir He refused to sign the contract before we had made ihm weitere Zugeständnisse gemacht hätten

They decided to wait till he came

further concessions

# 16.7.5 The Subjunctive in Negative Contexts

Konjunktiv II can be used in contexts where an event, action or state was possible, but in fact did not take place or was not the case. The indicative is almost always a possible alternative, especially in speech, but it can sound less tentative. Such contexts are:

### a. After the conjunctions *nicht dass*, *ohne dass* and *als dass*

Nicht, dass er faul wäre (or: ist), aber er kommt in seinem Beruf nicht voran

Es wurden auch häppchenweise private Daten gesammelt, angeblich ohne dass das Unternehmen davon **wüsste** (**ist** would sound more definite)

Die Auswahl war zu klein, als dass ich mich hätte schnell entscheiden mögen (Grass)

Not that he's lazy, but he's not getting on in his career

Private data was also collected little by little – allegedly without the company knowing anything about it

The choice was too small for me to have wanted to decide quickly

NB:

The set phrase nicht dass ich (es) wüsste 'not that I know of' is always used with a subjunctive.

### b. In other subordinate clauses where the main clause and/or the subordinate clause have a negative element

So gab es keine menschliche Tätigkeit, die nicht von Gestank begleitet gewesen wäre (Süßkind)

Es gibt nichts, was schwieriger wäre (or: ist), als der Gebrauch des Konjunktivs

nicht eine einzige Großstadt, die nicht ihr Gesicht in zwei Jahrzehnten gründlich gewandelt hätte (Zeit) (hat would sound much more positive)

So there was no human activity which was not accompanied by stench

than the use of the subjunctive

not a single city that has not changed its appearance totally in twenty years

## c. In sentences with fast or beinahe

In these the pluperfect subjunctive can be used to emphasise that something almost happened, but didn't:

Er wäre (or: ist) beinahe hingefallen

Ich ware (or: bin) fast nicht gekommen

Wir hätten (or: haben) das Spiel beinahe gewonnen

He almost fell down

I nearly didn't come

We almost won the match

### 16.7.6 The Subjunctive in Wishes, Instructions and Commands

### a. Konjunktiv I can be used in the third person to express a wish

In modern German this is largely restricted to set phrases, e.g.:

Gott **segne** dich/dieses Haus! God bless you/this house!

Es **lebe** die Freiheit! Long live freedom!

Gott sei Dank! Thank God!

**Behüte** dich Gott! God protect you!

NB:

The use of the Konjunktiv I of mögen in wishes, e.g. Möge er glücklich sein! 'May he be happy!' is archaic.

### b. A conditional clause with Konjunktiv II can express a wish

The clause can have the form with or without *wenn*, see 16.5.3a. The force of the wish is often strengthened by adding *doch* and/or *nur* or  $blo\beta$  (see 10.7.6 and 10.26.1c):

Wenn er doch nur **käme**! If only he would come

Wenn er bloß fleißiger **arbeiten würde**! If only he would work harder! Wenn ich bloß/nur/doch zu Hause **geblieben wäre**! If only I'd stayed at home!

**Hätte** mein Vater doch dieses Haus nie **gekauft**! If only my father hadn't bought this house!

### c. The Konjunktiv I of sein or the sein- passive can be used in technical German to express a proposition

Gegeben sei ein Dreieck ABC

Given a triangle ABC

In diesem Zusammenhang **sei** nur darauf verwiesen, dass *In this context we merely wish to point out that this* 

diese Hypothese auf Einstein zurückgeht hypothesis goes back to Einstein

NB:

In mathematical contexts the indicative is nowadays at least as common as the subjunctive, e.g. Gegeben ist ein Dreieck ABC

### d. Konjunktiv I is used for commands or instructions in the third person and the first person plural

Also, **spielen** wir jetzt Karten! Well, let's play cards

Im Notfall wende man sich an den Hausmeister! In case of emergency please apply to the caretaker

Details are given in 16.2.2f/g.

# 17 The Modal Auxiliaries

SIX verbs are usually referred to as MODAL AUXILIARY verbs:

dürfen	mögen	sollen
können	müssen	wollen

They are called 'modal' verbs because they indicate the attitude of the speaker with regard to what is being said, expressing ideas like ability, possibility, permission, necessity, obligation and volition. This meaning is very similar to that of the MODAL PARTICLES (see Chapter 10) and the category of MOOD (see Chapter 16). They are referred to as 'auxiliary' verbs because they are mainly used with other verbs.

The modal auxiliary verbs are all irregular (see 12.1.3c), and their forms are given in full in Table 12.4.

This chapter explains the **uses** of the modal auxiliaries:

- Common features of all the modal auxiliary verbs (section 17.1)
- Individual modal auxiliary verbs, treated in alphabetical order (sections 17.2–17.7)

# 17.1 The Modal Auxiliaries: Form and Syntax

The modal auxiliary verbs have several special features which distinguish them from other German verbs, and from their English equivalents. The most important of these are listed briefly below and explained in the sections indicated:

- Their forms are quite **irregular** in similar ways (see 12.1.3c and Table 12.4)
- They have a full range of **tense** and **mood** forms (see 17.1.1)
- They are used with a 'bare' infinitive, without zu (e.g. Ich kann ihn sehen, see 13.3.1a and 17.1.2)
- Their **perfect tenses** are constructed with the **infinitive** (called in German the *Ersatzinifinitiv*), not with the past participle (e.g. *Ich habe ihn sehen können*, see 13.3.2a and 17.1.3)

### 17.1.1 The German Modal Auxiliaries Have a full Range of Tense and Mood Forms

In this they differ from the corresponding English verbs (*can*, *may*, *must*, etc.), which have at most only a present tense and a past tense (which often has conditional meaning). German *können*, for example, can be used in the future tense:

Er wird es morgen nicht machen können

He won't be able to do it tomorrow

English 'can' is impossible here, as it has no future tense, and we have to use the paraphrase 'be able to'. Similarly, there is a clear difference in German between the past tense *konnte*, which means 'was able to', and the subjunctive *könnte*, which means 'would be able to'. English 'could', on the other hand, is often used in either sense, depending on the context:

Ich **konnte** sie gestern nicht besuchen, weil ich keine Zeit hatte

I couldn't visit her yesterday, as I didn't have time

Ich **könnte** sie morgen besuchen, wenn ich das Auto nehmen dürfte

I could visit her tomorrow if you were to let me take the car

Because of this, the German modal auxiliaries can seem complicated for the English learner. But they are easy to master if the various combinations of tense and mood with a following simple or compound infinitive are treated independently and learned with their usual English equivalents. The examples in sections 17.2 to 17.7 are set out to facilitate this, and Table 17.1 illustrates the various possible combinations with *können*.

Table 17.1 The tenses and moods of können with an infinitive

Tense	Infinitive type	Example	
present	+ infinitive	Er kann es machen.	He can do it.
future	+ perfect infinitive + infinitive	Er kann es gemacht haben. Er wird es machen können.	He can have done it. He will be able to do it.
past	+ infinitive	Er konnte es machen.	He was able to do it.
perfect	+ infinitive	Er hat es machen können.	He has been able to do it.
pluperfect	+ infinitive	Er hatte es machen können.	He had been able to do it.
past subj.	+ infinitive	Er könnte es machen.	He could do it.
past subj.	+ perfect infinitive	Er könnte es gemacht haben.	He could have done it.
pluperf. subj.	+ infinitive	Er hätte es machen können.	He would have been able to do it.

### 17.1.2 The Modal Verbs are Followed by a 'bare' Infinitive, without zu

(see 13.3.1a). This is similar to the typical English equivalents of these verbs. As Table 17.1 shows, they can be followed by a simple or a compound infinitive:

Ich kann schwimmenI can swimDarf ich gehen?May I go?

Sie muss es **gesehen haben**She must have seen it

### 17.1.3 In the Perfect tenses, the Infinitive of the Modal Verbs is used Instead of the past Participle

Wir haben meinen Onkel nicht besuchen **können** We weren't able to visit my uncle

Ich habe es ihr versprechen **müssen**I had to promise her

Sie hätte das Buch lesen **sollen**She ought to have read the book

The past participle is used, however, if the modal auxiliary is used on its own, without another verb, see 13.3.2 and 17.1.5, e.g. *Ich habe es nicht gewollt*.

NB: The use of the past participle if the modal auxiliary has another infinitive with it, e.g. *Herbert hat arbeiten gemusst*, is not unknown in colloquial speech, but it is not accepted as standard.

### 17.1.4 The Position of the Modal Auxiliary and the Infinitive

For further information on word order and the modals, see 21.1.

### a. In main clauses the infinitive of the main verb is in final position

Darf ich heute Tennis **spielen**? May I play tennis today? Ich möchte das Buch gern **lesen** I would like to read that book

In compound tenses, the infinitive of the modal verb comes **after** the infinitive of the main verb at the end of the clause:

Sie wird morgen nicht **kommen können**She won't be able to come tomorrow

Sie hätte ihrem Mann doch **helfen sollen**She really ought to have helped her husband

# b. In infinitive clauses with zu, the modal verb comes after the infinitive of the main verb

i.e. at the **end** of the infinitive clause, with the infinitive particle zu coming between the main verb and the modal verb:

Es scheint **regnen zu wollen** 

It looks as if it's going to rain

Sie gab vor, meine Handschrift nicht lesen zu können She claimed not to be able to read my handwriting

c. In si	ubordinate	clauses, th	e modal	verb comes	after the	infinitive	of the	main v	erb at t	the end	of the	clause
----------	------------	-------------	---------	------------	-----------	------------	--------	--------	----------	---------	--------	--------

Wenn Sie diesen Ring nicht kaufen wollen, . . . If you don't want to buy this ring, . . .

Obwohl ich gestern Abend **ausgehen durfte**, . . . Although I was allowed to go out last night, . . .

die Frau, die ich **besuchen sollte**the woman I ought to visit

If a modal verb is used in a compound tense in a subordinate clause, the tense auxiliary *werden* or *haben* comes **before** the two infinitives:

Obwohl ich ihn morgen **werde** besuchen können, . . .

Es war klar, dass er sich würde anstrengen müssen

Das Buch, das ich hätte kaufen sollen, kostete dreißig

Mark

Sie hat mir gesagt, dass sie es **hat** machen

müssen

Although I'll be able to visit him tomorrow

It was clear that he would have to exert himself

The book I ought to have bought cost thirty marks

She told me she had had to do it

NB: In Austrian usage, the tense auxiliary is frequently placed between the main verb and the modal verb, e.g. am Flughafen WienSchwechat, wo die Luftraumsperre von 0.00 Uhr bis 5.00 Uhr dauern hätte sollen (Standard). This usage is not accepted as standard outside Austria.

A similar order is usual with a passive infinitive

... weil der Vertrag hätte überprüft werden sollen ... because the contract should have been checked

If there are two modals in the clause, the finite one may come before **or** after the two infinitives:

. . . weil sie ihrem Bruder **müsste** helfen können/. . . . . . . . . because she should be able to help her brother weil sie ihrem Bruder helfen können **müsste** 

# 17.1.5 The Omission of the Main verb After the Modal Auxiliaries

The infinitive of the main verb can be left understood and omitted in the following contexts:

#### a. The main verb is a verb of motion

i. If there is an adverbial or, very commonly, a separable prefix in the sentence which conveys the idea of movement, a specific verb of motion can be omitted after the modal verbs. This usage is especially common in colloquial speech, but it is found in writing, too:

Wo wollen Sie morgen hin? Where do you want to go tomorrow?

Ich will nach FrankfurtI want to go to FrankfurtIch sollte zu meinem OnkelI ought to go to my uncle'sIch kann heute Abend nicht ins KinoI can't go to the cinema tonightSie will ihm nachShe wants to go after himIch möchte jetzt fortI'd like to leave now

If the modal is at the end of the clause, a separable prefix is written together with it, e.g. Sie wissen ja, dass Sie jetzt zu Fuß nach Elberfeld zurückmüssen.

**ii.** The verb understood is usually *gehen*, *kommen* or *fahren*, as in the above examples, but other verbs can be omitted if the idea of movement is sufficiently clear from the adverbial or the prefix:

Er wollte über die Mauer [klettern]

He wanted to climb over the wall

Die Strömung war so stark, dass er nicht bis ans Ufer The current was so strong that he couldn't swim to [schwimmen] konnte the bank

**iii.** The omission of a verb of motion is most common with simple tenses of the modals, but it is also frequently found with the future and perfect tenses of *können* and *müssen*:

Er hat ins Geschäft gemusst He's had to go to work

Ich glaube schon, ich werde vorbeikönnen I think I'll be able to get past

#### b. The main verb is tun

Das kann ich nicht

I can't do that

Das darfst/sollst du nicht

You mustn't/ought not to do that

Was soll ich damit?

What am I supposed to do with it?

Ich kann nichts dafürI can't help itEr kann wasHe is very able

### c. The main verb has just been mentioned

This often corresponds to English usage. Optionally, *es* can be added to make it clear that a previous phrase is being referred to, see 3.6.1a:

Ich wollte Tennis spielen, aber ich konnte/ durfte (es) I wanted to play tennis, but I couldn't/wasn't allowed

ht

Der junge Spieler könnte niemanden erkennen, auch The young player wouldn't be able to recognize

wenn er es wollte anyone even if he wanted to

### d. In some idiomatic phrases

Ich kann nicht mehr [weitermachen] I can't go on

Was soll das eigentlich [bedeuten]? What's the point of that? Sie hat nicht mehr gewollt She didn't want to go on Er kann mich [am Arsch lecken] (vulg.) He can get stuffed No- one can touch me

### 17.1.6 In German two Modals can be used in the Same Sentence

Rechnen muss doch jeder können

Wir müssten hier spielen dürfen

We should be allowed to play here

Wie kannst du das nur machen wollen?

How can you want to do that?

### 17.2 Dürfen

# 17.2.1 Dürfen Most often Expresses Permission

# a. In this sense dürfen corresponds to English 'be allowed to' or 'may'

Sie dürfen hereinkommen

They may/can come in
They are allowed to come in

Sie durfte ausgehen, wenn sie wollte She was allowed to go out when she wanted to

Endlich durfte er die Augen wieder aufmachen At last he could open his eyes again

Sie wird erst heute Nachmittag mit uns spielen dürfen She won't be allowed to play with us till this afternoon

In English, 'can' often expresses permission and is often preferred to 'may', which can sound affected. *können* is sometimes heard for *dürfen* in everyday speech in this sense (see 17.3.4), but it is less common than English 'can'.

# b. Negative dürfen has the sense of English 'must not'

i.e. it expresses a prohibition (= 'not be allowed to'):

Sie **dürfen nicht** hereinkommen 
They mustn't come in

Aber ich darf mich nicht loben (Langgässer)

They're not allowed to come in

But I mustn't praise myself

Wir dürfen es uns nicht zu leicht machen (Brecht) We mustn't make it too easy for ourselves

Note that *nicht müssen* usually means 'doesn't have to', 'needn't', not 'mustn't', see 17.5.1c.

c. *Konjunktiv II* forms of *nicht dürfen* often correspond to English 'shouldn't', 'ought not to' *dürfen* keeps its basic sense of permission in such contexts and thus sounds more incisive than *sollen*, see 17.6.4a:

Das dürfte sie doch gar nicht wissen (it shouldn't be
She

allowed)

Er hätte so etwas nicht machen dürfen (someone should have forbidden it)

She ought not to know that

He ought not to have done anything like that

### d. dürfen is commonly used in polite formulas

It usually corresponds to English 'can' in such contexts. The tone is that of a polite request or a tentative suggestion:

Das **darf** als Vorteil betrachtet werden That can/may be seen as an advantage

Was **darf** sein? (in shop)

How can/may I help you?

Der Wein dürfte etwas trockener sein

The wine could just be a bit drier

Dürfte ich Sie um das Salz bitten?

Could I ask you to pass the salt?

Wir freuen uns, Sie hier begrüßen zu **dürfen**We are pleased to be able to welcome you here

### 17.2.2 Dürfen can Express Probability

The *Konjunktiv II* of *dürfen* expresses an assumption that something is likely:

Das **dürfte** reichen That'll be enough

Manchester United dürfte unser bisher schwerster Gegner Manchester United will probably be our most difficult

in der Champions League warden opponent so far in the Champions League

Das **dürfte** ein Vermögen gekostet haben That 'll have cost a fortune

This sense of *dürfen* is very close to that of the future tense with *werden* (see 14.4), or that of the modal particle *wohl* (see 10.35.1).

### 17.3 **Können**

# 17.3.1 Können is Most often used to Express Ability

Its usual English equivalents are 'can' or 'be able to':

Sie kann ihn heute besuchen
Ich konnte sie nicht besuchen
Ich habe sie nicht besuchen können
Ich werde sie morgen besuchen können
Ich könnte sie morgen besuchen, wenn
ich Zeit hätte

Ich hätte sie gestern besuchen können, wenn ich Zeit gehabt hätte She can/is able to visit him today

I couldn't visit her/I wasn't able to visit her

I'll be able to visit her tomorrow

I could visit her tomorrow if I had time

I would have been able to/could have visited her yesterday, if I'd had time

### 17.3.2 Können can have the Sense of Possibility

In this sense *können* usually corresponds to English 'may':

Das kann sein

Ich kann mich irren

I may be wrong

Er kann krank sein

He may be ill

### a. The use of können to express possibility is limited

In general *können* can only be used in this sense in contexts where it cannot be understood to mean 'be able to'. This is most frequently the case:

i. with a perfect or passive infinitive:

Er kann den Schlüssel verloren haben

He may have lost the key

Die Straße kann gesperrt sein

The road may be blocked

Er kann krank gewesen sein

He may have been ill

ii. in the Konjunktiv II form könnte (= English 'might' or 'could'), to indicate a remote possibility:

Sie **könnte** jetzt in Wien sein She could be in Vienna now
Wir **hätten** umkommen **können** We might/could have been killed

Er könnte krank sein

He might/could be ill

Er könnte krank gewesen sein

He might/could have been ill

iii. könnte can also be used to express a tentative request (see 16.7.3):

**Könnten** Sie mir bitte helfen? Could you please help me?

### b. Other German equivalents for English 'may', 'might'

Since *können* can only be used in the sense of possibility in contexts where it cannot be understood to mean 'be able to', we often need to express the idea of possibility in German in other ways, i.e.:

**i.** with the adverbs *vielleicht* or *möglicherweise*, or a paraphrase (e.g. Es ist möglich, dass . . .).

Compare the following possibilities for English 'He may be working in the garden':

Vielleicht arbeitet er im Garten

Es ist möglich, dass er im Garten arbeitet

Möglicherweise arbeitet er im Garten

- ii. Note that Er kann im Garten arbeiten can only mean 'He is able to work in the garden'.
- iii. In sentences with a negative, the phrasings given under (i) above can be used, or the sense of possibility can be made clear by adding *auch* to *nicht können* (see 10.4.1), especially if you are contradicting something just said. *nicht* is stressed in these contexts:

Sie kann auch <u>nicht</u> kommen
Möglicherweise kommt sie nicht
Er kann auch <u>nicht</u> krank gewesen sein
Vielleicht ist er gar nicht krank gewesen
Sie kann das Auto auch <u>nicht</u> gesehen
haben
Vielleicht hat sie das Auto gar nicht
gesehen

She may not come

He may not have been ill

nicht | She may not have seen the car

# 17.3.3 Können is used in the Meaning 'know' of Things Learnt

This applies especially to languages, school subjects, the rules of games, etc. In practice, *können* is a full verb in these contexts, not an auxiliary:

Er kann Spanisch He can speak Spanish

Ich **kann** die Melodie der österreichischen Nationalhymne

'I've learnt it')

**Kann** der Manfred Skat? Does Manfred know how to play Skat?

Ich kann den Trick

I know that trick

(i.e. 'I can do it'. Compare *Ich kenne den Trick* 'I've seen it before')

### 17.3.4 Können is Used to Express Permission

i.e. in the sense of *dürfen* (see 17.2.1) This usage is primarily colloquial:

**Kann** ich herein? *Can I come in*?

Du **kannst** den Bleistift behalten

You can keep the pencil

Even in colloquial German können is less frequent to express permission than is 'can' in English.

### 17.3.5 Können is used Less Often than English 'can' with Verbs of Sensation

The verbs 'see', 'hear', 'feel' and 'smell' are often used with 'can' in English without any real idea of being able. *können* is not necessary in German unless the idea of ability is being emphasised:

Ich sehe die KircheI can see the churchIch höre MusikI can hear music

Sie sahen die Stadt im Tal liegen They could see the town lying in the valley

# 17.3.6 German Equivalents for English 'I Couldn't help . . . '

There are a number of alternative possibilities, e.g., for English 'I couldn't help laughing':

- i. Ich musste einfach lachen
- ii. Ich konnte nicht anders, ich musste lachen
- iii. Ich konnte nichts dafür, ich musste lachen
- iv. Ich konnte nicht umhin zu lachen

Alternative (i) is the simplest and most usual in speech, although (ii) and (iii) are quite current. Alternative (iv) is restricted to formal registers.

### 17.3.7 Könnte . . . Gemacht Haben and Hätte . . . Machen Können

These two constructions have different meanings in German. The English equivalents for both are 'could have done' or

'might have done', but German makes distinctions which we ignore in English, e.g.:

Sie **könnte** den Brief nicht **geschrieben haben**She couldn't have written the letter (i.e. it isn't possible that it was she who wrote it)

Sie **hätte** den Brief nicht **schreiben können**She couldn't have written the letter (i.e. she wouldn't have been able to)

Er **könnte umgekommen sein**He might have been killed (i.e. it is possible that he was killed)

Er hätte umkommen können

He might have been killed (i.e. it was possible, but he wasn't)

# 17.4 *Mögen*

# 17.4.1 The Most Frequent Sense of Mögen is to Express Liking

# a. It most commonly occurs in the Konjunktiv II form möchte

This expresses a polite request and usually corresponds to English 'would like' or 'want'. It is often linked with the adverb *gern*:

Sie **möchte** (gern) nach Rom fahren She would like to go to Rome

Ich **möchte** nichts mehr davon hören

I don't want to hear any more about it
Ich **möchte** ihr Gesicht gesehen haben

I would have liked to see her face
Ich **möchte** nicht, dass er heute kommt

I don't want him to come today

The pluperfect subjunctive is also used occasionally in this sense, e.g.:

Baldini hätte ihn erwürgen mögen (Süßkind)

Baldini would have liked to strangle him

In general, though, German more often simply uses *gern* with the pluperfect subjunctive of the verb than this, e.g., for 'I would have liked to read the book', *Ich hätte gern dieses Buch gelesen*.

### b. Other tenses of mögen are used in the sense of English 'like'

i. As a full verb, on its own, it occurs most often (although not exclusively) in the negative, chiefly with reference to people, places and food:

Sie mag keinen Tee She doesn't like tea

Was sie an dem Rapper aus Berlin **mögen** What they like about the rapper from Berlin

Ich mag ihn nicht

I don't like him

Sie hat ihn nie gemocht

She never liked him

ii. With a following infinitive it is only used in the negative:

Wie es im Winter werden soll, daran mag er noch gar He doesn't want to think about what it's going to be

nicht denken (Zeit) like in winter

Ich **mag** das Wort gar nicht aussprechen

I don't even like saying that word out loud
Ich **mag** diese Fragen nicht beantworten (BILD)

I don't want to answer these questions

Er **mocht**e nicht allein an der Straße stehen (*Johnson*)

He didn't want to stand on the street alone

### 17.4.2 Mögen Sometimes Expresses Possibility or Probability

The use of *mögen* to express possibility is largely limited to formal written registers and set phrases (although it is more widely used in spoken South German). When it is used it tends to express a rather higher degree of probability than *können*, see 17.3.2.

### a. When indicating possibility *mögen* often has a concessive sense

i.e. there is an expected qualification by a following *aber* (which may or may not be present). This usage is similar to English 'That may well be (, but . . .)':

Das **mag** vielen nicht einleuchten, (aber . . .)

Das Tief **mag** über Italien weiterwandern und den Balkan einnässen. Wir aber fliegen dorthin, wo die Sonne scheint (*Grzimek*)

Eine Zeitlang **mochte** es scheinen, dass es gelänge, das Absinken der deutschen Währung abzubremsen, doch schien es nur so (*Heuss*)

That may not be clear to many, (but . . .)

The low may drift over Italy and make the Balkans wet. But we're flying to where the sun shines

For a time it might have appeared that the attempt to stop the German currency falling would be successful, but that appearance was deceptive

# b. In other contexts mögen indicates a reasonable degree of probability

i.e. somewhere between 'possible' and 'probable':

Sie mag/mochte etwa sechzig sein

Die parteipolitischen Attacken der Opposition **mögen** auch eine Rolle gespielt haben (*MM*)

An einem Sonntag im März – es **mochte** etwa ein Jahr seit seiner Ankunft in Grasse vergangen sein (Süβkind)

She is/was probably about sixty

The party political attacks of the opposition probably also played a part

On a Sunday in March – a year or so had probably gone by since his arrival in Grasse

### c. Some idiomatic phrases with *mögen* express possibility

The following set phrases are used in spoken German as well as in formal writing:

Das mag (wohl) sein That may well be Wer mag das (schon) sein? Who can that be?

Wie mag das (nur) gekommen sein? How can that have happened?

A few phrases with *möchte* convey a **doubt** or a supposition:

Ich möchte meinen, dass . . . I should think that . . .

Dabei möchte man verrückt werden

It's enough to drive you mad

könnte can be used for möchte in such contexts, but it sounds less tentative.

# 17.4.3 Mögen in Concessive Clauses

i.e. the German equivalent of English clauses like 'whatever/whoever that may be', etc. (see also 19.6.2). *mögen* can be used in these clauses in German, especially in writing:

Wann er auch ankommen mag, . . . Whenever he may arrive . . . Was auch immer geschehen mag, . . . Whatever happens . . . Whoever he may be . . .

Alternatively, the main verb can simply be used on its own, and in practice this is more frequent in less formal

registers, especially in spoken German:

Wann er auch **ankommt**, . . .

Was auch immer **geschieht**, . . .

Wer er auch **ist**, . . .

However, mögen is always used in the set phrase Wie dem auch sein mag 'However that may be'.

### 17.4.4 Mögen in Wishes and Commands

# a. Konjunktiv I of mögen can express a wish or a command in the third person

Möge er glücklich sein!

May he be happy!

Die Herren **mögen** bitte unten warten

Would the gentlemen be so kind as to wait downstairs?

This usage is limited to formal German and sounds old-fashioned, see 16.2.2g.

## b. The subjunctive of *mögen* is used in indirect commands

Sagen Sie ihr, sie **möchte** zu mir kommen

Ask her to be kind enough to come and see me

Er sagte mir, ich **möchte** einen Augenblick auf ihn

warten

He asked me to wait for him a moment

The *Konjunktiv I* of *mögen* (e.g. . . ., *sie möge zu mir kommen*) is also used in indirect commands in formal registers. For further details, see 16.6.4b.

## 17.5 *Müssen*

# 17.5.1 Müssen Most Often Expresses Necessity or Compulsion

a. The most frequent English equivalent is 'must', 'have (got) to'

Wir müssen jetzt abfahren

We must leave now/We have (got) to leave now

Wir werden bald abfahren müssen

We'll have to leave soon

Ich musste um acht abfahren

I had to leave at eight

Ich habe um acht abfahren müssen

Ich muss den Brief bis heute Abend geschrieben haben I've got to have the letter written by tonight

Wir **mussten** die Anträge bis zum 15. Januar **abgegeben** We had to have the applications handed in by the 15th **haben** of January

Sie muss sich beeilen, wenn sie den Zug erreichen will She'll have to hurry if she wants to catch the train

# b. With a passive infinitive or a passive equivalent, 'need' is sometimes a more natural English equivalent for müssen

Das **muss** gut überlegt werden

That needs thinking about properly

Man muss sich um sie kümmern

She needs looking after

### c. Negative müssen keeps the sense of necessity

- i. It usually has the sense of English 'needn't' or 'don't have to':
- ii. Wir müssen noch nicht gehen

We needn't go yet/We don't have to go yet

Er hat es nicht tun müssen

He didn't need to/didn't have to do it

Du musst nicht hier bleiben, du kannst auch gehen

You needn't stay here, you can leave

In practice *nicht brauchen* (see 13.2.5) is rather more frequent as *nicht müssen* in this meaning, e.g. *Du brauchst nicht hier zu bleiben*.

**iii.** English 'mustn't' expresses a prohibition, and usually corresponds in German to *nicht dürfen*, see 17.2.1b. *nicht müssen* is sometimes used in this sense in speech, e.g. *Sie müssen hier nicht parken* 'You mustn't park here', but this is considered to be a non-standard (northern) regionalism.

# 17.5.2 Müssen can Express a Logical Deduction

a. This corresponds to English 'must' or 'have to'

Sie spielt heute Tennis, also **muss** es ihr besser gehen She's playing tennis today, so she must be better

Das **muss** ein Fehler sein That must/has to be a mistake
Sie **muss** den Unfall gesehen haben She must have seen the accident

If *müssen* could be taken in context to express necessity where logical deduction is intended, the meaning can be made clear by using the adverb *sicher* rather than *müssen*, e.g.:

Er ist heute **sicher** in Frankfurt He must be in Frankfurt today

Er muss heute in Frankfurt sein would naturally be understood to mean 'He has to be in Frankfurt today'.

b. German uses the past tense *musste* with a simple infinitive to express a logical deduction in the past

In such contexts English uses 'must' with a compound infinitive:

Er schuftete, dass ihm heiß sein **musste** (*Grass*) He was working hard, so he must have been hot

c. A logical deduction can be queried by nicht brauchen

This is commoner than *nicht müssen*, e.g.: *Er war heute nicht im Büro*, *aber er braucht nicht deshalb krank zu sein* (less often: *aber er muss nicht* . . .)

d. A negative logical deduction is expressed by nicht können

This corresponds to English 'can't':

Sie spielt heute Tennis, also kann sie nicht krank sein She's playing tennis today, so she can't be ill

### 17.5.3 The Konjunktiv II of Müssen

a. The Konjunktiv II form müsste can express a possible compulsion or necessity, and in this sense it corresponds to English 'would have to' or 'need to':

Er weiß ja nicht, was er tut – ich **müsste** ja sonst meine He doesn't know what he's doing – otherwise I would Hand von ihm zurückziehen (Böll) have to disown him

Es sind Felsen, Gestein, wahrscheinlich vulkanisch, das *They are rocks and stones, probably volcanic, that* **müsste** man nachsehen und feststellen (*Frisch*) would need to be checked and established

In negative sentences the Konjunktiv II of nicht brauchen is more usual than that of nicht müssen, see 17.5.2c:

Du hättest **nicht** hinzugehen **brauchen**, wenn . . . You wouldn't have had to go there if . . .

### b. müsste can express a logical probability or necessity

i. In this sense, 'should' or 'ought to' are the usual English equivalents:

Deutschlands Kohle ist teurer, als sie sein **müsste** Coal in Germany is dearer than it ought to be/

(Zeit)

Das **müsste** eigentlich reichen That really ought to be enough

Ich hätte mich vielleicht anders ausdrücken müssen

Perhaps I ought to/should have expressed myself

differently

should be

**ii.** This sense of *müsste* is close to that of *sollte*, which also corresponds to English 'should' or' ought to', see 17.6.4. There is a difference, though, as *sollte* always expresses an obligation (often laid on a person by someone else), whereas *müsste* expresses a logical probability or necessity. Compare:

Sie **sollte** heute im Büro sein She ought to be at the office today

(i.e. she is obliged to be if she doesn't want to get into trouble)

Sie **müsste** heute im Büro sein She ought to be at the office today

(i.e. I assume that is the most likely place for her to be)

Das hätte er eigentlich wissen sollen

He ought to have known that

(i.e. he was obliged to – it could have stopped him making a mistake)

Das hätte er eigentlich wissen müssen He ought to have known that

(i.e. I would have thought it was a pretty fair assumption that he did)

Wo ist der Brief? Er **müsste** in dieser Schublade sein Where's the letter? It ought to be/should be in this drawer

(A logical deduction: *sollte* would not be possible)

*müsste nicht* is not normally used as an equivalent for English 'shouldn't' or 'ought not to'; we usually find *sollte nicht* or *dürfte nicht*, see 17.2.1c and 17.6.4.

### c. müsste... gemacht haben and hätte... machen müssen

The English equivalent for both these constructions is usually 'should/ought to have done', but there is often a clear distinction between them in German. Compare, for English *He ought to have written the letter yesterday*:

Er müsste den Brief schon gestern geschrieben haben (i.e. it is a fair deduction that he did)

Er hätte den Brief schon gestern schreiben müssen (i.e. he ought to have done it, but he didn't)

# 17.6 **Sollen**

### 17.6.1 Sollen Most Commonly Expresses an Obligation

a. This corresponds to 'be to', 'be supposed to' or (in a few special contexts) 'shall'

Um wie viel Uhr **soll** ich kommen? What time am I to/shall I come?

Ich **soll** nicht so viel rauchen

I'm not supposed to smoke so much

Was **soll** ich in Greifswald tun?

What am I (supposed) to do in Greifswald?

Sie wusste nicht, was sie tun **sollte**She didn't know what to do

Wir **sollten** uns gestern treffen We were (supposed) to meet yesterday

The meaning of *sollen* is close to that of *müssen*, and 'must', 'have to' is often a possible English equivalent. However, *sollen* always conveys the idea that some other person is making an obligation. Compare:

Ich soll hier bleiben

I am to/have (got) to stay here (i.e. someone's told me

to)

Ich **muss** hier bleiben

I've got to stay here (i.e. it is necessary for me)

In questions, the past tense of *sollen* can be used to prompt a strong reaction (negative or positive, depending on the context). It can sound ironic:

Wie **sollte** ich das wissen? How was I (supposed) to know that? **Sollte** das nun fertig sein? Is that supposed to be finished? (ironic)

### b. sollen often has the force of a command

See also 16.2.2e. This use is related to the basic sense of obligation:

Du **sollst** nicht stehlen Thou shalt not steal

Du **sollst** das Fenster zumachen (I want you to) shut the window

Man soll sofort den Saal verlassen Everyone has to leave the room immediately

Das **soll** dir eine Warnung sein Let that be a warning to you

Er **soll** sofort kommen He is to/has got to come at once/Tell him to come at

once

sollen is the most frequent modal auxiliary in indirect commands (see 16.6.4b):

Er sagte ihr, sie **solle/sollte** unten warten

He told her to wait downstairs
Ich habe ihm gesagt, er **soll** seinem Vater helfen

I told him to help his father

# 17.6.2 Sollen can Express an Intention or Prediction

### a. In this sense sollen corresponds to 'be to', 'be supposed/meant to'

Eine zweite Fabrik **soll** bald hier gebaut warden A second factory is to be built here soon

Soll das ein Kompliment sein?

Es sollte eine Überraschung sein

Was soll das heißen?

Is that meant as a compliment?

It was intended to be a surprise

What's that supposed to mean?

Es **soll** nicht wieder vorkommen

Das **sollst** du noch bereuen

It won't happen again

You're going to regret that

# b. The sense of intention is common in first person plural questions

In such contexts *sollen* is an alternative to *wollen*, although there is a slight difference of meaning, see 17.7.1b. Whereas *sollen* leaves the decision entirely to the other person(s), *wollen* indicates that the speaker is in favour:

Was **sollen wir** uns heute in der Stadt ansehen? What are we going to look at in town today?

**Sollen wir** heute Abend ins Kino gehen? Shall we go to the cinema tonight?

# c. The past tense of sollen can indicate what was destined to happen

This sense is essentially that of a 'future- in- the- past':

Diese Meinung **sollte** sie noch oft zu hören bekommen She would often hear this opinion again

Er **sollte** früh sterben He would/was (destined) to die young

Er **sollte** niemals nach Deutschland zurückkehren He would never return to Germany

In these contexts sollte differs slightly from würde (see 16.4.5), since it indicates that this is a prediction by the speaker.

### 17.6.3 Sollen can Express a Rumour or Report

i.e. 'It is said that . . .'. Only the present tense of sollen is used in this sense, with a compound infinitive to refer to past time if necessary:

He is said to be (have been) enormously rich Er **soll** steinreich (gewesen) sein

Bei den Unruhen soll es bisher vier Tote gegeben haben So far four people are reported to have been killed in the (FAZ)

course of the riots

Das Auto **soll** eine rote Ampel überfahren haben (*MM*) The car is reported to have gone through a red light

## 17.6.4 The Konjunktiv II of Sollen

# a. The Konjunktiv II of sollen conveys the idea of a possible obligation

These forms are the commonest equivalents to English 'should (have)', 'ought to (have)':

Why shouldn't I go to the theatre? Warum **sollte** ich denn nicht ins Theater gehen?

Das solltest du mal probieren You ought just to try that

He ought to have realised that by now Das sollte ihm inzwischen klar geworden sein Das hätten Sie mir aber gestern sagen sollen You ought to have told me that yesterday

NB:

- i. For negative 'shouldn't', 'ought not to', dürfte nicht can be used as a more incisive alternative to sollte *nicht*, see 17.2.1b.
- ii. For the distinction between *sollte* and *müsste* as equivalents of English 'should'/'ought to', see 17.5.3b.

### b. sollte . . . gemacht haben and hätte . . . machen sollen

The English equivalent for both these constructions is usually 'should' ('ought to have done', but German can make a distinction between them. Thus, for English 'He ought to have written the letter yesterday':

Sie sollte den Brief gestern geschrieben haben

(i.e. I would expect her to have done so)

Er hätte den Brief gestern schreiben sollen

(i.e. he ought to have done, but he didn't)

# c. In questions, sollte is often used as an alternative to könnte

There is no significant difference in meaning:

Wie sollte/könnte ich das wissen? How could I know that?

Warum sollte/könnte er nicht einmal in London Why shouldn't he have been to London some time?

gewesen sein?

### d. sollte is often used in conditional sentences and clauses of purpose

i. In 'if' - sentences it corresponds to 'should' or 'were to', see 16.5.1d:

Wenn/Falls es regnen **sollte**, so komme ich nicht If it should rain, I shan't come

Sollten Sie ihn sehen, dann grüßen Sie ihn bitte von If you were to see him, please give him my regards mir

ii. sollen is often used in clauses of purpose with damit (see 19.5.1a):

I stepped back, so that they shouldn't see me Ich trat zurück, damit sie mich nicht sehen sollten

e. For alternative usage in clauses of purpose see 16.7.2.

### 17.7 **Wollen**

# 17.7.1 Wollen Most often Expresses Desire or Intention

- a. In many contexts it expresses a wish
  - i. It usually corresponds to English 'want/wish (to)':

Sie will ihn um Geld bitten She wants to ask him for money Sie wollte ihn um Geld bitten *She wanted to ask him for money* 

Sie hat ihm um Geld bitten wollen

Would you have wanted to come? Hättest du kommen wollen? Don't you want to help your father? Willst du nicht deinem Vater helfen?

ii. In this sense, wollen is often used without a dependent infinitive, as a full verb:

Was wollen Sie von mir? What do you want from me?

Der Arzt will, dass ich mehr Bewegung mache The doctor wants me to take more exercise

Mach, was du willst Do what you like

iii. The sense of 'wish' is often given by Konjunktiv II:

I wish I hadn't offended her so much Ich wollte, ich hätte sie nicht so beleidigt

Ich wollte, ich wäre zu Hause I wish I was at home

iv. wollen can correspond to English 'will', 'would':

He won't admit it Er will es nicht zugeben

I asked her to do it, but she wouldn't Ich bat sie, es zu tun, aber sie wollte nicht Willst du mir helfen? Ja, ich will dir helfen Will you help me? Yes, I will help you

wollen in this sense is distinct in meaning from the future tense. Wirst du mir helfen? Ja, ich werde dir helfen, sounds more impersonal and lacks the sense of active intention on the part of the speaker which is conveyed by wollen.

v. wollen is common in second person questions with the sense of an insistent request:

Will you have another look, please? Willst du bitte noch mal nachsehen? *Will you repeat the question, please?* **Wollen** Sie bitte die Frage wiederholen?

In such requests, Konjunktiv II (e.g. Würden Sie bitte noch mal nachsehen?, see 16.7.3) sounds less blunt and direct than wollen.

### b. wollen can express intention

i. In such contexts it often corresponds to English 'be going to', but wollen stresses the notion of intention more forcefully than the future with werden:

Wir wollen uns bald einen HD- fähigen Fernseher

HD- fähigen Fernseher anschaffen a prediction

than a definite intention)

anschaffen (The future Wir werden uns bald einen We're going to buy ourselves an HD- ready TV set

soon has more the sense of

How are you going to explain that to him? Wie wollen Sie ihm das klarmachen?

Ich wollte Sie darüber fragen I was going to ask you about it Was wollen Sie damit sagen? What do you mean by that? Das will nicht viel sagen That doesn't mean much

I don't intend phoning her/I'm not going to phone Ich will sie erst morgen anrufen

her until tomorrow

It looks as if it's going to rain Es scheint regnen zu wollen

ii. In first person plural questions wollen has the sense of English 'Shall we ...?':

Shall we/Let's have a cup of coffee Wollen wir eine Tasse Kaffee trinken?

Was wollen wir heute machen? What shall we do today? Na, dann **wollen wir** mal (anfangen)? Well then, let's get on with it!

sollen is an alternative to wollen in such constructions, see 17.6.2b. However, there is a slight difference in meaning. wollen clearly indicates that the speaker is in favour of the proposal, but sollen leaves the decision entirely to the other person(s).

### c. With an inanimate subject, wollen corresponds to English 'need'

The sense of wollen in these contexts is similar to that in (a) and (b) above, but English 'want' and 'wish' are not normally used with an inanimate subject:

Tomaten wollen viel Sonne Tomatoes need a lot of sun

Eine solche Arbeit will Zeit haben A piece of work like that needs time That needs proper consideration Das will gut überlegt werden

Negative wollen with an inanimate subject has the sense of 'refuse':

The suitcase refused to/wouldn't close Der Koffer wollte nicht zugehen Meine Beine wollen nicht mehr My legs won't carry me any further

I can't grasp that Das will mir nicht in den Kopf

#### 17.7.2 Wollen can be used in the Sense of 'Claim'

In this sense, *wollen* is usually linked with a perfect infinitive, typically with the implication that the claim is false:

He claims to have bought a villa on Majorca They say

Er will eine Villa auf Mallorca gekauft haben they saw you in Berlin

Sie wollen dich in Berlin gesehen haben . . . eine who claims not to have a former lover, seen anything ehemalige Geliebte, die nichts gesehen haben weil sie

because she was 'shocked' "schockiert" war (MM)

A few set phrases are an extension of this sense of *wollen*:

Keiner will es getan haben No- one admits doing it

Ich will nichts gesagt haben Go on as if I hadn't said anything

I'll go on as if I hadn't heard/seen/noticed anything Ich will nichts gehört/gesehen/gemerkt haben

# 18 Verbs: Valency

Different verbs need different elements to make a grammatical sentence. The elements which a particular verb needs to form a grammatical sentence are called the complements of the verb, and the type and number of complements required by a particular verb to construct a grammatical sentence is known as the valency of the verb.

The valency of verbs can involve significant differences between English and German. In particular, German typically shows the relationship between the complements and the verb through the use of cases (see Chapter 2). English noun phrases do not have endings to show case, and the relationship of the complements to the verb is indicated more often by their position (see Chapter 21).

This chapter explains about the valency and the complements of verbs, paying attention to those verbs and constructions which are different from their nearest English equivalents:

- verb valency, complements and sentence patterns (section 18.1)
- the **subject** of the verb, in the nominative case (section 18.2)
- the accusative or direct object of the verb (section 18.3)
- verb objects in the dative case (section 18.4)
- prepositional objects (section 18.5)
- predicate complements (section 18.6)
- verb objects in the **genitive** case (section 18.7)
- place and direction complements (section 18.8)

# 18.1 Valency, Complements and Sentence Patterns

### 18.1.1 The COMPLEMENTS of the Verb

The complements of a particular verb are the elements it needs to construct a grammatical sentence. Different verbs need different elements – the action of giving, for instance, involves a person handing a thing over to another person. The verb *geben*, therefore, needs three elements to form a sentence: a **subject** (in the nominative case), a **direct object** (in the accusative case) and an **indirect object** (in the dative case):

Gestern hat mein Vater (NOM) seinem Bruder (DAT) das Geld (ACC) gegeben

If we omitted any of these, the sentence would be ungrammatical. Other verbs, like *telefonieren*, only need one element, i.e. a subject:

**Ich** habe eben telefoniert

I've just made a phone call

Many verbs, like *schlagen*, need two, i.e. a subject and a direct object:

Sie hat den Ball geschlagen

*She hit the ball* 

Some verbs have other types of construction, for example with a subject and a phrase with a particular preposition (a 'prepositional object'), like *warten*:

Ich habe lange auf dich gewartet

I waited a long time for you

Apart from the subject, which all but a few verbs must have and which is dealt with in 18.2, there are four main types of complement in German, and these are shown in Table 18.1. A few less common ones are explained separately. Further detail on all the complements is given in sections 18.3–18.8.

Table 18.1 Verb Complements in German

accusative object	a noun phrase in the accusative case	Er trinkt schwarzen Tee Diesen Mann sah er in der Stadt	
dative object	l a naun phraca in the <b>dative</b> case	Sie verkaufte <b>mir</b> zwei DVDs <b>Ihrem Mann</b> teilte sie es nicht mit	
prepositional object	a <b>phrase</b> introduced by a <b>preposition</b> determined by the verb	Sie warnte mich vor dem Polizisten Er starb an einer Lungenentzündung	
predicate complement	a noun phrase in the <b>nominative</b> case or an <b>adjective</b> with a copular verb	Er ist <b>ihr Bruder</b> Das Heft war <b>teuer</b>	

German has a number of less frequent complements. The most important of these are:

### a. the GENITIVE complement, i.e. a noun phrase in the genitive case

Diese Errettung bedurfte des Mutes

Sie erinnerte sich des Vorfalls

There are very few verbs in modern German which have a genitive complement, see 18.7.

# **b.** DIRECTION complements

Sie ist in die Stadt gefahren

Er legt das Buch auf den Tisch

Verbs of motion always imply a direction (e.g. where somebody or something is going, or where something is being put), and any phrase indicating direction used with them (which is often obligatory) is a complement. More details on these are given in 18.8.1.

# 18.1.2 The VALENCY of the Verb is the type and Number of Complements Required by a Particular Verb to Construct a Grammatical Sentence

Every German verb governs a specific number of complements of a particular type. *geben*, for instance, has three: a subject, an accusative object and a dative object, whereas *telefonieren* has only a subject (see 18.1.1). This property of each verb to govern a certain number of complements of a particular type is the VALENCY of the verb.

We have to know the valency of a German verb to be able use it correctly. This is sometimes different from the valency of what may seem to be the equivalent English verb:

Das hat er mir gestern mitgeteilt He informed me of that yesterday

Ich fürchte mich vor dem Zahnarzt

I'm afraid of the dentist

Er riet ihr von dieser Reise ab

He advised her against (making) this journey

<u>English learners need to learn the valency of each verb</u> carefully in order to be able to use it in context. It is good practice to learn German verbs in typical sentences containing them. A number of verbs, especially the most frequent, are used with different valencies. This is often associated with differences in meaning:

jdn. achten respect somebody

auf jdn. achten pay attention to somebody

Further examples are given in the remainder of this chapter.

### 18.1.3 German Sentence Patterns

All German verbs require one, two or three of the complements listed in Table 18.1 to form a complete clause or sentence. How many there are, and of what type, is determined by the valency of the verb.

There are a limited number of combinations of complements which occur commonly with German verbs, since many verbs have the same valency. In this way, we can say that German possesses a restricted number of possible sentence

structure types or **sentence patterns** (the German term is *Satzbaupläne*). For example, many verbs are *einem etwas* verbs, like *geben*, and need an accusative object and a dative object besides a subject (pattern D in Table 18.2).

Table 18.2 German sentence patterns

Α	Subject + <b>Der Mann</b>	Verb + schwimmt		
В	Subject + Der Mann	Verb + kauft	Accusative Object den neuen Fernseher	
С	Subject + Der Mann	Verb + hilft	Dative Object seinem Bruder	
D	Subject + Der Mann	Verb + gibt	Dative Object + seinem Bruder	Accusative Object den neuen Fernseher
E	Subject + Der Mann	Verb + wartet	Prepositional Object auf seinen Bruder	
F	Subject + Der Mann	Verb + hindert	Accusative Object + seinen Bruder	Prepositional Object an seiner Arbeit
G	Subject + Der Mann	Verb + dankte	Dative Object + seinem Bruder	Prepositional Object für seine Hilfe
Н	Subject + Der Mann	Verb + ist	Predicate Complement nett/ein netter Mensch	

The most frequent sentence patterns of German are given in Table 18.2. They are explained in the remainder of this chapter under the heading of the individual complements.

# 18.1.4 Complements and Adverbials

The complements are those elements which are required by the verb to form a complete grammatical sentence. However, a sentence can contain other elements:

Mein Vater hat seinem Bruder gestern das Geld gegeben

Heute habe ich diesen Mann in der Stadt gesehen

Sie wohnte lange in Halle

Gestern ging sie schnell in die Stadt

Words and phrases like those in bold type provide additional information, often about the time, manner or place of the action or event. They may be important in context, but they are not closely bound up with the basic meaning of the verb like the complements. If we leave them out, the sentence is still grammatical. These elements are called ADVERBIALS (in German *freie Angaben*). They can be single words (adverbs) or adverb phrases, and they can be classified into types as shown in Table 7.1

As a rule, **complements are necessary** to make a complete grammatical sentence, whilst **adverbials are optional**. But the distinction is not always as clear-cut as this. Certain complements of some verbs can be omitted without this resulting in an ungrammatical sentence. Compare:

Er trinkt **viel Kaffee**Sie fährt **in die Stadt**Er trinkt
Sie fährt

We still have grammatical sentences even when the phrases in bold are left out. However, the action of *trinken* must involve consuming some liquid (the direct object), and the action of *fahren* always implies going somewhere (the direction complement). These elements are so closely bound up in meaning with the action of the verb that, even if we can leave them out in some contexts, we have to regard them as complements rather than as adverbials. They are not simply extra pieces of information about the circumstances of the action.

It can happen that the same word or phrase is a complement in some contexts, but an adverbial in others. Compare:

Sie wohnte in Köln:

Sie starb in Köln:

in Köln is a **complement** to the verb of position wohnen; it cannot be omitted

*in Köln* can be omitted; it is an **adverbial** adding extra information to the sentence

# 18.2 The Subject

### 18.2.1 Most German verbs Require a Subject

Characteristically, the **subject** of verbs in the active voice is the agent, i.e. the animate being carrying out the action, e.g. **der Räuber** hat das Geld gestohlen, **die Studenten** singen, **der Bär** frisst das Fleisch.

# a. The subject is in the nominative case if it is a noun phrase

The finite verb AGREES with the subject, see 12.1.4:

**Ich** reise nach Italien

Das hat uns die Geschichte gelehrt

Wer ruft mich?

Kommen deine Geschwister morgen?

NB: For the use of es as a 'dummy subject' in order to permit the real subject to occur later, e.g. Es saß eine alte Frau am Fenster, see 3.6.2d.

# b. The subject can be a subordinate clause or an infinitive clause

In this case, the verb has the third person singular ending, see 12.1.4a.

Dass du hier bist, freut mich

Dich wiederzusehen hat mich gefreut

**c.** Subordinate subject clauses are introduced by *dass* or an interrogative, see 19.2. For further information on subject infinitive clauses see 13.2.3. If such a clause is not in first position in the sentence, it can be anticipated by *es*, e.g. *Es freut mich*, *dass du hier bist*, see 3.6.2e.

# d. The subject can be 'understood' in certain contexts

In German as in English, we can leave out the subject of the verb in some contexts. In particular, if the verbs in two (or more) main clauses linked by the coordinating conjunctions *und* and *oder* (see 19.1) have the same subject, the second (or subsequent subject) is often omitted. We say that the subject is 'understood' in the second clause:

Er kam herein und sah seine Frau in der Ecke sitzen Meine Schwester geht oft ins Kino oder besucht ein He came in and saw his wife sitting in the corner

My sister often goes to the cinema or attends a concert

# 18.2.2 A few Verbs do not Need a Subject

Konzert

These verbs just have an **accusative** or a **dative object** (depending on the verb), but **no subject**. The verb is in the third person singular form, e.g. *mich hungert, mir bangt*. Most of these verbs express an emotion or a sensation, and almost all are now limited to formal or literary registers, or to regional (especially southern) usage. The following are still used (more frequently used equivalents are given where appropriate):

Mir **bangt** vor etwas (dat.) *I am afraid of sth.* 

(More usual: *Ich habe Angst vor etwas*)

Mich dürstet, hungert

I am thirsty, hungry

(More usual: *Ich habe Durst, Hunger*)

Mich/Mir **ekelt** vor etwas (dat.)

I am disgusted at sth.

(More usual: *Es ekelt mich/Ich ekele mich vor etwas* or: *Etwas ekelt mich*)

Mich friert I am cold

(More usual: Es friert mich or, more colloquially: Ich friere)

Mir **graut** vor jdm./etwas (dat.)

I have a horror of sb./sth.

(More usual: *Es graut mir vor etwas*)

Mich/Mir **schaudert** vor etwas (dat.) *I shudder at sth.* 

(More usual: Es schaudert mich vor etwas)

Mich/Mir **schwindelt**I feel dizzy

(More usual: *Mir ist schwindlig*)

Mir **träumte** von etwas (dat.) *I dream of sth.* 

(More usual: *Ich träumte von etwas*)

Mich wundert, dass ... I am surprised that ...

(Still quite frequent, but there are also common alternatives: Es wundert mich/Ich wundere mich, dass . . .)

# 18.2.3 German is More Restrictive than English in Respect of the noun which can Occur as the Subject of the Verb

In English nouns which do not denote an agent can often be used as the subject of the verb. This is less frequent in German, where the subject of the verb is usually the agent actually performing the action. In such cases, the noun which is the subject in English typically appears in a prepositional phrase in German:

In diesem Hotel sind Hunde verboten

This hotel forbids dogs
In diesem Zelt können vier schlafen

This tent sleeps four

Mit dieser Anzeige verkaufen wir viel This advertisement will sell us a lot

Wir können mit dem Prozess nicht fortfahren The trial cannot proceed

Damit haben wir unseren besten Mittelstürmer verloren
In Berlin wird es wieder ziemlich heiß sein

This has lost us our best striker
Berlin will be rather hot again

Logically, things like 'hotels' cannot really 'forbid'. Neither do 'tents' actually 'sleep' or 'advertisements' do any 'selling', etc., and, in the last example, Berlin is <u>where</u> 'it' is hot rather than a person or thing feeling the heat. The German constructions reflect this more clearly than do the corresponding English sentences.

### 18.2.4 The Impersonal Subject es

Many verbs are exclusively or commonly used impersonally, with the indefinite subject *es*, (see also 3.6.2a), which corresponds to English 'it' or 'there'. The *es* cannot be omitted except in the cases indicated under (e) and (f) below.

#### a. Verbs referring to weather (which are only used impersonally)

Es regnet, hagelt, schneit

It is raining, hailing, snowing

Es blitzte

There were flashes of lightning

Es dämmert It is growing light/dusk

### b. Verbs used with impersonal es to refer to an indefinite agent

These are verbs which can be used with a specific subject, but are used impersonally if the agent is vague or unknown:

i. verbs referring to natural phenomena:

Es zieht There's a draught
Es brennt Something's burning

Da riecht **es** nach Teer

There's a smell of tar there

ii. verbs denoting noises:

Es läutet, klingelt

Someone's ringing the bell

Es klopfte an der Tür

There was a knock at the door

Es kracht, zischt, knallt

There is a crashing, hissing, banging noise

Many other verbs can be used with an impersonal es to bring out the idea of a vague impersonal agent, see 3.6.2a.

### c. Verbs denoting sensations and emotions

Many verbs denoting sensations can be used with an impersonal *es* as subject to give the idea of an unspecified force causing the sensation. The person involved appears as an accusative object:

Es juckt mich *I itch* 

Es überlief mich kalt A cold shiver ran up my back

Es zog mich zu ihr I was drawn to her

Es hält mich hier nicht länger Nothing's keeping me here any more

Most verbs which can be used without a subject in formal or older German are now more usually constructed like this, e.g.: *Es friert mich, Es wundert mich*, etc. See 18.2.2 for details.

### d. Impersonal es with sein or werden followed by a noun or an adjective

This usually corrresponds to English 'it':

Es ist, wurde spät

Es ist dein Vater

It is, got late

It is your father

Further details on this use of *es* are given in 3.6.2b. The use of *es* ist in the sense of English 'there is/are' is treated in detail in 18.2.5.

# e. sein and werden can be used impersonally with a personal dative and some adjectives expressing a sensation Es ist mir heiß, kalt, schwindlig, übel, warm, etc.

**f.** For details see 2.5.5c. *es* is usually left out unless it is in first position in a main clause.

Ist (es) dir kalt? Ja, mir ist (es) kalt

Ich merkte, dass (es) mir schwindlig wurde.

# g. Impersonal passive and reflexive constructions

Es lebt sich gut in dieser Stadt

You can live well in this city

Es wurde im Nebenzimmer geredet People were talking in the next room

es is usually deleted unless it is in initial position in a main clause. Details are given in 3.6.2a and 15.1.3-4.

### h. Other impersonal verbs and constructions

Many of these are idiomatic and the verbs involved are also used in other constructions with a definite subject. A selection of the most common:

Dafür bedurfte es einer Sonderregelung A special provision was required for that

(HMP)

Es fehlt mir an etwas (dat.)

I lack sth.

(see also 18.4.1d)

Es gefällt mir in Heidelberg

I like it in Heidelberg

(see also 18.4.1d)

Es gibt There is/are

(For es gibt and es ist as equivalents of 'there is/are',

see 18.2.5)

Es geht It can be done; OK (in answer to Wie geht es

(dir/Ihnen)?)

Wie geht es (dir/Ihnen)? How are you?

Es geht um Leben und Tod

It 's a matter of life and death
Es gilt, etwas zu tun

The thing is to do something

Es geschah ihm recht

Es handelt sich um etwas (acc.)

Es heißt, dass ...

Es kommt auf etwas (acc.) an

Es kommt zu etwas (dat.)

It is a question of sth.

It is said that ...

It depends on sth.

Something occurs

e.g.: Am Abend kam es zu neuen Zusammenstößen e.g. There were fresh clashes in the evening

Es liegt an etwas (dat.)

It is due to sth.

e.g.: Woran liegt es, dass ...? e.g. Why is it that ...?

Es macht/tut nichts

It doesn't matter

Es steht schlecht/besser um ihn Things look bad/better for him

Wie steht es mit ihr? How's she doing?

Es verhält sich so e.g.: Ähnlich **verhält es sich** an der *Things are like that Things are similar at the* 

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# 18.2.5 Es ist/sind and es Gibt as Equivalents of English 'there is/are'

es ist/sind and es gibt have rather different meanings. The following is a guide to choosing the correct one for the context.

### a. es gibt indicates existence in general

It is a real impersonal construction, and the es is never omitted.

**i.** *es gibt* is typically used in broad, general statements, denoting existence in general, without necessarily referring to a particular place:

**Es gibt** Tage, wo alles schief geht There are days when everything goes wrong

So etwas **gibt es** nicht There's no such thing

**Es gibt** verschiedene Gründe dafür There are various reasons for that Dort **hat** es schon häufig Ärger **gegeben** (HMP) There has often been trouble there

ii. es gibt is used to point in a general way to permanent existence in a large area (i.e. a city or a country):

**Es gibt** drei alte Kirchen in unserer Stadt

There are three old churches in our town

In Trier **gibt es** ja so viel zu sehen There's so much to see in Trier

Es dürfte in der Bundesrepublik wenige geben, die There are probably not many people in the Federal

so gut wie er informiert sind (Zeit)

Republic who are as well informed as he is

iii. es gibt records the consequences of some event:

Wenn du das tust, **gibt's** ein Unglück If you do that, there'll be an accident

# Bei den Unruhen soll es bisher vier Tote gegeben haben (FAZ)

It is reported that there have been four killed in the disturbances so far

NB: In everyday speech in Southwest Germany, es hat is used for es gibt. This is a non-standard regionalism.

# b. es ist/sind indicates the presence of something at a particular time and place

The es of es ist/sind is a 'dummy' subject (see 3.6.2e), allowing the real subject of the verb to occur later in the sentence. It drops out when it is not in initial position in a main clause. Compare:

Es war eine Maus in der Küche There was a mouse in the kitchen BUT: In der Küche war eine Maus In the kitchen there was a mouse

Er hat gemerkt, dass eine Maus in der He noticed that there was a mouse in the kitchen

Küche war

*es ist/sind* is used:

i. to refer to permanent or temporary presence in a definite and limited place, or temporary presence in a large area:

Es war noch ein kleines Café in der Berliner Straße There was still a little cafe in the Berliner Strasse

Es ist irgendjemand an der Tür There's someone at the door

There were still a lot of people in the streets Es waren noch viele Menschen auf den Straßen

There were no clouds in the sky Es sind keine Wolken am Himmel gewesen

Sentences with es ist/sind must contain an indication of place. This is often quite simply da:

There's a letter for **you** Es ist ein Brief für Sie da

es gibt is sometimes used in contexts like this, but it emphasises the thing rather than the place and underlines

its distinctive character:

In dieser Diele gab es gegenüber der Tür einen *In this lounge there was an open fireplace opposite* offenen Kamin (Wendt) the door

ii. to record events and when speaking of weather conditions:

Letzte Woche war in Hamburg ein Streik There was a strike in Hamburg last week There was a five-minute stop in Mainz In Mainz war ein Aufenthalt von fünf Minuten Am nächsten Morgen war dichter Nebel Next morning there was thick fog

Gestern war ein Gewitter in Füssen There was a thunderstorm in Füssen yesterday

Usage varies in this type of context, and es gibt is often used without any real difference of meaning:

Letzte Woche gab es einen Streik in Hamburg

In Mainz gab es einen Aufenthalt von fünf Minuten

Gestern gab es ein Gewitter in Füssen

iii. es gibt is particularly frequent when a need is felt to emphasise the exceptional nature of the event or to refer

to the future:

There was an explosion in the factory **Es gab** eine Explosion in der Fabrik

Morgen wird es wieder schönes Wetter geben It will be fine again tomorrow

# 18.3 The Accusative Object

## 18.3.1 Transitive verbs Govern a Direct Object in the Accusative as one of their Complements

Verbs which govern an accusative object are called TRANSITIVE VERBS. This accusative object is called the direct object. With many of these verbs, the accusative is the only complement apart from the subject (sentence pattern B in Table 18.2):

Er hat **sie** besucht Christian hat **seine Freundin** besucht Seine Worte haben **mich** verletzt **Den Arzt** hat sie nicht gesehen

Table 18.2 shows that some transitive verbs can have other complements in addition to the accusative object, most commonly a dative object (sentence pattern D), and a prepositional object (sentence pattern F). A few verbs also have an accusative object with a genitive object or a direction complement. Details about these sentence patterns are given in the sections dealing with these other complements.

Verbs which do not have a direct object in the accusative case are called INTRANSITIVE VERBS.

NB: The accusative case is used in some time and place phrases, e.g.: Es hat den ganzen Tag geschneit (see 2.2.5). These are not complements of the verb, but adverbials.

# 18.3.2 The Direct Object can have the form of a Clause

### a. Many verbs can have a clause as their direct object

Because these clauses function as complements of the verb, they are called COMPLEMENT CLAUSES. These clauses can be:

- i. A subordinate clause with dass, ob or an interrogative (see 19.2):
  - Ich bedauerte, dass ich nicht kommen konnte
  - Sie fragte mich, ob ich dort übernachten wollte
- ii. An infinitive clause with zu (see 13.2.4):
  - Ich hoffe dich bald wiedersehen zu können
  - Ich habe vor sie morgen zu besuchen
- iii. Many verbs which have a clause as object can have <u>either</u> a subordinate clause <u>or</u> an infinitive clause, depending on context. However, a few verbs only allow an infinitive clause (especially verbs denoting an intended action, like *versuchen, vorhaben, wagen, sich weigern, zögern*), whereas others only allow a subordinate clause (especially verbs of saying and hearing, e.g. *erleben, fragen, mitteilen, verfügen*). In practice, usage in German is similar to that with the nearest English equivalents; exceptions are detailed in 13.2.4.
- iv. A direct object clause is sometimes anticipated by es

This can be the case whether the complement is a subordinate clause or an infinitive clause, e.g.:

- Sie sah es als gutes Zeichen an, dass keine Leute mehr vorbeikamen
- Ich konnte es kaum ertragen, ihn so leiden zu sehen
- v. Details on the use of this 'anticipatory' es are given in 3.6.3a.

### 18.3.3 A few Verbs are used with two Accusative Objects

In general, only one accusative (direct) object is possible in a sentence. However, a small number of verbs allow two accusative objects.

# a. Verbs with two accusative objects

i. kosten and lehren are normally used with two accusatives:

Der Flug hat meinen Vater 5000 Euro gekostet

The flight cost my father 5000 euros

Sie hat **mich Deutsch** gelehrt She taught me German

In colloquial German both these verbs are commonly used with a dative of the person, e.g. Sie hat mir Deutsch gelehrt; Das hat mir viel Geld gekostet. This is not generally considered standard, but it is acceptable with kosten, as an alternative to the accusative, in figurative contexts:

Das kann **ihn/ihm** den Hals kosten That may cost him his life

**ii.** abfragen and abhören 'test sb. orally' can be used either with two accusative objects or a dative of the person and an accusative:

Der Lehrer hat **ihn/ihm** die englischen Vokabeln abgefragt/abgehört

The teacher tested him on his English vocabulary

If only the person is mentioned in the sentence, only the accusative is used, e.g. *Der Lehrer hat ihn* abgefragt/abgehört

iii. bitten and fragen can be used with two accusatives. One denotes the person asked, the other is an indefinite pronoun or a subordinate clause:

Hast du **ihn etwas** gefragt? Did you ask him something? **Das** möchte ich **dich** bitten

I would like to request that of you

Sie fragte ihn, ob er mitkommen wollte She asked him if he wanted to come with her

NB: bitten is more commonly used with a prepositional object introduced by um, see 18.6.10, e.g. Ich möchte dich darum bitten.

iv. angelien is used with an accusative of the person and an indefinite expression of quantity, e.g.:

Das geht dich nichts an That doesn't concern you at all

Similarly: Das geht mich viel/wenig/einen Dreck an. The use of angehen with a dative of the person (e.g. Das geht dir nichts an) is a North German regionalism which is not accepted as standard.

# b. A few verbs have a predicate complement in the accusative

i.e. an additional element which relates back to the accusative object, describing or identifying it:

Er nannte **mich einen Lügner**He called me a liar

This construction is restricted in German to verbs of calling, i.e. heißen, nennen and schimpfen. A similar construction is possible with more verbs in English than in German. The German equivalents of these most often have a phrase with als in apposition (see 2.6), or a prepositional complement, usually with zu, although some verbs select other prepositions:

Ich sehe es als eine Schande an I consider it a shame

Er erwies sich als Feigling

He proved himself a coward

Er machte sie **zu seiner Frau**He made her his wife

Man erklärte ihn **zum Verräter**He was declared a traitor

Wir hielten ihn für einen Idioten We considered/thought him an idiot

### 18.3.4 Some German Transitive Verbs have English Equivalents with Different Constructions

Common examples are:

etwas beantragen to apply for sth. to inherit from sb. jdn. beerben etwas bezahlen to pay for sth. I am disgusted at sth. etwas ekelt mich (see also 18.2.2)

etwas dauert mich I regret sth.

I am pleased/glad about sth. etwas freut mich to be afraid of sb./sth. idn./etwas fürchten

# 18.3.5 Fewer verbs can be used both Transitively and Intransitively in German than in English

English learners need to be aware that German verbs are often less flexible than their nearest English counterparts and more restricted to use in certain constructions only. A few German verbs can be used both transitively and intransitively, e.g.:

Ich brach den Zweig I broke the branch Der Zweig brach The branch broke

Far fewer German than English verbs have this facility, and this means that many English verbs have two (or more) German equivalents depending on whether the English verb is being used transitively or intransitively. These can take a number of forms:

# a. The transitive and intransitive uses of some English verbs can correspond to different verbs in German

grow

He grows flowers Er züchtet Blumen

The flowers grow in the garden Die Blumen wachsen im Garten

leave

Sie verließ das Haus She left the house

Ich ließ den Brief im Fach (liegen) I left the letter in the pigeonhole The train is already leaving Der Zug fährt schon ab

He left before me Er ging früher als ich (weg)

open (see also (c) below)

Ich machte die Tür auf I opened the door Die Tür ging auf The door opened

#### b. The transitive and intransitive uses of some English verbs can correspond to related verbs in German

The prefix be-(see 22.4.1) often forms transitive verbs from intransitive verbs, but other prefixes (e.g. er-and ver-) can sometimes have this function, and there are some pairs of verbs with vowel changes:

answer

She answered the question Sie beantwortete die Frage

She answered Sie antwortete

climb

I climbed the mountain Ich bestieg den Berg

*I climbed the mountain (to the top)* Ich erstieg den Berg

Die Maschine stieg The plane climbed

drown

The witch was drowned Man ertränkte die Hexe Die Matrosen ertranken The sailors drowned

sink

Wir **versenkten** das Schiff

Das Schiff **sank**We sank the ship

The ship sank

sit

Sie **setzt** sich auf den Stuhl

She sits down on the chair

Sie **sitzt** auf dem Stuhl

She is sitting on the chair

# c. Some transitive German verbs can be used reflexively as the equivalent of the English verb used intransitively

change

Das hat nichts **geändert**That has changed nothing

Das hat sich geändert That has changed

feel

Sie **fühlte** etwas unter ihren Füßen

She felt something under her feet

Sie **fühlte sich** unwohl She felt unwell

open (see also (a) above)

Ich öffnete die TürI opened the doorDie Tür öffnete sichThe door opened

turn

Ich **drehte** das Rad

Das Rad **drehte sich** *I turned the wheel The wheel turned* 

# d. A construction with *lassen* and a German intransitive verb can correspond to the transitive use of the verb in English

For this 'causative' use of *lassen*, see 13.3.1c:

drop

Ich ließ den Stein fallenI dropped the stoneDer Stein fielThe stone dropped

fail

Sie **haben** den Kandidaten **durchfallen lassen**Der Kandidat **ist durchgefallen**They failed the candidate
The candidate failed

run

Ich **habe** das Wasser in die Badewanne **laufen lassen** *I've run the bathwater* Der Wasserhahn **läuft** *The tap's running* 

# e. A construction with *sich lassen* and a German transitive verb sometimes corresponds to the intransitive use of the verb in English

For this construction with *sich lassen*, see 15.4.6:

cut

Sie hat das Papier geschnitten

Das Papier lässt sich leicht schneiden

She cut the paper

The paper cuts easily

#### 18.3.6 Reflexive Verbs

Many German verbs are always used with a reflexive pronoun in the accusative case (see 3.2), e.g. *sich beeilen* 'hurry', *sich erkälten* 'catch a cold'. These REFLEXIVE VERBS have no direct equivalent in English – reflexive pronouns like 'myself' in English are used in a quite different way – and they can correspond to a variety of English verb constructions and verb types.

A number have English equivalents quite different from the simple verb (and the English equivalent is often an intransitive verb), e.g. *sich setzen* 'sit down' (cf. *setzen* 'put'), etc. In some instances the nearest English equivalent is a passive (or passive-like) construction (see 15.4.3).

Many verbs used with a reflexive accusative also have other complements, e.g. a dative, genitive or prepositional object. They are treated in the sections dealing with these other complements.

It is helpful to distinguish two types of reflexive verb in German:

### a. 'True' reflexive verbs, which are ONLY used with a reflexive pronoun

With these, the reflexive pronoun is an integral part of the verb:

sich bedanken	say 'thank you'	sich erholen	recover
sich beeilen	hurry	sich erkälten	catch a cold
sich befinden	be (situated)	sich irren	be mistaken
sich benehmen	behave	sich verabschieden	say 'goodbye'
sich eignen	be suited	sich verneigen	bow
sich entschließen	decide	sich weigern	refuse

# b. Other transitive verbs used reflexively, with the accusative object appearing as a reflexive pronoun

i. Many transitive verbs can be used with a reflexive pronoun. The agent is then performing the action on him/herself. Compare:

non-reflexive	reflexive
Das habe ich meinen Bruder gefragt	Das habe ich mich gefragt
Ich setzte den Koffer auf den Stuhl	Ich setzte mich auf den Stuhl
Ich habe den Hund gewaschen	Ich habe <b>mich</b> gewaschen
Ich habe <b>ihn</b> nicht überzeugen können	Ich habe <b>mich</b> nicht überzeugen können

**ii.** Many transitive verbs denoting activities and accomplishments can be used reflexively with a subject which is not the person carrying out the action. These usually correspond to English passive constructions:

Das **erklärt sich** leicht That is easily explained
Mein Verdacht **hat sich bestätigt** My suspicions were confirmed

Intransitive verbs denoting activities and accomplishments can also be used in a similar way with a reflexive pronoun. These constructions are always impersonal and have a sense similar to a construction with *man* (see also 15.4.3).

Dort wohnt es sich gut

One can live well there

Hier **arbeitet** es **sich** beguem One can work comfortably here

iii. A few verbs have reflexive and non-reflexive forms where the reflexive variant is a 'true' reflexive, with a slightly different meaning, see also 18.3.5c:

Das erinnert mich an etwas That reminds me of something

Ich erinnere mich an etwas I remember something
Das habe ich ihr versprochen I promised her that

Ich habe mich versprochen

I made a slip of the tongue

# 18.4 The Dative Object

A DATIVE OBJECT occurs in three main sentence patterns (see Table 18.2), and these are explained in the sections indicated:

- C: Subject + verb + dative object (section 18.4.1)
- D: Subject + verb + accusative object + dative object (section 18.4.2)
- G: Subject + verb + dative object + prepositional object (section 18.4.1)

The prepositions used with individual verbs in sentence pattern G are treated in 18.5. Verbs with a dative reflexive are dealt with in 18.4.3. The dative case has a wide range of other uses in German, as detailed in 2.5. As explained in 15.1.3, the dative object can never be converted into the subject of a corresponding passive sentence.

NB: Besides dative objects, there are also 'free' datives, which are not closely linked to particular verbs and are not complements.

These are explained in detail in section 2.5.3.

# 18.4.1 Verbs Governing the Dative

# A fair number of German verbs have a dative object, but no accusative object.

These have no direct equivalent in English, and English learners need to learn these verbs with their constructions. No general rules can be given as to which verbs govern a dative object, but it is helpful to be aware that these dative objects often relate to persons who are advantaged or disadvantaged in some way through the action expressed by the verb.

# a. Common verbs which govern a dative object

abraten advise against

Sie hat **ihm** davon abgeraten She advised him against it

ähneln resemble, look like

Er ähnelt **seinem Bruder**He looks like his brother

applaudieren applaud

Sie applaudierten **dem Solisten**They applauded the soloist

ausweichen get out of the way of, evade, avoid

Er ist **der Gefahr** ausgewichen He avoided the danger

**begegnen** *meet* (by chance)

Ich bin **ihr** in der Stadt begegnet

I met her in town

**bekommen** agree with one (of food)

Fleisch bekommt mir nicht Meat doesn't agree with me

NB: bekommen with an accusative object means 'receive', e.g. Er bekam einen langen Brief von seinem Vater.

danken thank

Ich dankte **ihnen** sehr dafür I thanked them very much for it

dienen serve

Er diente **dem König von Italien**He served the king of Italy

drohen threaten

Sie drohte **ihm** mit einem Stock She threatened him with a stick

einfallen occur

Das ist **mir** nicht eingefallen That didn't occur to me

erliegen succumb to

Er **erlag** seinen Wunden He succumbed to his injuries

folgen follow

Er ist **ihr** ins Exil gefolgt

He followed her into exile

NB: folgen is used with auf (acc.) in the sense 'succeed, come after': Auf den Sturm folgten drei sonnige Tage.

gehorchen obey

Sie gehorcht **ihrem Vater**She obeys her father

gehören belong

Der Mercedes gehört mir nicht The Mercedes doesn't belong to me

NB:

i. In the sense 'be part of, be one of', gehören is used with zu: Das gehört zu meinen Aufgaben. See 18.6.13b.

ii. In the sense 'be a member of', angehören is used. It also takes a dative: Ich gehöre dem Verein an.

gelten be meant for, be aimed at, be for Gilt diese

Bemerkung mir?

Is that comment meant for me?

Der Beifall galt den Schauspielern

The applause was for the actors

gleichen be equal to, resemble

Jeder Tag glich **dem anderen**One day was like the next

gratulieren congratulate

Sie haben **ihr** zum Geburtstag gratuliert They congratulated her on her birthday

helfen help

Er half **seinem Vater** in der Küche He helped his father in the kitchen

imponieren impress

Sie hat **ihm** sehr imponiert She impressed him a lot

kündigen fire, give notice

Der Chef hat **ihm** gestern gekündigt The boss gave him notice yesterday

NB: In colloquial spoken German, kündigen is used with an accusative object, e.g. Sie hat ihn gekündigt. In the meaning 'cancel', it is <u>always</u> used with an accusative, e.g. Er hat den Vertrag gekündigt.

b.

nutzen/nützen be of use

Das nutzt mir doch gar nichts

But that's no use to me

passen suit

Das neue Kleid passt **dir** gut

The new dress suits you

NB: zu jdm./etwas passen 'go with sb./sth.' (see 18.5.13b)

schaden harm

Rauchen schadet der Gesundheit Smoking is harmful to your health

schmeicheln flatter

Der Student wollte **dem Professor** schmeicheln

The student wanted to flatter the professor

trauen trust

Ich traute **meinen Augen** nicht I couldn't believe my eyes

NB: misstrauen 'distrust' also governs a dative object.

trotzen defy

Er trotzte der Gefahr He defied/braved the danger

**unterliegen** be defeated by, be subject to

Er unterlag **seinem Gegner**He lost to his opponent

vertrauen have trust in

**jdm**. blind vertrauen have a blind trust in somebody

wehtun hurt

Der Wespenstich hat **ihm** wehgetan The wasp sting hurt him

#### c. Most verbs with the meaning 'happen', 'occur' govern a dative

Es wird **dir** doch nichts geschehen

But nothing will happen to you

Was ist **ihm** gestern passiert?

What happened to him yesterday?

So etwas ist **mir** noch nie vorgekommen Nothing like that has ever happened to me

Similarly: bevorstehen, widerfahren, zustoßen, etc.

# d. Verbs with certain prefixes usually take a dative

i.e. those with bei-, ent-, entgegen-, nach-, wider-, zu-:

Er ist **der SPD** beigetreten He joined the SPD

Das entsprach meinen Erwartungen That came up to my expectations

Sie kam **mir** entgegen

Er eilte **ihr** nach

She came towards me

He hurried after her

Das Kind widersprach **seiner Mutter**Er hat **dem Gespräch** zugehört

The child contradicted its mother

He listened to the conversation

Similarly (among many others):

nachlaufen beistehen give support to run after beiwohnen be present at nachstellen follow, pester entsagen renounce nachstreben emulate originate from sich widersetzen entstammen oppose widerstehen entgegengehen go to meet resist counteract zulaufen entgegenwirken run up to nachgeben give way to zustimmen agree with nachkommen follow zuvorkommen anticipate

The verbs prefixed with *ent*-meaning 'escape' (*entgehen*, *entfliehen*, *entkommen*, *entrinnen*, *entwischen*, etc.) also all govern a dative.

NB: A few verbs with these prefixes have a dative <u>and</u> an accusative object (see 18.4.2), e.g. *jdm. etwas beibringen* 'teach somebody something', *jdm. etwas zutrauen* 'credit somebody with something'.

### e. The dative object of some verbs corresponds to the subject of the usual English equivalent

Etwas fällt mir auf I notice something
Etwas entfällt mir I forget something

Es fällt mir leicht, schwer I find something easy/difficult

Etwas fehlt, mangelt mir/Es fehlt, mangelt mir an etwas I lack something
Etwas gefällt mir I like something
Etwas geht mir auf I realise something
Etwas gelingt mir I succeed in something
Etwas tut mir Leid I am sorry about something
Das leuchtet mir nicht ein I don't understand that
Es liegt mir viel an etwas (dat) I am keen on something
Etwas liegt mir I fancy something

Das genügt, reicht mir I have had enough of that
Etwas schmeckt mir I like something (i.e. food)

NB: With these verbs, there is a strong tendency for the dative object to precede the verb in main clauses, e.g. Mir hat das nicht gefallen.

# 18.4.2 Verbs Governing a Dative and an Accusative Object

These are transitive verbs with two complements, i.e. an accusative (direct) object, which is usually a thing, and a dative object, called the indirect object, which is usually a person. It is helpful to remember them as *einem etwas* verbs.

The German dative commonly corresponds to an English prepositional phrase with 'to' or 'from', or to an English indirect object (e.g. *He gave me the book*). In German, though, the indirect object is indicated by the dative case, not by a preposition, so that 'He gave the money to his uncle' is *Er gab seinem Onkel das Geld*, NOT \**Er gab das Geld zu seinem Onkel*.

With many verbs (e.g. *geben*) the dative object is essential to construct a grammatical sentence, with others (e.g. *beweisen*) it can be dropped in some contexts.

a. Verbs of giving and taking (in the widest sense) govern a dative and an accusative object There are a large number of such verbs:

Sie haben **mir eine Stelle** angeboten They offered me a job

Das wollte er (mir) beweisenHe wanted to prove that (to me)Er brachte (ihr) einen BlumenstraußHe brought (her) a bunch of flowersIch kann (dir) diesen Roman empfehlenI can recommend this novel (to you)

Er hat **dem Lehrer einen Bleistift** gegeben He gave the teacher a pencil

Sie will **mir** jetzt **etwas Ruhe** gönnen She is now willing to let me have some peace and quiet

Kannst du **mir hundert Franken** leihen? Can you lend me a hundred francs?

Wir haben (ihr) die Tasche genommen

We took the bag (from her)

Ich habe (ihr) das Paket geschickt

I've sent (her) the parcel

Du schuldest mir noch hundert Euro You still owe me a hundred euros

Er verkaufte (mir) seinen alten Golf

Er zeigte ihr seine Kupferstiche

He sold (me) his old Golf

He showed her his etchings

#### b. Most verbs involving an act of speaking are used with a dative and an accusative object

**i.** With most of these verbs the accusative object can only be either a neuter or indefinite pronoun (e.g. *es, das, etwas, nichts*) or a clause (a subordinate clause introduced by *dass, ob* etc., or an infinitive clause). The equivalent English verbs often have quite different constructions:

Sie hat (mir) geantwortet, dass sie morgen kommen wollte

tomorrow

Wer hat (dir) befohlen, die Geiseln zu erschießen?

Who gave (you) the order to shoot the hostages?

She answered me, and said she was going to come

Das habe ich ihm schon gestern erzählt

I already told him that yesterday

Er hat mir geraten, mein Haus zu verkaufen

He advised me to sell my house
He assured me he had taken care of everything

Er versicherte mir, dass er alles erledigt hätte Das wird er (dir) nie verzeihen können

He'll never be able to forgive you that

NB: With antworten, the dative is only used for persons. Cf.: Er hat auf meinen Brief, auf meine Frage geantwortet.

sagen is normally used in this way, with an optional dative of the person:

Was wollen Sie (ihm) sagen?

What do you want to say (to him)?

Sie sagte mir, dass sie es auf keinen Fall machen würde

She told me that on no account would she do that

However, it is used with zu when introducing direct speech or for a person addressing himself:

"Nun komm doch!" sagte sie zu Christian 'Come along now', she said to Christian

"Wie kannst du das nur machen", sagte er zu sich selbst

'How on earth can you do that?', he said to himself

**ii.** With a few verbs the accusative object or the dative object can be omitted, as the context requires. This is not possible with all the nearest equivalent verbs in English:

Die irakische Regierung erlaubte (der Delegation) die The Iraqi government allowed the delegation into

Einreise the country

Sie hat mir (einen langen Brief) geschrieben

Hat sie dir gestern gemailt

Did she e-mail you yesterday?

Das hat sie mir vorhin gesimst

She texted me that just now

iii. glauben has a dative of the person and/or an accusative of the thing:

Er glaubt dem Lehrer Er glaubt jedes Wort

Er glaubt dem Lehrer jedes Wort

NB: glauben an (acc.) (see 18.6.2b), is used for 'believe in', e.g. Ich glaube an seinen Erfolg.

c. With some verbs the German dative and accusative construction differs from the construction used with the nearest equivalent English verb

The following are common:

Man merkt ihm die Anstrengung an

One notices the effort he's making
Sie fügte es dem Brief bei

She enclosed it with the letter

Das hat ihm das Studium ermöglicht/erschwert That made it possible/difficult for him to study

Das hat sie mir gestern mitgeteilt She informed me of that yesterday

Die Polizei konnte ihm nichts nachweisen The police couldn't prove anything against him

Das hat sie mir aber verschwiegen She didn't tell me about that, though

Das hätte ich ihr nicht zugetraut

I wouldn't have believed her capable of that

d. With verbs of sending or transferring, a phrase with an is often used instead of a noun phrase in the dative

The effect is to emphasise the recipient more strongly:

Ich habe ein Paket an meinen Vater geschickt

Ich habe einen Brief an deinen Vater geschrieben

Er hat seinen alten Opel an seinen Vater verkauft

e. A few reflexive verbs have a dative object

With these the reflexive pronoun is the accusative object:

Sie mussten sich **dem Feind** ergeben They had to surrender to the enemy

Sie näherten sich **der Stadt**They approached the city

#### 18.4.3 Some verbs are used with a Dative Reflexive Pronoun

a. Many verbs governing a dative may be used with a dative reflexive pronoun if the action refers back to the

#### subject

Both types of verbs governing the dative can be used in this way, i.e.:

i. Verbs where the dative is the sole object (see 18.4.1):

Ich habe **mir** mehrmals widersprochen

I contradicted myself several times

Du schadest **dir** mit dem Rauchen

You're harming yourself by smoking

ii. einem etwas verbs (see 18.4.2):

Ich erlaubte **mir**, ihm zu widersprechen

I allowed myself to contradict him

Ich muss **mir** Arbeit verschaffen I must find work

# b. A few other verbs occur with a dative reflexive pronoun

These are 'true' reflexive verbs (see 18.3.6), where the reflexive pronoun is an integral part of the verb. All also have an accusative object:

Das habe ich **mir** angeeignet I acquired that
Das habe ich **mir** eingebildet I imagined that

Das verbitte ich **mir**Ich habe **mir** vorgenommen, das zu tun
Ich habe **mir** vorstellen
I refuse to tolerate that
I have resolved to do that
I can imagine that well

Ich habe **mir** eine Grippe zugezogen I contracted flu

Similarly: sich etwas anmaßen 'claim sth. for oneself', sich etwas ausbedingen 'make sth. a condition'.

# 18.5 Prepositional Objects

#### 18.5.1 Many verbs are followed by an Object Introduced by a Preposition

The PREPOSITION used in prepositional objects is wholly idiomatic and determined by the individual verb. The fact that German has *Ich warte auf Sie* for English 'I am waiting **for** you', for example, is not related in any way to the usual meaning of the German preposition *auf* or the English preposition 'for'. For this reason, the foreign learner has to treat each combination of verb and preposition separately and remember them as a whole.

There are three main sentence patterns with prepositional objects, see Table 18.2, i.e.:

- Verbs with a prepositional object as their only object (sentence pattern E)
- Transitive verbs with an accusative object and a prepositional object (sentence pattern F)
- Verbs with a dative object and a prepositional object (sentence pattern G).

A few verbs have two prepositional objects. All prepositional objects are treated in this section under the individual prepositions, with other complements governed by the verb indicated as appropriate.

#### 18.5.2 **An**

an is most often followed by the dative case in prepositional objects, but a few verbs govern an with the accusative case.

a. Used in prepositional objects with the DATIVE case, an often conveys the idea of 'in respect of, in connection with'

Ich erkannte sie an ihrem knallroten Haar

I recognised her by her bright red hair

Er ist **an einer Lungenentzündung** gestorben He died of pneumonia Ich zweifle **an seiner Ehrlichkeit** I doubt his honesty

A selection of other verbs:

arbeiten an work at
mitwirken an play a part in
erkranken an fall ill with
teilnehmen an take part in
gewinnen an (e.g.: an Bedeutung gewinnen) gain (in)
verlieren an (e.g.: an Boden verlieren) lose (some)
leiden an suffer from

sich an jdm./etwas freuen take pleasure in sb./sth.

NB: sich freuen auf (acc.) 'look forward to' (18.5.3a), sich freuen über 'be glad/pleased about' (18.5.9).

jdn. an etwas hindern *prevent sb. from (doing) sth.*Es fehlt mir an etwas *I lack sth.* (see 18.4.1d)

Es liegt mir viel an etwas I am very keen on sth. (see 18.4.1d)

sich an etwas orientieren orientate oneself by sth. etwas an jdm. rächen avenge sth. on sb.

sich an jdm. für etwas rächen take revenge on sb. for sth.

# b. Most of the few verbs which govern a prepositional object with an and a following ACCUSATIVE case denote mental processes

Du erinnerst mich an ihn

You remind me of him

Ich erinnere mich **an ihn**I remember him (see 18.7.2)

Ich glaube an den Fortschritt

I believe in progress (see 18.4.2b)

Also:

denken anthink ofsich an etwas haltenstick to sth.sich an etwas gewöhnenget used to sth.

#### 18.5.3 Auf

auf most often occurs with the accusative case in prepositional objects. Very few verbs govern auf with the dative.

#### a. auf with the ACCUSATIVE case is the commonest preposition in prepositional objects

Ich werde auf deine Kinder aufpassen

Seine Bemerkung bezog sich auf dich

Das läuft auf das Gleiche hinaus

I'll mind your children

His comment related to you

It amounts to the same thing

Er wies (mich) auf die Schwierigkeiten hin

He pointed the difficulties out (to me)

Other verbs:

achten, Acht geben auf pay attention to

sich berufen aufrefer todrängen aufpress forsich erstrecken aufextend to

folgen auf follow (see 18.4.1a)

sich freuen auf look forward to (see 18.5.2a, 18.5.9a)

hoffen auf hope for sich konzentrieren auf concentrate on pfeifen auf (coll.) not care less about

pochen auf insist on reagieren auf react to rechnen auf count on schimpfen auf/über curse about schwören auf swear on/by sich spezialisieren auf specialise in sich stützen auf lean, count on sich verlassen auf rely on sich verstehen auf be expert in

sich verstehen auf be expert in (jdn.) verweisen auf refer (sb.) to verzichten auf do without warten auf wait for zählen auf count on

zurückkommen auf come back to, refer to
Es kommt (mir) auf etwas an sth. matters (to me)

etwas auf etwas beschränken limit/restrict/confine sth. to sth. sich auf etwas beschränken limit oneself/be limited to sth.

etwas auf etwas zurückführen put sth. down to sth.

#### b. A few verbs which convey the idea of immovability govern auf with the DATIVE case

Er beharrte auf seiner Meinung

He didn't shift from his opinion
Ich bestehe auf meinem Recht

I insist on my right

NB: bestehen aus 'consist of' (18.5.4), bestehen in 'consist in' (18.5.6b); bestehen auf with a following accusative is now old-fashioned.

Similarly beruhen auf, fußen auf, which all mean 'be based on', 'rest on'. Note, however, sich gründen auf (acc.) 'be based on', e.g. Der Vorschlag gründet sich auf diese Annahme.

basieren auf, when used intransitively, in the meaning 'be based on' is followed by the dative, e.g. Das basiert auf genauer Kenntnis dieser Methode. When used transitively, in the meaning 'base (sth.) on' it can be followed by the dative or the accusative, although the accusative is more frequent, e.g. Sie basierte ihre Aussage auf zahlreiche Beispiele (less common: zahlreichen Beispielen).

# 18.5.4 **Aus**

aus usually has the meaning 'of', 'from' in prepositional objects.

Ihr Essen bestand **aus trockenem Brot**Their food consisted of dry bread

Other verbs:

etwas aus etwas entnehmen, ersehen infer, gather sth. from sth.

sich aus etwas ergeben etwas aus etwas folgern, schließen result from sth. conclude sth. from sth.

NB:

- i. bestehen auf 'insist on' (see 18.5.3), bestehen in 'consist in' (18.5.6b).
- ii. entnehmen can alternatively be constructed with a dative, e.g. Ich entnehme (aus) Ihrem Brief, dass Sie das Geschäft aufgeben wollen.
- iii. sich in etwas ergeben 'submit to sth.' (see 18.5.6a), sich jdm./etwas ergeben 'surrender to sb./sth.' (see 18.4.2e).

#### 18.5.5 **Für**

für usually has the meaning 'for' in prepositional objects.

Ich habe ihm **für seine Mühe** gedankt

I thanked him for his trouble

Ich habe mich **für den Audi** entschieden

I decided on the Audi

Ich halte deine Freundin für hochbegabt

I consider your friend to be very gifted

Other verbs:

sich (bei jdm.) für etwas bedanken

sich für etwas begeistern

sich für jdn./etwas eignen

sich für jdn./etwas interessieren

sich für jdn./etwas schämen

be ashamed of sth./for sb.

für jdn./etwas sorgen

give thanks for sth. (to sb.)

be enthusiastic about sth.

be suitable for sb./sth.

be interested in sb./sth.

be ashamed of sth./for sb.

take care of/look after sb./sth.

NB:

- i. Non-reflexive interessieren is used with für or an (dat.), e.g. Er interessierte sie für das/an dem Unternehmen.
- ii. sich eignen zu/als means 'be suitable as' (see 18.5.13).
- iii. sich (wegen) jds./etwas schämen (see 18.7.2) 'be ashamed of sb./sth.', sich vor jdm. schämen 'feel ashamed in front of sb.' (see 18.5.12a).
- iv. sich um jdn./etwas sorgen 'be worried about sb./sth.'.

#### 18.5.6 In

#### a. in is most often used with the ACCUSATIVE case in prepositional objects

Sie willigte in die Scheidung ein

She agreed to the divorce

Er verliebte sich in sie

He fell in love with her

Other verbs:

jdn. in etwas einführen introduce sb. to sth. sich ergeben in submit to (see 18.5.4)

sich mischen in meddle in

sich vertiefen in become engrossed in

b. Very few verbs govern in with the DATIVE case

Meine Aufgabe besteht in der Erledigung der My duties consist in dealing with the correspondence

Korrespondenz (see also 18.5.3b)

Ich habe mich nicht in ihr getäuscht

I was not mistaken in (my judgement of) her

- i. bestehen auf 'insist on' (18.5.4), bestehen aus 'consist of' (18.5.5).
- ii. sich täuschen über 'to be mistaken about' (18.5.9a).

#### 18.5.7 Mit

mit usually has the sense of 'with' in prepositional objects.

Sie hat **mit ihrer Arbeit** angefangen She made a start on her work

Willst du bitte **damit** aufhören? Please stop doing that

Sie hat ihm **mit der Faust** gedroht She threatened him with her fist Mein Freund simst **mit anderen Frauen** My boyfriend texts other women

Ich habe gestern **mit ihm** telefoniert

I spoke to him on the telephone yesterday

sich abfinden mit be satisfied with

sich befassen mit deal with

sich begnügen mit be satisfied with sich beschäftigen mit occupy oneself with

rechnen mit count on sprechen mit (or: jdn. sprechen) speak to/with übereinstimmen mit agree with sich unterhalten mit converse with vergleichen mit compare with

sich verheiraten mit marry

versehen mit provide with zusammenstoßen mit collide with

#### 18.5.8 Nach

### a. nach often has the sense of English 'after', 'for' with verbs of calling, enquiring, longing, reaching, etc.

Haben Sie sich nach seinem Befinden erkundigt? Have you enquired how he is?

Plötzlich griff das Kind nach der Katze Suddenly the child made a grab for the cat

Sie schrie nach ihrem Cousin
Ich telefonierte nach einem Arzt

She yelled for her cousin
I rang for a doctor

Other verbs:

fragen nach
hungern nach
hunger after, for
hunger after, for
rufen nach
sich sehnen nach
streben nach
strive for
suchen nach
suchen nach
search for

verlangen nach ask, long for; crave

NB: sich erkundigen über 'enquire about'; fragen über 'ask about'.

#### b. nach often has the sense of English 'of' with verbs of smelling, etc.

Es riecht nach Teer It smells of tar

#### Es schmeckte nach Fisch

Similarly: duften nach, stinken nach, etc., and: Es sieht nach Regen aus 'It looks like rain'.

### 18.5.9 Über

*über* always governs the **accusative** case in prepositional objects.

#### a. *über* corresponds to English 'about' with verbs of saying, etc.

Ich habe mich sehr **über sein Benehmen** geärgert

Sie musste lange **darüber** nachdenken

I was very annoyed at his behaviour

She had to think it over for a long time

Ich sprach gestern mit dem Chef **über diese Bewerbung** I talked to the boss about this application yesterday

Many verbs can be used with *über* in this sense, e.g.:

sich bei jdm. über etwas beklagen/beschweren complain to sb. about sth.

sich über jdn./etwas freuen be pleased about sth. (see 18.5.2a, 18.5.3a)

jdn. über etwas informieren inform sb. about sth.

über jdn./etwas spotten mock sb./sth.

sich täuschen über etwas be mistaken about sth. (see 18.5.6b)

über etwas urteilen *judge sth.* 

sich über jdn./etwas wundern be surprised at sb./sth.

Some verbs, i.e. *denken*, *erzählen*, *hören*, *lesen*, *sagen*, *schreiben*, *sprechen* and *wissen* can be used with *über* or *von* in the sense of 'about'. *über* tends to refer to something more extensive than *von*.

Compare:

Was denken Sie darüber?
Was denken Sie von ihm?
What is your view of that?
What do you think of him?
Er wusste viel über Flugzeuge
Er wusste nichts von ihrem Tod

What is your view of that?
What is your view of that?
What do you think of him?
He knew a lot about aeroplanes
He knew nothing of her death

# b. Other verbs governing a prepositional object with über

es nicht über sich bringen, etwas zu tun not bring oneself to do sth.

sich über etwas hinwegsetzen disregard sth.

über etwas verfügen have sth. at one's disposal

# 18.5.10 Um

um usually has the meaning 'concerning', 'in respect of' in prepositional objects.

Sie hat sich **um ihre Schwester** in Dresden geängstigt She was worried about her sister in Dresden

Es handelte sich **um eine Wette** *It was a question of a bet* 

Ich kümmerte mich um meine Enkelkinder

I took care of my grandchildren

Other verbs:

sich um etwas bemühen take trouble over sth.

jdn. um etwas beneiden envy sb. sth.

jdn. um etwas betrügen

jdn. um etwas bitten, ersuchen (elev.)

jdn. um etwas bringen

Es geht um etwas (see 18.2.4g)

um etwas kommen

sich um jdn./etwas sorgen sich um/über etwas streiten

NB: sich ängstigen vor 'be afraid of' (18.5.12).

cheat sb. out of sth.

ask sb. for sth., request sth. from sb.

make sb. lose sth.
Something is at stake

lose sth., be deprived of sth.

be worried about sth.

argue about/over sth.

#### 18.5.11 **Von**

von usually has the sense of English 'of' or 'from' in prepositional objects.

Ich will dich nicht von der Arbeit abhalten

Wir müssen davon ausgehen, dass ...

Ich muss mich von meinem Kollegen distanzieren

Das Kind träumte von einer schönen Prinzessin

I don't want to keep you from your work

We must start by assuming that ...

I have to dissociate myself from my colleague

The child was dreaming of a beautiful princess

Other verbs:

etwas hängt von jdm./etwas ab

jdm. von etwas abraten

von etwas absehen

jdn. von etwas befreien

sich von etwas erholen

von etwas herrühren

jdn. von etwas überzeugen

jdn. von etwas verständigen

von etwas zeugen

sth. depends on sb./sth. advise sb. against sth.

refrain from sth., disregard sth.

liberate sb. from sth.

recover from sth.

 $stem\ from\ sth.$ 

convince sb. of sth.

inform sb. of sth.

show, demonstrate sth.

# 18.5.12 Vor

vor is always used with the **dative** case in prepositional objects.

#### a. vor often corresponds to English 'of' with verbs of fearing, etc.

Ich ekele mich vor diesen großen Spinnen

Er fürchtete sich vor dem Rottweiler

Er warnte mich vor dem Treibsand

I have a horror of these big spiders (see 18.2.2)

He was afraid of the Rottweiler

He warned me about the quicksand

Other verbs:

sich vor jdm./etwas ängstigen

Angst vor jdm./etwas haben

sich vor etwas drücken (coll.)

vor jdm./etwas erschrecken

sich vor jdm./etwas hüten

sich vor jdm. schämen

sich vor etwas scheuen

be afraid of sb./sth. (see 18.5.10)

be afraid, scared of sb./sth.

dodge sth.

be scared by sb./sth.

beware of sb./sth., be on one's guard against sb./sth.

feel ashamed in front of sb. (see 18.5.5)

be afraid of, shrink from sth.

# b. vor often corresponds to English 'from' with verbs of protecting, etc.

Sie bewahrte ihn vor der Gefahr
Sie flohen vor der Polizei

She protected him from danger
They fled from the police

Other verbs:

jdn. vor jdm./etwas beschützen, beschirmen (elev.)protect sb. from sb./sth.jdn. vor etwas rettensave sb. from sth.sich vor jdm./etwas verbergenhide from sb./sth.

18.5.13 **Zu** 

### a. zu often corresponds to English '(in)to' with verbs of empowering, leading, persuading, etc.

All these verbs are transitive, i.e. they have an accusative object besides the prepositional object with zu:

Er ermutigte sie **zum Widerstand**He encouraged them to resist
Er trieb sie **zur Verzweiflung**He drove her to despair

Er überredete mich zu einem Glas Wein

He talked me into having a glass of wine

Er zwang mich zu einer Entscheidung

He forced me into a decision

Other verbs used similarly:

autorisieren *authorise* nötigen *invite* 

berechtigen *entitle* provozieren *provoke* 

bewegen induce raten advise
einladen invite veranlassen cause
ermächtigen empower verführen seduce
herausfordern challenge verhelfen help

# b. Some other verbs have a prepositional object with zu

Das hat **zu seinem Erfolg** sehr beigetragen

That contributed a lot to his success

Sie entschloss sich **zur Teilnahme**She decided to take part

Ich rechne/zähle ihn **zu meinen Freunden**I count him among my friends

Other verbs:

es zu etwas bringen attain sth. (see 3.6.3c)

zu etwas dienen serve as sth.

sich zu etwas eignen be suitable as sth. (see 18.5.5)

zu etwas führen lead to sth.

zu etwas gehören be part of sth., be one of sth. (see 18.4.1a)

jdm. zu etwas gratulieren congratulate sb. on sth.

zu etwas neigen tend to sth.

zu jdm/etwas passen go with sb./sth. (see 18.4.1a) sich zu etwas verhalten stand in a relationship to sth.

# **Prepositional Adverb**

i.e. the form da(r)+preposition, see 3.5. The prepositional object can be a subordinate clause (usually introduced by dass), or an infinitive clause with zu, for example:

Sie hat ihm dafür gedankt, dass er ihr geholfen hatte Ich verlasse mich darauf, dass er alles arrangiert Er hinderte mich daran, den Brief zu schreiben Ich verlasse mich darauf, ihn zu Hause zu finden

The prepositional adverb is optional with some verbs, e.g.:

Ich ärgerte mich (darüber), dass er so wenig getan hatte Sie haben (damit) angefangen, die Ernte hereinzubringen

There are no precise rules for contexts when the prepositional adverb is used or not, and it is often left out with some common verbs. If it is used, it tends to emphasise the following clause more strongly. In general, it is more commonly included than omitted in written German (and it is never incorrect to include it), whilst omission is more typical of everyday speech.

The following list gives the common verbs with which the prepositional adverb is often left out:

sich ekeln vor abhalten von raten zu sich entscheiden für abraten von sich scheuen vor sich entschließen zu sich schämen über Acht geben auf sich sehnen nach anfangen mit sich erinnern an (sich) ärgern über fragen nach sorgen für aufhören mit sich freuen auf/über sich sorgen um sich fürchten vor aufpassen auf sich streiten über beginnen mit glauben an träumen von sich beklagen über hindern an überzeugen von hoffen auf sich bemühen um urteilen über sich beschweren über sich hüten vor sich wundern über bitten um klagen über zweifeln an

In addition, the prepositional adverb can be omitted with all the transitive verbs used with zu (see 18.5.13a).

# 18.6 Predicate Complements

PREDICATE COMPLEMENTS are used with very few verbs, but these are common and important, like *sein* and *werden*. These verbs typically have a noun phrase or an adjective with them which describes the subject in some way (sentence pattern H in Table 18.2):

Er ist mein Freund Das scheint mir ratsam
Das Buch ist langweilig Er wurde Katholik
Sie ist bless geworden Du bist genz der Alte geb

Sie ist **blass** geworden Du bist ganz **der Alte** gebleiben

These verbs are known as COPULAR (i.e. 'linking') VERBS, because the verb simply links the subject with the noun phrase or adjective which is the predicate complement. We can see this by comparing the following sentences:

Holger fährt einen Bus
Holger ist Busfahrer
Holger is a bus-driver

In the first sentence, *Holger* and *Bus* refer to clearly different things, but in the second *Holger* and *Busfahrer* refer to one and the same person. Because the complement simply describes the subject, it is in the **nominative** case if it is a

noun.

The following verbs are used with a predicate complement:

bleiben remain sein be heißen be called werden become

scheinen seem

werden is used in two sentence patterns. When used with a predicate complement, it has the meaning 'become' and is typically used with nouns denoting professions and beliefs, etc. (e.g. *Er wurde Katholik, Kommunist; Sie werden Soldaten*). When used with a prepositional object introduced by zu, it means 'change, develop, turn into', e.g.:

Die Felder waren **zu Seen** geworden

The fields had turned into lakes

Das ist mir **zur Gewohnheit** geworden

That has become a habit of mine

Es wurde **zur Mode**Er wurde **zum Verbrecher**It became a fashion

He became a criminal

# 18.7 Genitive Objects

A small number of verbs have an object in the genitive case. With a very few this is the only object, i.e. they are intransitive verbs with no accusative object. Others are transitive verbs with an accusative object and a genitive object. Many of the latter are reflexive verbs.

All these verbs are uncommon in modern German and restricted to formal writing. A few more are used only in set phrases. In the following lists of verbs which are still used with a genitive, the more usual alternatives are given wherever possible.

# 18.7.1 Non-Reflexive Verbs with a Noun Phrase in the Genitive Case as the Only Object

**bedürfen** need, require (more common: brauchen, benötigen)

Die Ursache des Unfalls bedarf weiterer Ermittlungen

The cause of the accident requires further investigation

entbehren lack (more commonly used with an accusative object)

Der Staat konnte eines kraftvollen Monarchen nicht

entbehren (v. Rimscha)

(SZ)

The state could not do without a powerful monarch

**ermangeln** *lack* (more usual *fehlen*, see 18.4.1d)

Sein Vortrag ermangelte jeglicher Sachkenntnis

His lecture was lacking in any kind of knowledge of the subject

**gedenken** remember (elev. for denken an (acc.), with reference to the dead)

Der Bundespräsident hat **der Opfer** des Nationalsozialismus gedacht

The Federal President remembered the victims of National Socialism

harren await (elev. for warten auf (acc.); has a biblical ring)

Wir harren einer Antwort (Zeit) We are awaiting an answer

# 18.7.2 Reflexive Verbs with a Genitive Object

**a.** Most of these are 'true' reflexive verbs, with an accusative reflexive pronoun (see 18.3.6):

sich annehmen look after, take care of (more usual: sich kümmern um)

Er hätte sich dieses Kindes angenommen (Walser) He would have looked after that child

**sich bedienen** *use* (more usual: *benutzen*, *gebrauchen*, *verwenden*)

Die Firma bediente sich nur schmutziger Schiffe (Böll) The firm only used dirty ships

sich bemächtigen seize (various alternatives, e.g. ergreifen, nehmen)

Sie bemächtigten sich **des Bürgermeisters** von Le Mans *They seized the mayor of Le Mans* (Zeit)

sich entsinnen remember (more usual: sich erinnern an (acc.), see 18.5.2b)

Ich entsann mich **des Anblicks** der langgestreckten Baracken (*Andersch*)

I remembered the sight of the long huts

sich erfreuen enjoy (more usual: genießen, sich freuen über (acc.))

Sie erfreuten sich des schönen Sommerwetters (OH) They were enjoying the fine summer weather

**sich erinnern** remember (more usual: sich erinnern an (acc.), see 18.5.2b)

Ich erinnere mich **bestimmter Details** noch (Böll)

I still remember certain details

**sich erwehren** refrain from (more usual: abwehren)

Ich konnte mich eines Lächelns kaum erwehren

I could scarcely refrain from a smile

**sich rühmen** boast about/of (more usual: stolz sein über)

Die meisten Länder Europas rühmen sich einer tausendjährigen Geschichte (*Haffner*)

Most European countries can boast of a thousand years of history

sich schämen be ashamed of (more usual: sich schämen für/wegen, see 18.5.5)

Er schämte sich seines Betragens He was ashamed of his behaviour

sich vergewissern make sure (more usual: nachprüfen, überprüfen)

Sie vergewisserte sich **der Zuverlässig-keit** dieses Mannes

She made sure about this man's reliability

#### 18.7.3 Verbs used with a Genitive and an Accusative Object

- 1. **anklagen** *accuse* (outside formal legal parlance: *anklagen wegen*) *He was accused of manslaughter through culpable negligence*
- 2. **berauben** *rob* (more commonly: *einem etwas rauben*) Er beraubte ihn der Freiheit *He robbed him of his freedom*
- 3. **versichern** *assure* (more commonly: *einem etwas zusichern*)

Ich versichere Sie meines uneingeschränkten Vertrauens I assure vou of my absolute trust

The following verbs are used with a genitive in legal language, but with a following clause in everyday speech:

jdn. einer Sache beschuldigen/bezichtigenaccuse sb. of sth.jdn. einer Sache überführenconvict sb. of sth.jdn. einer Sache verdächtigensuspect sb. of sth.

# 18.7.4 Set phrases with a Genitive Object

Many more verbs were used with a genitive object in older German, and some of these still occur in idiomatic phrases, although they, too, are mainly used in formal writing:

der Gefahr nicht achten

jdn. eines Besseren belehren

sich eines Besseren besinnen

jeder Beschreibung spotten

pay no heed to danger

teach someone better

think better of something

beggar description

jdn. des Landes **verweisen** expel someone from a country

seines Amtes walten discharge one's duties

jdn. keines Blickes würdigen not to deign to look at someone

# 18.8 Direction Complements

Direction complements differ from adverbials, even if they can sometimes be left out, because they are closely linked with the meaning of the verb, as explained in 18.1.4. The difference between them and adverbials is particularly important in respect of word order, see 21.8.1.

# 18.8.1 Most verbs Expressing Motion can Occur with a Direction Complement

DIRECTION COMPLEMENTS are words or phrases used with verbs of motion which indicate where someone or something is moving. A direction complement usually takes the form of a prepositional phrase or an equivalent word. It can be omitted with many verbs.

Some verbs of motion – typically verbs of coming and going – are INTRANSITIVE and only have a direction complement with them.

Gestern fuhr sie nach Italien

Der Junge fiel hinein

Other verbs of motion - typically verbs of putting - are TRANSITIVE and have an accusative object as well as the direction complement:

Ich warf den Ball **dorthin** Sie legte das Buch **auf den Tisch** 

# 18.8.2 Complements of Place

Some verbs indicating position need a phrase to denote where someone or something is located, e.g.:

Sie wohnte lange **in der Pfeilgasse**She lived a long time in the Pfeilgasse

Der Brief befand sich **dort**The letter was there

Nach der Party übernachtete er **bei ihr**He spent the night with her after the party

Sie hielt sich **in Hamm** auf She stayed in Hamm

These phrases are similar to direction complements with verbs of motion, because they are closely linked to the meaning of the verb, and in practice the sentences would be ungrammatical if they were omitted. Common verbs which have such place complements are:

sich aufhalten stay bleiben stay, remain hängen hang leben live liegen lie, be lying parken park sitzen sit stattfinden take place stehen stand übernachten spend the night sich verlieren get lost wohnen live, dwell zelten camp

# 19 Conjunctions and Subordination

If sentences contain more than one clause, the clauses can be related to one another in two ways.

- There may be two (or more) parallel clauses of equal status. Typically, MAIN CLAUSES (German *Hauptsätze*) with the finite verb in second position are linked by a **coordinating conjunction** like *und* or *aber*.
- Alternatively, one or more clauses can be embedded inside another. These are SUBORDINATE CLAUSES (sometimes also called 'embedded clauses' or 'dependent clauses': German *Nebensätze*). In German they have the finite verb in final position and they are introduced by a **subordinating conjunction**.

SUBORDINATE CLAUSES form part of another clause, and we can distinguish three main types of subordinate clause according to their function in the clause which they are part of:

- i. Noun clauses play the same part as a noun phrase, for example as the subject or object of a verb, e.g. *Ich weiβ*, *dass sie morgen kommt*. As they are typically used as complements to the verb they are sometimes termed COMPLEMENT CLAUSES, see 18.3.2.
- **ii.** Adjective clauses have the function of adjectives, e.g. *die Frau*, *die morgen kommt*. They are introduced by a relative pronoun and are often called RELATIVE CLAUSES.
- **iii.** Adverbial clauses, which have the same function as adverbs, i.e. they indicate time, cause, manner, etc., e.g. (for time): *Die Frau kam*, *als die Sonne aufging*. They can be classified according to their meaning like adverbs (see Table 7.1).

This chapter gives details about the clauses of German and the conjunctions used in them as follows:

- Coordinating conjunctions (section 19.1)
- Noun clauses (section 19.2)
- Adverbial clauses (sections 19.3–19.7)
  - Conjunctions of **time** (section 19.3)
  - Causal conjunctions (section 19.4)
  - Conjunctions of **purpose** and **result** (section 19.5)
  - Concessive conjunctions (section 19.6)
  - Conjunctions of **manner** and **degree** (section 19.7)

Relative pronouns and **relative clauses** are dealt with in section 5.4. Conjunctions used to introduce **conditional clauses** (= 'if') are explained in section 16.5.

# 19.1 Coordinating Conjunctions

Coordinating conjunctions link clauses of the same kind. If both the clauses they join are main clauses, they are followed by regular main clause word order, i.e. the verb is the second element, see 21.1.4:

Er ist gestern Abend angekommen, **aber** ich **habe** ihn noch nicht gesehen.

They can also join subordinate clauses:

Ich weiß, dass sie morgen kommt und dass sie mich sehen möchte.

Most of them can also link single words or phrases:

Ich finde diese CD schön, aber etwas zu teuer.

Sie hat ein Buch und zwei Zeitschriften gekauft.

A few, like *sowie*, are only used like this, i.e. they cannot link clauses.

Table 19.1 lists the coordinating conjunctions of German, with the section indicated in which their use is explained.

aber	but	19.1.1	nämlich	as, for	19.1.2
allein	but	19.1.1	oder	or	19.1.3
bald bald	now now	19.1.5	sondern	but	19.1.1
beziehungsweise	or	19.1.3	sowie	as well as	19.1.4
denn	as, for	19.1.2	sowohl als	as well as	19.1.4
doch	but	19.1.1	teils teils	partly partly	19.1.5
entwederoder	either or	19.1.3	und	and	19.1.4
jedoch	but	19.1.1	weder noch	neither nor	19.1.3

# 19.1.1 Aber, Allein, Doch, Jedoch, Sondern 'but'

These conjunctions all indicate restrictions of some kind.

# a. aber is the usual equivalent of English 'but'

Er runzelte die Stirn, aber sie sagte noch nichts

He frowned, but she still didn't say anything

NB:

For aber with zwar in the preceding clause, see 19.6.1b.

#### b. allein, doch and jedoch are mainly literary alternatives to aber

i. allein is only used in formal literary German. It usually introduces a restriction which is unwelcome or unexpected:

Ich hatte gehofft, ihn nach der Sitzung zu sprechen, allein er war nicht zugegen

I had hoped to speak to him after the meeting, but he wasn't present

**ii.** *jedoch* is rather more emphatic than *doch*:

Der Lohn ist karg, doch man genießt die abendlichen The wages are meagre, but one enjoys the evening Stunden (*Jens*)

Im Allgemeinen war er kein guter Schüler, jedoch in In general he was not a good pupil, but he was Latein war er allen überlegen

better than any in Latin

## c. aber, doch and jedoch are also used as modal particles or adverbs

(For aber, see 10.1.2, for doch, see 10.7.1). They have much the same meaning when used like this as when they are used as conjunctions, but they form part of the clause rather than introduce it, and the word order is different. Compare these alternatives to the sentences in (a) and (b):

Er runzelte die Stirn, sie aber sagte noch nichts

Er runzelte die Stirn, sie sagte aber noch nichts

Der Lohn ist karg, doch genießt man die abendlichen Stunden

Der Lohn ist karg, man genießt doch die abendlichen Stunden

..., in Latein jedoch war er allen überlegen

..., in Latein war er jedoch allen überlegen

Constructions like this highlight the contrast rather more than when these words are simply used as conjunctions. aber is often used like this if the verbs in the two clauses have the same subject, and the subject is then omitted in the second clause: Er runzelte die Stirn, sagte aber noch nichts.

# d. sondern 'but'

i. sondern contradicts a preceding negative

Er ist nicht reich, **sondern** arm

He is not rich, but poor

Wir sind nicht ins Kino gegangen, sondern wir haben We didn't go to the cinema, but worked in the

im Garten gearbeitet

garden

sondern is distinct from aber, which is only used after a negative if it doesn't contradict, i.e. if the linked elements are equally true:

Er ist nicht reich, aber ehrlich (i.e. he is both 'not rich' and 'honest')

He is not rich, but (he is) honest

ii. nicht nur...sondern auch corresponds to 'not only...but also':

Er ist nicht nur reich, sondern auch großzügig

He is not only rich, but generous, too

Sie besorgten **nicht nur** ihren Haushalt, **sondern** sie waren **auch** berufstätig

They didn't only run the household, they had a job,

too

- (i) See 12.1.4 (d)/(e) for the agreement of the finite verb if the subject consists of more than one noun or pronoun linked by nicht nur...sondern
- (ii) Initial nicht nur is followed immediately by the finite verb, e.g. Nicht nur hat Helmut kräftig mitgeholfen, sondern Franziska hat auch ihren Teil dazu beigetragen.

# 19.1.2 Denn, Nämlich 'as', 'Because', 'for'

denn and nämlich are coordinating, not subordinating conjunctions, i.e. they are used in main clauses, with the verb in second position. Clauses with them give the reason for the event or action in the preceding clause, so they are never in first position in the sentence.

#### a. denn

Wahrscheinlich hatte ich den Fremden angestarrt, denn I had probably been staring at the stranger because he looked up and smiled er sah auf und lächelte (R. Schoof)

denn is no longer common in colloquial speech, and weil is often heard in its place as a coordinating conjunction, followed by a main clause with the verb second. This is not regarded as acceptable in standard German, see 19.4.1.

b. *nämlich* is always placed within the clause, after the verb

He couldn't understand her, as he was deaf Er konnte sie nicht verstehen, er war nämlich taub

# 19.1.3 Oder, Beziehungsweise 'or', Entweder...Oder 'Either...or', Weder...Noch 'Neither...nor'

These are **disjunctive** conjunctions, giving alternatives. See 12.1.4 for the agreement of the finite verb if the subject consists of two or more nouns or pronouns linked by them.

# a. oder is the most usual equivalent for English 'or'

Kaltfront vorbeiziehen (*Grzimek*)

Ich weiß, was passiert, wenn eine Warmfront **oder** eine I know what happens when a warm front or a cold front go past

Morgen können wir zu Hause bleiben, **oder** wir können Tomorrow we can stay at home, or we can go for a

walk if you want to

einen Spaziergang machen, wenn du willst Wir können in Heidelberg oder in Mannheim umsteigen We can change trains in Heidelberg or Mannheim

Sie wollten das Haus aus- oder umbauen

They wanted to extend or alter the house

oder can be ambiguous, like English 'or', since the alternatives linked by it can be exclusive (one or the other, but not both) or **inclusive** (i.e. 'and/or', as in the last example above). In order to confirm that exclusion is meant, aber (auch) can be added to oder (see 10.1.2), e.g.: Wir können in Heidelberg, oder aber (auch) in Mannheim umsteigen. Alternatively, beziehungsweise or entweder...oder can be used to signal exclusion (see (b) and (c)

# b. beziehungsweise indicates mutually exclusive alternatives

In writing it is usually abbreviated to bzw.:

Sie haben lange in Deutschland gewohnt, bzw. sie haben They lived a long time in Germany, or (else) they of dort oft Urlaub gemacht ten took their holidays there

Es kostet 300 Euro, bzw. 250 Euro mit Rabatt

It costs 300 euros, or 250 euros with the discount

beziehungsweise was originally restricted to formal registers, but it is now commonly used in spoken German. c. entweder...oder 'either...or' signals mutually exclusive alternatives

Entweder er wird entlassen, oder er findet gar keine Stellung (BILD)

He will either be dismissed or not find a job at all

Less usually, entweder can be immediately followed by the verb, e.g. Entweder wird er entlassen, oder...

d. weder...noch 'neither...nor'

Er liest **weder** Bücher **noch** Zeitungen

He reads neither books nor newspapers

Ich habe weder seinen Brief bekommen, noch habe ich Neither have I received his letter, nor have I heard sonst von ihm gehört

from him in any other way

A common alternative to weder...noch is to use und auch nicht/kein. This is often felt to be less clumsy and more natural, especially in spoken German:

Er liest keine Bücher und auch keine Zeitungen.

Ich habe seinen Brief nicht bekommen, und ich habe auch nicht sonst von ihm gehört.

noch cannot be used on its own in the sense of 'nor' without a preceding weder. As an equivalent for English 'nor' without a preceding 'neither' (or 'or' preceded by a negative) German uses und auch nicht/kein:

Sie hat mir noch nicht geschrieben, **und** ich erwarte auch nicht, dass ich bald von ihr höre

She hasn't written to me yet, nor do I expect to hear from her soon

Ich höre die Nachrichten im Radio nicht und kaufe auch I don't listen to the news on the radio or buy keine Zeitungen newspapers

#### 19.1.4 und 'and'; sowie, sowohl...als 'as well as'

# a. und is the common equivalent for English 'and'

Angela **und** Gudrun wollen auch kommen

Angela and Gudrun want to come too

Einer der Verdächtigten durchbrach eine Straßensperre und konnte erst nach einer Verfolgungsjagd gestoppt werden (NZZ)

One of the suspects broke through a road block and could only be stopped after a chase

# b. sowie, sowohl...als 'both...and', 'as well as'

These are frequent stylistic alternatives to und, especially in written German, although they are not unknown in speech. They emphasise the connection between the elements more than und, and they are often used with a following *auch*:

Dürrenmatt hat sowohl Dramen als (auch)

Dürrenmatt wrote both plays and detective novels

#### Kriminalromane geschrieben

NB:

Less commonly, wie is used for als with sowohl.

sowie puts rather more stress on the second element than sowohl...als, e.g.:

Dürrenmatt hat Dramen sowie (auch) Kriminalromane geschrieben.

NB: See 12.1.4 for the agreement of the finite verb if the subject consists of more than one noun or pronoun linked by *sowohl...als* or *sowie*.

# 19.1.5 Less Frequent Coordinating Conjunctions

(a) bald...bald 'one moment...the next', 'now...now'

This is mainly found in formal writing. *bald* is followed immediately by the verb in both clauses:

Bald weinte das Kind, bald lachte es

One moment the child was crying, the next it was laughing

(b) teils...teils 'partly...partly'

Wir haben unseren Urlaub **teils** in Italien verbracht, **teils** in *We spent our holiday partly in Italy, partly in* der Schweiz

Switzerland

teils heiter, teils wolkig cloudy with sunny intervals

When clauses are linked with *teils*, the verb follows immediately after *teils* in both clauses:

**Teils** war man sehr zuvorkommend, **teils** hat man mich völlig ignoriert

Sometimes people were very helpful, at others I was completely ignored

#### 19.2 Noun Clauses

Noun clauses have the same function in the sentence as nouns or noun phrases. They are most often found as complements of a verb, and for this reason they are also called COMPLEMENT CLAUSES. They can be the subject (*Dass sie kommt*, *freut mich*), object (*Sie sah*, *wie er sich anstrengte*) or one of the other **complements** of a verb (see Table 18.1). Noun clauses in German can be introduced by *dass*, *ob*, *wenn* or the interrogative *w*- words (see 7.5).

NB If a noun clause is used as the **subject**, the verb has the third person singular endings, see 12.1.4a.

## 19.2.1 dass 'that'

# (a) dass is the commonest conjunction used to introduce noun clauses

It corresponds closely to English 'that':

subject: Dass sie morgen kommt, erstaunt mich

accusative object: Sie versicherte mir, dass alles in Ordnung war genitive object: Man klagt ihn an, dass er das Geld gestohlen hat

prepositional object: Er wartete darauf, dass Peter ihn grüßte

predicate complement: Tatsache ist, dass er gelogen hat

Noun clauses with *dass* can also depend on adjectives, e.g. *Ich bin froh, dass du kommen konntest* or on nouns related to verbs, e.g. *Ihn quälte die Angst, dass etwas passieren könnte* 

#### (b) The omission of dass

The conjunction *dass* can be omitted in some contexts and some types of noun clause, and then the dependent clause has the order of a main clause, with the verb second. Compare the following alternatives:

Sie sagte, dass sie einen Brief schreibe Sie sagte, sie schreibe einen Brief

However, it is far less frequent for German dass to be dropped than English that. It is possible to leave dass out:

i. after verbs (and other expressions) of saying, when introducing indirect speech (see 16.6):

Ich sagte, sie sei das einzige Mädchen, mit dem ich "diese Sache" tun wollte (*Böll*)

Bei denen herrscht die Meinung vor, die Universitäten litten an der Überlast ungeeigneter Studenten (Spiegel)

I said she was the only girl I wanted to do "that" with

With these people the idea is dominant that universities are suffering from being overloaded with unsuitable students

In practice, the alternative without *dass* is rather more frequent in both spoken and written German. However, *dass* <u>is</u> usually retained if the main verb is negative. Thus *Er sagte nicht*, *dass er sie nach Hause fahren werde* is more usual than *Er sagte nicht*, *er werde sie nach Hause fahren*.

**ii.** after verbs (and other expressions) of perceiving, feeling, hoping, thinking and believing (in the widest sense). The omission of *dass* here is commoner in spoken than in written German.

Ich hatte gehofft, er würde es auf zehn Mark abrunden (*Böll*)

die Ahnung, sie könnte noch unterwegs sein

I had hoped he would round it down to ten marks

the idea that she could still be on her way

# iii. Initial dass- clauses are more frequent in German than in English

Especially in written German, it is much more usual to find sentences which begin with a subject or object *dass*-clause than is the case in English, where we tend to provide a noun (especially 'the fact') for the 'that'- clause to link to. Compare:

Dass die Wahlergebnisse der DDR gefälscht waren, bestreitet auch Modrow nicht (*Spiegel*)

The fact that the election results in the GDR were falsified is not disputed even by Modrow

Dass er einmal nicht mehr wollen würde, wagte er nicht *The possibility that at some time he wouldn't want to* zu hoffen (*Walser*) any more, was something he didn't dare to hope

#### iv. It is considered poor style for dass to be followed immediately by another conjunction

For example:

Sie sagte, dass er, wenn er am Wochenende kommen sollte, bei ihrer Mutter übernachten könnte Sie sagte, dass er bei ihrer Mutter übernachten könnte, wenn er am Wochenende kommen sollte are considered preferable to the following ordering (although it is not unknown, even in writing):

Sie sagte, dass, wenn er am Wochenende kommen sollte, er bei ihrer Mutter übernachten könnte

v. Ordering similar to (iii) is very frequent in English, with an adverbial clause (especially one introduced by 'as', 'if' or 'when') often following straight after 'that', e.g.: 'She said that if he were to come at the weekend he would be able to stay with her mother'. English learners are strongly advised to avoid this type of construction in German, and to use only type (i) or (ii).

#### vi. dass- clauses can be used in isolation

i. in commands or wishes (often with an 'ethic' dative, see 2.5.3d):

**Dass** du (mir) rechtzeitig nach Haus kommst! Make sure you're not too late home!

ii. in exclamations:

# 19.2.2 ob 'Whether', 'if'

### a. ob typically indicates a question or a doubt

ob- clauses are all indirect questions and can have the following functions:

subject:

accusative object: prepositional object: predicate complement: Ob sie morgen kommt, ist mir gleich

Sie vergaß, ob sie eine Karte gekauft hatte Ich erinnere mich nicht daran, ob ich eine gekauft habe

Die Frage ist, ob wir eine Tankstelle erreichen

# b. Isolated ob- clauses

ob- clauses are often used in isolation, especially in spoken German to ask a question:

**Ob** es in Schwerin noch Glocken gibt? (*Surminski*)

Are there still bells in Schwerin?

They are used particularly frequently to pick up or repeat a question, or to express a general query or supposition:

Ja, **ob** das wirklich stimmt?

I wonder whether that's really right

# 19.2.3 Wenn 'When', 'if'

Noun clauses introduced by *wenn* can function as:

subject:

accusative object:

Mir ist es recht, wenn sie heute nicht kommt Sie mag es nicht, wenn ich sie bei der Arbeit störe

The verb in noun clauses introduced by wenn can be in the Konjunktiv II form if an unreal condition is involved, see 16.5.1, e.g. Mir wäre es recht, wenn sie heute nicht käme. Noun clauses with wenn always have a correlating es in the main clause, see 19.2.5.

# 19.2.4 Interrogatives

All the w- words which can be used to ask questions (see 7.5) can also be used as conjunctions to introduce noun clauses. Noun clauses with w- words are all indirect questions and can function as:

subject:

accusative object: prepositional object: predicate complement: Was sie dort macht, ist mir gleich

Sie vergaß, wie man es macht

Ich erinnere mich nicht daran, wann ich es gehört habe

Die Frage ist, wo sie es gekauft hat

# 19.2.5 Correlates to Complement Clauses

In German, a noun clause is often linked to a pronoun in the main clause which anticipates it. Such pronouns are called **correlates**, and their form differs depending on the function of the clause.

# a. The pronoun es functions as a correlate to subject and object clauses

Dann fiel es mir auf, dass sie plötzlich fehlte Ich bedaure es, dass sie nicht kommen konnte

Then I noticed that all at once she wasn't there I regret that she couldn't come

More details on this 'correlating' es are given in 3.6.2e and 3.6.3a.

# b. The prepositional adverb can act as a correlate to noun clauses functioning as prepositional objects

i.e. the form da(r) + preposition (see 3.5) can appear in the main clause:

die Angst davor, dass er vielleicht nicht entkommen könnte

the fear of perhaps not being able to escape

Er verlässt sich darauf, dass wir rechtzeitig kommen

He's relying on us arriving on time

With many nouns, adjectives and verbs this use of the prepositional adverb is optional, see 6.6.2 and 18.6.14.

# c. The pronoun dessen can function as a correlate to noun clauses with the function of a genitive object

These constructions are infrequent in modern German, and *dessen* is in all cases optional:

Ich bin mir (dessen) bewusst, dass ich ihn strafen sollte I am aware that I should punish him

# 19.3 Conjunctions of Time

The main conjunctions which introduce adverbial clauses of time in German are given in Table 19.2.

Table 19.2 Conjunctions of time

als	when	19.3.1	seit(dem)	since	19.3.5
bevor	before	19.3.2	sobald	as soon as	19.3.6
bis	until, till; by the time	19.3.2	solange	as long as	19.3.6
da	when	19.3.1	sooft	as often as, whenever	19.3.6
ehe	before	19.3.2	sowie	as soon as	19.3.6
indem	as	19.3.1	während	while, whilst	19.3.7
indes, indessen	while, whilst	19.3.7	wann, wenn	when(ever)	19.3.1
kaum dass	hardly, scarcely	19.3.3	wie	as	19.3.1
nachdem	after	19.3.4	wobei	when	19.3.8

#### 19.3.1 als, da, indem, wann, wenn, wie 'when', 'as'

# a. Clauses with als refer to a single event in the past

als corresponds to English 'when' or 'as':

Als ich in Passau ankam, habe ich sie auf dem Bahnsteig When I arrived in Passau, I saw her on the platform gesehen

Als ich weiterging, wurde ich immer müder

As I went on, I grew more and more tired

Als die Frau später ihre Arbeitspapiere vorlegen

When, later on, the woman had to show her work

musste, kam die Wahrheit an den Tag (BILD) documents, the truth came to light

A main clause following an als- clause is sometimes (optionally) introduced by a correlating da, e.g. Als ich in Passau ankam, da habe ich sie auf dem Bahnsteig gesehen.

#### b. da is a literary (and rather old-fashioned) alternative to als

Die Sonne schien an einem wolkenlosen Himmel, da er The sun was shining in a cloudless sky as/when he left seinen Heimatort verließ (*Dürrenmatt*)

his home village

#### c. wie can be used for 'when' with a verb in the present tense referring to a past action

i.e. with a 'historic' present (see 14.2.4). wie is an alternative to als in such contexts:

Als/Wie ich das Fenster öffne, schlägt mir heftiger Lärm As/When I opened the window, I was confronted by an

entgegen intense noise

The use of *wie* in place of *als* with a past or perfect tense is common in colloquial spoken German, especially in the south, e.g. *Wie ich* in *Passau* ankam/angekommen bin,...This usage is occasionally found in writing, but it is not generally accepted as standard.

# d. wann is used in questions

wann is an interrogative adverb (= 'when?'), see 7.5. As such, it is used to introduce questions in direct speech, e.g. Wann kommst du heute Abend nach Hause? or in indirect speech (see 19.2.4), e.g. Er hat mich gefragt, wann ich heute Abend nach Hause komme.

### e. wenn introduces clauses referring to the present, the future, or to repeated actions in the past

Ich bringe es, **wenn** ich morgen vorbeikomme

I'll bring it when I drop by tomorrow

A main clause following a *wenn*- clause is often introduced by *dann*. This *dann* is always optional:

Wenn das Wasser ausgelaufen ist, (dann) schließt sich When the water has run out, the valve shuts off die Klappe automatisch automatically

wenn often conveys the sense of English 'whenever', especially in the past, where als must be used if a single action is involved (see (a) above):

An den Bahnhöfen standen Grenzsoldaten und bewachten die Gleise, **wenn** die U- Bahn langsam mit geschlossenen Türen durchfuhr (MM)

Border guards stood on the stations and watched the tracks when(ever) an underground train went through slowly with its doors closed

wenn, not als, is used if there is a sense of a future- in- the- past:

Ich wollte zu Hause sein, wenn Karl ankam

I wanted to be at home when Karl arrived

wenn is also used in conditional clauses, i.e. = 'if' (see 16.5). If there is a possibility of ambiguity, *immer wenn* can be used to emphasise that the sense is that of 'whenever'. Alternatively, *falls* can be used to make it clear that 'if' is meant (see 16.5.3d).

#### f. indem 'as' can only link simultaneous actions

Anna küsste ihre Mutter, **indem** sie die Palette und den nassen Pinsel in ihren Händen weit von ihr abhielt (*Th. Mann*)

Anna kissed her mother, holding the palette and the wet brush well away from her in her hands

This use of *indem*, where the *indem*- clause corresponds to an English 'ing'- phrase, now sounds old- fashioned. See 13.7 for German equivalents of English phrases with an 'ing'- form. In modern German, *indem* is mainly used in the sense of English 'by +...ing', see 19.7.3.

#### g. Equivalents of English 'when' introducing relative clauses

e.g. zu einer Zeit, wo... 'at a time when...'. For these, see 5.4.6b.

#### 19.3.2 bevor, ehe 'before'; bis 'until, till', 'by the time'

For the occasional use of the subjunctive in clauses introduced by these conjunctions, see 16.7.4.

#### a. bevor and ehe 'before'

There is no real difference in meaning between these. *bevor* is far more frequent; *ehe* is typical of more formal registers, although it does occasionally occur in speech.

Der Kanzler muss das Volk befragen, **bevor** er einen Friedensvertrag unterzeichnet (*Presse*)

Es bestand, **ehe** die Erde geschieden war von den Himmeln (*Heym*)

The Chancellor has to ask the people before he signs/before signing a Peace Treaty

It existed before the earth was separated from the heavens

bevor or ehe can be strengthenend by noch to give the sense of 'even before', e.g. Noch bevor/ehe sie zurückkam 'Even before she got back'.

# b. German equivalents for English 'not...before', 'not...until'

**i.** The most straightforward equivalent is *erst..., wenn/als*:

Ich will **erst** nach Hause gehen, **wenn** Mutter wieder *I don't want to go home before/until mother gets* da ist back

Das Kind hörte **erst** zu weinen auf, **als** es vor Müdigkeit einschlief The child didn't stop crying until it was so tired that it fell asleep

**ii.** *Nicht...bevor* (or *ehe*) and *nicht...bis* are only used if the dependent clause implies a condition. An extra (redundant) *nicht* is often added:

**Bevor** er sich (nicht) entschuldigt hatte, wollte sie das Zimmer nicht verlassen

Du darfst nicht gehen, **bis** du (nicht) deine Hausaufgaben fertig hast She didn't want to leave the room before/until he had apologised

You can't go out until you've finished your homework

The rule given by some authorities that this second *nicht* is only added if the subordinate clause precedes is not always followed in practice.

#### c. bis has two main English equivalents

i. 'until, till':

Ich warte hier, **bis** du zurückkommst

I'll wait here till you get back

ii. 'by the time (when)', e.g.:

Bis du zurückkommst, habe ich das Fenster repariert I'll have fixed the window by the time you get back

# 19.3.3 Kaum (dass), etc. 'Hardly/Scarcely...when', 'no Sooner...Than'

The most usual German equivalent for these English combinations is to use two main clauses, the first introduced by *kaum*, the second by *so* or *da*:

**Kaum** hatten wir das Wirtshaus erreicht, **so/da** begann es zu regnen 

We had hardly reached the inn when it began to rain/No sooner had we reached the inn, than it began to rain

Alternatively, a main clause introduced by *kaum* followed by a subordinate clause with *als* can be used: *Kaum* hatten wir das Wirtshaus erreicht, als es zu regnen begann. In formal written German, the phrasal conjunction kaum dass is sometimes used, e.g. *Kaum dass* wir das Wirtshaus erreicht hatten, begann es zu regnen. This alternative now sounds rather old-fashioned

### 19.3.4 nachdem 'after'

Er wollte wissen, was mit Valette geschehen war, **nachdem** er sie das letzte Mal gesehen hatte

He wanted to know what had happened to Valette after

(Schneeweiß).

nachdem is sometimes used in a causal sense, as an alternative to da (= 'as, since', see 19.4.1):

Er musste zurücktreten, **nachdem** ihm verschiedene Delikte nachgewiesen wurden He had to resign, as various offences had been proved against him

This usage is typical of South Germany and Austria and is considered non-standard elsewhere.

NB For je nachdem 'according as', see 19.7.5

# 19.3.5 seit, seitdem 'since'

The shorter form *seit* was formerly restricted to colloquial registers, but it is now at least as frequent as *seitdem*, even in writing:

**Seit(dem)** er sein Haus verkauft hat, wohnt er in einem Hotel

Since he sold his house, he's been living in a hotel

Vertraut er ihr an, dass er unter Schreibstörungen leidet, seit er diesen Drehbuchauftrag bekommen hat? (*Schoof*)

Will he confess to her that he has been suffering from writer's block since he got that commission for a screen-play?

NB:

For the use of tenses in sentences with seit(dem), see 14.2.2 and 14.3.4a.

# 19.3.6 sobald, sowie 'as soon as', solange 'as long as', sooft ' as often as'

These conjunctions are always spelled as single words and are not normally followed by als or wie.

#### a. sobald 'as soon as'

**Sobald** ich merkte, dass er gar nicht zuhörte, griff ich ihn *As soon as I noticed he wasn't listening I grabbed him* am Ärmel (*Frisch*) by the sleeve

sowie is commonly used for sobald in colloquial registers, e.g. Das hat sie auch getan, sowie sie nach Hause gekommen ist.

#### b. solange 'as long as'

i. solange can refer purely to time:

Wir haben gewartet, **solange** wir konnten

We waited as long as we could

**Solange** Leute da sind, werden wir Musik machen und ausschenken (*MM*)

As long as there are people here we'll make music and pour drinks

NB:

The sense of solange can approach that of seit(dem), as in the second example, and tense use is similar, see 14.2.2 and 14.3.4a.

ii. It may also have a conditional sense (= 'provided that'), e.g.:

**Solange** er sein Bestes tut, bin ich zufrieden

As long as he does his best, I shall be satisfied

iii. The conjunction solange is distinguished from the phrase so lange 'so long', which is written as two words:

Du hast uns **so lange** warten lassen, dass wir den Zug verpasst haben

You kept us waiting so long that we missed the train

**So lange** er auch wartete, es kam kein Zug mehr

However long he waited, no more trains came

# c. sooft corresponds to English 'as often as' or 'whenever'

Du kannst kommen, **sooft** du willst You can come as often as you want to

**Sooft** er kam, brachte er uns immer Geschenke mit Whenever he came, he always brought us presents

# 19.3.7 Während 'While', 'Whilst' and Alternatives

# a. während is the usual equivalent of English 'while', 'whilst'

Like 'while', it can express time **or** a contrast (i.e. = 'whereas'):

Die Zollprobleme löste Boris, **während** wir in Urlaub waren (Bednarz)

Boris solved the problems with the customs while we were on holiday

Klaus Buch müsste auch sechsundvierzig sein, **während** Klaus Buch ought to be forty- two as well, whereas the der vor ihm Stehende doch eher sechsundzwanzig war (Walser) man standing in front of him was more like twenty-six

NB:

(i) noch während is used for 'even as/whilst', e.g. Noch während sie schlief... 'Even as she slept...'

(ii) während is sometimes used with main clause word- order (i.e. with the verb second) in colloquial speech. This usage is not accepted as standard.

#### b. indes and indessen are mainly literary alternatives to während in both senses

Seine Glieder zitterten, **indes** er diese grauenvolle Lust His limbs were trembling as he throttled this terrible in sich erwürgte (Süßkind)

desire in himself

#### c. wohingegen is an alternative to während to signal a contrast

It occurs mainly in formal writing and stresses the contrast more strongly:

Er ist sehr zuvorkommend, **wohingegen** sein Bruder oft He is very obliging, while/whereas his brother often einen recht unfreundlichen Eindruck macht makes a very unpleasant impression

# 19.3.8 Wobei Introduces a Clause with an Action Taking Place at the Same Time as that of the Main Clause

It has no precise English equivalent, but a clause with *wobei* often corresponds to an English participial clause with an 'ing'- form, or a main clause joined with 'and':

Nach Angaben der Polizei schlug der Mann sie ins According to the police the man struck her in the face, Gesicht, **wobei** er sie verletzte (MM) injuring her

Es kam zur Kollision mit dem Wagen einer 24- Jährigen, A collision occurred with a car driven by a 24 year old wobei sich beide Fahrzeuge überschlugen (SGT) woman, and both vehicles overturned

wobei is often used with a following main clause construction (i.e. with the verb in second position rather than at the end), especially when it is used in a meaning close to that of 'but' or 'although': e.g. Sie ist immer sehr freundlich, wobei ich muss sagen, dass das nicht jedem gefällt. This is a feature of colloquial speech and is considered to be non-standard.

# 19.4 Causal Conjunctions

German conjunctions expressing a cause or a reason are given in Table 19.3.

#### Table 19.3 Causal conjunctions

da	as, since	19.4.1	1
nun (da/wo) umso mehr, als	now that, seeing that	19.4.2    19.4.3	į
weil	because	9.4.1	
zumal	especially as	19.4.3	

#### 19.4.1 da and weil

The distinction between da and weil parallels that between English 'as' (or 'since'), 'because'. da- clauses, like those with 'as' or 'since', usually precede the main clause and typically indicate a reason which is already known.

Ich musste zu Fuß nach Hause gehen, **weil** ich die letzte Straßenbahn verpasst hatte

I had to walk home because I had missed the last tram

**Da** er getrunken hatte, wollte er nicht fahren

As he'd had something to drink, he didn't want to drive

A *weil*- clause can be anticipated by *darum*, *deshalb* or *deswegen* in the preceding main clause, especially in spoken German. The effect is to give greater emphasis to the reason given in the *weil*- clause:

Er konnte darum/deshalb/deswegen nicht kommen, weil er krank war

He wasn't able to come because he was ill

In colloquial German weil is frequently heard with main clause word order, i.e. with the finite verb second rather than at the end of the clause:

Du musst langsam sprechen, weil der versteht nicht viel

You'll have to speak more slowly because he doesn't understand a lot

This usage is now very common, but it is universally regarded as non-standard and unacceptable in written German. NB *denn* and *nämlich* are also used to indicate a cause or a reason (i.e. in the sense of English 'because'). They are, however, **coordinating** conjunctions, with main clause word- order, see 19.1.2.

### 19.4.2 nun da, etc. 'now that', 'seeing that'

Nun da wir alle wieder versammelt sind, können wir das Seeing/Now that we're all gathered together again, we Problem weiter besprechen can carry on talking about the problem

There are a number of alternatives to *nun da*. Simple *nun* is occasionally found in formal written registers:

**Nun** alles geschehen ist, bleibt nur zu wünschen, dass... *Now that everything has been done, one can only wish that*...

Other alternatives, i.e. *nun wo*, *wo*...(*doch*), *da*...*nun* (*mal*), are typical of colloquial registers:

**Nun wo** du sowieso in die Stadt fährst, kannst du uns wohl *Seeing as you're going into town anyway, you'll be able* mitnehmen, oder? *to take us with you, won't you*?

Ich muss es wohl tun, wo ich es dir (doch) versprochen

I'll have to do it, seeing that I promised you

Da er das nun (mal) schon weiß, (so) muss ich ihm wohl das Weitere erzählen

Seeing that he already knows that, I'll have to tell him the rest

# 19.4.3 Other Causal Conjunctions

# a. zumal is a stronger alternative to da

It corresponds to English 'especially as':

Sie wird uns sicher helfen, zumal sie dich so gern hat

Mehr verriet sie nicht, **zumal** es Stiller gar nicht wunderte, warum sie dieses Bedürfnis hatte (Frisch)

She's sure to help us, especially as she's so fond of

She didn't reveal any more, especially as Stiller was not at all surprised why she felt this need

# b. umso mehr..., als/da/weil correspond to 'all the more...because'

Ich freute mich umso mehr über seinen Erfolg, als/da/weil er völlig unerwartet war

I was all the more pleased about his success because it was totally unexpected

Du musst früh ins Bett gehen, umso mehr als du morgen You've got to go to bed early, all the more because einen schweren Tag hast

you've got a busy day tomorrow

The construction with *umso..., als* can be used with other comparatives:

Die Sache ist umso dringlicher, als/da die Iraner den Ölhahn zudrehen könnten

The matter is all the more urgent because the Iranians might turn off the oil tap

# 19.5 Conjunctions of Purpose and Result

German conjunctions indicating purpose or result (also called final conjunctions and consecutive conjunctions respectively) are given in Table 19.4:

Table 19.4 Conjunctions of purpose and result

als dass	forto	19.5.3
auf dass	so that (purpose)	19.5.1
damit	so that (purpose)	19.5.1
derart dass	so that (consecutive)	19.5.2
so dass	so that (consecutive)	19.5.2

English learners need to be aware that 'so that' has two distinct senses, with different German equivalents, i.e.:

- i. Final 'so that' expresses purpose and is an alternative to 'in order that'. The usual German equivalent is damit, see 19.5.1.
- ii. Consecutive 'so that' expresses a result and has the sense of '(in) such (a way) that' and corresponds to German so dass, see 19.5.2.

# 19.5.1 Clauses of Purpose

## a. damit is the most frequent conjunction in final clauses

Diese Tüte ist aus Papier, damit sie nicht aus Kunststoff This bag is made of paper so that it shouldn't be made of plastic

König Ludwig ließ Wagner 40 000 Gulden auszahlen, damit sich der total verschuldete Meister bei seinen Gläubigern freikaufen konnte (SZ)

King Ludwig had 40,000 guilders paid to Wagner so that the totally debt-ridden maestro could pay off his creditors

NB

- (i) The verb in damit- clauses is usually in the indicative in modern German. For the occasional use of the subjunctive, see 16.7.2a.
- (ii) Infinitive clauses with um...zu have a final meaning (= 'in order to'), see 13.2.7a.

#### b. auf dass is an old-fashioned sounding alternative to damit

It has a formal, even biblical ring and is used principally for stylistic effect. It is always followed by a subjunctive, see 16.7.2b:

Schenke du ihr ein reines Herz, auf dass sie einstmals eingehe in die Wohnungen des ewigen Friedens (Th. Mann)

Give her a pure heart, so that she may some day enter into the dwellings of eternal peace

# c. Simple dass is sometimes used for damit

This usage is most often encountered in colloquial speech, but it is not unknown in formal writing, where it is sometimes used with a subjunctive:

Ich mache dir noch ein paar Brote, dass du unterwegs auch was zu essen hast

I'll make you a couple of sandwiches so that you've got something to eat on the journey

Er entfernte sich leise, dass niemand ihn sehe, niemand He withdrew quietly, so that no- one should see him, ihn höre (Süβkind)

no- one should hear him

NB

In colloquial German, so dass is sometimes used to introduce clauses of purpose. This usage is not considered standard.

#### 19.5.2 Clauses of Result

# (a) so dass is the most frequent conjunction introducing clauses of result

His leg was stiff, so that he could hardly walk Sein Bein war steif, so dass er kaum gehen konnte

Das Wetter war schlecht, so dass wir wenig wandern The weather was bad, so that we couldn't do much hiking konnten

Er schob den Ärmel zurück, so dass wir die Narbe sehen He pushed his sleeve back, so that we were able to see the scar konnten

The difference between consecutive clauses and final clauses is clear if we replace so dass by damit in the last example. Er schob die Ärmel zurück, damit wir die Narbe sehen konnten implies that he did it with the express intention that we should see the scar. With so dass, the fact that we could see the scar is only the (possibly unintentional) result of his action.

NB so dass can alternatively be written as a single word (i.e. sodass), and this is the usual form in Austria.

#### (b) In clauses of result with adjectives or adverbs, the so can precede these

These correspond to similar constructions in English. Compare the examples below to the first two examples in (a) above:

His leg was so stiff that he could hardly walk Sein Bein war so steif, dass er kaum gehen konnte

The weather was so bad that we weren't able to do much Das Wetter war so schlecht, dass wir wenig wandern konnten hiking

derart and (in some contexts) dermaβen are more emphatic alternatives to so in such contexts:

Er fuhr so/derart/dermaßen langsam, dass Frieda uns He drove so slowly that Frieda caught us up easily leicht einholte

Es hat so/derart/dermaßen geregnet, dass wir schon It rained so much that we came home as early as Monday Montag nach Hause gefahren sind

dermaßen is only possible if some idea of quantity is involved. Thus, only derart could replace so in: Er hat den

Ärmel **so/derart** zurückgeschoben, dass wir die Narbe sehen konnten.

#### 19.5.3 als dass

als dass is only used to introduce a clause after an adjective modified by zu, nicht genug or nicht so. The equivalent English sentences usually have an infinitive with 'for'. Konjunktiv II, particularly of a modal verb, is commonly used in these clauses, see 16.7.5a.

Er ist **zu** vernünftig, **als dass** ich das von ihm erwartet hätte

He's too sensible for me to have expected that of him

Es ist noch **nicht so** kalt, **als dass** wir jetzt schon die Heizung einschalten müssten

It's not so cold for us to have to turn the heating on yet

Das Kind ist **nicht** alt **genug**, **als dass** wir es auf einer so langen Reise mitnehmen könnten

The child is not old enough for us to be able to take it with us on such a long journey

In everyday speech, simpler constructions are preferred to sentences with als dass, e.g. Es ist noch nicht so kalt, also brauchen wir die Heizung noch nicht einschalten.

NB: If the subject of the two clauses is the same, an infinitive clause with um...zu is used rather than an als dass-clause (see 13.2.7a).

## 19.6 Concessive Conjunctions

Concessive conjunctions typically include the equivalents for English '(al)though' (see section 19.6.1), and the forms which correspond to English 'however', 'where(so)ever', etc. (see section 19.6.2). Conditional concessive conjunctions (*selbst wenn*, *auch wenn*, *sogar wenn*, *wenn*...*auch* = English 'even if') are treated in 16.5.3d.

## 19.6.1 German Equivalents for English '(al)Though'

a. obwohl is the commonest concessive conjunction in current usage

**Obwohl** sie Schwierigkeiten mit dem Reißverschluss hatte, stand ich nicht auf, ihr zu helfen (*Böll*)

Although she was having difficulties with her zip, I didn't stand up to help her

If the *obwohl*- clause comes first, the contrast can be emphasised by using (so)...doch in the main clause:

Obwohl ich unterschrieben hatte, (so) blieb sie doch sehr skeptisch

Although I had signed, she still remained very sceptical

Less commonly, the contrast may be stressed by putting the verb second in the following main clause:

**Obwohl** er mein Cousin ist, ich **kann** nichts für ihn tun Although he is my cousin, I can't do anything for him NB:

obwohl is occasionally used with the word order of a main clause, i.e. with the verb second: Sie kann ihn sehen, obwohl es ist sehr dunkel. This usage is increasing, especially in spoken German, but it is not accepted as standard.

#### **b.** Other concessive conjunctions

i. obschon is quite common in Swiss usage:

Ivy hatte drei Stunden lang auf mich eingeschwätzt, **obschon** sie wusste, dass ich grundsätzlich nicht heirate (*Frisch*)

Ivy had kept on at me for three hours although she knew that I wasn't getting married on principle

**ii.** trotzdem is sometimes used as a conjunction to mean 'although':

Ich hab die jungen Herrschaften auch gleich erkannt, I recognised the young master and mistress **trotzdem** es ein bisschen dunkel ist (*Th. Mann*)

immediately although it is a little dark

The use of trotzdem as a conjunction is considered colloquial, and many Germans think it should be avoided in writing.

iii. A common alternative way to express concession is a construction with zwar...aber, i.e. with two main clauses. The first one contains the particle zwar (see 10.36.1), and the second is introduced by aber:

Bei den Hotlines gab es zwar laufend Anrufe, aber keine größeren Störfälle

Although there was a stream of calls to the hotlines, there weren't any major breakdowns (Presse)

iv. Some other alternatives to obwohl are used occasionally in written German, roughly in the following descending order of frequency: obgleich, wenngleich, wiewohl, obzwar.

## 19.6.2 Clauses of the type 'However', 'Whoever', 'Whenever', etc.

a. The usual German equivalent for these is wie...auch, wer...auch, etc.

i.e. the clause is introduced by one of the interrogative pronouns (see 5.3) or the interrogative adverbs (see 7.5), and the particle *auch* is placed later in the clause:

Wer er auch ist, ich kann nichts für ihn tun

Wann sie auch ankommt, ich will sie sofort sprechen

Wohin sie auch hingeht, ich werde ihr folgen

Wo er sich auch zeigte, er wurde mit Beifall begrüßt

Whoever he is, I can't do anything for him Whenever she arrives, I want to speak to her immediately

Wherever she may go, I shall follow her

Wherever he showed himself, he was greeted with applause

As the examples show, a main clause following these concessive clauses usually has normal word order, with the verb second, see 21.2.1c. Other features of this type of concessive clause:

- i. The modal verb *mögen* often occurs in these clauses in more formal registers, e.g. Wer er auch sein mag,...; Wann sie auch ankommen **mag**,...etc. (see 17.4.3).
- ii. In modern German, the indicative mood is used in clauses of this type. The subjunctive still occurs occasionally, but it can sound affected, except in the set phrase Wie dem auch sei 'However that may be'.
- iii. auch can be strengthened by adding immer, e.g. Wo er sich auch immer ze igte,...Alternatively, immer can be used on its own. It always follows the interrogative, e.g.: Wo immer er sich zeigte. . .

## b. so/wie...auch corresponds to English 'however' followed by an adjective or an adverb

So/Wie gescheit er auch sein mag, für diese Stelle passt However clever he may be, he's not right for this job er nicht

So/Wie teuer das Bild auch ist/sein mag, ich will es doch kaufen

However dear the picture is, I'm still going to buy it

sie mir dennoch mehr Gewissheit (*Grass*)

So höhnisch die Antwort Vittlars auch sein mochte, gab However scornful Vittlar's answer may have been, it still gave me more certainty

Similarly *sosehr...auch* is usual for 'however much':

Sosehr das Publikum die feurigen Latinorhythmen auch However much the public clapped in time to the fiery beklatschte, getanzt wurde nicht (MM).

Latin rhythms, nobody danced

noch so can be used in a concessive sense with a following adjective. Compare the following alternative for the first example above: Er mag noch so gescheit sein, für diese Stelle passt er nicht.

#### c. was für (ein) or welcher...auch corresponds to 'whatever' with a noun

Was für Schwierigkeiten du auch hast, es ist der Mühe Whatever difficulties you may have, it's worth the

diese Vorgänge, von welcher Seite man sie auch

betrachtet (SZ)

aus welchem Land auch immer aus welchem Grund auch immer trouble

these events, from whatever side one considers them

from whatever country for whatever reason

## 19.7 Conjunctions of Manner and Degree

Table 19.5 lists the principal conjunctions of manner and degree.

Table 19.5 Conjunctions of manner and degree

als	than	19.7.1
als ob/wenn	as if	16.7.1
(an)statt dass	instead of	13.2.7c
außer dass	except that	19.7.2
außer wenn	except when	19.7.2
dadurch dass	by +ing	19.7.3
indem	by +ing	19.7.3
insofern (als)	inasmuch as	19.7.4
insoweit (als)	inasmuch as	19.7.4
jeumso/desto	the morethe more	8.3.5
je nachdem (ob/wie)	according to	19.7.5
nur dass	only that	19.7.6
ohne dass	without +ing	19.7.7
sofern/soviel	provided that	19.7.4
soweit	as/so far as	19.7.4
wie	as, like	19.7.1

NB:

That some of these are treated in other sections rather than here.

## 19.7.1 als and wie Introduce Comparative Clauses

For the use of *als* and *wie* generally in comparatives, see 8.3:

Wir fahren schneller, als du denkst

We're travelling faster than you think

Der Vortrag war nicht so interessant, wie ich erwartet hatte The lecture was not as interesting as I had expected

Clauses expressing unreal comparisons with als ob/wenn (= 'as if') are explained in 16.7.1. For je...umso/desto 'the more...the more', see 8.3.5.

#### 19.7.2 außer Dass and außer Wenn

#### a. außer dass corresponds to English 'except that'

Ich habe nichts herausfinden können, außer dass er erst I didn't find anything out, except that he's not coming im April zurückkommt back till April

## b. außer wenn corresponds to English 'except when' or 'unless'

Wir gingen oft im Gebirge wandern, **außer wenn** es regnete

We often used to go hiking in the mountains, except when/unless it was raining

Du brauchst die Suppe nicht zu essen, **außer wenn** du sie wirklich magst

You don't need to eat the soup, unless you really like it

außer is often used for außer wenn, especially in colloquial speech. It is followed by the word order of a main clause, with the verb second, e.g. Wir gehen morgen im Gebirge wandern, außer es regnet.

NB:

- i. For other equivalents for English 'unless', see 16.5.3d.
- ii. For anstatt dass 'instead of', see 13.2.7c.

## 19.7.3 Dadurch Dass and Indem Have Instrumental Meaning

Their usual English equivalent is 'by' followed by the 'ing-'form of the verb, see also 13.7.2a:

Er hat sich **dadurch** gerettet, **dass** er aus dem Fenster

sprang/Er hat sich gerettet, **inde m** er aus dem Fenster sprang

He saved himself by jumping out of the window

Man kann dadurch Unfälle vermeiden helfen. dass man die

Verkehrs vorschriften beachtet/Man kann Unfälle vermeiden helfen, **indem** man die Verkehrsvorschriften beachtet

One can help to avoid accidents by observing the highway code

NB:

This is the only current use of *indem* in modern German. Its use in time clauses, see 19.3.1f, is now obsolete.

#### 19.7.4 insofern (als), insoweit (als), sofern, soviel, soweit

These are all quite close in meaning.

## a. insofern (als) and insoweit (als) correspond to English '(in) so/as far as' or 'inasmuch as'

Ich werde dir helfen, insofern (als) ich kann/insoweit (als) ich kann

I'll help you in so far as I'm able to

*insofern* and *insoweit* can be placed within a preceding main clause, especially qualifying an adjective or adverb. In this case they **must** be used with a following *als*:

Diese Verhandlungen werden insofern/ insoweit schwierig sein, als es sich um ein ausgesprochen heikles Problem handelt

These negotiations will be difficult, inasmuch as we're dealing with an extremely delicate problem

NB:

The use of insofern with a following weil or dass rather than als is frequent in colloquial registers, but not accepted as standard.

## b. soweit usually has the sense of '(in) so'/'as far as'/'as much as'

In this sense *soweit* is an alternative to *insofern/insoweit (als)*:

Ich werde dir helfen, **soweit** ich kann

I'll help you as much as I can

**Soweit** ich die Lage beurteilen kann, muss ich ihm Recht *In so far as I can judge the situation, I've got to admit* geben he's right

*soweit* can sometimes be used with a conditional sense. In such contexts it is an alternative to *sofern*, see (c) below and 16.5.3d:

**Soweit/Sofern** noch Interesse besteht, wollen wir schon *Provided there's still interest, we're going to make a* morgen damit anfangen *start tomorrow* 

NB:

soviel ich weiß 'as far as I know'.

c. sofern usually has a conditional sense, corresponding to English 'provided that' or 'if'

See also 16.5.3d.

**Sofern** wir es im Stadtrat durchsetzen können, wird die *Provided (that)/If we can get it through the town* neue Straße bald gebaut *council, the new road will soon be built* 

## 19.7.5 je Nachdem 'According to', 'Depending on'

*je nachdem* is normally used with a following *ob* or an interrogative:

**Je nachdem, ob** es ihm besser geht oder nicht, wird er morgen verreisen

Depending on whether he's better or not, he'll leave tomorrow

**Je nachdem, wann** wir fertig sind, werden wir hier oder Depending on when we get finished, we'll eat here or in in der Stadt essen town

Je nachdem, wie das Wetter wird, werden wir am Montag According to what the weather is like, we'll go sailing on oder am Dienstag segeln gehen

Monday or Tuesday

je nachdem often occurs in isolation, e.g.:

Kommst du morgen mit? Na, je nachdem Are you coming tomorrow? Well, it depends

## 19.7.6 *nur* dass 'only (that)'

In der neuen Schule hat er sich gut eingelebt, **nur dass** seine Noten etwas besser sein könnten

He's settled down well at his new school, only his marks could be a bit better

A main clause introduced by *nur* is often preferred to *nur dass* in spoken German, e.g..., *nur könnten seine Noten* etwas besser sein.

#### 19.7.7 Ohne dass 'Without'

ohne dass must be used for English 'without' followed by an 'ing'- form if the subordinate clause has a different subject from the main clause:

Er verließ das Zimmer, **ohne dass** wir es merkten

Sie haben mir sofort geholfen, **ohne dass** ich sie darum bitten musste/müsste

He left the room without our noticing

They helped me immediately without my having to ask them

If the subjects of the two clauses are the same, an infinitive clause with *ohne...zu* can be used for English 'without' + 'ing', see 13.2.7b.

NB: The subjunctive is often used in *ohne dass* clauses, see 16.7.5a.

## 20 Prepositions

PREPOSITIONS are a small class of words which combine with a following **noun phrase** to form a PREPOSITIONAL PHRASE. Prepositional phrases often express notions of time, place and direction and are typically (but not only) used as **adverbials**.

In German, the noun phrase following each preposition is in a particular case – we say that the preposition 'governs' a particular case. Most German prepositions govern the dative or the accusative case; prepositions governing the genitive are mainly confined to formal language. One important group of common prepositions is followed by the accusative **or** the dative case, with a difference in meaning.

All the prepositions of German are dealt with in this chapter, ordered according to the case they govern:

- prepositions with the accusative case (section 20.1)
- prepositions with the **dative** case (section 20.2)
- prepositions with the dative or the accusative case (section 20.3)
- prepositions with the **genitive** case (section 20.4)
- German equivalents for English 'to' (section 20.5)

The most important literal and figurative senses of each preposition are treated together. Some uses of prepositions are dealt with in more detail elsewhere in the book, as indicated below:

- the use of prepositions in **time phrases** (section 11.5)
- the use of prepositions after **adjectives** (section 6.6)
- prepositions with verbs **prepositional objects** (section 18.6)
- the **contraction** of some prepositions with the definite article, e.g. *am*, *ins* (section 4.1.1c)
- the **prepositional adverb**, e.g. *darauf*, *damit* (section 3.5)

Table 20.1 lists the most frequent German prepositions with their cases.

 Table 20.1
 The most frequent German prepositions and their cases

Prepositions governing the:			
accusative	dative accusative or dative		genitive
bis durch für gegen ohne um	aus außer bei gegenüber mit nach seit von zu	an auf hinter in neben über unter vor zwischen	(an)statt trotz während wegen

## 20.1 Prepositions Governing the Accusative Case

Six common prepositions are used with the accusative:

bis durch für gegen ohne um

The following are less frequent and are treated together in 20.1.7:

à betreffend eingerechnet pro wider

#### 20.1.1 Bis

In practice, *bis* is rarely used as a preposition in its own right. It is **never** followed by an article (or any determiner), and it is used on its own only with names, adverbs and a few time words. Otherwise it is followed by another preposition which determines the case of the following noun.

## a. Referring to place, bis means 'as far as', '(up) to'

i. Followed by names of places and adverbs *bis* is used without an article. In practice the case of the following noun is never obvious:

Ich fahre nur **bis** Frankfurt

I'm only going as far as Frankfurt

Bis dahin gehe ich mitI'll go that far with youbis hierher und nicht weiterso far and no further

ii. If the following noun has an article, an appropriate preposition must follow, usually the appropriate equivalent of English 'to', see 20.5:

Wir gingen bis zum Waldrand We went as far as the edge of the forest

Sie ging **bis zur** Tür

She went up to the door

Sie ging **bis an** die Tür

She went right up to the door

Wir fuhren **bis an** die Grenze

We went as far as/up to the border

Sie standen im Wasser **bis an** die Knie They were standing in water up to their knees

Sie standen im Wasser **bis über** die Knie

They were standing in water coming up over their

knees

bis hin zu den Wanzen im Gesicht (Borst)right down to the warts on his faceEr stieg bis aufs DachHe climbed right onto the roof

**bis über** die Ohren verschuldet up to one's ears in debt

bis zu can be used in the sense of 'up to' with quantities, e.g. Bis zu dreißig Kinder nahmen an dem Ausflug teil. See 9.1.6 for further details of this usage and the distinction between the adverbial and prepositional usage of bis zu with quantities.

iii. With names of towns, cities and countries, bis or bis nach can be used. The latter is more emphatic: Wir fahren bis (nach) Freiburg, von Köln bis (nach) Aachen.

#### b. Referring to time, bis means 'until' or 'by'

e.g. bis nächste Woche, bis nächstes Jahr, see 11.5.4. If the noun is used with a determiner, zu (or another appropriate preposition) is inserted: bis zum Abend, bis zum 4. Mai, bis zu seinem Tod, bis zu diesem Augenblick, bis auf den heutigen Tag.

#### c. bis auf (+ acc.) means 'down to (and including)' or 'all but', 'except'

Die Kabinen waren mit 447 Passagieren **bis auf** das letzte Klappbett belegt (*Zeit*)

With 447 passengers, the cabins were full down to the last camp bed

**Bis auf** drei kamen alle Insassen um

All but three of the passengers were killed

bis auf can be ambiguous: Der Bus war bis auf den letzten Platz besetzt can mean 'The bus was full down to the last seat' or 'The bus was full except for the last seat'.

#### 20.1.2 Durch

a. durch means 'through', referring to place

Sie ging durch die Stadt Er atmete durch den Mund mitten durch den Park (see 7.1.3) She went through the city
He was breathing through his mouth
through the middle of the park

*durch* is often strengthened by adding *hindurch*, see 7.2.4, e.g.: *Wir gingen durch den Wald hindurch* 'We went (right) through the forest'.

It can also be used for English 'across', especially with a preceding *quer*. This can give the sense of 'crosswise', 'diagonally', but it is often used simply to strengthen *durch* (i.e. = 'right through'):

Wir wateten (**quer**) **durch** den Fluss im Rahmen ihrer Frühlingstournee **quer durch** Deutschland (MM) We waded across the river in the course of their spring tour right across Germany

## b. durch can also be used for English 'throughout'

- i. This is its usual sense when it refers to time, in which case it can be strengthened by adding *hindurch*, e.g. *durch* viele Generationen (*hindurch*) 'throughout many generations'.
- **ii.** *hindurch* can be used without a preceding *durch* for 'throughout' after an accusative phrase of time with *ganz*, see 11.4.1a:

den ganzen Winter **hindurch** die ganze Nacht **hindurch** 

throughout the winter throughout the night

durch can also be used on its own after the noun in this meaning: die ganze Nacht durch.

**iii.** A phrase with *ganz* and an appropriate preposition is needed to give the sense of English 'throughout' referring to place, e.g.:

im ganzen Land durch die ganze Stadt throughout the country throughout the town

#### c. durch is used to express means

This use of *durch* is related to its use for 'by' in passive sentences, see 15.3.

i. durch introduces the agent or means through whom or which an action is carried out:

**Durch** harte Arbeit hat er sein Ziel erreicht Er ist **durch** einen Unfall ums Leben gekommen **durch** seine eigene Schuld Ich habe es **durch** Zufall erfahren He attained his aim by (means of) hard work He was killed through an accident through his own fault

I learnt of it by chance

**ii.** durch in this sense corresponds to 'by' with a verbal noun:

die Annahme des Kaisertitels **durch** den König die Erfindung des Verbrennungsmotors **durch** Benz und Daimler the assumption of the title of emperor by the king the invention of the internal combustion engine by Benz and Daimler

- iii. durch with a verbal noun often corresponds to English 'by' with an 'ing '- form, see 13.7.2a, e.g.: durch Betätigung des Mechanismus 'by activating the mechanism'
- iv. The prepositional adverb *dadurch* often has the sense of 'thereby':

Was willst du dadurch erreichen?

What do you hope to gain by that?

Meinst du, dadurch wird alles wieder gut?

NB: For the compound conjunction dadurch, dass 'by . . . ing' see 19.7.3.

#### 20.1.3 Für

## a. für corresponds to English 'for' in a wide range of senses

i.e. where 'for' has the meaning of 'on behalf of' and the like, e.g.:

Er hat viel **für** mich getan Das wäre genug **für** heute

Das war sehr unangenehm **für** mich (6.5.1a)

Für einen Ausländer spricht er recht gut Deutsch

Das ist kein Buch für Kinder

Ich habe es für zehn Euro gekriegt

NB:

- i. für is used idiomatically in ein Sinn, ein Beispiel für etwas 'a sense, an example of sth.'.
- ii. Where English 'for' expresses purpose, its usual German equivalent is zu, see 20.2.9d.

## b. für indicates a period of time

e.g. für sechs Wochen 'for six weeks'. For this, and other German equivalents for English 'for' referring to time, see 11.5.5

## 20.1.4 Gegen

#### a. Referring to place or opposition, gegen means 'against'

Er warf den Ball **gegen** die Mauer He threw the ball against the wall

**gegen** den Strom schwimmen (in literal <u>and</u> figurative senses)

swim against the current

Sie verteidigte sich **gegen** diese Leute She defended herself against those people

The prepositional adverb *dagegen* is commonly used to indicate opposition, e.g.:

Hast du was **dagegen**, wenn wir früher anfangen? Do you have any objection to our starting earlier?

Note the different idiomatic usage between German and English in *Ich brauche Tabletten gegen Kopfschmerzen* 'I need tablets **for** a headache'.

#### b. gegen can indicate direction

i. gegen often corresponds to 'into':

Er fuhr **gegen** einen Baum He drove into a tree

Wir müssen aufpassen, dass wir nicht **gegen** die Kraterwände fliegen (*Grzimek*)

We've got to watch out that we don't fly into the sides of the crater

ii. In some contexts gegen has the sense of 'towards':

Michael will die Maschine mit dem Propeller **gegen** die flache Böschung am Seeufer drehen (*Grzimek*)

Michael is going to turn the aeroplane with the propellor towards the slight incline on the lake shore

The use of *gegen* in the sense of 'towards' with the points of the compass is now old-fashioned. For *gegen Norden fahren* one now finds *nach Norden fahren*, see 20.2.6. The form *gen* (e.g. *gen Norden fahren*) is even more restricted to elevated literary registers and sounds archaic and biblical.

NB: Note the difference from English usage in etwas gegen das Licht halten 'hold sth. up to the light'.

## c. gegen can express a contrast (= 'contrary to', 'compared with')

Ich handelte **gegen** seinen Befehl **gegen** alle Erwartungen **Gegen** meine Schwester bin ich groß **gegen** früher I acted against/contrary to his orders against/contrary to all expectations I'm tall compared to my sister compared to formerly

## d. gegen can have the sense of '(in exchange/return) for'

Er gab mir das Geld **gegen** eine Quittung Ich will meine Digitalkamera **gegen** einen HD-Camcorder eintauschen He gave me the money in exchange for a receipt I want to exchange my digital camera for an HD camcorder

## e. gegen can express approximation (= 'about')

Es waren **gegen** (*or* etwa, *or* an die) 500 Zuschauer im Saal

There were about 500 spectators in the hall

#### f. gegen is used after a number of nouns and adjectives

See also 6.6.1. These nouns or adjectives mostly involve a mental attitude 'towards' something or someone, e.g.:

die Abneigung gegen aversion towards die Grausamkeit gegen cruelty towards der Hass gegen hatred of das Misstrauen gegen distrust of argwöhnisch gegen suspicious of gleichgültig gegen indifferent to gesichert gegen secure against seine Pflicht gegen seine Eltern his duty towards his parents sein Verhalten gegen seinen Chef his attitude to(wards) his boss rücksichtslos/rücksichtsvoll gegen (in)considerate towards

With these nouns and adjectives *gegenüber* is often a possible alternative to *gegen*, see 20.2.4d. Some adjectives can be followed by *zu* or *gegen*, see 20.2.9g.

#### g. Referring to time, gegen means 'about', 'towards'

e.g. Sie kam gegen Abend, gegen vier Uhr an. For details, see 11.5.6.

#### 20.1.5 Ohne

In most contexts *ohne* corresponds to English 'without':

Das tat er **ohne** mein Wissen Er geht selten **ohne** Hut

Das haben wir **ohne** große Schwierigkeiten erledigt

ohne can be used idiomatically on its own in colloquial speech:

Der Wein ist nicht **ohne**The wine's got quite a kick

Er ist gar nicht so **ohne**He's got what it takes

After the combination mit oder ohne it is nowadays acceptable to ignore the usual rule that the noun phrase must be

repeated after prepositions which govern different cases. It is thus not necessary to say or write *mit Kindern oder ohne Kinder* or *mit ihm oder ohne ihn* as *mit oder ohne Kinder* or *mit oder ohne ihn* is acceptable.

NB:

- **i.** (i) *ohne* is used with no determiner in many contexts where English has an indefinite article or a possessive, see 4.9.3b.
  - (ii) For the use of *ohne* in infinitive clauses (i.e. *ohne* . . . *zu*), see 13.2.7b; for the con junction *ohne dass*, see 19.7.7.

#### 20.1.6 **Um**

## a. Referring to place, um means '(a)round', 'about'

Wir standen **um** den Teich We were standing (a) round the pond

Er kam **um** die Ecke *He came (a)round the corner* 

Sie sah **um** sich She looked round (in all directions)

um is often strengthened by adding rund, rings or herum (see 7.2.4b), e.g.:

Wir standen rings/rund um den Tisch or um den Tisch herum

Er kam um die Ecke herum

Sie sah um sich herum

## b. um means 'at' with clock times, but 'about' with other time expressions

e.g. Ich komme um zwei Uhr, see 11.5.11.

um can also be used adverbially with numerals in the sense of 'about', 'approximately', see 9.1.6. It is then often followed by a definite article, but a following adjective has **strong** endings, e.g. **um die** vierzig ausländische Gäste.

## c. um is used to denote the degree of difference

This usually corresponds to English 'by':

Ich werde meinen Aufenthalt um zwei Tage verlängern I shall extend my stay by two days

Sie hat sich um 20 Euro verrechnet She was 20 euros out in her calculations

**um** die Hälfte mehr half as much again

eine Erweiterung der EU um Rumänien an expansion of the EU by the inclusion of Romania

When *um* is used in this sense with a comparative adjective and a measurement phrase (see 8.3.1c), an alternative to *um* is simply to put the measurement phrase in the **accusative** case, e.g.: Sie ist (um) einen Kopf größer als ich.

#### d. um can convey the idea of 'in respect of', 'concerning'

This sense is common when *um* is used in a prepositional object, see 18.6.10, but it occurs in other constructions, especially after some nouns and adjectives, e.g.:

der Kampf ums Dasein

Er tat es nur um das Geld

Er wandte sich an mich um Rat

Es ist schade um den Verlust

Es steht schlecht um ihren Bruder

ein Streit um etwas

the struggle for existence

He only did it for the money

He turned to me for advice

It's a pity about the loss

Her brother's in a bad way

an argument about sth.

die Angst **ums** Leben fear for one's life

Es ist recht still **um** ihn geworden You don't hear anything about him now

Idiomatically also Auge um Auge, Zahn um Zahn 'an eye for an eye, a tooth for a tooth'

e. The prepositional adverb darum is used in the meaning 'therefore', 'that's why' It is an alternative to deshalb:

**Darum** habe ich nicht schreiben können

That's why I couldn't write

Sie hatte eine Panne, **darum** ist sie so spat gekommen

She had a breakdown, that's why she was so late coming

## 20.1.7 Less Frequent Prepositions which Govern the Accusative

## a. $\hat{a}$ is used in the sense of 'at' (i.e. @), with prices

e.g.: zehn Paar Schuhe à 150 Mark. This usage is now rather old- fashioned, and zu is now more frequent than à, see 20.2.9h.

#### b. betreffend 'with regard to' is used mainly in commercial German

It is an alternative to *betreffs* (+ gen.) and may precede or follow the noun it governs: *betreffend Ihr Schreiben vom 23. Mai* or *Ihr Schreiben vom 23. Mai* betreffend.

## c. eingerechnet 'including' is limited to commercial language

It follows the noun it governs: meine Unkosten eingerechnet 'including my expenses'.

## d. pro 'per'

*pro* was originally restricted to commercial language, but it has increasingly come to be used in speech. A common alternative is *je*, see 9.4.1:

Die Pfirsiche kosten 80 Cent **pro** Stück

Was ist der Preis **pro** Tag?

The peaches cost 80 cents each

What is the cost per day?

zwanzig Euro **pro** Person twenty euros per person

Unsere Reisekosten betragen 3000 Euro **pro/je** Vertreter *Our travel expenses amount to 3000 euros per* **pro/je** Monat representative per month

As *pro* is most often used without a following adjective or determiner, the case it governs may not be obvious. This has given rise to uncertainty. In practice, when the case used is clear, *pro* is seen to be used quite frequently with the dative rather than the accusative, e.g. *pro neuem Mitarbeiter*. Occasionally it is used with a nominative, especially with an adjective used as a noun, e.g. *pro Angestellter*. All these alternatives are accepted as correct.

#### e. wider 'against' is an obsolete alternative to gegen

It is occasionally used in elevated registers, but most often in a few set phrases:

Diese Unterlassung relativiert alle markigen Worte wider den Terrorismus (*Zeit*)

wider (alles) Erwarten

wider Willen

wider besseres Wissen (MM)

This omission qualifies all the vigorous speeches

against terrorism
against (all) expectations
against my (his, her, etc.) will

against my (his, her, etc.) better judgement

## 20.2 Prepositions Governing the Dative Case

Nine common prepositions are used with the dative:

aus außer bei gegenüber mit nach seit von zu

The following are less frequent and are treated together in 20.2.10:

ab binnen dank entgegen entsprechend fern gemäß laut (mit)samt nahe nebst per zufolge zuliebe zuwider

#### 20.2.1 Aus

#### a. aus most commonly denotes direction 'out of' or 'from' a place

i. Examples of the use of aus in the sense of 'out of':

Er kam **aus** dem Haus

He came out of the house
Ich sah **aus** dem Fenster (or: zum Fenster hinaus)

I looked out of the window

Er trank Wodka **aus** einer Tasse He was drinking vodka from a cup

Sie ging mir **aus** dem Weg **aus** der Mode kommen/sein **aus** der Übung kommen **She avoided me go/be out of fashion get out of practice** 

ii. In practice, aus more often corresponds to English 'from'

English learners need to distinguish between aus and von, which can also mean 'from' (see 20.2.8a). aus is used with reference to places one has been **in**, with the idea of origin. Its opposite is in (+ acc.). von, by contrast, is used for 'from' with reference to places one has been **at**, i.e. it expresses the idea of direction. Its opposite is zu. Examples of aus:

Er kommt **aus** Hamburg (i.e. he lives in Hamburg). He

Er kommt von Hamburg (i.e. on this occasion) H

aus dieser Richtung (compare: in diese(r) Richtung

'in that direction')

Dieser Schrank ist aus dem 18. Jahrhundert (i.e. it

was made in the 18th century)

ein Mädchen aus unserer Klasse (i.e. she is in our

class)

He comes from Hamburg

He is travelling from Hamburg

from that direction

This cupboard is from the 18th century

a girl from our class

## b. aus denotes 'made of' referring to materials

Die Kaffeekanne war **aus** Silber The coffee pot was made of silver **aus** Holz, Stahl, Eisen made of wood, steel, iron

ein Kleid **aus** Wolle a woollen dress

c. aus is used to denote a cause, a reason or a motive

Sie tat es aus Dankbarkeit, aus Mitleid, aus She did it out of gratitude, out of sympathy, from

Überzeugung conviction

Ich weiß es **aus** (der) Erfahrung

I know it from experience
Ich frage nur **aus** Interesse

I'm only asking out of interest

aus Furcht vor, Liebe zu etwasfor fear, love of sth.aus diesem Grund(e)for that reason

NB: For the distinction between *aus* and *vor* (+ dat) to indicate cause, see 20.3.15d.

#### d. Some idiomatic uses of aus

aus erster Hand at first hand

Daraus werde ich nicht klug I can't make it out

Aus dir wird nichts werden You'll never come to anything

#### 20.2.2 Außer

#### a. außer usually expresses a restriction (= 'except (for)', 'besides')

Niemand hat ihn gesehen **außer** dem Nachtwächter

No- one saw him except for the nightwatchman

Niemand wird es machen können **außer** mir

Ich konnte nichts sehen **außer** Straßenlichtern

No- one will be able to do it except for me

I couldn't see anything besides street lights

*außer* can also be used with the same case as the word to which it refers back, rather than with the dative. The following are acceptable alternatives to the examples above:

Ich konnte nichts sehen außer Lichter

Nie mand wird es machen können außer ich

In effect *außer* is used in such contexts to introduce a phrase in apposition (see 2.6) rather than as a preposition. It can be used in a similar way to introduce another preposition, e.g.: *Außer* bei Regen kann man hier spielen.

#### b. außer is used in the meaning 'out of', 'outside'

This sense now occurs chiefly in set phrases, in most of which außer is used without a following article:

Die Maschine ist **außer** Betrieb

The machine is out of service

außer Kontrolle sein/geraten be/get out of control

etwas **außer** Acht lassen disregard sth.

Ich war außer mir I was beside myself

Aber dies war etwas, was ganz außer seiner Macht lag But this was something which lay completely beyond (Musil) his power

Similarly:

außer Atem out of breath außer Gefahr out of danger außer Reichweite out of range außer Sicht out of sight außer Übung out of practice außer Zweifel beyond doubt

In one or two obsolescent phrases außer is used with a genitive, notably in außer Landes gehen 'leave the country'. More usual for this would be ins Ausland gehen, or simply auswandern.

With verbs of motion, *außer* can be used with the accusative, although this is only obvious in those rare contexts where a determiner or an adjective is used, e.g. *etwas außer jeden Zweifel setzen*.

#### 20.2.3 **Bei**

## a. Referring to place, bei usually corresponds to English 'by' or 'at'

i. In this sense *bei* is less precise than *an* (+ dat.), see 20.3.2a, meaning 'in the vicinity of' rather than 'adjacent to':

Er stand bei mir (= Er stand in meiner Nähe)

He was standing by/near me

Bad Homburg liegt **bei** Frankfurt (dicht) **bei** der Bad Homburg is by/near Frankfurt (right) by the

Kirche church

Ich habe ihn neulich **beim** Fußballspiel gesehen I saw him recently at the football match

NB: bei is always used with battles, e.g. die Schlacht bei Hastings.

ii. Used with reference to people, bei usually means 'at (the house of)'. It is also used to indicate place of employment:

Sie wohnt bei ihrer Tante She lives at her aunt's

Ich habe dieses Fleisch beim neuen Metzger gekauft I bought this meat at the new butcher's She works at the post office, at Bayer's Sie arbeitet **bei** der Post, **bei** Bayer

at our house bei uns at our works **bei** uns in der Fabrik

bei is not used in standard German to indicate motion to somebody's house. Compare Sie geht zu ihrer *Tante* 'She's going to her aunt's house'.

iii. bei is also used in a number of extended senses with reference to people. This often corresponds to English 'with':

I apologised/complained to him Ich habe mich bei ihm entschuldigt beschwert

Er hat großen Einfluss beim Minister He has a lot of influence with the minister

We have Frau Gerstner for maths Mathe haben wir bei Frau Gerstner

Hast du deinen Ausweis bei dir/dabei? Have you got your identity card on you?

**Bei** Goethe liest man . . . In Goethe's works one reads . . .

## b. bei is frequently used to indicate attendant circumstances

This usage has a range of English equivalents, i.e.:

i. bei can mean 'in view of', 'with', etc., e.g.:

bei den immer steigenden Preisen in view of the constantly rising prices

Bei diesem Gehalt kann ich mir keinen neuen Wagen With this salary I can't afford a new car

Bei all seinen Verlusten bleibt er ein Optimist Despite all his losses he remains an optimist

ii. bei can mean 'on the occasion of', 'at'. This sense is related to its use in time expressions, see 11.5.3:

Eight people were killed in this road accident

on this occasion bei dieser Gelegenheit bei dem bloßen Gedanken at the very thought

Sie erblasste bei der Nachricht She turned pale at the news

Acht Menschen kamen bei diesem Verkehrsunfall ums

Leben (FAZ)

at the sight of this **bei** diesem Anblick bei einem Glas Wein over a glass of wine

Similarly:

bei der Arbeit beim Fußball when playing football at work bei Tisch at table bei seinem Tod at his death bei schönem Wetter if it's fine bei diesen Worten at these words

Both *bei* and *auf* (see 20.3.4b), can be used for English 'at', referring to formal occasions, functions and the like, e.g.:

Ich habe sie bei/auf ihrer

I met her at their wedding

Hochzeit kennen gelernt

The difference of meaning is often slight, but in general *bei* points more clearly to the time, rather than the place, of the event.

**iii.** *bei* is used with the infinitive or other verbal nouns in the sense of English 'on . . .ing' or a subordinate time clause, see 13.4.3a and 13.7.2d. This usage is frequent in non-literary written German, but it is not restricted to that register:

beim Schließen der Türen beim Schlafen, beim Essen bei seiner Ankunft bei näherer Überlegung on shutting the doors
while sleeping, eating
on arrival/when he arrived
on closer consideration

#### c. Some idiomatic uses of bei

Sie war bei guter/schlechter Laune Sie nannte mich beim Vornamen Sie nahm mich beim Wort Sie nahm mich bei der Hand She was in a good/bad mood
She called me by my first name
She took me at my word

She took me at my wora
She took me by the hand

## 20.2.4 Gegenüber

NB: In writing, gegenüber is increasingly used with a following genitive, e.g. gegenüber des Theaters. This is not (yet) considered correct.

#### a. The position of gegenüber before or after the noun or pronoun

i. gegenüber always follows a pronoun, e.g.:

Sie saß mir gegenüber

Ihr gegenüber stand ein alter Herr

**ii.** *gegenüber* can come before or after a noun, e.g. *gegenüber alten Menschen* or *alten Menschen gegenüber* The position before the noun is now more frequent except in elevated registers.

seine Verantwortung **gegenüber** den chinesischen Web- Nutzern (*MM*)

its responsibility towards Chinese web users

Gegenüber dem Rathaus liegt ein Krankenhaus

Opposite the town hall there is a hospital

#### b. Referring to place, gegenüber means 'opposite'

Ich setzte mich ihr **gegenüber** Ich wohne **gegenüber** dem Krankenhaus I sat down opposite her
I live opposite the hospital

In this sense, gegenüber is often used with a following von, especially in speech: Ich saß gegenüber von ihr/Ich wohne gegenüber vom Krankenhaus.

gegenüber is often used on its own, as an adverb, e.g. Sie wohnt gegenüber/das Haus gegenüber/die Leute von gegenüber.

## c. gegenüber can express a comparison (= 'compared to')

Depending on the context, gegen, see 20.1.4c, or neben, see 20.3.10d, may be alternatives to gegenüber in this sense:

Gegenüber meiner Schwester bin ich groß

I'm tall compared to my sister

**gegenüber** dem Vorjahr compared to last year

## d. gegenüber can mean 'in relation to', in respect of', 'towards'

mein Verhalten Astrid gegenüber my attitude towards Astrid

Heinrich war vollkommen hilflos Maries Ängsten

Heinrich was completely helpless in the face of

**gegenüber** (Böll) Marie's fears

In this sense,  $gegen \ddot{u}ber$  is particularly frequent after nouns and adjectives, where it is an (often more common) alternative to gegen, see 20.1.4f, or, in some contexts, zu, see 20.2.9g:

Er handelte durchaus gerecht mir **gegenüber** (or: gegen

mich)

He acted absolutely fairly towards me

Seine Güte mir **gegenüber** (or: zu mir) war rührend His kindness towards me was touching

Similarly:

das Misstrauen gegenüber/gegen distrust of
eine Pflicht gegenüber/gegen a duty towards
gleichgültig, gegenüber/gegen indifferent towards
rücksichtsvoll/- los gegenüber/gegen (in)considerate to
freundlich gegenüber/zu kind to(wards)

## 20.2.5 Mit

#### a. mit corresponds most often to English 'with'

ein Paar Würstchen mit Kartoffelsalat a pair of sausages with potato salad

**Mit** ihr spiele ich oft Tennis

I often play tennis with her

Was ist **mit** dir los? What's up with you? **mit** großer Freude with great pleasure **mit** meinem Bruder zusammen together with my brother

## b. *mit* indicates the instrument with which an action is performed

This most often corresponds to English 'with':

Er hat **mit** einem Filzstift geschrieben

He wrote with a felt- tip

Er hat den Frosch **mit** einem Messer getötet He killed the frog with a knife

German usage sometimes differs from English:

mit Tinte schreibenwrite in inkmit leiser Stimmein a low voice

**mit** der Maschine schreiben type

To refer to a means of transport German uses mit for English 'by':

mit der Bahn/dem Zug by rail/train mit dem Auto by car mit dem Flugzeug by plane mit der Post by post Ich bin mit dem Fahrrad gekommen I came by bike/on a bike

NB: Whereas *mit* indicates the **instrument**, the **means** by which an action is carried out is usually given by *durch*, see 20.1.2c.

# c. *mit* is common in phrases involving parts of the body, where English does not have a preposition or uses a simple verb

Sie hat mich **mit** dem Fuß gestoßen She kicked me

mit den Achseln zucken shrug one's shoulders

#### d. Some common idiomatic uses of mit

mit vierzig Jahren at the age of forty
mit der Zeit in (the course of) time
etwas mit Absicht tun do sth on purpose
mit anderen Worten (m.a.W.) in other words
Her damit! (coll.) Give it here!
Schluss damit! That's enough!

mit oder ohne Kinder with or without children (see 20.1.5)

#### 20.2.6 Nach

## a. nach is used to denote direction, in the sense of English 'to'

See also 20.5.3. In this sense *nach* is only used with:

i. neuter names of countries and towns used without an article:

Er ging nach Amerika, nach Irland, nach Bacharach.

NB: in is used with names of countries which have an article, see 4.4.1: Sie ging in die Schweiz.

ii. points of the compass used without an article:

Wir fuhren nach Norden, Süden, Westen, Osten.

NB: *in* is used if an article is present, as is usual when one of these nouns is qualified by an adjective: *Wir fuhren in den sonnigen Süden*.

iii. with adverbs of place:

Sie ging nach oben, nach unten, nach vorne, nach rechts, links

NB: also nach Hause gehen 'go home'.

**iv.** in North Germany *nach* is often used for *zu*, *an*, *auf* or *in*: *Ich gehe nach* (standard German: *zu*) *meiner Schwester*; *Wir gingen nach dem* (standard German: *auf den, zum*) *Bahnhof*. This usage is regional and non-standard, but North Germans sometimes use it in writing.

#### b. nach can be used in the sense of 'towards', 'in the direction of'

It is frequently strengthened by adding hin, see 7.2.3, e.g.:

Er bewegte sich langsam **nach** der Tür

He moved slowly towards the door
Ich sah **nach** der Tür (hin)

I looked towards the door

nach allen Seiten (hin) in all directions

NB:  $auf \dots zu$  is a frequent alternative for 'towards', see 20.3.5a.

#### c. Referring to time, nach means 'after'

e.g. *nach vier Uhr*, *nach dem Sommer*, etc., see 11.5.8. The prepositional adverb *danach* can be used to mean 'after(wards)' or 'later', see 11.6.4b.

## d. nach can be used in the sense of 'according to', 'judging by'

Nach meiner Uhr ist es schon halb elf By my watch it's already half past ten

nach italienischer Artin the Italian mannernach Ansicht meines Brudersin my brother's viewetwas nach dem Gewicht verkaufensell sth. by weight

nach besten Kräftento the best of one's abilitynach Wunschjust as I (he, she, etc.) wanted

In this sense, *nach* can **follow** the noun. In general, this is usual only with certain nouns (most of which it may precede **or** follow), in set phrases, and in the meaning 'judging by':

allem Anschein **nach** to all appearances

diesem Bericht **nach** (in less formal registers usually: according to this report

nach diesem Bericht)

der Größe **nach** (also commonly: *nach der Größe*) according to size meiner Meinung **nach** (also: *nach meiner Meinung*) in my opinion

Ich kenne sie nur dem Namen **nach**I only know her by name

der Reihe **nach** in turns

Seiner Aussprache nach kommt Herr Oettinger aus

Judging by his accent Herr Oettinger comes from

Schwaben Swab

NB: A few other prepositions are used to mean 'according to' in formal registers, i.e. *entsprechend*, *gemäβ*, *laut* and *zufolge*, see 20.2.10e.

#### 20.2.7 **Seit**

seit is used only with reference to time, in the meaning of English 'since' (e.g. seit dem achtzehnten Jahrhundert) or 'for' (e.g. Ich warte seit einer halben Stunde auf meine Schwester), see 11.5.9. For the use of tenses in seit phrases, see 14.2.2 and 14.3.4a.

#### 20.2.8 **Von**

#### a. von indicates direction 'from' a place

i. In this sense, *von* is the opposite of *zu*, which indicates direction towards, see 20.2.9. For the difference between *von* and *aus* as equivalents of English 'from', see 20.2.1a:

Ich fuhr **von** Frankfurt nach München

I travelled from Frankfurt to Munich

Sie bekam einen Brief **von** mir

She received a letter from me
Sie kommt **von** ihrer Schwester

She 's coming from her sister's
Ich wohne zehn Minuten **vom** Bahnhof (entfernt)

Die Blätter fallen **von** den Bäumen

The leaves are falling from the trees

ii. von can be strengthened by adding aus after the noun to emphasise the point of origin, e.g.:

Von meinem Fenster (aus) kann ich die Paulskirche

sehen

Wir sind von Madrid (aus) mit der Bahn nach Barcelona gefahren

I can see St. Paul's church from my window

We travelled by train from Madrid to Barcelona

von . . . aus also occurs in a few idiomatic phrases:

Er war von Haus aus Lehrer von mir[Insert UNF-p. here] aus

von Natur aus

He was originally a teacher as far as I'm concerned

by nature

iii. Direction from a point can be emphasised by adding her (see 7.2.3):

Eine Stimme kam von oben her Ich komme von meiner Schwester her A voice came from above I am coming from my sister's

von . . . her is now commonly (and fashionably) used in the sense 'in respect of', 'from the point of view of'. 'regarding'. In practice this represents a contraction of the phrase von . . . her betrachtet:

Von Beruf her ist er Schlosser

Besonders raffiniert von der Farbe her Von der Zielsetzung her sind wir der gleichen Meinung

As for his job, he's a mechanic

Particularly subtle in respect of the colouring We're of the same opinion in respect of our

objectives

Occasionally, her is omitted in these contexts: Von der Zielsetzung sind wir der gleichen Meinung.

#### b. von also means 'from' referring to time

It is often strengthened by an after the noun, e.g. von neun Uhr (an), see 11.5.12.

#### c. von is used to introduce the agent in passive constructions

See 15.3 for details about von with the passive, and on the distinction between von and durch as equivalents of English 'by'.

## d. A phrase with *von* is often used in place of a genitive

i.e. for English 'of', e.g. ein Ereignis von weltgeschichtlicher Bedeutung. This usage is covered in 2.4.

#### e. von has a wide range of figurative uses

i. It often corresponds to English 'of' in the sense of 'on the part of':

Das war sehr nett, liebenswürdig, vernünstig von ihr That was very nice, kind, sensible of her

Das war doch dumm von mir Er tat es **von** selbst.

That was silly of me, wasn't it? He did it of his own accord

ii. Some common idiomatic phrases with von:

Das ist nicht von ungefähr passiert Das kommt davon

Das gilt nicht von ihm Ich kenne sie nur vom Sehen

von ganzem Herzen

It didn't happen by accident That's what comes of it That's not true of him I only know her by sight

with all my heart

## a. zu expresses direction

It is a common equivalent for English 'to', particularly:

i. for going to a person('s house):

Er ging zu seinem Onkel, zu Müllers, zum Frisör.

NB: For 'at' (a person's house), bei is used, see 20.2.3.

ii. for going to a place or an occasion:

Dieser Bus fährt **zum** Bahnhof This bus goes to the station

Wir machten einen Ausflug **zum** Dorf We went on an outing to the village

Sie kehrte **zu** ihrer Arbeit zurück

eine Expedition **zum** Mond

She returned to her work

an expedition to the moon

Sie geht morgen **zu** einem Kongress She's going to a conference tomorrow

zu is the opposite of von, see 20.2.8a and puts the emphasis on the **general direction** rather than reaching the destination. For the distinction between it and the more specific prepositions an, auf or in (with the accusative) as an equivalent of English 'to', see 20.5.

zu can be strengthened by adding hin after the noun, see 7.2.3, e.g.  $Sie\ ging\ zur\ Post\ (hin)$ .  $Er\ blickte\ zur\ Decke\ (hin)$ . The effect is to emphasise the direction, so that zu . . . hin is a common equivalent for English 'towards'.

iii. in some idiomatic phrases:

Sie sah **zum** Fenster/**zur** Tür **hinaus**She looked out of the window/the door

Setzen Sie sich doch **zu** uns! Do come and join us

#### b. zu sometimes refers to a place

i.e. with the meaning of English 'at' or 'in'. This sense of *zu* used to be common, especially with names of towns, but it is now only used in elevated styles, as modern German prefers *in*:

J.S. Bach wurde **zu** (*more usually*: in) Eisenach geboren

J.S. Bach was born in Eisenach

der Dom **zu** Köln (*more usually*: der Cologne cathedral

However, zu is still used in this sense in some common set phrases, e.g.:

zu Hause at home
zu beiden Seiten on either side

## c. zu is used in certain time expressions

See 11.5.15 for details. It usually corresponds to English 'at', e.g. zu Ostern, zu dieser Zeit.

- d. zu is the usual equivalent of English 'for' to express purpose
- **e.** Examples:

**zu** diesem Zweck for this purpose

Das ist kein Anlass **zur** Klage That is no cause for complaint

Was gibt es heute **zum** Nachtisch? What's for dessert today? Stoff **zu** einem neuen Anzug material for a new suit

**Zum** Geburtstag hat er mir eine Uhr geschenkt

He bought me a watch for my birthday

Wir hatten keine Gelegenheit **zu** einem Gespräch

We didn't have a chance for a talk

The prepositional adverb dazu is commonly used in the sense of 'for that purpose', e.g. **Dazu** soll man ein scharfes Messer gebrauchen. Compare also Wozu? 'To what purpose?', 'What for?'.

**f.** In this sense, *zu* is very common with an infinitive used as a noun, or with other verbal nouns, where English uses 'for . . .ing' or an infinitive with 'to', see 13.4.3b and 13.7.2b. It is typical of written non-literary German, but it is by no means confined to that register.

Wozu gebraucht man dieses Messer? **Zum**What do you use this knife for? For peeling

Kartoffelschälen. potatoes/To peel potatoes

Hier gibt es viele Möglichkeiten **zum** Schilaufen There are lots of possibilities for skiing here

Ich sage dir das **zu** deiner Beruhigung

I'm telling you this to reassure you

**g.** In certain contexts, this sense of zu approaches that of als, i.e. 'by way of', as:

Er murmelte etwas **zur** Antwort He muttered something by way of reply

Er tat es mir **zu** Gefallen He did it as a favour to me

Similarly:

zur Abwechslung for a change zum Scherz as a joke zum Andenken an in memory of zum Spaß as a joke zur Strafe as a punishment zum Beispiel for example zur Not if necessary, at a pinch zum Vergnügen for pleasure

#### h. In some contexts zu indicates a result or an effect

The English equivalent is most often 'to':

**Zu** meinem Erstaunen hat sie die Prüfung bestanden

To my surprise she passed the exam

Similarly:

zu meiner Befriedigung to my satisfaction
zu meiner großen Freude to my great pleasure

Es ist zum Lachen, zum Heulen, zum Verrücktwerden

drive one mad

NB: zu commonly occurs in this sense in the prepositional object of many verbs, see 18.6.13a.

#### i. zu can express a change of state

This usage is associated with a small number of verbs or nouns with appropriate meanings:

Sie wählten ihn **zum** Präsidenten They elected him President
Er wurde **zum** Major befördert He was promoted to major
Ich habe es mir **zur** Regel gemacht, dies zu tun
I've made it a rule to do this

Similarly with bestimmen 'destine to be', degradieren 'demote', ernennen 'appoint', krönen 'crown', weihen 'ordain', werden 'become' (see 18.8), etc. and the nouns die Beförderung 'promotion', die Ernennung 'appointment', die Wahl 'election', etc.

## j. zu can express a mental attitude towards someone or something

i. This is frequent with adjectives, see 6.6.1, e.g.:

Sie war sehr freundlich **zu** mir She was very kind to me

Similarly:

frech zu impudent towards nett zu nice to
gut zu good, kind to respektvoll zu respectful to
(un)höflich zu (im)polite to unfreundlich zu unkind to

ii. also with a number of nouns, e.g.:

Er hatte keine freundschaftlichen Beziehungen **zu** 

diesen Menschen

He was not on friendly terms with these people

ihre Einstellung **zur** Wiedervereinigung her attitude to reunification

seine Liebe **zu** ihr his love for her

das Verhältnis des Einzelnen **zum** Staat the relationship of the individual to the state

gegen (see 20.1.4f) and gegenüber (see 20.2.4d) can also denote attitude towards or relations with someone or something. Whether gegen or zu is used depends on the particular noun or adjective, though gegen tends to occur with those which denote hostile attitudes, zu with those which denote friendly attitudes. A few adjectives can be used with either, e.g.:

gerecht zu/gegen fair, just to hart zu/gegen hard towards

grausam zu/gegen cruel to

gegen is used with some nouns although the related adjective has zu, e.g. die Frechheit, die Gerechtigkeit, die Grausamkeit, die Härte, die (Un)höflichkeit gegen jdn. gegenüber is a common alternative to gegen or zu with most adjectives or nouns which occur with these prepositions, see 20.2.4.

#### k. Uses of zu with numbers

i. to indicate price or measure:

10 Stück Seife zu je 4 Euro10 bars of soap at 4 euros each5 Päckchen Kaffee zu hundert Gramm5 hundred gram packs of coffee

**zum** halben Preis at half price

Also with fractions, etc.: zur Hälfte, zum Teil, zu einem Drittel fertig

**ii.** With the dative of the cardinal or the stem of the ordinal to indicate groups, e.g *zu zweien*, *zu zweit*, see 9.1.3b.

iii. With the declined ordinal number for 'first(ly)', 'secondly', etc., e.g. zum Ersten, zum Zweiten, etc., see 9.2.3.

#### 1. Selected idiomatic uses of zu

jdn. zum Besten haben make a fool of sb. zu Boden fallen fall to the ground

sich (dat.) etwas zu eigen machen adopt sth.
zu Ende gehen draw to a close

zu Fuß on foot

jdn. zu Rate ziehen ask sb. 's advice jdn. zur Rechenschaft ziehen call sb. to account

zur Sache kommen jdm. zur Seite stehen zur Welt kommen

come to the point give sb. one's support be born

## 20.2.10 Less Frequent Prepositions Governing the Dative

#### a. ab 'from'

ab was originally restricted to commercial and official German, but has become common in all registers. The case use with ab varies. When referring to place, it is always used with the dative, but when referring to time, the accusative is used as frequently as the dative if no article follows.

i. Referring to place, it is an alternative to von, but it emphasises the starting point more strongly:

**Ab** Jericho folgten wir einer langen Kolonne israelischer Touristenbusse (Zeit)

From Jericho we followed a long convoy of Israeli tourist buses

Dieser Sondertarif gilt **ab** allen deutschen Flughäfen This special fare applies from all airports in ab Fabrik

Germany ex works

ii. For the use of ab in time phrases, e.g. ab heute 'from today', see 11.5.1

## b. binnen indicates a period of time (= 'within')

It is used mainly in formal registers to avoid the potential ambiguity of in, see 11.5.7:

binnen einem Jahr, drei Jahren

within a year, three years

binnen kurzem

shortly

## NB:

- i. (i) In formal registers binnen is occasionally used with a following genitive, e.g. binnen eines Jahres.
  - (ii) In Switzerland *innert* is commonly used for *binnen*, with a following dative or (occasionally) a genitive, e.g. innert einem/ eines Jahres.

#### c. dank 'thanks to'

It is mainly found in formal German and is often used with a genitive, especially with a following plural noun:

dank seinem Einfluss/seines Einflusses

thanks to his influence

dank seiner Sprachkenntnisse

thanks to his knowledge of languages

## d. entgegen 'contrary to'

It can occur before or (rather less frequently) after the noun:

entgegen allen Erwartungen/allen

contrary to all expectations

Erwartungen entgegen

In writing, *entgegen* is occasionally used with a genitive. This is not (yet) regarded as correct.

## e. entsprechend, agemäß, alaut, azufolge 'according to'

These prepositions are used chiefly in formal German. They all mean 'according to', as does the more frequent nach, see. 20.2.6d, but they are not interchangeable in all contexts. It is not uncommon to see entsprechend and gemäß used with a genitive in writing. This is not (yet) regarded as correct:

i. entsprechend means 'in accordance with'. It can precede or (more commonly) follow the noun:

unseren Anordnungen entsprechend/ entsprechend unseren Anordnungen in accordance with our instructions

ii. gemäß usually follows the noun, but occasionally precedes it. It means 'in accordance with':

Die Maschine wurde den Anweisungen

The machine was put into operation

gemäß in Betrieb gesetzt

in accordance with the instructions

iii. *laut* introduces a verbatim report of something said or written. It is commonly used without a following article, see 4.9.3:

Laut Berichten soll der Präsident neue According to reports the president has proposed

Verhandlungen vorgeschlagen haben fresh negotiations laut Gesetz according to the law

laut Angela Merkel according to Angela Merkel

If the following noun has an article (or an adjective) with it, *laut* often governs the genitive rather than the dative:

laut des Berichtes/dem Bericht aus Berlin according to the report from Berlin

laut neuer Berichte/neuen Berichten according to recent reports

laut ämtlichem Nachweis/ämtlichen Nachweises according to an official attestation

iv. zufolge follows the noun. In accepted usage it indicates a consequence:

Dem Vertrag **zufolge** werden nun große

In accordance with the contract

Mengen von Rohöl geliefert

large quantities of crude oil are now being

delivered

zufolge is also used where there is no sense of a consequence or a result:.

unbestätigen Berichten **zufolge** according to unconfirmed reports einem Regierungssprecher **zufolge** according to a government spokesman

The use of *zufolge* with a following noun in the genitive, e.g. *zufolge des Vertrages*, is now obsolete and *infolge* (+ gen.) is used instead.

#### f. fern 'far from' is restricted to elevated registers

It can occur before or (rather less frequently) after the noun:

Sie blieben **fern** der Heimat/der Heimat **fern**They remained far from home

Europa liegt immer noch **fern** dem britischen Horizont (Zeit)

Europe is still far removed from British horizons

In practice, fern von or weit von are more frequent for English 'far from'.

#### g. mitsamt and samt 'together with'

These are restricted to elevated styles and are sometimes seen with a following genitive in writing. The usual equivalent for 'together with' is *zusammen mit*, or often simply *mit*:

Ich fahre dich **mitsamt** dem Fahrrad nach Hause (H. Fischer)

I'll drive you home together with your bike.

## h. ahe 'near (to)' is used chiefly in formal registers

ein altes Haus nahe dem freien Feld (FR)

an old house near the open field

When used in an abstract sense *nahe* commonly follows the noun:

Sie war der Verzweiflung nahe

She was close to despair

## i. nebst 'together with', 'in addition to' occurs in formal registers

eine gut gekühlte Flasche Champagner nebst dem nötigen Zubehör (R. Müller)

## j. per 'per', 'by'

*per* was originally only used in commercial language, but it is used increasingly in spoken and other less formal registers. When used with a means of transport it is an alternative to more usual *mit*, see 20.2.5b:

per Post (= mit der Post)

per Bahn (= mit der Bahn)

per Luftfracht

by post

by rail

by air

per Einschreiben by recorded mail

per Adresse (p.A.) c/o

per Anhalter fahren to hitchhike

mit jdm. per du sein

be on first- name terms with sb.

Sie bezahlen erst per 31. Dezember

You do not pay until 31 December

The goods are ordered for 1 May

As *per* is most often used without a following adjective or determiner, the case it governs is often not obvious. This has given rise to uncertainty, and in practice, when a case is clear, *per* is actually seen to be used with the accusative as well as the dative, e.g. *per zweiten/zweitem Bildungsweg*. Either usage is regarded as correct.

## k. zuliebe 'for the sake of' follows the noun it governs

Ich habe es meiner Mutter **zuliebe** getan I did it for my mother's sake

Dir **zuliebe** gibt es Spargel Just for you, we're having asparagus wahrscheinlich dem Wald **zuliebe** (Walser) probably for the sake of the forest

## 1. zuwider 'contrary to' follows the noun it governs

It is an emphatic alternative to *gegen* in formal registers:

Karl handelte seinem Befehl **zuwider**Karl acted contrary to his order

## 20.3 Prepositions Governing the Accusative or the Dative Case

Ten prepositions govern the accusative *or* the dative, i.e.:

an auf entlang hinter in neben über unter vor zwischen

General rules governing the use of the two cases are given in 20.3.1, and the individual prepositions are dealt with in the following sections. For the more common ones (i.e. an, auf, in, über, unter and vor) the use with the accusative and the dative is treated separately.

#### 20.3.1 These Prepositions Govern the Accusative case if they Express Direction, but the Dative if

## they Express Position

It is often claimed that the accusative case is used with these prepositions when motion is involved, but it is more precise to say that the **accusative case** is used with a phrase expressing the **direction** in which someone or something is moving or being put.

Ich hänge das Bild an **die** Wand

I'm hanging the picture on the wall

Das Bild hängt an **der** Wand

The picture is hanging on the wall

Wir gingen in **dieses** Zimmer hinein We went into this room
Wir essen in **diesem** Zimmer We eat in this room

In some contexts the reason for the choice of case is less obvious, or usage is variable:

# a. Even if direction is involved, the dative case is used if there is no movement in relation to the person or thing denoted by the following noun

Er ging neben **seiner** Frau

He was walking next to his wife
Er ging zwischen **seinen** Eltern

He was walking between his parents

(His position is constant in relation to his wife or his parents)

Ein Flugzeug kreiste über der Stadt

A plane was circling over the town

(Though it was moving, it stayed over the town)

Usage where two prepositional phrases occur in the same sentence with a verb of motion follows the basic principle, e.g.: *Elke legte sich auf eine Bank im Schatten hin*. Elke is moving in the direction of the bench, but the bench is stationary in relation to the shadow.

## b. The dative is usual with verbs of arriving, appearing and disappearing

German does not consider that such verbs indicate a direction:

Sie kamen **am** Bahnhof an They arrived at the station
Sie landeten auf **dem** Mond They landed on the moon

Er kroch unter **dem** Tisch hervor

He crept out from under the table
Sie erschien hinter **der** Theke

She appeared behind the counter

Der Reiter verschwand hinter **dem** Berg

The horseman disappeared behind the hill

Sie verbarg sich unter **der** Decke She hid under the sheet

Occasionally with these verbs the sense of movement in a particular direction may be felt so strongly that the accusative is used, e.g. *Er verschwand über das Dach*. Nevertheless, this is quite infrequent.

# c. In a few contexts, these prepositions are used with the accusative after a simple verb, but with the dative after a related prefixed verb

With the prefixed verbs, the action is seen as already completed, whereas with the simple verbs it is visualised as continuing:

(an/fest)binden tie, fasten

Das Pferd war an einen Baum gebunden

Das Pferd war an einem Baum an-/festgebunden

(vor)fahren drive up

Der Wagen fuhr vor den Bahnhof

Der Wagen fuhr vor dem Schloss vor

(auf)hängen hang (up)

Sie hängte das Bild an die Wand

Sie hängte das Bild an der Wand auf

sich (fest)klammern cling to

Er klammerte sich an sie

Er klammerte sich an ihr fest

sich (nieder)legen, - setzen lie, sit down

Sie legte/setzte sich auf die Bank

Sie legte/setzte sich auf **der** Bank nieder

(auf)schreiben write (down)

Ich schrieb ihre Adresse in mein Notizbuch

Ich schrieb ihre Adresse in meinem Notizbuch auf

## d. Usage with verbs with the prefix ein-

i. These verbs are often used with in, usually followed by a noun phrase in the accusative case:

Sie stieg in **den** Zug ein Ich trug den Namen in **die** Liste ein Wir weihten ihn in **das** Geheimnis ein Er wickelte sich in **eine** Decke ein

ii. A noun in the accusative case is used even in the sein- passive, although here usage is variable:

Er war in eine Reisedecke eingehüllt

Sie ist in das Geheimnis eingeweiht

Sein Name war in **die/der** Liste eingetragen

- iii. sich einschließen is used with either case depending on whether the movement in a particular direction is emphasised: Sie schloss sich in ihr/ihrem Zimmer ein.
- **iv.** *sich einfinden*, *einkehren* and *eintreffen* are followed by a preposition with a noun phrase in the dative case, as they denote arrival (see (a) above):

Wir trafen in **der** Hauptstadt ein We arrived in the capital Sie kehrten in **einer** Gaststätte ein They turned in at an inn

## e. With a few verbs usage is idiomatic

In the main these are verbs which do not denote movement as such. The choice of case depends on how native speakers envisage the action, and it can vary. If no preposition is indicated in the examples below, the verb is commonly used with more than one (e.g. *sehen*, which occurs with *an*, *auf*, *in*, etc.)

i. A noun phrase in the dative case is usual in conjunction with the following verbs:

anbringen fix befestigen an fasten

an *fasten* dru

drucken print

notieren note

ii. A noun phrase in the accusative case is usual in conjunction with the following verbs:

build on to anbauen an kleiden in clothe in verteilen distribute flow into engrossed in anschließen add on münden in vertieft in gebeugt über bent over sehen, schauen look verwickelt in involved in border on stützen auf grenzen an support

#### f. The dative and the accusative have different meanings with a few verbs

aufnehmen

A noun phrase in the accusative case implies complete acceptance; in the dative case it implies that the acceptance is temporary:

Er ist **in den** Chor aufgenommen worden Ich wurde **in seiner** Familie sehr freundlich aufgenommen He was admitted into the choir

I was amicably received in his family

#### einführen

halten

Waren in ein Land einführen (i.e. nach Italien)
Er will die Sitte in diesem Land einführen (i.e. in Italien)

(i.e. the front door rather than somewhere else)

If there is an idea of direction, a noun phrase in the accusative case is used, whereas a noun phrase in the dative puts the stress on the place:

import goods into a country

He wants to introduce the custom in that country

If the gesture is emphasised, a noun phrase in the accusative is used; a noun phrase in the dative emphasises the position:

Er hielt das Buch in **die** Höhe

He held the book up in the air

Er hielt das Buch in **der** Hand

He held the book in his hand

klopfen

A noun phrase in the accusative is the norm, but in the context of knocking on doors, etc., the dative can be used if the emphasis is on the place rather than the action:

Er klopfte **an die** Tür/**auf den** Tisch

Da klopfte es **an der** Haustür

He knocked on the door/the table

There was a knock at the front door

A noun phrase in the accusative case refers to the action of writing down; the dative case is used if the place where something is written is uppermost:

Er schrieb es in sein Heft

He wrote it (down) in his notebook

In seinem Brief schreibt er, dass . . .

He writes in his letter that . . .

# g. In contexts where these prepositions do not have their literal meaning, they are used only or predominantly with a single case

In idiomatic uses, *auf* and *über* are used only with the accusative, all the other prepositions mainly with the dative. This is particularly evident where these prepositions are used to refer to time, see 11.5, where they are used in prepositional objects, see 18.6, with adjectives, see 6.6, and in all other contexts where they are not used in their literal senses.

#### 20.3.2 An (+ Dative)

## a. The basic meaning of an with the dative is 'on (the side of)'

i. This contrasts with *auf* (+ dat.), which means 'on (top of)'. *an* (+ dat.) can correspond to English 'on', or, if the person or thing is not actually touching, 'at', 'by' or 'along'. See 20.2.3a for the distinction between *an* (+ dat.) and *bei* in the sense of 'at':

*on the mountain(side)* 

Das Bild hing **an** der Wand

The picture was hanging on the wall **am** Berg (compare: auf dem Berg 'on the mountain-

An der Grenze wird kontrolliert

There's a check at the border

Wir warteten an der Bushaltestelle

We were waiting at/by the bus stop

am Fluss (compare: auf dem Fluss 'on the river' (i.e. on the river(side)

in a boat))

Ich stand **am** Fenster

I was standing by/at the window

Sie wohnt **am** See She lives by the lake

ii. an (+ dat.) is also used for 'on (the underside of)':

Die Lampe hängt **an** der Decke The lamp was hanging from the ceiling

**am** Himmel (compare: *im* Himmel 'in heaven') in the sky

iii. In older German, an was often used in the sense of 'down on', and this is still apparent in phrases like am Boden, an der Erde 'on the ground', where auf is a possible alternative. Compare also am Strand 'on the beach', am Ufer 'on the bank', etc.

iv. an (+ dat) is used in three phrases in conjunction with an adverb following the noun. In all these the dative is used since, although movement is involved, there is no indication of direction.

With a following *hin*, see 7.2.3, *an* expresses movement alongside:

Sie gingen an der Mauer hin

They were walking along the wall

an (+ dat.) ... vorbei means 'past':

Wir gingen an seinem Haus vorbei We walked past his house

an (+ dat.) . . . entlang means 'along', see 20.3.6c.

b. an (+ dat.) is used with academic and other institutions at which a person is employed

Sie lehrt **an** der Universität Augsburg She teaches at the University of Augsburg

Er ist Intendant **am** Staatstheater

He is director at the State Theatre

Er ist Pfarrer **an** der Peterskirche

He is the pastor at St. Peter's

c. an (+ dat.) is used in a number of time expressions

In particular with dates and days of the week, e.g. am Dienstag, am 31. August, see 11.5.2.

d. an (+ dat.) is used with many nouns, adjectives and verbs meaning 'in respect of', 'in connection with'

Further details of the use of *an* in this sense with adjectives are given in 6.6.1. For its use in the prepositional object of verbs, see 18.6.2a.

Der Bedarf an Arbeitskräften verringert sich The demand for labour is decreasing

Wir haben mehrere Millionen Euros an Aufträgen We have several million euros worth of orders on the

vorliegen books

Sie hat etwas Eigenartiges **an** sich

There's something strange about her

Das Schönste **an** der Sache ist, dass . . .

The best thing about it is that . . .

an (+ dat.) often indicates the feature by which one recognises or notices something:

Ich bemerkte **an** seinem Benehmen, dass . . . I noticed from his behaviour that . . . Sie erkannte ihn **an** seinem Bart She recognised him by his beard

e. an (+ dat.) indicates a partially completed action

This often provides a way of indicating progressive action, see 14.6.2d:

Sie strickt **an** einem Pullover Er arbeitet **an** seiner Dissertation She's knitting a pullover He's working on his thesis

## f. Other uses of an (+ dat.)

- i. am is used to form the superlative of adverbs and predicate adjectives, e.g. am schönsten, am einfachsten, see 8.4.1.
- ii. am is used colloquially with the infinitive to express a continuous action, e.g. Sie ist am Schreiben, see 14.6.2c.

## 20.3.3 **An (+ Accusative)**

#### a. an (+ acc.) indicates direction if the destination is an (+ dat.)

i.e. in contexts where the ultimate goal of the person or thing will be a position 'on', 'at' or 'by' something.

i. It most often corresponds to English 'to' (see 20.5.1c) or 'on':

Sie hängte das Bild **an** die Wand She hung the picture on the wall

Sie fuhr **an** die Küste She drove to the coast

Similarly:

Ich ging **ans** Fenster, **an** die Tür, **an** seinen Platz Er kam **an** die Bushaltestelle, **an** den Waldrand

ii. The idea of right up to somebody or something can be indicated by adding *heran*, see 7.2.4b. e.g.:

Sie trat an mich, an den Tisch heran She walked up to me, to the table

iii. an occurs commonly with the person to whom one addresses something:

Er richtete diese Frage an mich
He addressed this question to me
eine Bitte an den Bundeskanzler
a request to the Federal Chancellor
Ich werde mich an ihn um Rat wenden
I shall turn to him for advice

#### b. Verbal nouns from verbs which take a dative usually govern an (+ acc.)

See 18.4. The dative object of the verb appears in a prepositional phrase with an:

die Anpassung an die neuen Verhältnisse Compare: Er passt sich den neuen Verhältnissen an. adaptation to new circumstances

sein Befehl an die Truppen Compare: Er befahl den

Truppen . . . his order to the troops

Similarly:

eine Antwort an mich ein Bericht an die Akademie viele Grüße an Onkel Robert die Kriegserklärung an Japan der Verkauf des Hauses an meinen Sohn sein Vermächtnis an seine Tochter

NB: For the use of an (+ acc.) in this sense with verbs in place of a dative, see 18.4.2d.

c. an (+ acc.) is used to indicate indefinite quantity

Er verdient **an die** 5000 im Monat He earns getting on for 5000 a month

an in this sense is often followed by the definite article. A following adjective has **strong** endings: an die vierzig ausländische Gäste.

### d. Some idiomatic uses of an (+ acc.)

etwas ans Licht, an den Tag bringen bring sth. to light

an (und für) sich actually

die Erinnerung an seine Jugend the memory of his youth der Glaube an den Sieg the belief in victory

NB: For the use of an (+ acc.) in prepositional objects with verbs denoting mental processes, see 18.6.2b.

## 20.3.4 Auf (+ Dative)

## a. The basic meaning of auf (+ dat.) is 'on (top of)'

For the distinction between *auf* and *an* (+ dat.), see 20.3.2a.

Das Buch liegt **auf** dem Tisch

Sie sind **auf** dem Mond gelandet

Die Katze spielt **auf** dem Rasen

The book is lying on the table

They landed on the moon

The cat is playing on the lawn

auf dem Weg nach Stuttgart on the way to Stuttgart

## b. auf (+ dat.) is used for English 'at' or 'in' in some contexts

i. for formal occasions, e.g. weddings, conferences, parties, etc.:

Ich traf sie **auf** einem Empfang

I met her at a reception

Wir lernten uns **auf** ihrer Hochzeit kennen

Sie ist **auf** einer Tagung

She's at a conference

bei is a common alternative to auf in this sense, but there may be a slight difference in meaning, see 20.2.3b.

ii. with a few other nouns, where idiomatic usage may differ from English:

Die Schafe sind auf der Wiese The sheep are in the meadow

Er ist **auf** seinem Zimmer He is (up) in his room

**auf** dem Land(e) in the country

Die Kinder spielten auf der Straße

The children were playing in the street

NB: in (+ dat.) is used to refer to a particular street, e.g. Wir wohnen in der Schillerstraβe.

Similarly:

auf dem (Bauern)hof on the farm auf dem Gang in the corridor auf ihrer Bude in her bedsit auf seinem Gut on his estate auf dem Feld in the field auf dem Hof in the yard auf dem Flur in the (entrance) hall auf der Toilette on the toilet

**iii.** with a few nouns denoting public buildings and places. With several of these, *auf* is nowadays going out of use (although it is often still current in Austria). The preposition which is more often used nowadays is given in brackets:

auf dem Bahnhof (an) auf dem Markt(platz) auf dem Rathaus (in) auf der Bank (in) auf der Post auf der Universität (an)

#### auf der Bibliothek (in)

## c. Some idiomatic uses of a auf (+ dat.)

blind auf einem Auge

Das hat nichts/viel auf sich etwas auf dem Herzen haben Sie liefen auf dem Feld herum

auf der anderen Seite

auf der Stelle

blind in one eye

There's nothing/a lot to that have sth. on one's mind

They were running all over the field

on the other hand immediately

## 20.3.5 Auf (+ Accusative)

## a. auf (+ acc.) indicates direction if the destination is auf (+ dat)

i.e. in contexts where the ultimate goal of the person or thing will be a position 'on (top of)' or 'at' something.

i. auf (+ acc.) usually corresponds to English 'on(to)':

Sie legte das Buch **auf** den Tisch Die Katze sprang **auf** das Dach She put the book on the table
The cat leapt onto the roof

**ii.** Where German uses *auf* (+ dat.) for English 'at' or 'in', *auf* (+ acc.) usually corresponds to English 'into' or 'to':

Wir gingen auf das Feld

Er ging auf sein Zimmer

Er geht auf die Toilette

We went into the field

He went (up) to his room

He's going to the toilet

For further details on this use of auf (+ acc.) see 20.5.1b. **iii.** auf (+ acc.) . . . zu indicates direction (i.e. = 'towards'):

Sie kam **auf** mich **zu**She came towards me/approached me
Sie ging **auf** die Tore des Friedhofs **zu**She went towards the cemetery gates

#### b. auf (+ acc.) indicates a period of time extending from 'now'

e.g. *Ich fahre auf vier Wochen in die Schweiz*. For details see 11.5.3. The prepositional adverb *darauf* is used in the sense of 'after(wards)', see 11.6.4b, e.g. *am Tag darauf* 'the day after'.

NB: *auf* (+ acc.) is similarly used to indicate a distance **from** here, e.g.: *Kurven auf fünf Kilometer* 'bends for 5 kilometres'.

#### c. auf (+ acc.) is used after a large number of adjectives and verbs

e.g. Sie ist neidisch auf ihn. Ich wartete vor dem Bahnhof auf sie. For the use of auf with adjectives, see 6.6.1, with verbs in prepositional objects, see 18.6.3a.

#### d. auf (+ acc.) can denote 'in response to', 'as a result of'

In this sense it is often strengthened by a following *hin*, see 7.2.3c:

Auf meine Bitte (hin) hat er die Sache für sich behalten At my request he kept the matter to himself Er hat sofort auf meinen Brief hin gehandelt He acted immediately following my letter

Similarly:

auf Anfrage on application

auf meine Empfehlung (hin) on my recommendation

auf einen Verdacht hin

on the strength of a suspicion

auf Wunsch, auf meinen Wunsch (hin)

daraufhin

on the strength of a suspicion

by request, at my request

as a result, thereupon

## e. Other uses of auf (+ acc.)

i. with languages:

Sie hat mir **auf Deutsch** geantwortet She answered me in German

in (+ dat.) is also used, especially with extended phrases:

Er hält seine Vorlesungen in Deutsch/auf Deutsch

He gives his lectures in German

Er sagte es in gebrochenem Deutsch

He said it in broken German

Wie heißt das in Ihrer Sprache? What's that called in your language?

ii. to form absolute superlatives, e.g. aufs angenehmste/Angenehmste. See 8.4.3 for further details.

iii. Some common idiomatic expressions with auf:

jdn. auf den Arm (S.G.)/auf die Schippe (N.G.)

pull somebody's leg

nehmen etwas auf die lange Bank schieben put sth. off

auf den ersten Blick at first sight

Das kommt, läuft auf dasselbe hinaus

It comes down to the same thing

auf jeden Fall, auf alle Fälle in any case
auf eigene Gefahr at one's own risk

auf eigene Kosten at one's own expense idm. auf die Nerven gehen, auf den Wecker gehen,

fallen get on somebody's nerves

Das geht auf meine Rechnung

This one's on me
auf diese Weise

in this way

## 20.3.6 **Entlang**

entlang (often shortened to lang in colloquial speech in North Germany) corresponds to English 'along'. There is much variation in its use, both in respect of the position of the noun and the case used with it.

## a. Indicating position alongside an extended object

The most frequent usage in this meaning is *entlang* followed by a noun phrase in the dative case:

im Sommer, wenn **entlang den** Boulevards und in den in summer when roses are blooming along the

Vorgärten Rosen blühen (Zeit) boulevards and in the front gardens
Bäume standen entlang der Bahnlinie Trees stood along the railway line

Alternatively, *entlang* is often used in written German with a following noun phrase in the genitive case to express position:

die Uferpromenade **entlang des** Rheins (MM) the promenade along the bank of the Rhine

Very occasionally, *entlang* follows a noun phrase in the dative or accusative case to express position:

die Straße, die Mussolini der Küste entlang gebaut hat

(Grzimek)

the road which Mussolini built along the coast

Flaschen und Gläser standen die lange Tafel entlang (Welt)

Bottles and glasses were standingalong the long table

## b. Indicating movement alongside an extended object, or down the middle of a road or river

The most frequent usage in this meaning is for *entlang* to **follow** a noun phrase in the **accusative** case:

Gehst du die Reihen der Maschinen **entlang** (*ND*)

If you walk along the rows of machines

Sie gingen den Bach entlang

They were walking along the stream

Sie hastete den Flur **entlang** bis zum Ende des Ganges (Johnson)

*She hurried along the entrance hall to the end of the* 

corridor

In Swiss usage, *entlang* can follow a noun phrase in the dative case in this meaning:

Wir flogen gar nicht der Küste **entlang** (*Frisch*)

We were not flying along the coast at all

#### c. an (+ dat.) . . . entlang is a common alternative to simple entlang

It can be used with reference to position or movement alongside an extended object, but not for 'down the middle' of roads, rivers, etc.:

Da gab es an der nördlichen Friedhofsmauer entlang den Bittweg (Grass)

Along the north wall of the cemetery was the Bittweg

Er steuerte am Ufer entlang, bis die Stelle gefunden war (*Frisch*)

He steered along the bank until he had found the spot

#### d. Alternatives to entlang in the meaning 'along'

entlang is used much less frequently than English along, and the following are common equivalents:

i. an (+ dat.), see 20.3.2a, often appears in contexts where English naturally uses 'along', e.g.:

An der Küste war das Wetter schön

The weather was fine along the coast

an (+ dat.)... hin can refer to movement alongside something, especially when one is very close to it or in contact with it:

Sie ging an der Mauer hin Er rutschte am Boden hin

She went along the wall He slid along the floor

ii. längs, see 20.4.3, only expresses position. It governs a following genitive or (less commonly) a dative, e.g. längs der Küste, längs des Flusses/dem Fluss.

#### 20.3.7 Hinter

## a. hinter is used to refer to place and usually corresponds to English 'behind' or 'beyond'

i. Used with a following noun phrase in the dative case, *hinter* indicates position:

Der Wagen steht hinter der Garage Ich habe das Schlimmste hinter mir *The car is behind the garage* I've got the worst behind me

100 Kilometer hinter der Grenze

ii. Used with a following noun phrase in the accusative case, hinter indicates direction:

Er fuhr den Wagen **hinter** die Garage

He drove the car round the back of the garage
Sie trieben ihn **hinter** die Kirche

They drove him round the back of the church

**b.** To indicate movement in relation to another person or thing, *hinter* is used with *her* See also 7.2.3b. The noun phrase is always in the dative case:

Er rannte **hinter** ihr **her**He was running after her

Ich ging **hinter** meinen Eltern **her**I was walking behind my parents

c. hinter is used in a few idiomatic expressions

Ich konnte nicht dahinter kommen

I couldn't get to the bottom of it
Es muss etwas dahinter stecken

There must be something in it

Schreib dir das hinter die Ohren! Will you get that into your thick head!

## 20.3.8 In (+ Dative)

a. The basic meaning of in (+ dat) is 'in(side)'

Sie ist im Haus/im Freien/in der Kirche/im Kino/in der

Stadt/ im Wald/im Tal/in ihrem Zimmer

Sie sind in Bremen/in Deutschland/ in der Schweiz/im

Ausland Die Milch ist **im** Kühlschrank

Die Sonne geht im Westen unter

She is in the house/in the open air/in the church/in the cinema/in town in the forest/in the valley/in her

room

They are in Bremen/in Germany/in

Switzerland/abroad
The milk is in the fridge
The sun sets in the west

NB:

In colloquial German, in is often strengthened by adding drin, e.g.: Die sind in der Hütte drin.

In some contexts, German usage is at variance with English, e.g.:

Ihr Büro ist **im** vierten Stock

Her office is on the fourth floor

Das habe ich **im** Fernsehen gesehen/ **im** Radio gehört I saw it on the television/heard it on the radio

In particular, German uses *in* with reference to attendance at public buildings and the like, where English often uses 'at':

Die Kinder sind heute **in** der Schule

The children are at school today

Meine Eltern sind **in** der Kirche *My parents are at church* 

Elke ist im Kino/im Theater/in einem Konzert/im

Elke is at the cinema/at the theatre/at a concert/at the

Rathaus/in der Bibliothek town hall/at the library

#### b. in (+ dat.) indicates a period of time

e.g. In drei Wochen sind wir wieder da. Full details are given in 11.5.8.

c. Some common idiomatic phrases with in (+ dat.)

in der Absicht, etwas zu tun with the intention of doing something

im Allgemeinen in general

Ist dein Chef im Bilde? Is your boss in the picture?

im Durchschnitt on average

nicht im Geringsten/Entferntesten
in dieser Hinsicht
in gewissem Maße

not in the slightest
in this respect
to a certain extent

in dieser Weise (also: auf diese Weise) in this way in diesem Zusammenhang in this context

## 20.3.9 **In (+ Accusative)**

## a. in (+ acc.) indicates direction if the destination is in (+ dat.)

i.e. in contexts where the ultimate goal of the person or thing will be a position 'in(side)' something.

i. in often corresponds to English 'into':

Sie ging ins Haus/in die Kirche/in den Wald/in das She went into the house/the church/ the forest/the

Tal/**in** ihr Zimmer valley/her room

Ich habe die Milch **in** den Kühlschrank gestellt I put the milk in the fridge

NB:

With Richtung the accusative or the dative case are equally acceptable: in diese/dieser Richtung.

ii. in is a common equivalent of English 'to', if, on arrival, one will be in the place concerned, see 20.5.1a:

Sie ging **in** ein Konzert/**ins** Kino/ **in** den vierten Stock

She went to a concert/to the cinema/to the fourth

floor

Wir sind in die Schweiz/ins Ausland gefahren We went to Switzerland/abroad

Die Kinder gehen heute **in** die Schule The children are going to school today

b. Some frequent idiomatic phrases with in (+ acc.):

Der Vorteil springt ins Auge

The advantage is obvious

sich in Bewegung setzen begin to move

mit jdm. ins Gespräch kommen get into conversation with sb.
aus dem Französischen ins Deutsche übersetzen translate from French into German

## 20.3.10 Neben

## a. neben is most often used with reference to place, corresponding to English 'next to' or 'beside':

i. Used with a following dative case, *neben* indicates position:

Die Blumen standen **neben** dem Schrank

The flowers were next to the cupboard

Er saß **neben** seiner Frau He was sitting next to his wife

ii. Used with a following accusative case, *neben* indicates direction. It can be strengthened by adding *hin*, see

7.2.3a:

Er stellte die Blumen **neben** den Schrank (hin)

He put the flowers (down) next to the cupboard

Er setzte sich **neben** seine Frau (hin)

# b. To indicate relation of movement to another person or thing moving in the same direction, *neben* is used with a following *her*

See also 7.2.3b. The noun phrase is always in the dative case:

Er ging **neben** seiner Frau **her** 

He was walking next to his wife

c. neben (+ dat.) can be used in the sense of 'besides', 'apart from'

Its sense is close to that of außer, see 20.2.2a:

**Neben** zwei Franzosen waren alle Anwesenden aus Deutschland

Apart from two Frenchmen all those present were from Germany

d. neben (+ dat.) can be used to express a comparison

It is a common alternative to gegen or gegenüber, see 20.2.4c:

Neben ihrer Mutter ist sie groß

She's tall compared with her mother

**e.** The prepositional adverb *daneben* is used with verbs to express the idea of failing to hit a target *daneben* is usually interpreted as a separable prefix, see 22.5.2, and written together with the verb:

Er hat danebengeschossen He shot wide of the mark
Sie hat sich danebenbenommen She behaved quite abominably

## 20.3.11 Über (+ Dative)

With a following noun phrase in the dative case, *über* is only used to refer to position. It corresponds to English 'over', 'above' or, in certain contexts, 'across' or 'beyond':

Das Bild hängt **über** meinem Tisch

The picture hangs over my desk

Briançon liegt 1400 Meter **über** dem Meeresspiegel Briançon lies 1400 metres above sea level

Der Baum lag mir (quer) **über** dem Weg

Er wohnt **über** der Grenze

Sie wohnt **über** dem See

The tree lay across my path

He lives over/across the border

She lives across/beyond the lake

## 20.3.12 **Über (+ Accusative)**

a. über (+ acc.) indicates movement over a person or object

*über* corresponds to English 'above', 'over', 'across' or (with reference to a journey) 'via':

Sie hängte das Bild **über** meinen Tisch She hung the picture over/above my desk

Wir gingen **über** die Straße We crossed the road

die neue Brücke **über** den Inn the new bridge over/across the Inn

Der Baum fiel uns (quer) **über** den Weg The tree fell across our path

Er ist **über** die Grenze geflüchtet He fled over the border

Es lief mir eiskalt **über** den Rücken

An ice- cold shiver went down my back

Wir sind **über** die Schweiz nach Italien gefahren

We drove to Italy through Switzerland

Dieser Zug fährt nach Stralsund **über** Rostock

This train goes to Stralsund via Rostock

Der Kaiser herrschte **über** viele Länder

The emperor ruled over many countries

If the movement involved is parallel to a surface, *über* (+ acc.) can be strengthened by adding *hin*, see 7.2.3a:

Die Enten flogen **über** den See (hin)

The ducks were flying over the lake

## b. über (+ acc.) is used in more abstract senses of 'above' or 'beyond'

In the sense of going 'beyond', a limitation *über* can be strengthened by adding *hinaus*:

Diese Aufgabe geht **über** meine Fähigkeiten (hinaus) This task goes beyond my capabilities

Er liebt die Ruhe **über** alles

He likes quiet above all things

darüber hinaus over and above that

## c. über (+ acc.) occurs in a few time expressions in the sense of 'over'

For details, see 11.5.10.

## d. über (+ acc.) has the sense of 'over', 'more than' with quantities

e.g. Es hat über tausend Euro gekostet; Kinder über zehn Jahre, etc. See 9.1.6 for further details of this usage and the distinction between the adverbial and prepositional usage of über with quantities.

## e. über (+ acc.) is used in the sense of 'about', 'concerning'

ein Buch **über** die europäischen Vögelarten a book about European bird species

meine Freude **über** ihren Erfolg my delight at her success

Er beschwerte sich **über** den kaputten Fernsehapparat He complained about the broken television set

Sie war ärgerlich **über** ihn She was annoyed at him

This usage is particularly frequent with nouns, adjectives (see 6.6.1) and in the prepositional object of verbs of saying, etc. (see 18.6.9a).

## 20.3.13 Unter (+ Dative)

## a. With reference to place, unter (+ dat.) corresponds to English 'under(neath)', 'beneath', 'below'

Manfred lag **unter** dem Tisch Manfred was lying under(neath) the table

200 Meter **unter** dem Gipfel 200 metres below the summit

Das Land steht **unter** Wasser The land is under water

Sie trug die Tasche **unter** dem Arm She was carrying her bag under her arm

unter dem Schutz der Dunkelheit under cover of darkness

unter Zwang handeln act under duress

#### b. unter (+ dat.) is a common equivalent for English 'among(st)'

Hier bist du **unter** Freunden You're among friends here

Ich fand das Rezept **unter** meinen Papieren

I found the prescription among my papers

**Unter** den Zuschauern waren viele Ausländer

There were a lot of foreigners among the spectators

unter uns gesagt between ourselves

**unter** vier Augen in private

unter anderem (u.a.) amongst other things

zwischen can also correspond to English 'among(st)', see 20.3.17a. It is preferred it mean 'under'. Compare:

Das Haus steht **unter Bäumen**The house stands under so

Das Haus steht **zwischen Bäumen**The house stands amongst

## c. unter (+ dat.) is used to indicate circumstances

unter diesen Umständenunder these circumstancesunter allen Umständenin any caseunter den größten Schwierigkeitenwith the greatest difficultyunter diesen Bedingungenon these conditionsunter Vorspiegelung falscher Tatsachenon false pretences

d. unter (+ dat.) has the sense of 'under', 'below' with reference to quantity

e.g. *Es hat unter tausend Euro gekostet*. See 9.1.6 for further details of this usage a adverbial and prepositional usage of *unter* with quantities.

## 20.3.14 Unter (+ Accusative)

## a. unter (+ acc.) indicates direction if the destination is unter (+ dat.)

i.e. where English has 'under(neath)', 'below', 'among':

Manfred kroch unter den Tisch

Sie steckte die Tasche unter ihren Arm

Er tauchte den Kopf unter das Wasser

Wir gingen unter die Brücke hindurch

Sie ging unter die Menge

Manfred crawled under the She put her bag under her

He dipped his head under

We walked under the bridg

She went among the crowa

#### b. Some common idiomatic expressions with *unter* (+ acc.)

jdn. unter die Arme greifen come to sb. 's assistance sein Licht unter den Scheffel stellen hide one's light under a bi etwas unter den Tisch fallen lassen let sth. go by the board

## 20.3.15 **Vor (+ Dative)**

## a. With reference to place, vor (+ dat.) means 'in front of', 'ahead of'

Das Auto steht vor der Garage

The car is in front of the g

Der Himalaja lag vor uns

The Himalayas lay before

vor ihm in einiger Entfernung

some distance ahead of him

vor Gericht erscheinen

Die Insel liegt vor der deutschen Ostseeküste

The island lies off the Bala

The liber negt vol der dediction obliceration

# b. To indicate relation of movement to another person or thing moving in the sa used with *her*

See also 7.2.3b:

## c. vor is used in time expressions with the sense of 'ago' or 'before'

e.g. vor zwei Jahren, vor Weihnachten. For details, see 11.5.13.

#### d. vor can be used to indicate cause or reason

In this sense, *vor* (+ dat.) normally occurs without a following article:

Man konnte **vor** Lärm nichts hören

You couldn't hear anything for the noise
Ich konnte **vor** Aufregung nicht einschlafen

I couldn't get to sleep with the excitement

Vor Nebel war nichts zu sehen

You couldn't see anything for the fog

Sie warnte mich **vor** dem Hund She warned me of the dog blass **vor** Furcht, gelb **vor** Neid pale with fear, green with envy

In contrast to *aus*, see 20.2.1c, which points to a voluntary cause or reason, vor (+ dat.) always expresses a cause which is involuntary. This use of vor (+ dat.) is very common with adjectives, see 6.6.1, and in the prepositional object of verbs, see 18.6.12.

## 20.3.16 Vor (+ Accusative)

## a. vor (+ acc.) indicates movement to the front of something or someone

Ich fuhr den Wagen **vor** die Garage

I drove up in front of the garage

Sie stellte sich vor mich She stood in front of me

Alle traten **vor** den Vorhang Everyone stepped out in front of the curtain

Die Sache kommt vor Gericht

The case is coming to court

#### b. vor sich hin means 'to oneself'

See 7.2.5, e.g.:

Sie las **vor** sich **hin**She was reading to herself
Ich murmelte etwas **vor** mich **hin**I muttered something to myself

#### 20.3.17 **Zwischen**

## a. zwischen is used with reference to place or time in the sense of English 'between'

i. zwischen (+ dat.) indicates position:

Ich saß **zwischen** dem Minister und seiner Frau

I was sitting between the minister and his wife

Die Tagung fand **zwischen** dem 4. und dem 11.

The conference took place between the 4th and the

Oktober statt

11th of October

zwischen den Zeilen lesen

read between the lines

zwischen can also correspond to English 'among(st)' if more than two objects are involved:

Pilze wuchsen **zwischen** den Bäumen Toadstools were growing among(st) the trees

NR

See 20.3.13b for the distinction between *unter* and *zwischen* to mean 'among'.

ii. zwischen (+ acc.) indicates direction:

Ich setzte mich **zwischen** den Minister und seine Frau *I sat down between the minister and his wife*Wir legen die Tagung **zwischen** den 4. und den 11.

Oktober

We are putting the conference between the 4th and the 11th of October

b. To indicate relation of movement to another person or thing moving in the same direction, zwischen (+ dat.) is used with her

See also 7.2.3b. The noun phrase is always in the dative case:

Ich ging zwischen meinen Eltern her

I was walking between my parents

c. zwischen (+ dat.) has the sense of 'between' with reference to quantity

e.g. Kinder zwischen dem 10. und dem 15. Lebensjahr. See 9.1.6 for further details of this usage and the distinction between the adverbial and prepositional usage of zwischen with expressions of quantity.

## 20.4 Prepositions Governing the Genitive Case

The prepositions governing the genitive fall into three main groups:

i. four common prepositions, dealt with in 20.4.1:

(an)statt trotz während wegen

These are normally used with the genitive case in formal German, but are often found with a dative case in colloquial speech.

ii. eight prepositions expressing place relationships, see 20.4.2:

außerhalb oberhalb diesseits unweit innerhalb unterhalb jenseits beid(er)seits

These are often used with a following *von* rather than a genitive.

iii. a large number of prepositions with rather specialised meanings which are hardly used outside very formal (often official) registers. They are listed and explained in 20.4.3.

## 20.4.1 The four Common Prepositions Which Govern the Genitive

#### a. (an)statt 'instead of'

**i.** Examples of the use of *(an)statt*:

Statt eines Fernsehers hat sie sich eine neue
Stereoanlage gekauft

Statt eines Briefes schickte er ihr eine Postkarte

Instead of a television she bought herself a new stereo system

Instead of a letter he sent her a postcard

**ii.** (an) statt can be used as a conjunction rather than a preposition, i.e. as an alternative to und nicht. In this construction the noun or pronoun has the same case as the noun or pronoun immediately preceding (an) statt with which it is linked:

Ich besuchte meinen Onkel **statt** (= und nicht) meinen *I visited my uncle instead of my brother*Bruder

Ihr Haus hat sie mir **statt** (= und nicht) ihm vermacht *She left her house to me instead of to him* 

(an) statt is always used in this way if it links prepositional phrases or personal pronouns:

Ich schreibe jetzt mit einem Filzstift **statt** mit einem I write with a felt- tip now instead of with a fountain Füller pen

iii. anstelle von is a common alternative to (an)statt. It often sounds less stilted:

Wir gebrauchen jetzt Margarine **anstelle von** Butter We use margarine instead of butter now NB:

- (i) The longer form anstatt is less frequent; it occurs chiefly in formal written German.
- (ii) For infinitive phrases with (an) statt . . . zu and the conjunction (an) statt dass see 13.2.7c.

## b. trotz 'despite', 'in spite of'

Wir sind am Sonntag **trotz** des starken Regens nach Eulbach gewandert We walked to Eulbach on Sunday despite the heavy rain

## c. während 'during'

e.g. während des Sommers 'during the summer'. Details on the use of während are given in 11.5.15.

## d. wegen 'because of', 'for the sake of'

i. wegen normally precedes the noun it governs, but it sometimes follows in more elevated registers:

Wir konnten **wegen** des Regens nicht kommen Er musste **wegen** zu schnellen Fahrens eine Geldstrafe bezahlen

Er wich jeder Schafherde aus, nicht der Schafe **wegen**, sondern um den Geruch der Hirten zu umgehen (*Süβkind*)

We couldn't come because of the rain

He had to pay a fine because he had been driving too fast

He kept away from all the flocks of sheep, not because of the sheep, but to avoid the smell of the shepherds

ii. wegen is sometimes used in the sense of 'about', 'concerning':

Wegen deiner Reise muss ich noch mit Astrid sprechen

I've still got to talk to Astrid about your trip

iii. The combination von (+ gen.) . . . wegen occurs in a few set phrases:

von Amts wegen ex officio

von Berufs wegen by virtue of one's profession

von Rechts wegen legally, by rights

**iv.** The combination *von wegen* (+ dat.) is common in colloquial German to mean 'because of' or 'concerning'. This usage is considered to be non- standard:

Jetzt hört mir nur auf **von wegen** Idealismus (*Valentin*)

For goodness' sake stop talking about idealism

von wegen is very frequent in isolation to challenge a previous statement:

Also, heute Abend bezahlst du alles. – **Von wegen!** *So, you're paying for everything tonight. – No way!* NB:

For the forms of personal pronouns and demonstratives with wegen (meinetwegen, ihretwegen, etc.), see 3.1.2c and 5.1.1

#### e. The use of (an)statt, trotz, während and wegen with a dative

Although these prepositions are normally followed by a noun phrase in the genitive case in standard German, in certain conditions they are used with a following noun phrase in the dative.

i. They are very frequently used with a following dative in everyday colloquial speech. This reflects the general avoidance of the genitive in informal registers, see 2.3:

Ich konnte wegen dem Regen nicht kommen

Während dem Mittagessen hat sie uns etwas über ihren Urlaub erzählt

- **ii.** They are more often used with a following dative in writing in Switzerland, e.g. *Die Koalition wird deshalb vorerst wahrscheinlich trotz dem neuerlichen Scheitern überleben (NZZ)*.
- **iii.** Although the use of the dative case with these prepositions is not generally accepted as standard in writing in Germany, it is accepted (or at least tolerated) in a number of constructions, i.e.:
  - if they are followed by a plural noun which is not accompanied by a declined determiner or adjective: während fünf Jahren, wegen ein paar Hindernissen
  - if the noun they govern is preceded by a possessive genitive: während Vaters kurzem Urlaub, wegen des ehemaligen Bundeskanzlers langem Schweigen
  - to avoid the use of the genitive of the personal pronouns, see 3.1.2: *Langsam fahren wegen uns!* (on a road sign outside a kindergarten)
  - to avoid consecutive genitives in (e)s, see 2.4.2a: trotz dem Rollen des Zuges (Th. Mann)
  - if the following noun has no determiner with it: trotz Geldmangel(s), wegen Amtsmissbrauch(s)
  - to achieve a particular stylistic effect: Freies Denken statt starrem Lenken (election slogan)
  - a relative pronoun with these prepositions can be in the dative: seit dem Ende des Zweiten Weltkriegs, während dem die Stadt Salzburg zahlreiche Bombenangriffe erleiden musste (Baedeker)

## 20.4.2 The Eight Prepositions Denoting Position

#### a. Meaning and use

i. außerhalb 'outside' and innerhalb 'inside', 'within' can be used with reference to place or time:

Sie wohnt außerhalb der Stadt

Das liegt außerhalb/innerhalb meines Fachgebietes

Das kann sie außerhalb der Arbeitszeit erledigen

Das wird innerhalb eines Jahres geändert warden

NR.

She lives outside the city

That lies outside/within my specialist field

She can finish that outside working hours

That will be changed within a year

- (i) *außerhalb* and *innerhalb* only denote position, not direction. Compare *Wir gingen aus der Hütte hinaus/in die Hütte hinein* 'We went outside/inside the hut'.
- (ii) Like binnen (see 20.2.10b), innerhalb can be used to avoid any potential ambiguity with in, see 11.5.8c.
- **ii.** *oberhalb* 'above' and *unterhalb* 'below', 'underneath' refer to position and are more specific in meaning than *über* and *unter*:

Oberhalb der Straße war ein Felsenvorsprung
Ich habe mich unterhalb des Knies verletzt
der Rhein oberhalb/unterhalb der Stadt Basel

Above the road there was a rocky ledge
I injured myself below the knee
the Rhine above/below the city of Basle

iii. beid(er) seits 'on either side of', diesseits 'on this side of, jenseits 'beyond', 'on the other side of':

in den Bauten **beidseits** des Flusses (FR) in the buildings on either side of the river

## diesseits, jenseits der niederländischen Grenze on this side, the other side of the Dutch border

NB:

hinter is more commonly used for 'beyond' than jenseits, especially in everyday German, e.g. Das Dorf liegt hinter der Grenze, hinter Hannover

iv. unweit 'not far from'

Wir standen auf einer Höhe **unweit** des Dorfes

We were standing on a hill not far from the village

NB

unfern, with the same meaning as unweit, is now obsolete. It could be used with the genitive or the dative case.

## b. All these prepositions are often used with von rather than the genitive

**i.** This is usual in colloquial speech, but it is also quite common in writing, although many Germans prefer to use the genitive in formal registers:

Sie wohnt außerhalb von der Stadt

Innerhalb von einem Jahr wird alles anders warden

Jenseits von der Grenze standen vier Vopos

ein Dorf **unweit von** Moskau (*Bednarz*)

**ii.** The use of *von* is the norm even in written German in those contexts where the common prepositions taking the genitive are commonly used with the dative (see 20.4.1e), e.g. *innerhalb von fünf Jahren*. A following relative pronoun is also often in the dative, e.g. *die Zone*, *innerhalb der* (less commonly: *derer*) *Autos verboten sind*.

## 20.4.3 Other Prepositions Governing the Genitive

The large number of other prepositions with the genitive are largely limited to formal, especially written registers, the majority in official and commercial language. Elsewhere they can sound stilted. Many of them were originally adverbs, participles or phrases which have fairly recently come to be used as prepositions, and similar new ones are constantly entering the language. With this proviso, the following list is as complete as possible.

†NB: These prepositions are used with a following dative case in the same contexts as the common prepositions, see 20.4.1e.

```
abseits away from
eine Speisekarte abseits jeglicher Tradition (Presse)
†abzüglich deducting, less:
abzüglich der Unkosten
anfangs at the beginning of:
anfangs dieses Jahres (or with the acc.: anfangs nächsten Monat)
angesichts in view of:
angesichts der wachsenden Konkurrenz aus Fernost (MM)
anhand (also an Hand) with the aid of/from:
anhand einiger Beispiele
anlässlich on the occasion of:
anlässlich seines siebzigsten Geburtstages
anstelle (also an Stelle) in place of, instead of:
anstelle einer Antwort (in speech often anstelle von)
aufgrund (also auf Grund) on the strength of:
aufgrund seiner juristischen Ausbildung (in speech often aufgrund von)
†ausschließlich exclusive of
die Miete ausschließlich der Heizungskosten
ausweislich according to
Im Lesen sind die Deutschen ausweislich dieser Studie keineswegs Spitze (SZ)
behufs for the purpose of
```

behufs einer Verhandlung

betreffs, bezüglich with regard to

betreffs, bezüglich Ihres Angebotes

eingangs at the beginning of

eingangs dieses Jahres

eingedenk (may precede or follow the noun) bearing in mind

eingedenk seiner beruflichen Fehlschläge

†einschließlich including

einschließlich der Angehörigen (SZ)

†exklusive excluding

exklusive Versandkosten

fernab far from

fernab des Lärms der Städte

**gelegentlich** on the occasion of

gelegentlich seines Besuches

**halber** (following the noun) for the sake of

der Wahrheit halber

#### NB:

- **i.** halber can be compounded with nouns to form adverbs, e.g. sicherheitshalber 'for safety's sake', urlaubshalber 'for a holiday', vorsichtshalber 'as a precaution'.
- **ii.** When used with pronouns *halber* appears as *halben* and is compounded with forms of the pronoun in t, e.g. *meinethalben* 'for my sake' 'for all me', see 3.1.1c and 5.1.1..

## hinsichtlich with regard to

hinsichtlich Ihrer Anfrage

**infolge** as a result of

infolge der neuen Steuergesetze (often with von: infolge von den Steuergesetzen)

†inklusive including

inklusive Bedienung

**inmitten** *in the middle of* 

ein neues Möbelhaus inmitten der Fußgängerzone (HAZ)

**kraft** in virtue of

kraft seines Amtes

**längs** *along(side)* 

längs des Flusses (less frequently: längs dem Fluss)

**links** *on/to the left of* 

links der Donau

†mangels for want of

Freispruch mangels Beweises

†mittels by means of

mittels eines gefälschten Passes

namens in the name of

Ich möchte Sie namens unseres Betriebes einladen

**ob** on account of

die Besorgnisse des sowjetischen Staatspräsidenten ob der deutschen Frage (Zeit)

**plus** plus (commercial registers)

5 Prozent plus einer Topdividende von 0,75 Prozent (HAZ)

rechts to/on the right of

rechts der Isar

**seitens** on the part of

seitens der Bezirksverwaltung

**seitlich** to/at the side of

seitlich der Hauptstraße

um... willen for the sake of um meiner Mutter willen

NB: *um* . . . *willen* forms compounds with special forms of the personal pronouns, e.g. *um meinetwillen*, see 3.1.1c and 5.1.1

**unbeschadet** (may precede or follow the noun) regardless of unbeschadet des enttäuschenden Ergebnisses (Presse) **ungeachtet** (may precede or follow the noun) notwithstanding ungeachtet unserer üblichen Skepsis (Dönhoff) vermöge by dint of vermöge seines unermüdlichen Fleißes vorbehaltlich subject to vorbehaltlich seiner Zustimmung zeit (only used in set phrases with das Leben) during zeit seines Lebens zugunsten (also zu Gunsten) for the benefit of eine Sammlung zugunsten/zu Gunsten der Opfer des Faschismus **zuungunsten** (also **zu** Ungunsten) to the disadvantage of Die Richter urteilen meist zuungunsten der Skisportler (MM) †**zuzüglich** plus Es kostet 2000 Euro zuzüglich der Versandkosten †**zwecks** for the purpose of Er besuchte sie zwecks einer gründlichen Erörterung der Situation

## 20.5 German Equivalents for English 'to'

English 'to' has a number of possible German equivalents depending on context, and the use of each of these is summarised here. Fuller details and further examples can be found in earlier sections under the relevant German prepositions.

## 20.5.1 An, Auf or in (+ Accusative) are Frequent Equivalents for 'to'

The choice between *an*, *auf* or *in* with a noun phrase in the accusative case to mean 'to' depends on which of these prepositions would be used with the dative to express position 'in' or 'at' the place concerned after you get there. Thus:

a. in (+ accusative) is used for going 'to' places which one will then be inside, i.e. (in + dative)

Sie ging ins Büro/ins Dorf/ins Kino/in die Kirche/in ein Museum/ins Restaurant/in die Schule/in die Stadt/in den Zoo, etc.

In this way, *Ich gehe in die Kirche* means 'I am going to church' in the sense of going in to a service. If one is just going up to the church, one says *Ich gehe an die Kirche* or *Ich gehe zur Kirche*.

- b. *auf* (+ accusative) is used for going 'to' certain places and events, in particular those where *auf* (+ dative) is used to say you are 'at' them
  - i. The use of *auf* is fixed with a number of nouns:

Die Schafe gingen **auf** die Wiese Wir fuhren **aufs** Land Die Kinder gingen **auf** die Straße The sheep went into the meadow
We went into the countryside
The children went into the street

Similarly:

auf den Berg up the mountain auf sein Gut to his estate auf den (Bauern)hof to the farm auf den Hof into the yard auf ihre Bude to her bedsit auf die Jagd gehen go hunting into the hall auf die Toilette auf den Flur to the toilet into the corridor auf den Gang

With all these, *auf* (+ dative) is used to denote presence 'in' or 'on' them, see 20.3.4b.

ii. auf (+ accusative) is also sometimes used for going 'to' formal occasions (e.g. weddings, conferences, parties, etc.):

Sie ging auf einen Empfang, auf eine Hochzeit, auf eine Party, auf eine Tagung.

Although auf (+ dative) is still used to denote presence 'at' such functions, see 20.3.4b, zu is now more usual than auf (+ acc.) to express going 'to' them, especially in less formal registers.

iii. auf (+ accusative) is used for going 'to' certain public buildings:

Sie ging auf den Bahnhof, auf die Bank, auf die Bibliothek, auf die Post, auf das Rathaus, auf die Universität

With many of these words, *auf* occurs chiefly in more formal registers or in Austrian usage (see 20.3.4b and 20.3.5a). *zu* is regularly used in its place, although *an* (+ accusative) is frequent with *Universität*.

## c. an expresses direction 'to' a precise spot or objects which extend lengthways (i.e. rivers, shores, etc.)

an expresses movement to a point adjacent to the object concerned. One is then an (+ dative) that point, i.e. 'at' it, see 20.3.2a. Examples:

Er ging an den Tisch

Sie kam an die Bushaltestelle

Sie ging an die Grenze

→

Sie traf ihn an der Haltestelle

An der Grenze wurde kontrolliert

Wir fahren ans Meer

→

Wir verbringen unseren Urlaub

am Meer

Similarly:

Er eilte ans Fenster Er ging an die Kasse Wir kamen an die Front Sie ging ans Ufer

Sie geht ans Mikrophon/an ihren Platz/an die Straßenkreuzung/an die Tür/an die Tafel/an die Stelle/wo der Tote aufgefunden wurde

Sie gingen an den Fluss/an die Mosel/an den Strand/an den See/an die Theke/an den Zaun

## 20.5.2 Zu Commonly has the Meaning of English 'to'

## a. zu is used in many contexts in place of the more precise prepositions an, auf and in

(see 20.5.1). It is rather vaguer than these three prepositions and tends to emphasise general direction rather than reaching the objective. It is particularly frequent in colloquial registers.

i. zu is used rather than in if one is just going up to the place involved (but not necessarily going inside), or to emphasise the general direction rather than reaching the place:

Ich ging **zum** neuen Kino und wartete auf ihn

Die Straßenbahn fährt **zum** Zoo

ii. zu is in practice more common than auf in current (especially informal) usage with reference to functions and public buildings:

Er geht zu einem Empfang/zu einer Tagung/zu einer Party

Wir gehen zum Bahnhof/zur Bank/zur Post/zum Rathaus/zur Universität

iii. zu can be used rather than an if the emphasis is on general direction rather than arriving adjacent to the place

concerned: Ich begleitete sie **zur** Fabrik Er ging **zum** Fenster, **zur** Tür Sie ging **zu** ihrem Platz Er schlenderte **zur** Theke

## b. zu is always used with reference to people

i.e. going up to someone, or to their house or shop

Sie ging **zu** ihrem Onkel/**zu** ihrer Freundin Er ging **zu** Fleischers/**zu** seinem Chef Wir gehen **zum** Bäcker frische Semmeln kaufen

## 20.5.3 Equivalents for English 'to' with Geographical Names

a. nach is used with neuter names of continents, countries and towns which are used without an article

Wir fahren nach Amerika, nach Frankreich, nach Duisburg (see 20.2.6a)

b. in (+ accusative) is used with names of countries, etc. which are used with an article

Most of these are feminine, but a few are masculine, neuter or plural, see 4.4.1:

Sie reist morgen in die Schweiz, in den Jemen (or nach Jemen), in das Elsass, in die USA

c. Various prepositions are used with other geographical names

In particular in, an or auf (+ acc.) are used in the same way as with other nouns, see 20.5.1, depending on whether one will be in, an or auf (+ dat.) on arrival:

Wir fahren in die Alpen, in den Harz

Wir gingen auf den Feldberg, auf die Jungfrau

Wir wollen im Sommer an den Bodensee, an die Riviera fahren

## 21 Word Order

German word order is different to English and it has a different role in determining how sentences are constructed. English uses word order to identify the subject and the object(s) of the verb. In English, the subject must come first, before the verb, and the objects after it, in the order indirect object + direct object. In a sentence like

My father lent our neighbour the old lawnmower

we cannot move the elements round without saying something quite different, so that, for example, *Our neighbour lent my father the old lawnmower* has another meaning. In German, various permutations are possible without changing the essential meaning:

- i. Mein Vater hat unserem Nachbarn den alten Rasenmäher geliehen
- ii. Unserem Nachbarn hat mein Vater den alten Rasenmäher geliehen
- iii. Den alten Rasenmäher hat mein Vater unserem Nachbarn geliehen
- iv. Mein Vater hat den alten Rasenmäher unserem Nachbarn geliehen

In German it is the **case endings**, not the word order, which tell us **who is doing what to whom**, i.e. what is the subject and what are the objects (for explanations of these, see Chapter 18). The order of the words and phrases can be changed round to give a different emphasis to the elements without altering the basic meaning. Sentence (iv), for example, stresses who is being lent the lawnmower. In German, the position of the verb is relatively fixed, and the other elements can be moved in order to show different emphases.

Nevertheless, the various elements do tend to come in a particular order – but this is a tendency rather than a rule of grammar. This chapter shows first this 'neutral' basic order, and then how it can be varied to give a different emphasis:

- the three basic clause structures, with the finite verb in different positions (section 21.1)
- the use of **first position** in main clauses to highlight an important element (section 21.2)
- the position of the **other elements** in the clause (sections 21.3–21.8)
  - the position of **pronouns** (section 21.4)
  - the position of **noun subject** and **objects** (section 21.5)
  - the position of adverbials (section 21.6)
  - the position of *nicht* and other negative elements (section 21.7)
  - the position of other verb **complements** (section 21.8)
  - placing elements **after the verb** at the end of the clause (section 21.9)

Although we usually speak of 'word order', what is involved is often a phrase of some kind rather than a single word. For example, time adverbials tend to come in a particular place whether they are single words, like *heute*, or phrases like *den ganzen Tag* or *am kommenden Dienstag*. In order to cover these possibilities, we refer to these segments of the clause as **elements**. In German they are called *Satzglieder*.

## 21.1 Clause Structure and the Position of the Verb

The basic feature of German word order is that the various parts of the verb have a fixed position in the clause.

## 21.1.1 The Three Basic Clause Structures of German

There are three clause types in German which differ in the place of the finite verb:

i. main clause statements: Petra kommt nach Erfurt

The finite verb is the **second** element

ii. questions and commands: Kommt Petra nach Erfurt? Kommen Sie nach Erfurt!

The finite verb is the **first** element

## iii. subordinate clauses: Ich weiß, dass Petra nach Erfurt kommt

The finite verb is the **last** element

#### a. Main clause statements: the finite verb is the SECOND element

Only **one** element, whether it is a single word, a phrase, or a whole clause, can normally come before the finite verb in main clauses (see 21.2). All other parts of the verb, i.e. infinitives, past participles or separable prefixes, are placed at the end of the clause:

Initial position	Verb <sup>1</sup>	Other elements	Verb <sup>2</sup>	
Helga	kommt	eben aus der Bäckerei		
Morgen	muss	ich mit dem Zug nach Trier	fahren	
Nach einiger Zeit	blickte	sie zum Fenster	hinaus	
Gestern	habe	ich fünf neue Apps	gekauft	
Als er klein war,	hat	er oft mit Werner	gespielt	

Noun clauses with *dass* omitted (see 19.2.1b) have the same structure as main clause statements: *Sie glaubt*, *sie hat ihn gestern in der Stadt gesehen*.

#### NB:

- i. Exceptions to the rule that the finite verb must be the second element are explained in 21.2.1c.
- **ii.** The order of infinitives and participles at the end of the clause when there is more than one of these is explained in 21.1.3.

## b. Questions and commands: the finite verb is the FIRST element

As in main clause statements, any other parts of the verb are in final position. In some questions, the verb is preceded by an interrogative (e.g. was, was für ein ..., etc.):

w-word	Verb <sup>1</sup>	Other elements	Verb <sup>2</sup>	
Was Welches Buch Was für eine Stadt	Kommt Musst Hat Fangen Pass hast sollen ist	sie bald? du schon dich Peter schon Sie sofort doch an der Kreuzung du da schon wieder wir zuerst Bochum?	gehen? gesprochen? an! auf! angestellt? lesen?	

Conditional clauses with no wenn (see 16.5.3a), and comparative clauses introduced simply by als, see 16.7.1a, have a similar structure, with the finite verb in first position, e.g. **Hätte ich Zeit**, so würde ich gern mit Ihnen nach Italien fahren; Es war mir, als wäre ich hoch in der Luft.

#### c. Subordinate clauses: the finite verb is the FINAL element

The clause is introduced by a conjunction in first position, see Chapter 19. Other parts of the verb come immediately before the finite verb at the end of the clause (see 21.1.3):

Conjunction	Other elements	Verb <sup>2</sup>	Verb <sup>1</sup>
weil (der Mann), der ob dass dass	ich gestern krank in der Ecke allein sie diesen neuen Top er den Brief sofort er morgen den Stuhl in die Ecke ihrem Freund	gekauft tippen helfen	war steht hat? soll kommt zu stellen zu können

As the table shows, non-finite clauses with an infinitive with zu (see 13.2.1) have a similar structure to that of other subordinate clauses, with the verb last (although there is not necessarily a conjunction at the beginning of the clause). Clauses with participles follow the same pattern, with the verb last: **Den Schildern folgend**, fanden sie das Krankenhaus (Walser); eine Betonburg, wie von einem anderen Stern in diesen Wald gefallen (Walser).

NB: Exclamations introduced by an interrogative word may have the form of questions **or** subordinate clauses, e.g.: *Wie der Chef darüber geschimpft hat!* **or**: *Wie hat der Chef darüber geschimpft!* 

#### 21.1.2 The 'Verbal Bracket'

A typical feature of German is that most elements in the clause are sandwiched between the various parts of the verb in main clauses, or between the conjunction and the parts of the verb in subordinate clauses. This construction is known as the 'verbal bracket'. This bracket forms a framework for German clauses, and the order of all the other elements in the clause can be described in relation to it:

Initial position	Bracket¹ [	Other elements	Bracket <sup>2</sup>
Heute Ich Ich	darf habe komme Darf Hast Komm	sie mit uns ins Kino sie zufällig in der Stadt morgen gegen zwei Uhr noch sie heute mit uns ins Kino du sie zufällig in der Stadt doch morgen gegen zwei Uhr	kommen gesehen vorbei kommen? gesehen? vorbei?
,	ob	sie heute mit uns ins Kino	kommen darf
,	weil dass	ich sie heute zufällig in der Stadt du morgen gegen zwei Uhr noch	gesehen habe vorbeikommst

More examples of verbal brackets can be seen in the tables in 21.1.1. The construction has some characteristic features:

- **i.** In main clauses there is only **one element** in initial position before the first 'bracket' formed by the verb. This position is called the *Vorfeld* in German; its function is explained in 21.2.
- **ii.** All other elements (and this means all elements in questions, commands and subordinate clauses) are positioned within the bracket. In German, this is called the *Mittelfeld*. As the examples above show, the order of elements in the *Mittelfeld* is exactly the same for all clause types. The order of elements within it is explained in 21.3 to 21.8.
- iii. Under certain conditions elements can be placed after the closing bracket, i.e. after the part of the verb which is at the end, e.g. *Ich rufe an aus London*; *Hat sie dich angerufen aus London*?; *Ich weiβ, dass sie dich angerufen hat aus London*. This position is called the *Nachfeld* in German. Its use is explained in section 21.9.

#### 21.1.3 The Order of Verbs at the End of the Clause

If there is more than one part of the verb at the end of the clause, the order of these is fixed.

a. In main clause statements, questions and commands the auxiliary verb comes after the main verb

Initial	Finite verb	Other elements	Main verb	Auxiliary verb
lch Sie	werde hat Ist Soll	es ihr doch ihn voriges Jahr dir das schon dieser Brief heute noch	sagen schwimmen erklärt geschrieben	müssen gelernt worden? werden?

## b. In subordinate clauses the finite verb usually follows all infinitives and participles

The main verb comes before the infinitive or past participle of an auxiliary verb:

Conjunction	Other elements	Main verb	Auxiliary	Finite verb
Da, dass, dass, wie (das Haus), das, dass (das Haus), das	ich sie zufällig er mir das Geld sie mit uns ins Kino sie den Brief sie mir das schon heute noch	gesehen leihen gehen fallen verkaufen erklärt verkauft	worden werden	habe wird darf ließ ist sollte muss

However, if there are two infinitives at the end of the clause (the 'double infinitive' construction, see 13.3.2 and 17.1.4c), the finite verb comes before them both:

Conjunction	Other elements	Finite verb	Main verb	Auxiliary
(Ich weiß), dass	ich es bald	werde	erledigen	müssen
(der Brief), den	sie	hat	fallen	lassen
, weil	er die Probleme	soll	lösen	können
(das Haus), das	sie	hätte	verkaufen	sollen
, dass	Paul ihn	hat	kommen	hören

NB:

- i. In Austrian usage, the finite verb is often placed **between** the main verb and the auxiliary: der Flughafen, wo die Luftraumsperre von Mitternacht bis 5 Uhr dauern hätte sollen (Standard).
- ii. This rule only applies with *lassen*, *hören* and *sehen* if the infinitive is substituting for a past participle (see 13.3.2). With *lassen* the order with the auxiliary in final position is also acceptable, e.g. *der Brief, den sie fallen lassen hat*. Otherwise, the finite verb is placed at the end of the clause *Weil Norwegen die Isländer in einem Stück internationalen Gewässers nicht fischen lassen will*, ... (*Presse*).
- iii. The auxiliary werden is sometimes placed after the other verbs, e.g. dass ich es bald erledigen müssen werde. This sequence is also regarded as acceptable.

#### 21.1.4 Coordinated Clauses Have the Same Structure

Coordinated clauses are linked by a coordinating conjunction such as *aber*, *oder* or *und* (see 19.1).

#### a. In coordinated main clauses, the verb is in second position in both

Zu Hause schreibt Mutter Briefe und Vater arbeitet im Garten

Am Abend blieb ich in meinem Zimmer, aber ich konnte nicht arbeiten

Du kannst mit uns ins Kino kommen oder du kannst zu deiner Freundin gehen

If the subject of clauses linked by *sondern* or *und* is identical, it can be omitted ('understood'):

Wir gingen nicht ins Kino, sondern arbeiteten im Garten

Jürgen kam um vier Uhr in Soest an und ging sofort zu seiner Freundin

However, if the second clause has another element in initial position, the subject **must** be inserted again after the verb and cannot be omitted. This is different from English, where the subject can still be understood even if another element comes before the verb. Compare:

Ich schrieb ein paar Briefe und dann ging **ich** zu meiner Tante

I wrote a few letters and then went to my aunt's

If an element other than the subject comes in initial position, before the verb, it can be left out (and taken as understood) in following coordinated clauses. The following clauses begin with the verb, and the subject is repeated after it. This stresses that the initial element applies equally to all the clauses:

**Schon im April** demonstrierten die Bauern, blockierten **sie** Straßen in Ost- Berlin und protestierten **sie** vor der Volkskammer (*Zeit*) (*Schon im April* is here taken to apply to **all three** coordinated clauses)

As early as April the farmers demonstrated, blocked streets in East Berlin and protested in front of the Volkskammer However, if no need is felt to emphasise that the initial phrase also applies to the second clause, the subject is placed before the second verb. In practice this is more usual, especially outside formal written German:

Am Abend blieb ich zu Hause und meine Schwester ging ins Kino

That night I stayed at home and my sister went to the cinema

## b. In parallel subordinate clauses linked by coordinating conjunctions the verb is in final position

Ich weiß, dass sie gestern krank **war** und dass ihr Mann *I know that she was ill yesterday and that her husband* deswegen zu Hause geblieben **ist** *stayed at home because of that* 

Wenn deine Familie dagegen **ist** oder wenn du keine Zeit **hast**, dann wollen wir den Plan fallen lassen

If your family is against it or if you don't have time, then we'll drop the plan

If the two clauses have compound tenses with the same auxiliary, the auxiliary can be omitted in the first one:

Nachdem ich Tee **getrunken** und eine Weile **gelesen hatte**, machte ich einen kurzen Spaziergang

After I had had tea and read for a while, I went for a short walk

## 21.2 Initial Position in Main Clause Statements

## 21.2.1 Only One Element Precedes the Finite Verb in Main Clause Statements

This means that the finite verb is normally the **second element** in a main clause, forming the first part of the verbal bracket, see 21.1.1a and 21.1.2.

## a. This clause structure is quite different to English

In English the subject has to come before the verb, because that is the only way we can tell it is the subject. In English, too, other elements can come before the subject, so that there can be several elements in front of the verb:

- i. Then she began to read the letter
- **ii.** Then, unwillingly, she began to read the letter
- iii. Then, unwillingly, when she had shut the door, she began to read the letter

In the equivalent German sentences, all but one of these elements has to be moved to another position, so that the **verb stays in second place**, e.g. (among numerous possible permutations):

- i. Dann begann sie den Brief zu lesen/Sie begann dann den Brief zu lesen
- ii. Widerwillig begann sie dann den Brief zu lesen/Dann begann sie widerwillig den Brief zu lesen
- iii. Nachdem sie die Tür geschlossen hatte, begann sie dann widerwillig den Brief zu lesen/Dann begann sie widerwillig den Brief zu lesen, nachdem sie die Tür geschlossen hatte

Because of this fundamental difference in clause structure, corresponding sentences in English and German often have a very different form.

## b. Many types of element can occur in initial position

The subject is often the most natural element to occur in initial position, and it has been estimated that two thirds of main clause statements in German in all registers begin with the subject:

Tobias zog heftig an seiner Pfeife. Die Spucke im Mundstück prasselte; man hörte es, obwohl jetzt, immer deutlicher, auch noch das Schießen der anderen hinzukam... Sie waren am Kahn. Tobias bückte sich und ließ das Kettenschloss aufschnappen. Die Luft überm See flimmerte. Der Milan hoch oben tat keinen Flügelschlag. (Schnurre)

However, it is quite wrong to think of the order subject + finite verb as the 'normal' order (as it is in English), and thus imply that it is 'abnormal' for something else to come before the verb. Almost all types of element except the

negative *nicht* and the modal particles (see Chapter 10) can naturally come first in a main clause. To demonstrate this, examples are given below of those elements, aside from the subject, which are common at the start of main clause.

i. accusative or dative object. Occasionally, only in writing, this can be a (stressed) pronoun, but it is more usually a noun phrase:

**Ihn** nahm er zuletzt nach Prag mit (*Hildesheimer*)

Ihr war das Bett viel zu klein

Das Verfahren gegen ihn deutet er als weiteren Beleg für die politische Verfolgung (Spiegel)

Mariken hat es sehr Leid getan (Surminski)

ii. an adverbial (a single adverb or a phrase):

Natürlich kannte er sämtliche Parfum- und Drogenhandlungen der Stadt (Süßkind)

Trotz den feierlichen Londoner Erklärungen wird weiter gekämpft (NZZ)

**Time** and **place adverbials** are especially frequent in initial position:

**An dem Abend** kam ich mit Mahler in den "Kronenkeller" (*Bachmann*)

In vielen Städten sind kostenlose Parkplätze Mangelware

iii. another complement of the verb, i.e. a genitive object, a prepositional object, a place or direction complement or a predicate complement (see Table 18.1)

**Zu einem bedauerlichen Zwischenfall** kam es, als ... (Zwerenz)

Ins Theater/Dahin komme ich jetzt nur sehr selten

Ein guter Kerl ist er trotz alledem

iv. a prepositional phrase qualifying a noun later in the clause

Über den Ernst der Lage hat aber auch er keinen Zweifel (FR)

v. the non-finite part of a compound tense. This gives particularly strong emphasis to the verb:

**Anzeigen** wird sie ihn (*Fallada*)

**Abgefunden mit ihrer Lage** haben sich 16,6 Prozent der Frauen (LV)

vi. a noun belonging with a quantifying determiner later in the clause. This gives particular emphasis to the noun:

**Personen** wurden nach Polizeiangaben keine verletzt (NZZ)

**Menschen** sind um diese Zeit wenige unterwegs (*Gaiser*)

vii. Occasionally this construction is found with adjectives, e.g.:

Beweise hat er äußerst triftige gebracht

viii. part of a phrasal verb

Sehr leid hat es mir getan

**Zur Abstimmung** ist dieser Vorschlag nicht gekommen

a subordinate clause. This can be a finite or non-finite clause

Wohin sie dich gebracht haben, weiß ich nicht (Surminski)

**Den Schildern folgend**, fanden sie das Krankenhaus (*Walser*)

Ihr Geld zu leihen, habe ich doch nie versprochen

## c. Constructions with more than one element in initial position

There are a few possible exceptions to the rule that the verb is always the second element in main clauses. In practice, these are only apparent exceptions in special kinds of construction, i.e.:

- **i.** Interjections, the particles *ja* and *nein*, and names of persons addressed are regarded as standing outside the clause proper and are placed before the initial element and followed by a comma, e.g.:
- ii. Ach, es regnet schon wieder

Du liebe Zeit, da ist sie ja auch

Ja, du hast Recht

Nein, das darfst du nicht

**Karl**, ich habe dein Buch gefunden

Lieber Freund, ich kann nichts dafür

iii. Some other words or phrases link up a clause with what has just been said or the general context. They are seen as standing outside the clause and placed before the initial element with a comma:

Kurzum, die Lage ist nun kritisch iv.

Wissen Sie, ich habe sie nie richtig kennen gelernt

The most frequent of these words and phrases are:

das heißt (d.h.) that is (i.e.) well now, well then unter uns gesagt im Gegenteil on the contrary between ourselves kurz, kurzum, kurz gesagt, in short weiß Gott Heaven knows as I said kurz und gut wie gesagt mit anderen Worten in other words wissen Sie, weißt du you know admittedly nun, na zugegeben sehen Sie, siehst du d'you see

A few such words or phrases can be used like the group above, or on their own in initial position as part of the

Er ist unzuverlässig. **Zum Beispiel**, er kommt immer spät *or* **Zum Beispiel** kommt er immer spät.

The following words and phrases can be used like this:

zum Beispiel for instance natürlich of course erstens, zweitens, etc. (see 9.2.3) first, secondly, etc. offen gesagt to be frank

vi. A few adverbs and particles can be used together with another element in initial position, i.e.:

Am Ende **freilich** ist etwas Unerwartetes und etwas Neues da (*Borst*)

Der Buchfink **jedoch** ist nur in den ersten Lebensmonaten lernfähig (NZZ)

Selbst in den Chroniken der Städter schließlich hat sich After all, even in the chronicles of the burghers the die Stadt als revolutionäre Neuheit in die Feudalwelt gestellt (Borst)

To be sure at the end something new and unexpected is there

Chaffinches, on the other hand, are only able to learn in the first months of their life

city appears as a revolutionary innovation in feudal society

The following adverbs can be used in this way:

to be sure, admittedly allerdings jedenfalls at any rate also thus jedoch however freilich to be sure, admittedly wenigstens at least höchstens at most sozusagen so to speak immerhin all the same übrigens incidentally

Alternatively, these can occur on their own in initial position in the usual way, e.g. Freilich ist am Ende etwas *Unerwartetes und etwas Neues da.* In practice, this is more frequent.

NB: The function of these adverbs is like that of a coordinating conjunction in such constructions, and the conjunctions aber and doch have a similar flexibility in their positioning, see 19.1.1c.

vii. Some types of subordinate clause are seen as separate from the main clause and are followed by another element before the finite verb, in particular:

a was- clause which relates to the following clause as a whole:

Was so wichtig ist, das Buch verkauft sich gut

What is so important, the book is selling well

concessive clauses of the 'whatever' type, see 19.6.2:

viii. Es mag noch so kalt sein, die Post muss ausgetragen warden

Wer er auch ist, ich kann nichts für ihn tun

Wie schnell er auch lief, der Polizist holte ihn ein

ix. Two (or more) elements of the same kind can occur together in initial position if they complement or extend one another. In effect, they are seen as a single element. This is most frequent with adverbials of time and place, e.g.:

Gestern um zwei Uhr wurde mein Mann operiert

Auf dem alten Marktplatz in der Marburger Stadtmitte findet diese Woche ein Fest statt

Gestern Abend in Leipzig fand eine große Demonstration statt

- **x.** A highlighted element can occur in isolation from the clause and dislocated from it. It is usually picked up by a pronoun or the like in initial position in the clause proper, e.g.:
- xi. Nach Kanada auswandern, das haben sie ja immer gewollt

**Die Gudrun**, der traue ich ja alles zu

Der Nachbar, der hat uns ja immer davon abhalten wollen

Als ich davon hörte, da war es schon zu spät

Mit Andreas, da wird es bald Ärger geben

Alternatively, the highlighted element may be placed after the clause, with a pronoun within the clause which refers forward to it, e.g. *Der traue ich doch alles zu*, *der Gudrun*. These constructions are typical of everyday colloquial language and are rarely encountered in formal writing.

## 21.2.2 The Initial Element Functions as the TOPIC of the Clause

The topic is the element in a sentence which we mention first to say something more about it:

Der Kranke hat die ganze Nacht nicht geschlafen

(Information is being given about the patient)

In Frankfurt findet jedes Jahr die internationale Buchmesse statt

(We are being told what happens in Frankfurt)

In diesem Zimmer kannst du dich nicht richtig konzentrieren

(We are given information about this room) In zwei Tagen wird die Reparatur fertig sein

(We are informed about what will be happening in two days)

The topic, in initial position, functions as a starting point for the clause. It comes first because we want to give the listener or reader some piece of new information about it. The following general observations can be made about the topic in a German main clause statement.

#### a. The element in initial position is often known or familiar to both speaker and listener

A clause often starts off with something which is known in this way, and some piece of new information is given about it later in the clause. This is shown by the examples above and the following:

Trotz des Poststreiks ist der Brief rechtzeitig angekommen

(You knew about the postal strike, but it's news to you that the letter still got there on time)

An den meisten deutschen Gymnasien ist Englisch die erste Fremdsprache

(You know about German schools but this is something you didn't know about the curriculum)

It is because a clause often begins with an element which is familiar to both speaker and listener that time adverbials are so common in initial position.

## b. The initial element often refers back to something just mentioned

Very often we want to pick up something which has just been referred to and give further information about it. The initial element often takes up a preceding word or phrase in continuous texts or dialogue:

Wir haben ihn im Garten gesucht, aber im Garten war niemand zu sehen

Ich sehe ihn oft. Seinen Bruder aber sehe ich jetzt recht selten

Ich war drei Wochen auf Sylt. – **Darum** siehst du auch so gut aus.

The answer to a question often repeats an element in the question in initial position and gives the answer later in the clause. Compare:

Was ist gegen Kriegsende geschehen?

- Gegen Kriegsende wurden viele Städte zerstört

Wann wurden diese Städte zerstört?

- **Diese Städte** wurden gegen Kriegsende zerstört

## c. The element in initial position is hardly ever the main piece of new information in the clause

Most main clauses begin with something familiar and the new information appears later. In this way, the following sentences sound odd because they start off with an important piece of new information:

- ?? In einem kleinen Dorf in Böhmen ist Stifter im Jahre 1805 geboren
- ?? Ein neues Schloss kaufte dieser Mann gestern
- ?? Scharlachrot ist ihr neues Kleid

These examples show that it is not true that 'any' element can be placed first 'for emphasis'. The first element must be a suitable topic or starting point for the clause. The strongest emphasis is usually on the most important piece of new information which appears later in the clause, see 21.3.

## d. In many clauses, the subject may not be suitable for initial position

The subject is often a natural choice as topic of a clause. However, if the subject involves new information, it is often more natural to begin with another element which is known and delay the subject until later in the clause:

Vor deiner Tür steht doch **ein neues Auto** (With strong emphasis on the surprise at seeing the new car)

But there's a new car by your front door

Zwei Tage darauf wurde gegen die Streikenden **Militär** eingesetzt (*Brecht*) (*Militär* is the crucial new information; it would sound odd to begin the sentence with it)

Two days later the military was deployed against the strikers

It is unusual for a sentence to begin with an indefinite noun, as they normally involve new pieces of information. For similar reasons, the subject rarely occurs in initial position with verbs of happening, since the event is usually the main new information (see also 21.5.3), e.g.: Gestern ereignete sich ein schwerer Unfall in der Mariahilfer Straße.

A 'dummy subject' es (see 3.6.2d) is often used to shift the subject to later in the clause and give it heavier emphasis as important new information, e.g.:

Es kamen viele Gäste

There were many guests

Es möchte Sie **jemand** am Telefon sprechen

There's somebody who wants to speak to you on the telephone

#### e. The topic of the sentence can be changed readily

The emphasis in a clause can be altered by changing the element in initial position. What we choose to place in first position depends on how we want to present the information and what we assume the listener already knows. Thus, if we say:

## Das Konzert findet heute Abend im Rathaus statt

we assume the listener knows that there is a concert on, and we are telling him or her where it is. On the other hand, if we say:

#### Heute Abend findet ein Konzert im Rathaus statt

we are telling the listener what's happening tonight. We are assuming that he or she doesn't know that there's a concert on in the town hall, and we are giving him or her this information. We can begin with *heute Abend*, because that is information which the speaker and the listener share. Finally, if we say:

#### Im Rathaus findet heute Abend ein Konzert statt

we are telling the listener something about the town hall, i.e. that there's a concert on there tonight.

# 21.2.3 English Equivalents for German Constructions with an Element Other Than the Subject in Initial Position

The ease with which an element can be moved into the initial position in German to serve as the topic, as shown in

21.2.2e, is not shared by English, where the order subject + verb is fixed. If we want to convert something other than the natural subject of the verb into the topic of a main clause in English, we often have to use a more complex construction. This is unnecessary in German. The following gives examples of such English constructions and their German equivalents.

#### a. Cleft sentences

If we want to bring an element other than the subject into first position in English, we often put it in a clause of its own with 'it' and the verb 'be', e.g. It was Angela (who) I gave the book to. These are called **cleft sentence** constructions. They are not needed in German, where the topic can simply be shifted into initial position before the verb:

It was only yesterday that I told her Erst gestern habe ich es ihr gesagt

It was there that I met her **Dort** habe ich sie getroffen

Weil sie oft schwimmt, ist sie fit It's because she swims a lot that she's fit

Was man sagt, zählt It's what you say that counts

There are many variants of this construction, all with simpler equivalents in German:

That's the car I've got to buy **Dieses Auto** da muss ich kaufen That/This is where she lives **Dort/Hier** wohnt sie

That's what I mean Das meine ich (auch) So macht man das That's the way to do it That's when it happened **Dann** ist es passiert

That's whose it is Dem gehört es

Spring is when it's loveliest here Im Frühjahr ist es hier am schönsten

This is the conclusion reached by Haas in her most **Zu diesem Schluss** gelangt Haas in ihrer neusten Arbeit recent work

With the exception of the type Er war es, der mich davon abhielt, i.e. with the subject of the sentence in the cleft to emphasise it (see 3.6.2c), cleft sentence constructions rarely sound natural in German and are best avoided.

## b. English often uses a passive construction where an active is possible or preferable in German

Passive constructions are often used in English to shift the object of the verb to initial position (as the subject of the verb) and function as its topic. Although passives are not unusual in German, a construction using the active voice, with the object in initial position, is often preferred (see also 15.5). For example:

My father was congratulated by the boss in a very Meinem Vater hat der Chef sehr freundlich gratuliert kindly way

These words must now be followed by deeds **Auf diese Worte** müssen nun Taten folgen (*Zeit*)

#### c. English can use a construction with 'have' and a participle

This construction brings the relevant element to the beginning of the sentence by making it the subject of 'have'. There is no equivalent construction in German, where the relevant element is simply placed in initial position:

In diesem Buch fehlen zwanzig Seiten This book has (got) twenty pages missing

In diesem Wald haben voriges Jahr viele Nachtigallen This wood had a lot of nightingales nesting in it last vear

genistet

**Ihm** wurde eine Golduhr gestohlen He had a gold watch stolen

They had their windows smashed **Ihnen** wurden die Fenster eingeworfen

## 21.3 The Order of Other Elements in the Sentence: General Principles

Most elements in all clause types come within the verbal bracket explained in 21.1. The relative order of the elements inside the verbal bracket is the same for all clause types:

Initial position	Bracket <sup>1</sup>	Other elements	Bracket <sup>2</sup>
Sie	Hat	ihn heute zufällig in der Stadt sie ihn heute zufällig in der Stadt	gesehen gesehen?
,	weil	sie ihn heute zufällig in der Stadt	gesehen hat

This order is determined by two basic principles:

i. Elements which are more heavily stressed and convey important new information tend to follow elements which are less stressed.

The elements inside the verbal bracket are usually put in order of increasing importance, passing from unstressed elements like pronouns to those elements which represent the main new information and are given most emphasis. The element nearest the end of the bracket is typically the most important piece of new information and typically carries the heaviest stress.

ii. Elements which are more closely linked to the verb tend to come after elements with a less strong link.

Many verb complements usually appear immediately before the final part of the verbal bracket. Similarly, direct objects, if they are nouns, normally come after the indirect objects, whose link with the verb is less 'direct'.

Following these general principles, the elements within the verbal bracket tend to occur in the order given in Table 21.1.

Table 21.1 Basic order of the elements in the German sentence

	Topic	Bracket <sup>1</sup>	Prono N A			Noun subject	Dative noun object	Most adverbials	Accusative noun object	Manner adverbials	Complements	Bracket <sup>2</sup>
Main clause	Heute Jan Wir	hat soll wurden			ihr	mein Freund	dem Chef	heimlich jetzt nachher	eine E-Mail den Bericht	höflich	daran	geschickt. bringen. erinnert.
Question/ command		Hat Soll Geben	sie er Sie	es	ihm Ihnen mir			denn trotzdem sofort	den Weg das Geld	richtig		erklärt? zeigen? zurück!
Subordinate clause		, weil , da , dass	sie			der alte Herr meine Tante	dem Mann	meistens	den Brief	vorsichtig schnell	für seine Hilfe in die Tasche	gedankt hat. fährt. stecken wollt

The order given in Table 21.1 reflects general guidelines for the English- speaking learner, and it should not be taken to represent rigid rules of German word order. However, following these guidelines will almost always produce an acceptable German sentence, if they can be varied in certain ways for reasons of emphasis. Details on the position of each of the groups of elements are outlined in sections 21.4 to 21.8.

However, English- speaking learners need to be aware of the effect, in terms of emphasis and presentation, of changing the position of elements in a sentence. It is quite possible to end up saying something rather different to what you mean.

## 21.4 The Position of Pronouns

## 21.4.1 Pronouns Normally Follow Immediately After the Finite Verb or the Conjunction

Pronouns refer to persons and things already mentioned, or well known to the speaker and listener. They are typically unstressed and occupy the least prominent position within the verbal bracket, before everything else:

Gestern hat ihn mein Mann in der Stadt gesehen

Hat **ihn** dein Mann gestern in der Stadt gesehen?

Da ihn mein Mann gestern in der Stadt gesehen hat, ...

Dann hat es mein Bruder meinem Vater gegeben

Dann hat mir mein Bruder den Brief gegeben

The only exception to this rule is that pronouns can be placed **before or after a noun subject**. It is more usual for them to come first, but the following are quite usual alternatives to the first three examples above:

Gestern hat mein Mann ihn in der Stadt gesehen

Hat dein Mann ihn gestern in der Stadt gesehen?

Da dein Mann ihn gestern in der Stadt gesehen hat, ...

However, a pronoun does more usually follow a noun subject if the endings do not show nominative and accusative case clearly:

Gestern hat meine Mutter **sie** in der Stadt gesehen My mother saw her in town yesterday

Da das Mädchen **sie** in der Stadt gesehen hat. ...

As the girl has seen her in town ...

(Da sie das Mädchen in der Stadt gesehen hat would normally be taken to mean 'As she has seen the girl in town')

If there are two pronoun objects, it is more usual for them to follow a noun subject, e.g.:

Weil der Lehrer **es ihnen** gezeigt hat, ... Because the teacher has shown it to them, ...

Nevertheless, other orders are also possible, e.g. Weil es der Lehrer ihnen gezeigt hat, ... Weil es ihnen der Lehrer gezeigt hat, ...

#### 21.4.2 Personal Pronouns Precede Other Pronouns

Thus, er, dir, Ihnen, ihm, etc. (and man) come before demonstrative pronouns such as der, das, dieser, etc., irrespective of case, e.g.:

Wollen **Sie die** gleich mitnehmen? Do you want to take those away with you? Hat **ihn dieser** denn nicht erkannt? Didn't that person recognise him, then?

Eben hat sie **mir das** gezeigt She's just shown me that

#### 21.4.3 Personal Pronouns Occur in the Order Nominative + Accusative + Dative

This order is usual if there is more than one personal pronoun within the verbal bracket:

Da **sie dich ihm** nicht vorstellen wollte, ... As she didn't want to introduce you to him ...

Hast **du es uns** nicht schon gesagt?

Gestern hat **er sie ihm** gegeben

Heute will **sie ihm** helfen

Haven't you already told us that?

He gave them to him yesterday

She's going to help him today

Heinz hat **es mir** gezeigt Heinz showed it to me

This order is relatively fixed. The only common variation on it is that the pronoun es, in the reduced form 's, often follows a dative pronoun in colloquial speech, e.g. Heinz hat mir's gezeigt.

#### 21.4.4 The Position of the Reflexive Pronoun sich

sich normally occurs in the same position as other accusative or dative pronouns, i.e. immediately after the finite verb or the conjunction (and after a pronoun in the nominative, if there is one):

Gestern hat **sich** der Deutsche über das Essen beschwert Gestern hat **sich** jemand darüber beschwert Gestern hat er **sich** darüber beschwert Er hatte es **sich** (*dat*.!) so vorgestellt

Er hat **sich** (acc.!) mir vorgestellt

However, it is occasionally placed after a noun subject, e.g.: Gestern hat der Deutsche sich über das Essen beschwert. Very occasionally, it is placed even later in the clause, e.g.: Gestern hat der Deutsche über das Essen sich beschwert. In general, this is only possible with 'true' reflexive verbs used with an accusative reflexive, see 18.3.6a.

## 21.5 The Position of Noun Subject and Objects

## 21.5.1 The Usual Order for Noun Subject and Objects Within the Verbal Bracket Is Nominative + **Dative + Accusative**

This group of elements includes not only noun phrases in the nominative, accusative or dative case, but also indefinite pronouns such as etwas, jemand, niemand, nichts. As Table 21.1 shows, they usually follow personal and demonstrative pronouns (but see 21.4.1 for exceptions), and precede other verb complements. The position of adverbials in relation to them is explained in 21.6.1. Examples:

Gestern hat jemand meinem Vater eine Kettensäge geliehen

Warum hat Manfred seiner Freundin nichts gebracht?

Ich weiß, dass mein Freund seiner Frau diese Bitte nicht verweigern konnte

Heute hat der Chef den Mitarbeitern für ihre Mühe gedankt

Variations on this order usually involve special circumstances of some kind, as explained in 21.5.2 and 21.5.3.

## 21.5.2 The Dative Object Sometimes Follows the Accusative Object

a. If the dative object refers to a person, this order indicates it is much more important in context and emphasises it very strongly

This possibility is used sparingly:

Er hat sein ganzes Vermögen seinem Neffen vermacht He left his whole fortune to his nephew (We already know about the fortune, what is surprising is who he left it to; *Neffen* is heavily stressed to indicate this)

Er stellte seinen Neffen dem Pfarrer vor

He introduced his nephew to the parson

(Who the nephew was introduced to is the important fact. Compare the sentence Er stellte dem Pfarrer seinen *Neffen vor)* 

als mein Vater diese merkwürdige Geschichte **einem** when my father told this remarkable story to a ihm völlig unbekannten Herrn erzählte

gentleman whom he didn't know at all

(The dative object is indefinite and thus previously unknown to the listener. It is more newsworthy and significant in context than 'this story', which must have been mentioned before)

b. If both accusative and dative objects refer to things, the more important of them in context is placed second

dass er uns nicht alle zwingt, unsere höheren Zwecke **seinem Interesse** zu unterwerfen (*Wolf*)

that he's not forcing us all to subject our higher aims to his personal interest

Er hat sein Glück **seiner Karriere** geopfert

He sacrificed his happiness to his career

(Compare the different emphasis in Er hat seiner Karriere sein ganzes Glück geopfert)

c. A dative object referring to a thing usually follows an accusative object referring to a person

It is rarely possible for the dative object to come first in such contexts:

Sie überantworteten die Verbrecher **der Justiz** 

They delivered up the criminal to justice

# 21.5.3 The Noun Subject Can Follow an Accusative and/or a Dative Object (and Other Elements) if it Constitutes the Major Piece of New Information

See also 21.2.2d. In practice the subject in such contexts is usually a noun with an indefinite article or no article, or an indefinite pronoun:

Glücklicherweise wartet nun in Wien an jeder Ecke ein Kaffeehaus (*Zweig*)

Nun begrüßte den Dirigenten und den Virtuosen **lautes Händeklatschen** (*Kapp*)

Gestern hat meinen Bruder Gott sei dank **niemand** gestört Er wusste, dass dieser Gruppe **etwas Unangenehmes** bevorstand

Luckily there is a coffee house waiting for you on every corner in Vienna

Now the conductor and the virtuoso were met with loud applause

Thank goodness nobody disturbed my brother yesterday He knew that something unpleasant was in store for this group

Occasionally a subject with a definite article is placed late in the clause if it needs strong emphasis:

Die Tatsache, dass der EU unausweichlich **das Geld** ausgeht

The fact that the EU's money will inevitably run out

The late position of an indefinite subject is almost regular with verbs of happening and the like, and it is also frequent in passive sentences:

Er wusste, dass seinem Chef eine große Ehre zuteil geworden war

Zum Glück ist meinem Bruder da nichts passiert

Deshalb können den Asylbewerbern **keine** 

Personalausweise ausgestellt werden

He knew that a great honour had been bestowed on his

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Luckily nothing happened to my brother

For this reason no identity cards can be issued to the asylum-seekers

#### 21.6 The Place and Order of Adverbials

An adverbial can be a single word (e.g. *trotzdem*, *heute*), or a phrase with or without a preposition (e.g. *den ganzen Tag*, *mit großer Mühe*). This difference in form has no effect on word order. The classification of adverbs in Chapter 7 applies equally to all adverbials.

The placing of adverbials is more flexible than that of any other element in the clause. This reflects their general freedom of occurrence as elements optionally added to give additional information, see 18.1.4. This section deals first with the placing of adverbials in relation to other elements (chiefly the noun subject and objects), and then explains the ordering of adverbials where more than one is present.

## 21.6.1 The Position of Adverbials in Relation to the Noun Subject and Objects

As shown in Table 21.1, most adverbials occur after a noun subject and dative object, but before an accusative object. However, the relative position of adverbials and noun subjects and objects depends very much on their relative importance in the clause. Specifically, that element appears later in the clause which is most strongly stressed or conveys the most important new information.

#### a. Unstressed adverbials (usually single words) can precede the noun subject and/or the dative object

This applies in particular to adverbs of attitude (and modal particles, see Chapter 10), e.g. bestimmt, sicher, vielleicht, etc. Unstressed short adverbs of time and place like da, dort, hier, gestern, heute, morgen, dann, damals, daher also often occur early in the clause, immediately after the personal pronouns, e.g.:

Sie wird **wohl gleich** ihrer Freundin simsen

Ich weiß, dass sie es **sicher** meinem Vater empfehlen

wird

Sie ist **heute** ihrem Freund aus Bonn begegnet

Hat sie **schon damals** ihrem Großvater die ganze

Geschichte erzählt?

*She'll probably text her friend straightaway* 

I know she'll be sure to recommend it to my father

She met her friend from Bonn today

Did she tell her grandfather the whole story at that time?

In most of the above contexts the adverb could follow the noun subject or objects, and it would then be more strongly emphasised. Compare Hat sie ihrem Großvater schon damals die ganze Geschichte erzählt? However, such permutation is not possible in contexts where the noun subject or object is a vital piece of new information (especially if it is indefinite) and needs to be placed where it carries most stress, e.g.:

Nobody's noticed it up to now Das hat bisher keiner gemerkt Da war **doch** niemand *Nobody was there, though* 

lch bin dort einem Freund von deinem Bruder begegnet I ran into a friend of your brother's there

A sentence like *Da war niemand doch* sounds quite odd.

## b. The order of adverbials and noun objects (accusative or dative) usually depends on emphasis

i.e. how important they are in the context of the whole clause or sentence. The element which is being presented as more important comes later. Compare the following:

Er hat diese neuen Wagen im Sommer gekauft

(The stress is on **when** he bought the new car)

Er hat im Sommer diesen neuen Wagen gekauft

(The emphasis is on what he bought)

Sie haben Fußball im Park gespielt

(This tells us **where** they were playing)

Sie haben im Park Fußball gespielt

(This tells us **what** they were playing)

Das hat **gestern** ihr Kollege meinem Verlobten erzählt

(Who was told is the point at issue)

Das hat ihr Kollege **gestern** meinem Verlobten erzahlt

(Who did the telling is seen as relatively unimportant)

Das hat ihr Kollege meinem Verlobten gestern erzählt

(prominence is given to the time when the fiancé was told)

Although, from a grammatical point of view, there is flexibility in the order of these elements, in a particular context only one may be appropriate. Thus, in answer to the question Wann hat er diesen neuen Wagen gekauft? one would most naturally use the first of the alternatives above, and the second would sound strange.

## c. Adverbials of manner follow the noun objects

(and all other adverbials, see 21.6.2). This is because they usually convey the most important new information:

Meiner Meinung nach hat das Quartett dieses Stück viel In my opinion the quartet played that piece much too zu schnell gespielt fast

Er warf den Ball **sehr vorsichtig** über den Gartenzaun He threw the ball very carefully over the garden fence

#### 21.6.2 The Relative Order of Adverbials

a. If a clause contains more than one adverbial, they most frequently occur in the order: attitude - time reason – viewpoint – place – manner

These groups correspond to the classification in Chapter 7

i. Adverbials of attitude. This group includes all the modal particles (see Chapter 10) and other adverbials which express some attitude on the part of the speaker towards what is being said (see 7.3.2), e.g. angeblich, leider, vermutlich, zum Glück, zweifellos, etc.:

Sie wollte **doch** vor zwei Uhr in Magdeburg sein

Er ist **vielleicht** schon am Montag abgereist

**ii.** Time adverbials. As explained in 11.6 these can indicate a point in time (e.g. *bald*, *voriges Jahr*, *am kommenden Sonntag*), frequency (e.g. *stündlich*, *jeden Tag*) or duration (e.g. *lange*, *seit Montag*, *ein ganzes Jahr*). If there is more than one time adverbial in a clause, they are usually placed in the order

point of time - duration - frequency

Within these categories the general precedes the particular, e.g. jeden Tag um vier Uhr. Examples:

Sie ist vor zwei Tagen trotz des Sturms nach Reutte gewandert

Die Streikenden blieben vier Stunden lang vor dem Rathaus versammelt

**iii.** Adverbials of reason i.e. adverbials expressing circumstance (e.g. *zu unserem Erstaunen*), condition (e.g. *gegebenenfalls*), purpose (e.g. *zur Durchsicht*) or reason (e.g. *wegen des Unfalls*), see 7.3.3. The passive agent introduced by *von* or *durch* (see 15.3) also occurs in this position:

Sie hat den Brief **trotzdem** mit der Maschine geschrieben

Der Brand wurde von der freiwilligen Feuerwehr schnell gelöscht

**iv.** Viewpoint adverbials e.g. *finanziell* 'from a financial point of view', see 7.3.1b. Phrases with *mit* and *ohne* also occur in this position:

Deutschland ist in den letzten Jahren wirtschaftlich stärker geworden

Sie geht mit Begeisterung in die Tanzschule

v. Place adverbials. See 7.1. Place adverbials should be distinguished from direction complements, see (c) below.

Sie geht mit Begeisterung in die Tanzschule

Ich habe bis 18 Uhr **im Büro** gearbeitet

vi. Manner adverbials i.e. those which indicate how an action is carried out, see 7.3.1. Adverbs of manner are almost always the final element in the clause before any complements:

Sie ist heute mit ihrem Porsche viel zu schnell in die Kurve gefahren

Der Vorschlag wurde von den Anwesenden einstimmig angenommen

## b. The order of adverbials can be varied for emphasis

The relative order given in (a) above is only a guide to a 'neutral' order of the adverbs, assuming they all have roughly similar emphasis, and it is not a rigid rule. As with the relative order of adverbials and the noun subject and objects, variation in the order of adverbials follows the general principle given in 21.3, i.e. an adverbial can be given more or less emphasis by being placed later or earlier in the clause. This often depends on what is regarded as the main new information in context, which needs to be emphasised, e.g.:

Paula ist zum Glück **gestern** nicht zu schnell gefahren

Paula ist gestern **zum Glück** nicht zu schnell gefahren

(The adverbial in bold is made more prominent in each case by being placed later. The manner adverbial, as the major information, is the last element in both cases.)

Viele deutsche Städte wurden gegen Kriegsende von den Allierten zerstört

Viele deutsche Städte wurden von den Allierten gegen Kriegsende zerstört

(Placing the time adverbial after the *von*- phrase in the second example gives it particular prominence, possibly in reply to a question about when it happened.)

Sie hat sehr lange **dort** auf ihre Mutter gewartet

Sie hat dort **sehr lange** auf ihre Mutter gewartet

(Time adverbials usually precede place adverbials, but they can follow if they need to be given prominence. The prepositional object always follows both adverbials.)

## c. The traditional rule that adverbials occur in the order time - manner - place can be misleading

As shown in (a) above, adverbials normally occur in the order time – place – manner:

Der junge Tenor hat gestern in Berlin gut gesungen

Die Kinder wollten heute auf der Wiese ungestört spielen

Elements indicating direction or place at the end of the verbal bracket, immediately before the final part of the verb, are complements of the verb, not adverbials, see 18.8 and 21.8.1. These complements follow **all** adverbials, including those of manner:

Paula ist gestern viel zu schnell in die Kurve gefahren

Andreas wollte gestern mit seiner Freundin gemütlich nach Freising wandern

Sie hat die schöne Vase sehr vorsichtig auf den Tisch gestellt

Müllers wohnen einsam in einem großen Haus im Wald

Astrid lag erschöpft auf der Couch

Sie sind wegen des schlechten Wetters widerwillig zu Hause geblieben

The elements in bold in the above examples are **direction complements** depending on verbs of motion, or **place complements** depending on verbs of position. As explained in 18.1.4, complements are more closely linked to the verb than adverbials, which simply give additional circumstantial information. Following the principles given in 21.3, they are placed at the end of the verbal bracket.

## 21.7 The Position of *nicht* and Other Negative Elements

Other negative elements like *nie* 'never' and *kaum* 'hardly, scarcely' occupy the same position in the clause as *nicht*, and the following applies equally to them.

## 21.7.1 The Position of Nicht if it Negates the Content of the Whole Clause

In this case, *nicht* is placed near the end of the clause, just **before any adverbs of manner and verb complements**. *Nicht* is similar to an adverb of manner, and this determines its position if it relates globally to the whole content of the clause. However, it usually precedes manner adverbials.

## a. nicht follows any noun objects

Er hat seine neue Stelle **nicht** erwähnt

He didn't mention his new job

Er hat mir das Buch **nicht** gegeben

He didn't give me the book

Verlegefe die Büchen wickt

Verkaufe die Bücher **nicht**! Don't sell the books

Ich weiß, dass sie ihren Bruder gestern **nicht** gesehen hat

I know she didn't see her brother yesterday

However, *nicht* precedes objects with no article which are part of a fixed verb phrase (see 21.8.2):

Sie hatte damals **nicht** Klavier gespielt She didn't play the piano then

## b. nicht follows all adverbials except those of manner

Sie haben sich seit langem **nicht** gesehen

They haven't seen each other for a long time

Den Turm sieht man von hier aus **nicht**You can't see the tower from here

Ididn't want to give it to her all the same

Ich wollte es ihr trotzdem **nicht** geben I didn't want to give it to her all the same
Das ist mir in diesem Zusammenhang **nicht** aufgefallen That didn't occur to me in that context

Wir sind wegen des Regens **nicht** nach Bernau gewandert

We didn't walk to Bernau because it was raining

Sie haben gestern **nicht** gut gespielt They didn't play well yesterday

Ich weiß es **nicht** ausführlich

I don't know it in detail

#### c. *nicht* precedes most verb complements

i.e. all complements of the verb **except** the subject and the objects of the verb, see 21.8:

They didn't go to Aalen yesterday Sie sind gestern **nicht** nach Aalen gefahren Sie legte das Buch nicht auf den Tisch *She didn't put the book on the table* We couldn't remember the incident Wir konnten uns **nicht** an diesen Vorfall erinnern

He didn't stav in Rostock Er blieb **nicht** in Rostock Sie ist sicher nicht dumm She's certainly not stupid She wasn't at the office today Sie war heute **nicht** im Büro

nicht can follow prepositional objects or direction complements if it is relatively unstressed and the complement has to be emphasised. Compare:

Das kann ich doch nicht von ihm verlangen I can't ask that of him Das kann ich doch **von ihm nicht** verlangen I can't ask that of him

## 21.7.2 If Nicht Applies to ONE Particular Element in the Clause it Precedes it

Sie hat mir **nicht** das Buch gegeben (not the book, but *She didn't give me the book* something else)

Sie sind **nicht** am Freitag nach Kreta geflogen (not Friday,

but some other day)

They didn't fly to Crete on Friday

Nicht mir hat er das Buch gegeben, sondern meiner It wasn't me he gave the book to, it was my sister Schwester

Compare the 'partial' negation in the first example above with 'global' negation of the whole clause, with *nicht* in its usual position: Sie hat mir das Buch nicht gegeben simply means 'She didn't give me the book'.

NB: Alternatively, the stressed element can appear on its own in the initial position, with the *nicht* later in the clause, e.g. Mir hat er das Buch nicht gegeben. This is common if the contrast is implicit, i.e. if there is no following sondern clause.

Unstressed *nicht* is often used in this way in tentative or rhetorical questions or exclamations, e.g.:

Hast du **nicht** die Königin gesehen? Didn't you see the Queen?

War **nicht** dein Vater eigentlich etwas enttäuscht? *Wasn't your father really a bit disappointed?* 

Was du **nicht** alles weißt! Don't you know a lot!

## 21.8 The Position of Complements

Apart from the subject and objects of the verb, which have their own position in the clause (see 21.4–21.5), the other complements of the verb (see 18.5–18.8) are always placed towards the end of the verbal bracket. This position is relatively fixed, irrespective of emphasis, and only very exceptionally are the complements found earlier in the clause.

## 21.8.1 The Following Complements are Placed at the end of the Verbal Bracket

#### a. prepositional objects

Nun wird er sich sicher um seine beiden Kinder Now he will certainly be able to look after his two kümmern können children

Sie hat in der Ankunftshalle lange auf ihren Mann She waited for her husband in the arrivals hall for a long time gewartet

Wir haben uns vorgestern lange und ausführlich **darüber** *We talked about it in detail for a long time the day* unterhalten *before yesterday* 

## b. the predicate complement of copular verbs

i.e. sein, werden, bleiben, scheinen, heißen, see 18.8. This complement may be a noun or an adjective:

Herbert war immerhin längere Zeit **der beste Schüler** in *All the same, Herbert was top of our class for a long* unserer Klasse time

Sie wurde plötzlich **blass**She suddenly turned pale

Dann scheinen mir diese Bedingungen jedoch **etwas**hart
In that case these conditions seem rather hard to me, though

## c. genitive objects

weil der Verletzte dringend eines Arztes bedurfte because the injured man urgently needed a doctor

## d. direction complements with verbs of motion

Warum hat Peter den Stein plötzlich in den Bach geworfen?

Sie ist mit ihrem Porsche zu schnell in die Kurve gefahren

Wir möchten nächste Woche nach Emden zu meinen

Wir wochten nächste Woche nach Emden zu meinen

Wir möchten nächste Woche **nach Emden zu meinen Eltern** fahren

We want to go to my parents' in Emden next week

## e. place complements with verbs of position

Er befand sich plötzlich **in einem dunklen Saal**Er wollte unter keinen Umständen **in Duisburg** bleiben

Er wollte unter keinen Umständen **in Duisburg** bleiben

He didn't want to remain in Duisburg under any circumstances

Sie haben lange **in dieser Hütte** gewohnt

They lived in that hut for a long time

## 21.8.2 The Position of the Noun Portions of Phrasal Verbs

Extended verb phrases can consist of a noun (often an infinitive or other verbal noun) used in a set phrase with a verb, e.g. *Abstand halten*, *Abschied nehmen*, *ins Rollen geraten*. The noun portion of these is always placed in the last position in the verbal bracket. They are similar to separable prefixes, and could be considered as forming part of the final portion of the verb bracket rather than as separate elements within the clause.

Er hat sie durch seine Unvorsichtigkeit in die größte
Gefahr gebracht

Ich habe ihr alle meine Bücher zur Verfügung gestellt
Gestern hat uns der Minister von seinem Entschluss in
Kenntnis gesetzt

Sein Chef hat ihn vorige Woche sehr unter Druck gesetzt

Ich merkte, wie der Wagen langsam ins Rollen kam

He brought her into very great danger through his carelessness

I put all my books at her disposal

The Minister informed us of his decision yesterday

His boss put him under a lot of pressure last week

I noticed the car slowly starting to roll forwards

## 21.9 Ausklammerung: Placing Elements after the End of the Verbal Bracket

The last element in a German clause is usually the final part of the verb, whether this is a separable prefix, an infinitive or a past participle (in main clause statements, questions and commands) or the finite verb (in subordinate clauses).

However, there are some contexts where it is usual or possible to place an element after the final part of the verb. This construction is called *Ausklammerung* in German, and it is becoming increasingly frequent, even in formal writing. This section explains where *Ausklammerung* is preferable or acceptable in modern German.

## 21.9.1 Subordinate Clauses are not Normally Enclosed Within the Verbal Bracket

Sentences with clauses enclosed within one another and a cluster of verbs at the end (called *Schachtelsätze*, because they are like sets of boxes inside each other) can be cumbersome and are best avoided. Taken to extremes they can be quite impenetrable, like the following example:

Das "Vorsicht- Glatteis"- Verkehrszeichen, das letzte Nacht, die Frostbildung, was für den Autofahrer, der etwas getrunken und ein Auto gefahren, das abgefahrene Reifen hat, hat, erhöhte Gefahren mit sich bringt, brachte, total beschädigt wurde, wird nicht mehr aufgestellt.

As a general rule it is preferable to complete one clause, with the final part of its verbal bracket, before starting another. In the following pair of sentences, the second alternative, though not ungrammatical, is nowadays generally regarded as clumsy:

Ich konnte den Gedanken nicht loswerden, dass wir ihn betrogen hatten Ich konnte den Gedanken, dass wir ihn betrogen hatten, nicht loswerden

A relative clause can be separated from the noun it refers to in order to avoid enclosing it:

Und wie dürfte man eine Zeitung verbieten, die sich wiederholt und nachhaltig für die Wahl der staatstragenden Partei eingesetzt hat? (Spiegel)

Enclosing the relative clause would result in an unwieldy sentence: *Und wie dürfte man eine Zeitung*, *die sich wiederholt und nachhaltig fur die Wahl der staatstragenden Partei eingesetzt hat*, verbieten?

#### 21.9.2 Infinitive Clauses

In general, infinitive clauses are not enclosed within the verbal bracket:

Sie haben beschlossen vor dem Rathaus zu warten Er hat versucht sein Geschäft zu verkaufen

However, enclosure is usual or possible in some constructions, notably with some 'semi- auxiliary' verbs. Details are given in 13.2.2.

## 21.9.3 Comparative Phrases Introduced by als or Wie

These are usually placed outside the verbal bracket:

Gestern haben wir einen besseren Wein getrunken als

Yesterday we drank a better wine than this one

Ich wusste, dass sie ebenso ärgerlich war wie ich

I knew she was just as annoyed as me

However, enclosure of these phrases within the verbal bracket is not unusual:

Die Volkstracht hat sich in Oberbayern stärker **als** anderswo in Deutschland erhalten (*Baedeker*) ein Mann, der wie ein Italiener aussah

Local costumes have been retained in Upper Bavaria longer than elsewhere in Germany a man who looked like an Italian

Enclosure is especially frequent within longer clauses, especially in writing:

da die Orangen und Zitronen von den Kindern wie Schneebälle über die Gartenmauern geworfen wurden (Andres)

## 21.9.4 Other Elements are Sometimes Placed After the Verbal Bracket

There are three main reasons for such *Ausklammerung*:

i. to emphasise the element placed last:

Du hebst das auf bis nach dem Abendessen (Baum)

ii. as an afterthought:

Ich habe sie doch heute gesehen in der Stadt

iii. In order not to overstretch the verbal bracket, e.g.:

Seitdem Rodrigue seine Chronik begonnen hatte, freute er sich darauf, sie zu beschließen mit der Darstellung der Regierung dieses seines lieben Schülers und Beichtkindes

The following elements are commonly placed outside the verbal bracket:

## a. Adverbials which have the form of prepositional phrases

These are commonly excluded for the reasons given above:

Hallo, ich rufe an **aus London** (*Telecom advert*)

Vieles hatte Glum schon gesehen auf seinem Weg von seiner Heimat bis über den Rhein hinweg (Böll)

In general, these constructions are more typical of colloquial speech than formal writing. However, *Ausklammerung* is not uncommon in writing, especially if the prepositional phrase is quite long or if a further clause (usually a relative clause) depends on the element excluded, e.g. *Von hier aus konnte man noch wenig sehen von der kleinen Stadt*, *die am anderen Ufer im Nebel lag*.

#### b. Prepositional objects

Prepositional objects are the only complement of the verb to be regularly excluded in standard German:

Er hätte das merken können an den gelegentlichen Rückblicken und dem Arm, der entspannt auf der freien Vorderlehne lag (*Johnson*)

Er darf sich entschädigt fühlen für ganze Jahre Underdog- Dasein im Straßenverkehr (Zeit)

Du solltest dich nicht zu sehr freuen auf diese Entwicklung

**c.** Not all prepositional objects can be excluded in this way and sentences like, e.g., *Ich habe vor dem Bahnhof gewartet auf meine Freundin* are unacceptable to many native speakers. No clear rules have yet been identified about the prepositional objects which can or cannot be excluded.

## d. Other verb complements

i.e. the subject or the accusative and dative objects, or place and direction complements. These are not usually excluded in standard German, although *Ausklammerung* of lengthy elements is occasionally found in writing, e.g.:

Wir haben aus Steuergeldern gebaut Wohnungen für nahezu zwanzigtausend Menschen

Otherwise, such exclusions are restricted to non-standard colloquial speech (and then only nouns, never pronouns), e.g. *Gestern habe ich gesehen Manfred Schuhmacher und Angela Hartmann*.

#### e. Adverbs

Exclusion of simple adverbs is common in colloquial speech, but generally avoided in formal written German:

Bei uns hat es Spätzle gegeben heute Sie sollen leise reden hier Ich bin nach Trier gefahren deshalb Hat es euch gefallen dort?

## 22 Word Formation

We can distinguish in German between SIMPLE WORDS (or 'root words') like *Kind*, *dort* and *schön*, which cannot be broken down, and COMPLEX WORDS like *kindisch*, *dortig* and *Schönheit*, which are obviously made up of more than one component and are derived from simple words in some way. Knowing about German word formation (called 'DERIVATION'), i.e. how these complex words are made up, is invaluable for extending the learner's vocabulary. The importance of being able to work out the meaning of a whole word from its parts, and to recognise patterns like *Dank – danken – dankbar – Dankbarkeit – Undankbarkeit* cannot be overestimated. Such series of words are often much more transparent in German than in English, as we can see when we compare this set to English *thanks – to thank – grateful – gratitude – ingratitude*.

This chapter explains the most frequent means of word formation in German:

- methods of word formation (section 22.1)
- the formation of **nouns** (section 22.2)
- the formation of adjectives (section 22.3)
- the formation of verbs (sections 22.4–22.7)
  - with **inseparable prefixes** (section 22.4)
  - with **separable prefixes** (section 22.5)
  - with variable prefixes (section 22.6)
  - other means of **verb formation** (section 22.7)

## 22.1 Methods of Word Formation

## 22.1.1 Complex Words are Formed from Simple Words in three Main Ways

#### a. by means of a prefix or suffix

In general, prefixes and suffixes do not occur as words in their own right, but are only used with root- words to form other words, e.g.:

i. prefixes:

die Sprache → die Ursprache schön → unschön stehen → bestehen besser → verbessern

ii. suffixes:

- **b.** Prefixes are most often used to create nouns from nouns, adjectives from adjectives, or verbs from other verbs or from nouns and adjectives. Suffixes are most common to make nouns from adjectives or verbs or adjectives from nouns or verbs; they are seldom used to form verbs.
- c. by means of vowel changes

These vowel changes are often linked with particular suffixes, but they can occur on their own. The following vowel changes are used in word formation:

i. Umlaut:

```
\det \operatorname{Arzt} \to \operatorname{die} \operatorname{\ddot{A}rztin} \qquad \operatorname{der} \operatorname{Bart} \to \operatorname{b\ddot{a}rtig}

\det \operatorname{Druck} \to \operatorname{dr\ddot{u}cken} \qquad \operatorname{scharf} \to \operatorname{sch\ddot{a}rfen}
```

**ii.** *Ablaut*, i.e. vowel changes like those of the strong verbs, see 12.1.2. *Ablaut* in word formation is chiefly restricted to use with strong verb roots:

```
aufsteigen\rightarrow der Aufstiegwerfen\rightarrow der Wurfbeißen\rightarrow bissigschließen\rightarrow schlüssig
```

These vowel changes, especially *Ablaut*, are barely still productive (see 22.1.2) in modern German.

## d. by forming compound words

In compounding, a new word is made up from two (or more) existing words:

```
der Staub + saugen \rightarrow der Staubsauger hell + blau \rightarrow hellblau der Rat + das Haus \rightarrow das Rathaus die Brust + schwimmen \rightarrow brustschwimmen
```

Sometimes there is a linking sound between the two words, e.g.:

```
\begin{array}{ccc} \operatorname{der} \operatorname{Bauer} + \operatorname{der} \operatorname{Hof} & \longrightarrow \operatorname{der} \operatorname{Bauer} \mathbf{n} \operatorname{hof} \\ \operatorname{das} \operatorname{Land} + \operatorname{der} \operatorname{Mann} & \longrightarrow \operatorname{der} \operatorname{Landsmann} \end{array}
```

The ease with which compounds can be formed is a distinctive feature of German (and the source of the notorious long words), and the extensive use of compounds is typical of modern German, especially in technical registers.

## 22.1.2 Productive and Unproductive word Formation Patterns

If new words are still being created by means of a particular pattern (e.g. by adding a particular prefix or suffix), that pattern is called **productive**. For example, the suffix - *bar* is commonly used to make adjectives from nouns (= English '- able', '- ible', see 22.3.1a), and new words in - *bar* are regularly found, like *machbar* 'do- able' or even from recent English loans like *downloadbar*.

On the other hand, many abstract nouns from adjectives are found with the suffix - e, and *Umlaut* of the root vowel where possible, see 22.2.1b, e.g.:

```
groß → die Größe gut → die Güte hoch → die Höhe lang → die Länge
```

However, no new nouns are created from adjectives in this way; the pattern is **unproductive**. Nevertheless, it is still important to know about it, because there are so many words in the language which have been formed with this pattern. This chapter deals with the commonest patterns of word formation in German, whether they are productive or unproductive.

#### 22.2 The Formation of Nouns

#### 22.2.1 Noun Derivation by Means of Suffixes

The following suffixes are common, although not all of them are still fully productive. Most are linked to a particular gender, see 1.1.

#### a. - chen, - lein (neuter)

These suffixes are very productive and used to form **diminutives** from nouns:

```
das Auge → das Äuglein little eye die Karte → das Kärtchen little card das Buch → das Büchlein little book die Stadt → das Städtchen little town
```

The vowel of the stressed syllable usually has *Umlaut* if possible, although exceptions are common, especially with names, e.g. *Kurtchen. - chen* is commoner than - *lein*, which is mainly restrict ed to words ending in - *ch*, - *g* 

or - ng, and to archaic or poetic language. It was originally south German, but, in practice, colloquial south German speech now uses other forms from the local dialects to form diminutives, e.g. - li (Switzerland), - (e)le (Swabia), - la (Franconia), - (er)l (Austria and Bavaria).

In some cases, derivations with both - *chen* and - *lein* from the same noun are used with a difference in meaning, e.g. *Fräulein* 'girl', *Frauchen* 'mistress' (e.g. of a dog).

NB: In non- standard colloquial speech, - chen is sometimes added to plurals in - er, e.g. Kinderchen.

## b. -e (feminine)

i. Nouns in - e from verbs denote an action or an instrument. The latter is still productive, especially in technical registers:

```
absagen \rightarrow die Absage refusal bremsen \rightarrow die Bremse brake pflegen \rightarrow die Pflege care leuchten \rightarrow die Leuchte light
```

ii. Nouns in - e from adjectives denote a quality. The vowel has *Umlaut* if possible. This pattern is no longer productive, having been replaced by - heit or - (ig)keit (see (e) below):

```
\operatorname{groß} \to \operatorname{die} \operatorname{Gr\"{o}\!\!\!\!Be} \operatorname{size} stark \to \operatorname{die} \operatorname{St\"{a}\!\!\!\!\! rke} \operatorname{strength}
```

#### c. - ei, - erei, - elei (feminine)

These suffixes are productive and form nouns from verbs or from other nouns. The suffix - *ei* is always stressed, see 23.1.6b.

i. Nouns in - erei from verbs are mainly pejorative, indicating a repeated, irritating action:

```
fragen → die Fragerei lots of annoying questions
```

The basis can be a whole phrase, e.g.:

Rekorde haschen → die Rekordhascherei record hunting.

ii. - ei is used in the same sense from verbs in - eln and - ern, e.g.: lieben  $\rightarrow$  die Liebelei flirtation

iii. - elei and - erei also have pejorative meaning if used with a noun base:

```
Fremdwörter

→ die Fremdwörtelei using (too) many foreign
words

die Sklave

→ die Sklaverei slavery
```

iv. Nouns in - ei from nouns denote the place where something is done. The base is often a noun in -er:

```
das Datum

→ die Datei (computer) file (i.e. where data are kept)

der Bäcker

→ die Bäckerei bakery
```

# d. - er, - ler, - ner (masculine)

These productive suffixes form nouns from verbs or nouns. The root vowel occasionally has *Umlaut*:

i. Most nouns in - er from verbs denote the person who does something, often indicating a profession:

```
einbrechen \rightarrow der Einbrecher burglar schreiben \rightarrow der Schreiber writer lehren \rightarrow der Lehrer teacher betteln \rightarrow der Bettler beggar
```

The base may be a whole phrase, e.g:  $einen Auftrag geben \rightarrow der Auftraggeber$  'client, customer' New formations can also be formed from foreign, especially English roots, e.g. der Blueser (from die Blues) 'blues singer/fan'.

ii. - ler (less commonly - ner) is used to derive nouns from other nouns to indicate the person who does something. Some are pejorative:

In some instances - er is used rather than - ler to form nouns from other nouns:

```
die Eisenbahn 
ightarrow der Eisenbahner die Taktik 
ightarrow der Taktiker tactician railway worker
```

iii. Some nouns in - er from verbs denote an instrument:

```
bohren \rightarrow der Bohrer drill empfangen \rightarrow der Empfänger receiver
```

The base is often a whole phrase, especially in technical language:

```
Staub saugen → der Staubsauger vacuum cleaner
```

iv. Nouns in - er from place names designate the inhabitants:

```
Frankfurt \rightarrow der Frankfurter Österreich \rightarrow der Österreicher Hamburg \rightarrow der Hamburger Wien \rightarrow der Wiener
```

Some of these are rather irregular:

```
Hannover \rightarrow der Hannoveraner Zürich \rightarrow der Zürcher
```

## e. - heit, - (ig)keit (feminine)

These suffixes are used productively to form **abstract nouns** from adjectives denoting a quality:

```
bitter \rightarrow die Bitterkeit bitterness heftig \rightarrow die Heftigkeit violence gleich \rightarrow die Gleichheit similarity geschwind \rightarrow die Geschwindigkeit speed eitel \rightarrow die Eitelkeit vanity genau \rightarrow die Genauigkeit precision
```

The distribution of the forms - heit, - keit and - igkeit is not wholly regular. - heit is the most common form; -keit is used with adjectives ending in - bar, - ig, - lich and - sam and with most in - el and - er (but not all, e.g. die Dunkelheit, die Sicherheit); - igkeit is used with adjectives ending in - haft and - los (e.g. die Glaubhaftigkeit) and a few others, especially those which end in - e (e.g.  $m\ddot{u}de \rightarrow die$   $M\ddot{u}digkeit$ ).

#### f. - in (feminine)

The productive suffix - in forms nouns denoting the **feminine** of persons and animals. The root vowel usually has Umlaut:

```
der Arzt 
→ die Ärztin woman doctor

der Fuchs 
→ die Füchsin vixen

der Präsident 
→ die Präsidentin female president

der Rocker 
→ die Rockerin female rock singer
```

If the base word ends in - erer, e.g. der Herausforderer 'challenger', the final - er is dropped before adding the suffix - in, e.g. die Herausforderin.

NB: For the use of these feminine forms see 1.1.4a.

#### g. - ling (masculine)

This productive suffix is used to form nouns from verbs or adjectives.

**h.** Nouns in - *ling* from verbs denote persons who are the object of the action:

prüfen → der Prüfling examinee strafen → der Sträfling prisoner

i. Nouns in - *ling* from adjectives designate persons possessing that quality:

```
feige → der Feigling coward fremd → der Fremdling stranger
```

Similar formations denoting plants and animals are common, e.g. der Grünling 'greenfinch', but they are no longer productive.

# j. - nis (neuter or feminine)

Nouns in - *nis* are **abstract nouns** from verbs or adjectives. Those from verbs (which often have irregular forms or use the past participle as a base) often denote the result of the verbal action.

The suffix is no longer productive:

```
erleben \rightarrow das Erlebnis experience finster \rightarrow die Finsternis darkness ersparen \rightarrow das Ersparnis savings geheim \rightarrow das Geständnis confession wild \rightarrow die Wildnis wilderness
```

#### k. - schaft (feminine)

The productive use of this suffix is to form nouns from other nouns designating a **collective** or a **state**:

```
der Student → die Studentenschaft student body
der Freund → die Freundschaft friendship
```

Other derivational patterns with - schaft, i.e. from adjectives (e.g. die Schwangerschaft 'pregnancy') or from participles (e.g. die Errungenschaft 'achievement'), are no longer productive.

# l. - tum (neuter)

- tum is used productively in modern German with nouns referring to persons to form nouns denoting **institutions**, **collectives** or **characteristic features**:

## m. - ung (feminine)

This very productive suffix is used to form nouns from verbs referring simply to the **action of the verb**:

```
bedeuten mean \rightarrow die Bedeutung bilden form \rightarrow die Bildung formation meaning töten kill \rightarrow die Tötung killing landen land \rightarrow die Landung landing
```

#### 22.2.2 Noun Derivation by Means of Prefixes

All these prefixes except *Ge*- are stressed, see 23.1.6c. The gender of nouns with prefixes is the same as that of the root noun, with the exception of those in *Ge*-, which are mostly neuter, see 1.1.8c.

```
a. Erz- = 'arch-', 'out and out'
```

```
\begin{array}{ll} \text{der Bischof} & \longrightarrow \text{der Erz} \text{bischof} \ \textit{archbishop} \\ \text{der Gauner} & \longrightarrow \text{der Erz} \text{gauner} \ \textit{out and out scoundrel} \end{array}
```

Nouns in Ge- (often with the suffix - e in addition) can be formed from verbs or from other nouns:

i.	Nouns in Ge- from verbs denote a repeated or protracted activity. They often have a pejorative sense,	like
	nouns in - <i>erei</i> , see 22.2.1c, to which those in <i>Ge</i> - are often an alternative:	

laufen  $\rightarrow$  das **Ge**laufe *running about, bustle* (esp. to no real purpose)

schwätzen → das **Ge**schwätz *idle talk, gossip* 

ii. Nouns in Ge- from other nouns are collectives. The root vowel has Umlaut if possible (and - e- changes to - i-):

 $der Ast \rightarrow das Ge äst branches$ 

der Berg → das Gebirge mountain range

c. Grund- = 'basic', 'essential'

die Tendenz → die **Grund**tendenz *basic tendency* 

d. *Haupt-* = 'main'

der Bahnhof → der **Haupt**bahnhof *main station* 

e. Miss- designates an opposite or a negative

It sometimes has a pejorative sense:

 $\begin{array}{ccc} \operatorname{der} \operatorname{Brauch} & \longrightarrow \operatorname{der} \operatorname{\textbf{Miss}} \operatorname{brauch} \operatorname{\textit{misuse}} \\ \operatorname{der} \operatorname{Erfolg} & \longrightarrow \operatorname{der} \operatorname{\textbf{Miss}} \operatorname{erfolg} \operatorname{\textit{failure}} \end{array}$ 

Fehl- is now at least as productive as Miss- to express an opposite or a negative, e.g.:

die Einschätzung → die Fehleinschätzung false estimation

f. Mit-=co-, etc.

der Arbeiter → der **Mit**arbeiter *colleague, collaborator* 

der Reisende → der **Mit**reisende *fellow traveller* 

g. Nicht-= non-

der Raucher → der Nichtraucher non- smoker

h. Riesen- has an augmentative sense

der Erfolg → der **Riesen**erfolg *enormous success* 

Riesen- is particularly common in speech, and colloquial German is rich in other augmentative prefixes, e.g.: Superhit, Spitzenbelastung, Bombengeschäft, Heidenlärm, Höllendurst, Mordsapparat, Teufelskerl, Topmanager, etc.

i. Rück- occurs with many nouns related to verbs in zurück-

die Fahrt → die **Rück**fahrt return journey (cf.: zurückfahren)

The full form Zurück- is usually kept with nouns in - ung from verbs, e.g. zurückhalten  $\rightarrow$  die Zurückhaltung.

j. Un- = opposite, abnormal

der Mensch → der Unmensch inhuman person

die Ruhe $\rightarrow$  die Unruhe unrestdie Summe $\rightarrow$  die Unsumme vast sumdas Wetter $\rightarrow$  das Unwetter bad weather

#### k. *Ur-* = 'original'

die Sprache → die Ursprache *original language* 

#### 22.2.3 Other Methods of Noun Formation

#### a. Many nouns are formed from verb roots without a suffix

These are almost all masculine, see 1.1.5b. This means of derivation is no longer productive, although German still has many words which have been formed this way. It is most common with strong verbs (which may themselves be prefixed), and the root vowel is often changed:

#### b. Verb infinitives can be used as nouns

e.g. das Aufstehen 'getting up', das Reiten 'riding'. These often correspond to English 'ing'- forms used as nouns and refer to the action as such. They are all neuter (see 1.1.3e) and further informa tion about them is given in 13.4.

## c. Adjectives and participles can be used as nouns

e.g. *der/die Fremde* 'stranger', *der/die Vorsitzende* 'chair(person)' (see 6.4 for further examples). Such nouns from adjectives often co- exist with derived nouns:

```
fremd \rightarrow der Fremde and der Fremdling \rightarrow der Einbrechende and der Einbrecher
```

In these cases the noun derived by means of a suffix has a more developed sense than the adjective used as a noun. Both *der Fremde* and *der Fremdling* mean 'stranger', but the latter is rather pejorative. *der Einbrecher* means, specifically, 'burglar', but *der Einbrechende* simply means 'the person breaking in at present' (who may not necessarily be a criminal).

### 22.2.4 Compound Nouns

The ease with which compound nouns can be formed is a feature of German, and the use of compounds has increased significantly in recent years. In particular, while two-part compounds like *Krankenhaus* and *Schreibtisch* have always been common, there has been an extension in the use of compounds with three or more elements over the last hundred years, especially in technical language, e.g. *Fahrpreisermäßigung*, *Autobahnraststätte*, *Roggenvollkornbrot*. Even so, compounds with more than four elements are (thankfully) still unusual.

NB: Compound nouns usually take the gender of the last part, see 1.1.9a.

#### a. Types of noun compound

Almost any part of speech can combine with a noun to form a compound, e.g.:

```
(i)
     noun + noun:
                              das Haar + die Bürste → die Haarbürste hair brush
     adjective + noun:
                                                      → der Edelstein gem
(ii)
                              edel + der Stein
(iii) numeral + noun:
                              drei + das Rad
                                                      → das Dreirad tricycle
(iv) verb + noun:
                              hören + der Saal
                                                      → der Hörsaal lecture theatre
(v)
     preposition + noun:
                              unter + die Tasse
                                                      → die Untertasse saucer
(vi) adverb + noun:
                              jetzt + die Zeit
                                                      → die Jetztzeit the present day
```

# b. A linking element is inserted in many noun + noun compounds

e.g.: die Lieblingsfarbe, die Straßenecke. These linking elements (called Fugenelemente in German) occur in about a third of all compounds, and they are notoriously unpredictable. A few words form some compounds with a link and some without one, e.g. der Lobgesang but die Lobeshymne. Other words form some compounds with one link and others with a different one, e.g. das Tagebuch but die Tageszeitung. Austrian and Swiss usage often differs from that in Germany, e.g. Austrian der Zugsführer for German der Zugführer. In practice, each compound needs to be learnt with its link. These linking elements depend on the first part of the compound, and the following

are found:

- i. e- occurs with a few nouns, especially those with a plural in e. The root vowel often has *Umlaut* if the plural has *Umlaut*, e.g. der *Pferdestall*, der *Günsebraten*.
- ii. (e)s- (i.e. the ending of the genitive) occurs with many masculine and neuter nouns (and a few feminines), e.g. die Windeseile, das Kalbsleder, der Liebesbrief.
- iii. (e)n- is used with many feminine nouns, with 'weak' masculine nouns (see 1.3.2) and with adjectives used as nouns, e.g. der Scheibenwischer, die Heldentat.
- iv. er- is found with some nouns which have a plural in er. Umlaut is usually present if possible, e.g. die Männerstimme, die Rinderzucht.

# c. Restrictions on the formation of compound nouns

It seems easy to make up compound words in German, but there are restrictions on their formation which are not fully understood, and it is not possible to give clear rules. A few hints are given here for guidance, but it is good practice to be cautious in forming compounds which one has not actually seen or heard used.

- i. In a German compound noun, the first element carries the main stress and usually defines the second. Thus, *Rathaus* is a type of *Haus* and *Tiefkühltruhe* is a kind of *Truhe*. A compound like *Blauhimmel* for 'blue sky', on the other hand, is not possible, because it is not a type of sky. We must say *der blaue Himmel*.
  - In particular, compounds like *Vatermitarbeiter* or *Ulmbesuch*, whose first element is an individ ual person or place, are odd because they are not 'types' of colleague or visit; a full phrase: *de rMitarbeiter meines Vaters* or *sein Besuch in Ulm is normally preferred*. However, under the influence of English, compounds like this have been becoming more widely used recently, although purists still consider them to be incorrect.
- ii. Adjective + noun compounds tend to be very restricted. In practice they always mean some thing rather different from when the relevant adjective is used as an epithet with the noun. Thus, *eine Großstadt* is more than *eine große Stadt*, and *ein Junggeselle* is not simply *ein junger Geselle*.

#### d. Semi-compound suffixes

A few nouns are so widely used in modern German as the basis for compound nouns that they must be considered as suffixes rather than as distinct words in their own right. Words with these semi- suffixes are very typical of modern official German. The most frequent are:

**1.** - gut

Nouns in - gut express the set of material used in a process, or the totality of things expressed in the first element:

streuen *scatter*, *grit* (roads) → das Streugut *material for gritting roads* der Gedanke *thought* → das Gedankengut *whole body of thought* 

### ii. - werk

Nouns in - werk from nouns (often plural, and often denoting plants or materials) are typically collective, indicating the whole of something:

 $\begin{array}{ll} \text{das Blatt } \textit{leaf} & \rightarrow \text{das Blätterwerk } \textit{foliage} \\ \text{der Zucker } \textit{sugar} & \rightarrow \text{das Zuckerwerk } \textit{sweets, candies} \end{array}$ 

#### iii. - wesen

Nouns in - wesen express the whole systematic collectivity of people and institutions involved in the first element:

die Gesundheit *health* → das Gesundheitswesen *health sysyem* die Schule *school* → das Schulwesen *school system* 

#### iv. - zeug

Nouns in - zeug express a set of things used in a particular activity:

nähen sew $\rightarrow$  das Nähzeug sewing kitschlagen hit $\rightarrow$  das Schlagzeug drum kit

# 22.3 The Formation of Adjectives

# 22.3.1 Adjective Derivation by Means of Suffixes

#### a. - bar

This very productive suffix forms adjectives from verbs with the sense of English '- able', '- ible':

brauchen  $\rightarrow$  brauchbar usable essen  $\rightarrow$  essbar edible

Adjectives in - bar are a frequent alternative to passive constructions, see 15.4.8.

## b. - (e)n, - ern

These suffixes are formed from nouns denoting a material, and the adjective indicates that the qualified noun is made from that material. The form - *ern* is normally associated with *Umlaut*:

```
das Gold → golden golden das Silber → silbern silver das Holz → hölzern wooden der Stahl → stählern steel
```

NB: Note the difference between adjectives in - (e)n or - ern and those in - ig (see (d) below) from the same noun, e.g. silbern '(made of) silver', silbrig 'silvery' (i.e. like silver).

#### c. - haft

Adjectives formed from nouns with the suffix - *haft* indicate a quality like the person or thing denoted by the noun, e.g.:

der Greis → greisenhaft senile der Held → heldenhaft heroic

#### d. - ig

- ig is a common and productive suffix, often associated with *Umlaut*. It is mainly used to form adjectives from nouns:
  - i. with the idea of possessing what is denoted by the noun, e.g.:

das Haar → haarig hairy der Staub → staubig dusty

ii. indicating a quality like the person or thing denoted by the noun:

die Milch  $\rightarrow$  milchig milky der Riese  $\rightarrow$  riesig gigantic

Adjectives in - ig can be formed from whole phrases. blauäugig 'blue- eyed', heißblütig 'hot- blooded'.

iii. indicating duration (from time expressions):

zwei Stunden → zweistündig lasting two hours

Note the difference between these adjectives in - ig (which express duration) and those in - lich (which express frequency), e.g.  $zweist \ddot{u}ndlich$  'every two hours', see (f) below.

iv. - ig forms adjectives from adverbs, e.g.:

 $\begin{array}{ccccc} \operatorname{dort} & \to \operatorname{dortig} & \operatorname{heute} & \to \operatorname{heutig} \\ \operatorname{ehemals} & \to \operatorname{ehemalig} & \operatorname{morgen} & \to \operatorname{morgig} \\ \operatorname{hier} & \to \operatorname{hiesig} & \operatorname{sonst} & \to \operatorname{sonstig} \end{array}$ 

#### e. - isch

This is a productive suffix, often associated with *Umlaut*, used mainly to form adjectives from nouns:

i. adjectives from proper names and geographical names:

England  $\rightarrow$  englisch English Homer  $\rightarrow$  homerisch Homeric Europa  $\rightarrow$  europäisch European Sachsen  $\rightarrow$  sächsisch Saxon

ii. adjectives which indicate a quality like that of the person or thing denoted by the noun. They are often pejorative:

der Held  $\rightarrow$  held**isch** heroic das Kind  $\rightarrow$  kind**isch** puerile der Herr  $\rightarrow$  herr**isch** imperious der Wähler  $\rightarrow$  wähler**isch** fastidious

Compare the pejorative *kindisch* with the neutral *kindlich* 'childlike'.

**iii.** adjectives from nouns of foreign origin. These adjectives are always stressed on the penultimate syllable, see. 23.1.6d:

```
die Biologie → biologisch biological die Musik → musikalisch musical die Mode → modisch fashionable der Nomade → nomadisch nomadic
```

#### f. - lich

This is a common suffix with a wide range of functions. Adjectives formed with - *lich* often have *Umlaut*:

i. Adjectives from nouns in - *lich* indicate a relationship to that person or thing, or indicate the possession of the quality denoted by it:

```
 \begin{array}{lll} \operatorname{der} \operatorname{Arzt} & \to \operatorname{\"{arztlich}} \textit{ medical} & \operatorname{der} \operatorname{Preis} & \to \operatorname{preislich} \textit{ in respect of price} \\ \operatorname{der} \operatorname{Buchstabe} & \to \operatorname{buchst\"{ablich}} \textit{ literal} & \operatorname{der} \operatorname{Tod} & \to \operatorname{t\"{o}dlich} \textit{ fatal, deadly} \\ \operatorname{der} \operatorname{F\"{urst}} & \to \operatorname{f\"{urstlich}} \textit{ princely} \\ \end{array}
```

This is the only use of - *lich* which is still productive in modern German.

**ii.** Adjectives in - *lich* from time expressions denote frequency:

zwei Stunden → zweistünd**lich** every two hours

- iii. NB: For the difference between adjectives in ig and lich from time expressions, see (d) above.
- iv. Adjectives in *lich* from verbs indicate ability:

```
bestechen → bestechlich corruptible verkaufen → verkäuflich saleable
```

This use of - *lich* is no longer productive, having been replaced by - *bar*, see (a) above.

v. Adjectives in - *lich* from other adjectives usually indicate a lesser degree of the relevant quality:

```
arm \rightarrow ärmlich shabby; humble krank \rightarrow kränklich sickly klein \rightarrow kleinlich petty rot \rightarrow rötlich reddish
```

#### g. - los

- los is used to form adjectives from nouns and corresponds to English '- less':

```
die Hoffnung → hoffnungslos hopeless die Wahl → wahllos indiscriminate
```

## h. - mäßig

This suffix is very productive in modern German, especially in formal registers, to derive adjectives from nouns:

i. with the sense of 'in accordance with':

die Gewohnheit

der Plan → plan**mäßig** according to plan

- gemäß is an alternative to - mäßig in this sense, but it is less common, e.g. plangemäß, ordnungsgemäß.

ii. with the sense of 'in respect of something', 'pertaining to':

der Instinkt → instinktmäßig instinctive

der Verkehr → verkehrs**mäßig** relating to traffic

iii. with the sense of 'like someone or something':

der Fürst → fürsten**mäßig** princely

das Lehrbuch → lehrbuch**mäßig** *like a textbook* 

# i. - sam

This suffix is no longer productive in modern German. Adjectives in - sam have two main sources:

i. from verbs (especially reflexive verbs), expressing a possibility or a tendency:

sich biegen → biegsam flexible sparen → sparsam thrifty

ii. from nouns, indicating a quality

die Furcht → furchtsam timid die Gewalt → gewaltsam violent

## 22.3.2 Adjective Derivation by Means of Prefixes

These prefixes are usually stressed and form adjectives from other adjectives.

# a. erz-, grund-, hoch- have intensifying meaning

erz- is mainly used with a rather negative sense, whereas grund- and hoch- tend to be more positive. Both erz- and grund- are rather limited in use:

reaktionär → **erz**reaktionär *very reactionary*ehrlich → **grund**ehrlich *thoroughly honest* 

verschieden → **grund**verschieden *totally different* 

begabt → **hoch**begabt *highly talented*intelligent → **hoch**intelligent *very intelligent* 

# b. un- negates and/or produces an opposite meaning

It closely resembles English 'un-'. It is not always stressed, see 23.1.6c.

artig  $\rightarrow$  **un**artig *naughty* 

vorsichtig → **un**vorsichtig *incautious* 

wahrscheinlich → **un**wahrscheinlich *improbable* 

If an adjective already has a simple word as its opposite (e.g. klug - dumm), the form in un- gives a negative rather than an opposite. Thus, whilst dumm means 'stupid', unklug means 'unwise'. In general, only adjectives with a positive meaning can form an opposite with un-. Thus, whilst unschön ( $\leftarrow schön$ ) is fairly common, one does not find \* $unh\ddot{a}sslich$  from  $h\ddot{a}sslich$ .

#### c. ur- with adjectives usually intensifies the sense

alt  $\rightarrow$  **ur**alt very old

Sometimes, it gives the idea of 'original' or 'typical', e.g. urdeutsch 'typically German'.

# 22.3.3 Adjective Compounding

In general, adjective compounding is similar to noun compounding, see 22.2.4.

# a. Types of adjective compounds

In practice only the following are at all common:

```
    (i) noun + adjective: die Pflicht + treu → pflichttreu dutiful
    (ii) verb + adjective: trinken + fest → trinkfest able to hold one's drink
    (iii) adjective + adjective: klein + laut → kleinlaut meek
```

Adjective + adjective compounds are often 'additive', i.e. the qualities of both adjectives apply, e.g. nasskalt 'cold and wet'.

## b. Many noun + adjective compounds have a linking element

These are similar to those in noun + noun compounds, see 22.2.4b. - s- and - n- are the most common, e.g. geisteskrank, gesundheitsschädlich, seitenverkehrt.

#### c. Some compound elements forming adjectives have now become suffixes

A number of adjectives are so widely used in modern German as the basis for compound adjectives that they can be considered as suffixes rather than as distinct words.

i. with the sense of having or possessing something:

- haltig	$\rightarrow$ koffein <b>haltig</b>
- reich	$\rightarrow$ erlebnis <b>reich</b>
- stark	→ charakter <b>stark</b>
- (s)voll	→ rücksicht <b>svoll</b>

ii. with the sense of lacking something:

 $\begin{array}{ccc} \textbf{- arm} & & \rightarrow \text{nikotinarm} \\ \textbf{- frei} & & \rightarrow \text{alkoholfrei} \\ \textbf{- leer} & & \rightarrow \text{gedankenleer} \end{array}$ 

iii. with the sense of being protected from something:

- dicht 
- echt 
- fest 
- sicher 
→ schalldicht 
→ kussecht 
→ hitzefest 
→ kugelsicher

iv. with the sense of being similar to something:

- artig
 - förmig
 - gleich
 → kugelartig
 → plattenförmig
 → maskengleich

v. with the sense of being capable of something:

- fähig → strapazierfähig

vi. with the sense of being worth(y of) something:

- wert
 - würdig
 → nachahmenswürdig

vii. with the sense of needing something:

- bedürftig → korrekturbedürftig

# 22.4 The Formation of Verbs: Inseparable Prefixes

New verbs are formed in German primarily by means of prefixes – largely because all verbs have to have inflectional suffixes to show categories like tense, person and number. There are three main types of verb prefix in German:

- **i.** inseparable prefixes like *be-*, *emp-*, *ent-*, *er-*, *ge-*, *ver-* and *zer-*, e.g. bestellen, erstehen, verbringen. They are called inseparable prefixes because they always remain fixed to the root, and they are always unstressed, see 23.1.6c. Their past participle does not have the prefix *ge-*, (e.g. bestellt, erstanden, verbracht, see 12.2.1h). The formation of verbs with inseparable prefixes is treated in this section 22.4.
- **ii.** separable prefixes, of which there are a large number. The most typical are like prepositions, e.g. *ab-*, *an-*, *auf-*, etc., e.g. *abfahren*, *ankommen*, *aufmachen*, but they can also come from nouns, adverbs and other parts of speech, e.g. *teilnehmen*, *totschlagen*, *weglaufen*. They are called separa ble prefixes because they are separated from the root under certain conditions, e.g. *Sie kamen in München an* (see 12.2.1i), and they are always stressed, see 23.1.6c. The formation of verbs with separable prefixes is dealt with in section 22.5.
- iii. variable prefixes are separable in some cases and inseparable in others, usually with a difference in meaning, e.g. Sie übersetzte den Brief 'She translated the letter' Sie setzten zum anderen Ufer über 'They crossed over to the other bank'. The prefixes durch-, über-, um- and unter- and one or two less common ones are variable in this way. They are explained in section 22.6.

Many patterns of forming verbs with inseparable prefixes are common or productive. They are dealt with in the remainder of this section, in alphabetical order of the individual prefixes.

#### 22.4.1 Be-

#### a. be- makes intransitive verbs transitive

See 18.3.5b. If the simple intransitive verb is used with a dative object or a prepositional object, that becomes the accusative object of the prefixed verb with *be*-, e.g.:

```
jdn. bedienen serve sb. (← jdm. dienen)
eine Frage beantworten answer a question (← auf eine Frage antworten)
```

#### b. With transitive verbs be- can change the action to a different object

jdn. mit etwas **be**liefern supply sb. with sth. ( $\leftarrow$  jdm. etwas liefern deliver sth. to sb.)

c. be- forms verbs from nouns with the idea of providing something

With some verbs the suffix - ig- is added:

```
\begin{array}{ll} \text{das Wasser} & \rightarrow \text{be} \text{w\"{assern } } \textit{irrigate} & \text{die Nachricht} & \rightarrow \text{be} \text{nachrichtigen } \textit{notify} \\ \text{der Reifen} & \rightarrow \text{be} \text{reifen } \textit{put tyres on} \end{array}
```

# d. be- makes verbs from adjectives with the sense of giving someone or something that quality

With some verbs the suffix - ig- is added:

```
feucht \rightarrow befeuchten moisten gerade \rightarrow begradigen straighten frei \rightarrow befreien liberate ruhig \rightarrow beruhigen calm
```

NB: The prefix emp- is a variant of ent-, used before some roots beginning with f, e.g. empfehlen, empfinden.

## a. Verbs in ent- from verbs of motion have the idea of escaping or going away

What is being escaped from usually appears as a dative object with these verbs, see 18.4.1c, e.g.:

```
gleiten

→ jdm. entgleiten slip away from sb. (e.g. glass from hand)

laufen

→ jdm./etwas entlaufen run away/escape from sb./sth.

→ jdm. etwas entreißen snatch sth. from sb.
```

#### b. Verbs in ent- from nouns, adjectives or other verbs can have the sense of removing something

```
In this sense, ent- often corresponds to the English prefixes 'de-' or 'dis-':
```

```
\begin{array}{lll} \text{das Gift} & \rightarrow \text{entgiften } \textit{decontaminate} & \text{scharf} & \rightarrow \text{entschärfen } \textit{tone } \textit{down} \\ \text{der Mut} & \rightarrow \text{entmutigen } \textit{discourage} & \text{spannen} & \rightarrow \text{entspannen } \textit{relax} \end{array}
```

#### 22.4.3 Er-

# a. Verbs in er- from other verbs often express the achievement or conclusion of an action

```
bitten \rightarrow erbitten get (sth.) by asking for it schießen \rightarrow erschießen shoot (sb.) dead
```

A productive use of *er*- is to form verbs from verbs or nouns with the idea of acquiring some thing by the action expressed by the simple verb or the noun. Compare *erbitten* above and the following:

```
arbeiten \rightarrow Er hat etwas erarbeitet \rightarrow He got sth. by working for it die List \rightarrow Er hat etwas erlistet \rightarrow He got sth. through cunning
```

This use of *er*- is so productive that it is widely used with new roots from English, e.g. *etwas erbloggen* 'get sth. by blogging', *etwas ersurfen* 'to get sth. by surfing

A handful of verbs in er- from other verbs point to the start of an action, e.g. erklingen 'ring out', erbeben 'tremble'.

#### b. Verbs in er- formed from adjectives express a change of state

i.e. either intransitive verbs with the idea of becoming something, or transitive verbs with the idea of making somebody or something have the quality expressed by the adjective. These verbs often have *Umlaut* of the root vowel, e.g.:

```
blind \rightarrow erblinden become blind frisch \rightarrow erfrischen refresh rot \rightarrow erröten turn red, blush leichter \rightarrow erleichtern make easier
```

#### 22.4.4 Ver-

This is the most widely used inseparable prefix, with a range of meanings. The following are the most frequent or productive:

## a. Many verbs in ver- from verbs express the idea of finishing or 'away'

```
blühen → verblühen fade (flowers) hungern → verhungern starve to death
brauchen → verbrauchen consume klingen → verklingen fade away (sounds)
```

# b. Some verbs in ver- from other verbs convey the notion of 'wrongly' or 'to excess'

biegen  $\rightarrow$  **ver**biegen bend out of shape lernen  $\rightarrow$  **ver**lernen unlearn, forget

salzen  $\rightarrow$  versalzen put too much salt in sth.

This use is very productive and used widely with new roots, e.g.: Vergooglen Sie keine Zeit! 'Don't waste your time searching on google'.

Some reflexive verbs in *ver*- have the idea of making a mistake, e.g.:

fahren → sich verfahren get lost, take a wrong turning

wählen → sich **ver**wählen *misdial* 

A few verbs in *ver*- are opposites, e.g.:

achten → verachten despise kaufen → verkaufen sell

## c. Verbs in ver- formed from adjectives often express a change of state

As with *er*-, these can be intransitive verbs with the idea of becoming something., or transitive verbs with the idea of making somebody or something have the quality expressed by the adjective:

```
arm → verarmen become poor länger → verlängern make longer einfach → vereinfachen simplify stumm → verstummen become silent
```

Some verbs in *ver*- from nouns have a similar meaning, e.g.:

das Unglücken have an accident

→ verunglücken have an accident

der Sklave → versklaven enslave

# d. Many verbs formed from nouns with ver- convey the idea of providing with something

```
das Glas \rightarrow verglasen glaze der Körper \rightarrow verkörpern embody das Gold \rightarrow vergolden gild der Zauber \rightarrow verzaubern enchant
```

This use of *ver*- is very productive and now widely used with originally English roots, e.g. *Verlink deine Seite gegen Geld* 'Link up your website for cash'.

#### 22.4.5 Zer-

Verbs in zer-, which are usually formed from other verbs, always convey the notion of 'in pieces':

```
beißen \rightarrow zerbeißen bite into pieces fallen \rightarrow zerfallen disintegrate brechen \rightarrow zerbrechen smash streuen \rightarrow zerstreuen disperse
```

# 22.5 The Formation of Verbs: Separable Prefixes

Separable prefixes are so called because they are separated from the root under certain conditions, e.g. *Sie kamen in München an* (see 12.2.1i). For the difference between them and inseparable prefixes, see 22.4. Most separable prefixes also exist as independent words, chiefly as adverbs, prepositions, nouns or adjectives. The forms of separable verbs, in particular the position of the prefix, are explained in 12.2.1i. Separable prefixes are always **stressed**.

#### 22.5.1 Simple Separable Prefixes

The majority of these derive from prepositions or adverbs and their meanings are often transparent. The examples below illustrate some common and productive patterns of derivation.

NB: Prefixes from prepositions expressing direction (e.g. ab-, an-, auf-) often have a less transparent or figurative

sense because direction can be indicated by using a prefix with her- or hin-, see 7.2.4d.

a. *ab*i. = `away': abfahren depart, leave abfliegen take off ii. = 'down': absteigen get down absetzen put, set down iii. completing an action: **ab**drehen switch off ablaufen wear out (i.e. shoes) b. *an*i. with the idea of approaching: ankommen arrive ansprechen address (sb.) ii. indicating the start of an action, partially: anmachen switch on anbrennen catch fire c. auf- $\mathbf{i} = \text{`up' or `on'}$ : aufbleiben stay up aufsetzen put on (hat, water) ii. with the idea of a sudden start: auflachen burst out laughing aufleuchten light up d. aus-='out' often pointing to the completion of an action: ausbrennen burn out ausbloggen finish a blog e. ein- is related to the preposition in It often conveys the idea of becoming used to something: sich einleben settle down einfahren run in (i.e. new car) f. los- most often has the meaning of beginning something: **los**gehen set off, start losreißen tear off, away g. miti. accompanying or cooperating:

mitgehen go with sb.

mitarbeiten cooperate

#### h. vor-

i. going on or preceding:

vorgehen go ahead; be fast (clock)

vorstoßen push forward

ii. demonstrating:

vorlesen read aloud

**vor**machen *show sb. how to do sth.* 

i. weg = 'away'

wegbleiben stay away

weglaufen run away

fort- is a less common (and more formal) alternative to weg- with some verbs: fortbleiben, fortlaufen.

j. weiter-= 'on', 'continue'

weiterfahren drive on

weitermachen continue

## k. Other simple prefixes are less frequent or no longer productive

bei-:	beitreten	join (e.g. club)	beitragen	contribute
da-:	dableiben	stay on/behind	dastehen	stand there
dar-:	darstellen	depict, represent	darlegen	explain, expound
fehl-:	fehlgehen	miss one's way	fehlgreifen	miss one's hold
inne-:	innehaben	occupy (position)	innehalten	pause
nach-:	nachahmen	imitate	nachgehen	follow
nieder-:	niederbrennen	burn down	niederlassen	lower, let down
zu-:	zudrehen	turn off (tap)	zusteigen	get on, board (train)

## 22.5.2 Compound Separable Prefixes

Some compound elements, mainly from adverbs, are widely used as separable prefixes

dabei-	(indicating proximity):	dabeistehen	stand close by
daneben-	(indicating missing sth.):	danebenschießen	miss (a shot)
davon-	('away'):	davoneilen	hurry away
dazu-	(indicating an addition):	dazukommen	be added
empor-	('upwards'):	emporblicken	look up
entgegen-	('towards'):	entgegennehmen	receive, accept
überein-	(indicating agreement):	übereinkommen	agree
voraus-	(= 'in advance'):	voraussagen	foretell, predict
vorbei-, vorüber-	(= 'past')	vorbeigehen	pass
zurück-	(= 'back')	zurückfahren	drive back, return
zusammen-	(= 'together' or 'up')	zusammenrücken	move together
		zusammenfalten	fold up

The compound directional adverbs in *hin-* and *her-*, see 7.2.4, are also commonly used as separable prefixes, e.g. *hinausgehen*, *herunterkommen*. Other compound elements, e.g. *drauf-*, *hintan-*, *vorweg-*, *zuvor-* are used with one or two verbs only, e.g. *vorwegnehmen* 'anticipate'.

#### 22.5.3 Separable Prefix or Separate Word?

In the old spelling, some nouns, verbs and adjectives were treated as separable prefixes and writ ten together with the verb according to the same rules as for separable prefixes, e.g. achtgeben 'pay heed', radfahren 'cycle', liebgewinnen 'grow fond of', offenlassen 'leave open'. As there were no clear rules as to which combinations could be treated as separable verbs, there were many exceptions and anomalies, and the new spelling rules prescribe that most of these combinations should be spelled as separate words in all their forms, e.g. Acht geben, Rad fahren, lieb gewinnen, offen lassen.

The following rules now apply:

#### a. Combinations of noun + verb are now normally spelled as separate words

Halt machen: ich mache Halt, sie machte Halt, sie haben Halt gemacht Maß halten: ich halte Maß, sie hielt Maß, sie haben Maß gehalten

Ski laufen: ich laufe Ski, sie lief Ski, sie sind Ski gelaufen

An exception is made of the following nouns, which are taken to have lost their full meaning in combinations with a verb and are seen as separable prefixes:

heim-	irre-	preis-	stand-	statt-	teil-	wett-	wunder-
heimgel	nen	go home		stattf	inden	t	ake place
irreführe	en	mislead		teilne	hmen	1	participate
preisgeb	en	expose		wetti	nachen	1	nake up for
standha	lten	stand firm		wund	dernehme	n s	surprise

*leidtun* is now treated like this again, following the most recent reform, and forms which do not exist as separate words are also treated as separable prefixes, e.g. *fehlschlagen*, *feilbieten*, *kopfstehen*, *kundgeben*, *weismachen* 

# b. Combinations of adjective or adverb + verb are normally written together

aneinanderfügen	join together	kurztreten	go easy
anheimfallen	fall victim to	leichtmachen	make sth. easy
aufwärtsgehen	do better	nahelegen	suggest
durcheinanderbringen	muddle up	überhandnehmen	get out of hand
fernliegen	be far from	übrigbleiben	be left over

In particular adjectives and adverbs with a preposition and - *einander* are always written together with the verb. However, if the first element is a phrase (or derives from a phrase, e.g. *instand setzen*, it is always written separately from the verb (see also 23.3.1).

Similarly, adjectives and adverbs which cannot be used in the comparative in conjunction with the verb, or be modified by *sehr* or *ganz*, are seen to form fixed idiomatic combinations with the verb; they are considered to be separable prefixes and thus always written together:

bereithalten	have ready	gutschreiben	credit
bloßstellen	show up	schwarzarbeiten	moonlight
fernsehen	watch TV	totschlagen	kill
festsetzen	fix		

One can, for instance say *ich sehe fern*, but it is not possible to say \**ich sehe ferner*, and *ich sehe sehr fern* can only have its literal meaning of 'I am looking a long way'.

However, if the adjective expresses a property which is the result of the action of the verb, the two parts can be written together or separately. The most frequent of these are:

kaltstellen/kalt stellen *exclude*, *put out of the way* kaputtmachen/kaputt machen *break*, *smash* kleinschneiden/klein schneiden *cut up small* 

# c. Combinations of verb or participle + verb are generally written as separate words

gefangen nehmen	take captive	spazieren gehen	go for a walk
laufen lernen	learn to walk	verloren gehen	be lost

However, combinations with *bleiben* or *lassen*, and also the combination *kennen lernen* can be written together, especially if the combination is felt to have a distinct meaning. In practice this means that either possibility is allowed:

fallen lassen/fallenlassen *drop* kennen lernen/kennenlernen *get to know* stehen bleiben/stehenbleiben *stop* 

#### d. Combinations with the verb sein are always written as separate words

This applies even with forms which are normally taken as separable prefixes:

da sein	be there	vorbei sein	be past
inne sein	be conscious of	zufrieden sein	be satisfied
los sein	be up	zurück sein	be back

NB: Some verbs look as if they have prefixes, but they are formed from compound nouns and the first element does not separate, e.g. frühstücken 'breakfast': Ich frühstücke, ich habe gefrühstückt, etc. Similarly: handhaben 'manipulate', langweilen 'bore', liebkosen 'caress', wetteifern 'compete'.

#### e. Defective compound verbs are always written as a single word

These are verbs which have a special meaning and are only used in the form of the infinitive and/ or the past participle. They are especially frequent in technical language.

i. Some compounds only exist in the infinitive form:

brustschwimmen swim breast-stroke segelfliegen glide kettenrauchen chain-smoke wettlaufen race

One can say, for instance ich gehe morgen segelfliegen, but not \*ich segelfliege

ii. Some compounds are only used in the infinitive and the past participle:

seiltanzen walk the tightrope

uraufführen perform for the first time

With these, one can say, for example, Das neue Stück wird morgen uraufgeführt, but not \*Morgen uraufführt man das neue Stück.

iii. There may be uncertainty in the formation of the past participle of such verbs.

With a number, the first element can be treated as if it were a separable prefix, and the prefix *ge*- of the participle inserted between this and the root of the verb, e.g.:

notlanden *make an emergency landing*  $\rightarrow$  genotlandet or notgelandet schutzimpfen *innoculate*  $\rightarrow$  geschutzimpft or schutzgeimpft

The same applies to some recent verbs loaned from or modelled on English with prepositions or adverbs as their first element, e.g.:

doppelklicken $\rightarrow$  gedoppelklickt or doppelgeklicktdownloaden $\rightarrow$  gedownloadet or downgeloadetoutsourcen $\rightarrow$  outgesourct or geoutsourctupgraden $\rightarrow$  geupgradet or upgegradet

If such verbs have -ge- inserted in the past participle, the zu of the infinitive can also be incorporated in the verb, e.g. doppelzuklicken, outzusourcen.

#### 22.6 The Formation of Verbs: Variable Prefixes

A small number of prefixes can form both separable and inseparable verbs (for the difference between these, see 22.4). If the verb is separable, the prefix is stressed, if it is inseparable, the prefix is unstressed.

#### 22.6.1 Durch-

durch- always expresses the idea of 'through', whether separable or inseparable.

#### a. A few compounds with durch- are only inseparable

durch'denkenthink throughdurch'lebenexperiencedurch'löchernmake holes in

NB: Separable 'durchdenken is also found with the identical meaning to durch'denken, but it is less common.

#### b. Many compounds with durch- are only separable

'durchblicken look through

'durchkommenget through, succeed'durchfallenfall through/fail'durchkriechencrawl through'durchführencarry out'durchrostenrust through'durchhaltenhold out, survive'durchsehenlook through

# c. Some verbs form separable and inseparable compounds with durch-

The separable compounds always mean 'right the way through'. The inseparable verbs emphasise penetration without necessarily reaching the other side. However, the distinction may be fine, especially with verbs of motion. Compare:

Er eilte durch die Vorhalle durch

Er durcheilte die Vorhalle

He hurried through the vestibule

He hurried across the vestibule

He crossed the forest on horseback

Er durchritt den Wald

He rode through the forest

Similarly:

durchbrechen break through durchschauen see through durchdringen penetrate durchsetzen carry through durchfahren travel through durch'setzen infiltrate durchlaufen run through durchstoßen break through durchreisen travel through durchwachen stav awake

With *durchkämmen* 'comb through' the distinction is clear. Separable 'durchkämmen is only used in a literal sense, of hair, whereas inseparable *durch'kämmen* has the figurative meaning of 'search thoroughly in'.

# 22.6.2 Hinter- Normally Forms Inseparable Compounds

hinter'gehen deceive hinter'fragen analyse

hinter'lassen leave, bequeathe

hinter'legen deposit
hinter'treiben foil, thwart

Separable compounds with *hinter-* are non- standard colloquial regionalisms, e.g. 'hinterbringen 'take to the back', 'hintergehen 'go to the back'.

# 22.6.3 Miss- is Generally Inseparable

It has two main senses, i.e.:

i. 'opposite':

missachten despise, disdain

misstrauen distrust

ii. 'badly', 'wrongly':

missdeuten misinterpret

misshandeln ill- treat

With a few verbs *miss*- can be treated as separable in the past participle and the infinitive with *zu*, e.g. *missgeachtet*, *misszuachten*, see 13.1.4b. These forms are alternatives to the regular inseparable forms *missachtet*, *zu missachten* and are generally less frequent, with the exception of *missverstehen*, where the extended infinitive most commonly has the form *misszuverstehen*.

# 22.6.4 Ob- is Mainly Inseparable

There are very few verbs with the prefix ob- in current use, e.g. obliegen 'to be incumbent', obsiegen 'to prevail', and they are limited to use in formal registers. They are most often inseparable, e.g. Die Beweislast obliegt dem Ankläger 'The burden of proof is on the prosecutor'. However, all can be used separably, e.g. Das liegt dem Ankläger ob, although this alternative is less frequent.

## 22.6.5 Über-

#### a. A few compounds with *über-* are only separable

They are all intransitive and have the literal meaning 'over', e.g.:

'überhängenoverhang'überkippenkeel over'überkochenboil over

#### b. A large number of compounds with *über-* are only inseparable

They are all transitive and have a variety of meanings, i.e.:

i. repetition:

über'arbeiten rework

über'prüfen check

ii. more than enough:

über'fordern overtax

über'treiben exaggerate

iii. failing to notice:

über'hören fail to hear

über'sehen overlook

iv. 'over':

über'denken think over

über'fallen attack

#### c. Many verbs form both separable and inseparable compounds with über-

The separable compounds are mostly intransitive. They all have the literal meaning 'over'. The inseparable verbs are mostly transitive, with a more figurative meaning often similar to those given under (b) above:

	separable	inseparable
überfahren	cross over	run over
überführen	transfer	convict
übergehen	turn into sth.	leave out
überlaufen	overflow; desert	overrun
überlegen	put sth. over sb./sth.	consider
übersetzen	ferry over	translate
überspringen	jump over	skip
übertreten	change over	infringe
überziehen	put on	cover

NB: übersiedeln 'move (house)' can be used as a separable or inseparable verb with no distinction in meaning.

#### 22.6.6 Um-

#### a. A large number of compounds in um- are only separable

Most express the idea of turning or changing a state:

'umblickenlook round'umbringenkill'umdrehenturn round'umfallenfall over'umschaltenswitch'umsteigenchange (trains, etc.)

#### b. Many compounds in *um*- are only inseparable

They all express encirclement or surrounding:

um'armenembraceum'fassenembrace, encircleum'gebensurroundum'ringensurroundum'segelnsail round, circumnavigateum'zingelnsurround, encircle

#### c. Many verbs form separable and inseparable compounds in um-

The difference in meaning corresponds to that given in (a) and (b) above:

	separable	inseparable
umbauen	rebuild	enclose
umbrechen	break up	set (i.e. type)
umfahren	run over, knock down	travel round
umgehen	circulate	avoid
umreißen	tear down	outline
umschreiben	rewrite	paraphrase
umstellen	rearrange	surround

#### 22.6.7 Unter-

# a. A large number of compounds in unter- are only separable

They generally have a literal meaning, i.e. 'under', e.g.:

- 'unterbringen accommodate
- 'untergehen sink, decline
- 'unterkommen find accommodation
- 'untersetzen put underneath

# b. Many compounds in unter- are only inseparable

They have a variety of meanings, i.e.:

i. less than enough:

unter'bieten *undercut*unter'schätzen *underestimate* 

unter'schreiten fall short

unter'steuern understeer

ii. 'under':

unter'drücken suppress, oppress unter'liegen be defeated

unter'schreiben sign
unter'stützen support

iii. other, miscellaneous meanings:

unter'bleibenceaseunter'brecheninterruptunter'lassenrefrain fromunter'laufenoccur

unter'richten teach

unter'sagen forbid, prohibit unter'suchen investigate

# c. Many verbs form separable and inseparable compounds with unter-

The separable verbs are mostly intransitive and have the meaning 'under'. The inseparable compounds are all transitive, and most have a more figurative meaning:

	separable	inseparable
unterbinden	tie underneath	prevent
untergraben	dig in	undermine
unterhalten	hold underneath	entertain
unterlegen	put underneath	underlay
unterschieben	foist	insinuate
unterschlagen	cross (e.g. legs)	embezzle
unterstellen	keep, store	assume
unterziehen	put on underneath	undergo

#### 22.6.8 Voll-

#### a. Many verbs form compounds with voll- which are only separable

They all have the meaning 'full', e.g.:

'vollbekommenmanage to fill'vollstopfencram full'vollschreibenfill with writing'volltankenfill up (car with fuel)

#### b. A few compounds with voll- are only inseparable

Most of these are words used in formal registers with the meaning 'complete', 'finish' or 'accomplish':

voll'bringen achieve, accomplish

voll'enden complete

voll'führenexecute, performvoll'streckenexecute, carry outvoll'ziehenexecute, carry out

# 22.6.9 Wider- Usually Forms Inseparable Verbs

wider'legen refute wider'stehen resist

Only two verbs in wider- are separable, i.e.: 'widerhallen' echo, reverberate' and 'widerspiegeln' reflect'. widerspiegeln is sometimes, if less commonly, used inseparably.

#### 22.6.10 Wieder- Usually Forms Separable Verbs

'wiederkehrenreturn'wiedersehensee again

Only **one** verb prefixed with wieder- is inseparable: wieder 'holen 'repeat'.

# 22.7 Verb Formation by Means Other than Prefixes

By far the most productive means of creating verbs is by means of prefixes, as has been explained in 22.4–22.6. Nevertheless, a few other patterns are frequent or productive.

## 22.7.1 Many Verbs are Formed Simply from Nouns or Adjectives

The simplest way to convert a noun or an adjective to a verb is to add verbal endings (i.e. those indicating person, number, tense, etc.) to the root of the noun or the adjective. These have a variety of meanings, and some add *Umlaut*, especially the verbs from adjectives which have the sense of giving something a particular quality:

```
→ dampfen steam
der Dampf
                                           falsch
                                                   → fälschen forge, falsify
der Donner
            → donnern thunder
                                           krank
                                                   → kranken suffer
die Feder
             → federn be springy
                                           kurz
                                                   → kürzen shorten
der Fluch
             → fluchen curse
                                                   → leeren empty
                                           leer
das Fohlen
             → fohlen foal (of mare)
                                           reif
                                                   → reifen ripen
der Hammer → hämmern hammer
                                            scharf → schärfen sharpen
die Kachel
             → kacheln tile
                                            schwarz → schwärzen blacken
der Kellner
             → kellnern work as a waiter
                                            trocken → trocknen dry
der Löffel
                                                     → wachen be awake
             → löffeln spoon
                                            wach
der Splitter
             → splittern splinter
                                            welk
                                                     → welken wilt
```

This means of verb formation is also widely employed with new roots from English, e.g. bloggen (from das Blog), jetten (from der Jet), simsen (from die SMS = 'short message service'), tweeten (from die Tweet).

# 22.7.2 Some Verbs Meaning 'Cause to do' have been Formed from Strong Verbs by Means of a Vowel Change

This pattern is no longer productive, but its results are still common. In general, a transitive weak verb has been formed from an intransitive strong verb:

```
ertrinken drown \rightarrow ertränken drown sitzen sit \rightarrow setzen set (intr.) (trans.) springen jump \rightarrow sprengen blow up fällen fall \rightarrow fällen fell
```

#### 22.7.3 Verbs in - eln Express a Weaker form of the Action

They usually have *Umlaut*:

```
husten cough → hüsteln cough slightly lachen laugh → lächeln smile 
krank ill, sick → kränkeln be sickly streichen stroke → streicheln caress
```

Some such verbs have a pejorative sense, e.g.: tanzen dance  $\rightarrow$  tänzeln prance.

This formation is productive and can be based on nouns or adjectives as well as on other verbs:

```
fromm pious → frömmeln affect piety
der Schwabe Swabian → schwäbeln talk like a Swabian
```

#### 22.7.4 The Suffix - ieren is Mainly used to form Verbs from Foreign words

The source of most verbs in - *ieren* (and its derivatives - *isieren* and - *ifizieren*) is French or Latin. Some have entered German directly from French verbs in - er, e.g.  $arranger \rightarrow arrangieren$ . Others have been formed in German from the roots of words taken into German from these or other languages, e.g.  $das\ Tabu \rightarrow tabuisieren$ . Only a very few are formed from German roots -  $der\ Buchstabe \rightarrow buchstabieren$  is the most noteworthy exception.

# 23 Spelling, Pronunciation and Punctuation

GERMAN spelling and punctuation are relatively consistent, but some rules are quite different to those for English, and this chapter gives information on a selection of such problematic points. The rulings given are those accepted as authoritative throughout the German-speaking countries.

Uniform official spelling rules for all the German-speaking countries were first established in 1901/02. Towards the end of the 20th century it was felt that the rulings made then had left some unnecessary inconsistencies and anomalies which needed to be eliminated. For this reason, the countries where German is an official language agreed in 1994/95 on a set of reforms which began to be introduced in primary schools in 1996. For a transitional period the old and the new spellings were permitted, but from 2006 only the new spellings have been regarded as correct for official purposes, in particular in schools and other state institutions.

Although the changes were not far-reaching, this spelling reform gave rise to considerable controversy, and numerous steps were undertaken, even through the law-courts, to reverse the decision to introduce it. Although these were ultimately unsuccessful, and the waves of protest have subsided to a certain extent, they resulted in a succession of (relatively minor) changes to the reforms.

The authorities involved agreed a final version of the new rules in March 2006, and by 2010 most newspapers and books were using the reformed spellings. Nevertheless, some well- known authors, like Daniel Kehlmann, have insisted on keeping to the traditional rules. Many people who finished their schooling before the reform stick to the old rules for private use – or a mixture of old and new, because the successive modifications to the reform have created a widespread feeling of uncertainty about what is actually correct.

Thus learners are likely to be confronted with both sets of rules for some time, but they are recommended to adhere strictly to the new rules, as they are the only ones regarded as officially correct. For this reason the most recent version of the reformed spelling has been applied consistently, in this book, and the information given in this chapter relates exclusively to it. In particular, we deal with:

- spelling and pronunciation (section 23.1)
- the use of capital letters (section 23.2)
- whether to write **one word or two** (section 23.3)
- the use of  $\beta$  and ss (section 23.4)
- other **miscellaneous points** of spelling (section 23.5)
- the use of the **comma** (section 23.6)
- the use of other **punctuation marks** (section 23.7)

# 23.1 Spelling and Pronunciation

The relationship between letters and the sounds they represent is much more straightforward in German than in English and, in general, each sound of German corresponds to a single letter or group of letters. However, there are a few exceptions to this, and the main aim of this section is to give information on these cases. Where necessary, the symbols of the International Phonetic Alphabet (IPA) are used to make clear precisely what sounds are involved and, following normal conventions, they are given in square brackets. A table of the IPA symbols can be found on page xvi.

The accepted 'standard' pronunciation of German is based on the norms originally set down by a commission which met in 1898 to establish the best pronunciation for use on the stage. This is now usually referred to as *Hochlautung*, or often simply as *Hochdeutsch* (although this term strictly speaking covers standard grammar and vocabulary as well as pronunciation). This was originally a set of rather formal norms, but a modified form of them is now widely accepted as an ideal to aim for, especially for the foreign learners, and the information in this section is based on this. Although these norms are predominantly North German, they are generally regarded as reflecting the 'best' usage, and they are acceptable everywhere. There is, of course, much variation in actual usage within Germany and (especially) the other German- speaking countries, but a book such as this can only give information on the most important instances of such

# 23.1.1 b, d and g

Sieb	[zi:p]	abfahren	[apfa:ĸən]	habt	[ha:pt]
Rad	[Ra:t]	kundgeben	[kuntge:bən]	sagt	[za:kt]
Zug	ftsu:kl	wegfahren	[vekfa:kən]		-

Otherwise, i.e. at the beginning of a word or between vowels, b, d and g are pronounced [b], [d] and [g], as in English.

# b. There are two major exceptions to this rule in respect of g

i. In the ending-ig, g is pronounced as ch, i.e. [ $\varsigma$ ]

König [kø:nɪç] sandig [zandiç] Außig [ausɪç]

ii. In North and central Germany, g is often pronounced like ch (i.e. as [c] or [x]) in all other cases when it occurs at the end of a word or syllable, or before a consonant

Zug [tsu:x] wegfahren [vɛçfa:ʁən] sagt [za:xt]

**c.** This pronunciation is not considered standard, but it is in practice almost universal usage by a majority of speakers across the northern two- thirds of Germany, i.e north of the river Main.

#### 23.1.2 ch

# a. The pronunciation of ch differs depending on the preceding sound

i. After low and back vowels, i.e. a, o and u, ch is pronounced [x]

Bach [ba:x] Loch [lox] Buch [bu:x] Bauch [baux]

ii. After front vowels, i.e. i, e,  $\ddot{u}$  and  $\ddot{o}$ , after l and r, and in the suffix-chen, ch is pronounced [c]

mich [mrç] echt [ɛçt] Bücher [byçɐ] Löcher Milch [mrlc] Kirche [kɪɪscə] Veilchen [faɪlcən]

#### b. The pronunciation of ch at the beginning of a word varies

i. In most words it is always pronounced [k], e.g.:

Chamäleon, Chaos, Charakter, Chlor, Cholera, Chor, Christ, Chrom, Chronik

ii. In words originally from French it is pronounced []], e.g.:

Champagner, Chance, Charme, Chauffeur, Chef, Chirurg

iii. In a few words it is pronounced [ç] in the North (i.e. north of the Main), but in the South (including Austria and Switzerland) it is pronounced [k]. Either pronunciation is accepted as standard. The most important are: *Charisma, Chemie, Chile, China*.

[læçe]

#### c. The combination *chs* is pronounced [ks]

wachsen [vaksən] Fuchs [fuks] Achse [aksə

#### 23.1.3 Other Consonants

#### a. s is pronounced [s] except in the following contexts

i. At the beginning of a word before a vowel, and between vowels, s is pronounced [z]:

zandiç suchen zu:xən sandig lesen le:zən ii. At the beginning of a word before p and t, s is pronounced []: [spi:lən] Straße spielen [stra:sə] **b.** ng is always pronounced [ $\eta$ ] It is never pronounced [ng] as in some English words. Compare, for example, the difference between English finger [fingə] and German Finger [fine]. 23.1.4 Long and Short Vowels English- speaking learners need to pay considerable attention to the distinction between long and short vowels in German, as there are significant differences from English. In particular, German long vowels are consistently long. The task is not helped by the fact that this distinction is the area where German spelling is least systematic, and the difference between long and short vowels is not always clearly shown in the spelling. The main rules (and exceptions) are as follows: a. Vowels before double consonants are always short This rule applies whether the double consonant is in the middle or at the end of the word. bitte [bita] fallen [falən] Klasse [klasə] Acker [akv] Schiff Butt [but] [[If] knapp [knap] Pack [pak] NB: k is never doubled, and ck is used instead. b. Vowels before single consonants are usually long This rule applies whether the consonant is in the middle or at the end of the word. geben [ge:bən] lösen [lø:zən] Hefe [he:fə] üben [y:bən] Tag gab [ga:p] [ta:k] Chor [ko:R] Mut [mu:t] Note that  $\beta$  counts as a single consonant, and this is the main reason why it is used in contrast to ss (see also 23.4). Compare: Fuß [fu:s] Fluss [flus] Maße [ma:sə] Masse Some loan-words from English are an exception to this rule, as they end in a single consonant but have a short vowel, e.g. Bus [bʊs], Jet [dʒɛt]. When such words have an ending, the consonant is doubled: Busse, jetten. c. Vowels before clusters of consonants are usually short Gang [gan] Pflicht [pflict] Mast [mast] Werk [AERK] sitzen [zitsən] Sünde [zyndə] schuften [[uften] Wespe [vespə] However, this rule is not consistent, and there are several common exceptions, e.g.: Art [a:tmən] [a:kt] atmen Geburt [gəbu:kt] Jagd [ja:kt] Krebs [kke:ps] Mond [mo:nt] [Re:duou] trösten [trø:stən] regnen Inflected forms of words or derived words keep a long vowel, even if the ending results in a consonant cluster:  $l\ddot{o}sen [lø:zen] \rightarrow l\ddot{o}ste [lø:ste]$ Tag [ta:k] → Tags [ta:ks] Hof [ho:f] → höflich [hø:flɪç] sagen [za:gən] → sagbar [za:kba:к] d. Vowels before ch may be either long or short

In practice, each word needs to be learned separately:

Loch [lox] Hochzeit [hoxtsait] brechen [breçən] hoch [ho:x] fluchen [flu:xən] brach [bra:x]

#### e. Other ways of marking long vowels

**i.** Especially before m, n, l and r, a long vowel can be shown by the silent letter h:

lahm [la:m] Bühne [by:nə] Höhle [hø:lə] fahren [fa:xən]

ii. In a few words, a long vowel is shown by doubling the vowel letter:

Schnee [sne: Saal [za:1] Heer [he:12] Moos [mo:s]

- iii. Long [i:] is most commonly spelled ie, e.g.: Lied [li:t], Sieg [zi:k], Miene [mi:nə]
- iv. A single vowel at the end of a word is long, e.g.: du [du:], wo [vo:]

#### 23.1.5 The Vowel ä

Short  $\ddot{a}$  is always pronounced [ $\epsilon$ ], i.e. identically to e, e.g.  $Kr\ddot{a}fte$  [kkeftə],  $G\ddot{a}ste$  [gestə]. Standard pronunciation prescribes that long  $\ddot{a}$  should be pronounced [ $\epsilon$ :], e.g.:

wäre [vɛ:uə] gäbe [gɛ:bə] Bär [bɛ:u] Väter [vɛ:tu]

However, this ruling is widely ignored, especially in North Germany, and many people usually pronounce long  $\ddot{a}$  and long e identically, as [e:], e.g.  $w\ddot{a}re$  [ve:E = 0],  $g\ddot{a}be$  [ge:E = 0], etc. Indeed, the pronunciation [E = 0] can be considered affected. In practice it is most often used, if at all, in subjunctive forms like  $g\ddot{a}be$ , in order to make the distinction from the indicative form gebe clear.

#### 23.1.6 Word Stress

Like in English, one syllable in all German words of more than one syllable is pronounced with rather more force than the others. This syllable is said to be stressed or bear the stress. However, there is no absolute hard and fast rule about **which** syllable in a German word is stressed, although there are certain clear regularities.

#### a. In most native German words the stress falls on the first syllable of the word

Monat Bruder gestern Glaube Arbeit Elend Segel

There are very few exceptions to this rule, the most common are:

Fo'relle Ho'lunder Hor'nisse Kar'toffel le'bendig Wach'older

#### b. The position of the stress is 'fixed' in German native words

i.e. it falls on the same syllable irrespective of any endings which might be added:

Monate 'glauben 'glaubhaft 'Glaubhaftigkeit 'glaubwürdig

The only exception is that the suffix-ei is always stressed: Bäcker'ei, Bücher'ei

### c. Stress in words with prefixes

i. A number of prefixes are always unstressed, whether on nouns, adjectives or verbs, i.e. be-, emp-, ent-, er-, ge-, ver-, zer-. With verbs these prefixes are inseparable, see 22.4.

emp'fangen Emp'fang emp'fänglich Ge'schichte ver'stehen Ver'stand

ii. Most other prefixes are stressed. With verbs, these prefixes are separable, see 22.5.

abfahren Abfahrt abhängig einfallen Einfall einfältig

**iii.** A few verb prefixes can be stressed or unstressed, usually with a difference in meaning, see 22.6. Nouns derived from these verbs normally keep the same stress as the original verb:

über'fahren run over'überfahren cross over'Überfahrt crossingüber'legen consider'überlegen put/lay overÜber'legung consideration

The prefix miss- is variable with verbs, see 22.6.3, but with nouns in miss- the prefix is always stressed:

miss'**brau**chen *misuse* 

'Missbrauch misuse

**iv.** The prefix *un*- is usually stressed in nouns and adjectives if the form with *un*- is a straightforward negative of the form without *un*-, see 22.2.2j and 22.3.2b:

schuldig guilty 'unschuldig innocent Wetter weather 'Unwetter bad weather

However, un- with some adjectives is not stressed. This is especially (but not universally) the case if there is no corresponding adjective without un-, or if there is some change of meaning other than simple negation, e.g.:

unauf **hör**lich *incessant* 

uner'**hört** outrageous

un'**mög**lich *impossible* 

In addition, *un*- is most often not stressed in adjectives with the prefix *un*- and the suffix -*bar*, or with the suffix -*lich* where it corresponds to English -*able* or -*ible*, cf. 22.3.1.

unbe'wohnbar uninhabitable

unent'**be hr**lich *indispensable* 

# d. Stress in words of foreign origin

German words of French, Greek or Latin origin often have a different stress pattern to native words.

i. They are characteristically stressed on the final syllable, e.g.:

ak'tiv feu'dal Phy'sik Reper toire Konso'nant Poli'zist Al'tar Ro'man Kon'trast Stu'dent Biolo'gie Reformation Ele'ganz Na'tur Re'gime Universi'tät

NB: A few words in -ik are stressed on the penultimate syllable, i.e. Gram'matik, 'Logik.

ii. Foreign words with some endings are characteristically stressed on the penultimate syllable. These often have the vowel [ə] in the final syllable, or the final syllable is -as, -is, -os, -us or -um, e.g.:

Album Fa'milie manipu'lieren Schoko'lade Fiskus Pas'sage Sozia lismus Atlas Bri'gade 'Kosmos Prog<sup>¹</sup>nose Sozio'loge ren'tabel Chi<sup>'</sup>nese La'vendel Ta'belle

Note the different treatment of words spelled with final -ie. If it is pronounced [i:] it is stressed, e.g. *Biolo'gie*, but if it is pronounced [je] the preceding syllable is stressed, e.g. *Fa'milie*.

iii. Words in the suffixes -on and -or are usually stressed on the preceding syllable, e.g.:

'Autor 'Dämon Di'rektor 'Doktor Pro'fessor 'Traktor

In these words the stress shifts when the plural ending *-en* is added:

Au'toren Dä'monen Direk'toren Dok'toren Profes'soren Trak'torer NB: *Motor* can be stressed on either syllable, i.e. '*Motor* or *Mo'tor*. The plural is always *Mo'toren*.

iv. Adjectives in -isch from foreign roots (see 22.3.1e) are stressed on the preceding syllable:

bio'logisch 'modisch musi'kalisch no'madisch

# 23.2 The Use of Capital Letters

The basic rules are that initial capital letters are used:

- i. for the first word in a sentence (or a line of poetry)
- ii. for all nouns, e.g. der Sack, die Schwierigkeit, das Bürgertum, die Pfirsiche
- iii. for the 'polite' second person pronoun Sie and all its forms (e.g. Ihnen, Ihr, etc., see 3.3)
- iv. for proper names, e.g. Frankfurt, Deutschland, das Schwarze Meer

All other words begin with a small letter. Some provisos are necessary in respect of these basic rules, as explained below.

# 23.2.1 The use of Capital Letters with Nouns and Proper Names

# a. Other parts of speech used as nouns are written with an initial capital letter

beim Lesen das Für und Wider das Ich das Entweder-Oder eine Drei ein Drittel der Vorsitzende Bekanntes

alles Gute nichts Schlechtes

The exceptions to this rule under the previous spelling rules have been partly eliminated, and all nouns are now spelled with an initial capital letter, e.g. *im Allgemeinen* 'in general', *im Großen und Ganzen* 'in general', *alles Mögliche* 'everything possible', *aufs Neue* 'afresh'. However, small letters can still be used in some idiomatic expressions which do not include distinct nouns. In these cases, adjectives without an ending are always spelled with a small letter, but declined adjectives can be spelled with a small or a capital letter, e.g.:

durch dick und dünn through thick and thin

gegen bar for cash

schwarz auf weiß

über kurz oder lang

von klein auf

von nah und fern

binnen kurzem/Kurzem

seit langem/Langem

in black and white

sooner or later

from childhood

from near and far

in a short time

for a long time

bei weitem/Weitem by far von weitem/Weitem from afar

ohne weiteres/Weiteres without thinking

#### b. Adjectives are spelled with an initial small letter if a preceding (or following) noun is understood

Das rote Kleid hat mir nicht gepasst, ich musste das **blaue** nehmen

Es ist wohl das schnellste von diesen drei Autos

## c. The determiners ander, beide and ein have small letters in most contexts

This applies even in contexts where it would appear that they are being used as nouns, e.g. etwas anderes, diese beiden, das eine und das andere. However, ander can be used with an initial capital letter if it refers to something quite specific:

die Suche nach dem Anderen

the search for otherness

### d. Usage with geographical and other proper names

i. Adjectives forming part of geographical or other names referring to something or somebody unique have an initial capital letter:

das Schwarze Meer

the Black Sea

das Neue Testamentthe New Testamentdas Auswärtige Amtthe Foreign Officeder Eiserne Vorhangthe Iron CurtainKarl der ErsteCharles the Firstdie Olympischen Spielethe Olympic Gamesdie Französische Revolutionthe French Revolution

However, the following, and others like them, are not names of unique things, and they are spelled with a small letter:

die goldene Hochzeit golden wedding der schwarze Markt the black market

**ii.** Indeclinable adjectives in *-er* from the names of towns and countries have an initial capital:

der Kölner Dom die Berliner Straßen das Wiener Rathaus

iii. Adjectives formed from proper names with the suffix -isch (or -sch) normally have a small letter:

die goetheschen Gedichte das elisabethanische Drama das ohmsche Gesetz

However, these adjectives can be used with an apostrophe after the name to emphasise the person involved, in which case they are written with an initial capital, e.g. *die Grimm'schen Märchen*.

#### e. Usage with *deutsch* and other adjectives of nationality

i. Adjectives of nationality are written with a capital letter when used as a noun to refer to the language or the school subject (see 6.4.6a):

Er kann kein Wort Deutsch Das ist (kein) gutes Deutsch auf Deutsch in German

Wir haben Deutsch in der Schule Ich habe eine Drei in Deutsch Sie spricht, kann, lernt, liest (kein, gut) Deutsch, Russisch, Englisch

Das Buch ist in Deutsch und Englisch erschienen

As an adjective used as a noun *der/die Deutsche* 'German' is also always spelled with a capital letter.

ii. When used as adjectives they have a small letter:

das deutsche Volk ein deutsches Lied die deutsche Bundesrepublik italienische Weine ein britisches Schiff dieser französische Käse

This runs counter to English usage, which requires a capital letter ('the German people', 'Italian wines', etc.). Only in names is a capital used in German, e.g. *die Österreichischen Bundesbahnen*.

iii. They have a small letter when used as the equivalent of an adverb:

Der Minister hat mit ihr deutsch gesprochen Redet sie jetzt deutsch oder niederländisch?

# f. Capital and small letters with superlatives

**i.** Superlatives with *am* (see 8.4.1) are spelled with a small letter, e.g.: am besten, am schönsten

ii. Superlative forms used with the definite article are written with a capital letter, e.g.

Es ist das Beste, wenn wir ihr alles sagen.

- **iii.** Superlatives with the preposition *aufs* (see 8.4.3) can be written with a capital or a small letter: aufs Heftigste/heftigste
- g. Possessive pronouns with the form of an adjective after a definite article can be spelled with a small or a capital initial letter

(see 5.2.3b), e.g.:

- i. der meinige/Meinige 'mine', der deinige/Deinige 'yours', der uns(e)rige/Uns(e)rige 'ours', etc.
- ii. der meine/Meine 'mine', der deine/Deine 'yours', der uns(e)re/Uns(e)re 'ours', etc.

## 23.2.2 Nouns used as Other Parts of Speech are Written with a Small Letter

This applies in particular to:

- i. nouns used as prepositions, see 20.4, e.g.:
  - angesichts, kraft, mittels, statt, trotz
- ii. nouns used as adverbs, e.g.:

abends, anfangs, kreuz und quer, mitten, morgens, rechtens, rings, sonntags, teils, willens

NB: Capital letters are used for words denoting a part of the day used in conjunction with *heute*, *gestern* and *morgen*, e.g. *gestern* 

Abend, heute Mittag (see 11.6.2).

iii. nouns used in indefinite expressions of number, e.g.:

ein bisschen a little

ein paar a few (see 5.5.6. Compare ein Paar 'a pair')

iv. some nouns used as adjectives with the verbs sein, bleiben and werden: this applies to Angst, Bange, Gram, Leid, Pleite. Schade and Schuld

Mir ist/wird angst

Er blieb ihr gram

He bore her ill- will

Die Firma ist pleite

The firm is bankrupt

Es ist **schade**It's a pity

Sie war **schuld** daran

It was her fault

With other verbs, these words have an initial capital letter, e.g. *Ich habe Angst*.

v. Nouns which have become idiomatic separable prefixes are spelled with a small letter, see 22.5.3a, e.g. stattfinden, teilnehmen.

#### 23.2.3 Capitalisation of Pronouns and Related Forms

All forms of the 'polite' second person pronoun *Sie* are spelled with a capital letter, see Table 3.1, e.g. *Sie*, *Ihnen*, *Ihre Frau*, etc.

No other pronouns have initial capital letters (except when they begin a sentence). The other second person pronouns du, ihr and their forms can be spelled with small initial letters or capitals in letter- writing, e.g.  $Ich\ danke\ dir/Dir\ recht\ herzlich\ für\ deinen/Deinen\ Brief$ .

#### 23.3 One Word or Two?

The general rule is that **compounds** are written as a single word if they are felt to be a single concept. On the other hand, where the individual words are still felt to retain full meaning, they are written separately. The word stress often gives a clue to this, as a true compound only has one main stress, whereas separate words are still stressed

independently. Compare:

'gut 'schreiben write well 'gutschreiben credit' 'so 'weit so far so 'weit on the whole

Some uncertainties in respect of the writing of compound words were eliminated in the revised spelling, but after subsequent revisions the 'old' and 'new' spellings are permitted in a number of cases. The main principles are explained with examples in the remainder of this section. However, the spelling of separable and compound verbs, e.g. *Rad fahren, kundgeben* is treated in detail in section 22.5.3.

## 23.3.1 Combinations of Preposition + Noun

These have the function of adverbs or prepositions and they are written separately if the individual words are still felt to retain independent meanings:

mit Bezug auf, unter Bezug auf zu Ende gehen in/außer Kraft treten, sein

On the other hand, such adverbs or prepositions are written as single words if they are considered to be single entities, e.g. anhand, beiseite, infolge, inmitten, vonnöten, vonstatten, vorderhand, zuhanden, zurzeit, zuzeiten.

Alternative forms are permitted in some set phrases where it is debatable whether the words involved retain their separate meanings or not:

außerstand/außer Stand setzen, sein imstande/im Stande sein infrage/in Frage stellen instand/in Stand setzen nachhause/nach Hause gehen zugrunde/zu Grunde gehen zuhause/zu Hause sein zuleide/zu Leide tun zumute/zu Mute sein zurande/zu Rande kommen zuschanden/zu Schanden machen, werden sich etwas zuschulden/zu Schulden kommen lassen zustande/zu Stande bringen zutage/zu Tage bringen, fördern zuwege/zu Wege bringen

Some prepositions from complex phrases also have alternative spellings, i.e. *aufgrund/auf Grund*, *zugunsten/zu Gunsten*, *mithilfe/mit Hilfe*, *anstelle/an Stelle*.

## 23.3.2 Combinations of a noun or an Adverb with a Participle or an Adjective

Compounds which involve an underlying phrase are written together.

das bahnbrechende Werk the pioneering work

(from: sich eine Bahn brechend)

der angsterfüllte alte Mann the terrified old man

(from: von Angst erfüllt)

ein himmelschreiendes Unrecht an outrageous injustice

(from: zum Himmel schreiend)

die staubbedeckten Bücher the books covered with dust

(from: *mit Staub bedeckt*)

Other combinations of an adjective with a participle can always be written as separate words: ein Aufsehen erregendes Ereignis, die Eisen verarbeitende Industrie. However, it is permissible to write the words together if they are felt to express a single idea, e.g. eine allein erziehende/alleinerziehende Mutter; ein klein geschnittenes/kleingeschnittenes Radieschen, selbst gebackene/selbstgebackene Kekse.

If the combination is qualified by an adverb of degree (see 7.4), and the qualification relates to the whole combination, then it is written together, e.g. *ein äußerst kraftraubende Trainingsmethode*.

## 23.3.3 Compound adverbs with so-, wie- and wo-

Note the difference between the following pairs (see 19.3.6 for details on the conjunctions in so-):

sobald as soon as solange as long as sooft as often as wieweit? to what extent? woanders elsewhere (see 7.1.5d) womöglich possibly

so bald so soon
so lange so long
so oft so often
wie weit? how far, what distance?

wo anders? where else? wo möglich if possible

#### NB:

- i. so dass 'so that', see 19.5.2, may alternatively be spelled sodass.
- ii. Most combinations with *viel* and *wenig* are spelled as separate words, e.g. *so viel*, *wie viel*, *zu wenig*, see 5.5.25e, but when used as a conjunction in the meaning 'as far as' *soviel* is written as a single word, see 19.7.4.

#### 23.4 ss or **B?**

The distinction between ss and  $\beta$  (called *scharfes* s or *eszett*) is universally observed in Germany and Austria. In Switzerland, though, no distinction is made and ss is used in all cases. Foreign learners are strongly recommended to follow the majority practice.

#### a. -ss is used if the preceding vowel is short

dass, der Fluss, die Flüsse, gewiss, lassen, er lässt, müssen, es muss, wissen, ich wusste, das Wasser

#### b. $-\beta$ is used if the preceding vowel is long or a diphthong

beißen, die Buße, der Fuß, die Füße, groß, der Gruß, der Maß, die Maße, die Straße

The letter  $\beta$  now fits consistently with the rule in German which stipulates that long vowels are followed by a single consonant in the spelling.

NB:

- i. Some family names are spelled with a final -ss, e.g.: Günther Grass, Theodor Heuss, Richard Strauss (but Johann Strauβ), Carl Zeiss.
- ii.  $\beta$  was originally only a small letter, but its use as a capital is now permitted, e.g. BONNER STRAßE. However, many people still always write -SS- in capitals: STRASSE.

# 23.5 Other Points of Spelling

#### 23.5.1 The Plural of Nouns in -ee and -ie

These nouns do not add an extra -e in the spelling of the plural, even if the plural ending is pronounced as a distinct syllable, e.g.:

der See, die Seen [ze:ən]

das Knie, die Knie [kni:ə]

die Industrie, die Industrien [ind\u00fcstri:\u00e4n]

Similarly in verb forms, see 12.2.1d:

knien [kni:ən] kneel

wir schrien [∫ri:ən] we cried

# 23.5.2 Double vowels are simplified under Umlaut

a. in plurals (see 1.2.2a):

der Saal room – die Säle

b. in diminutives (see 22.2.1a):

das Paar pair – das **Pär**chen

# 23.5.3 Letters are not Omitted in Compounds

The former rule that sequences of three letters should be simplified no longer applies. Thus forms like *Brennnessel* 'stinging nettle', *Schifffahrt* 'travel by ship', *Schlussszene* 'closing scene' and *Schneeeule* 'snowy owl' are now standard.

# 23.6 The Use of the Comma

Unlike English, the comma in German is used to mark off grammatical units, **not** to signal a pause when speaking. Germans adhere to the rules for inserting commas quite strictly, regarding deviations from them as seriously as spelling mistakes.

This principle that commas are used to mark off larger syntactic units means that, unlike English, adverbs and adverbial phrases within the sentence are **never** separated by commas. Compare:

Er konnte ihr jedoch helfen Bringen Sie mir bitte eine Zeitung He was, however, able to help her Bring me a newspaper, please

#### 23.6.1 The use of Commas with Coordinated Clauses and Phrases

i.e. those linked by one of the coordinating conjunctions, like aber, oder and und.

## a. Clauses and phrases joined by und or oder do not need a comma

Die alte Dame öffnete ihm die Tür und er ging in den Garten Christa rief an und er erzählte ihr, was passiert war

Ich gehe morgen ins Theater oder besuche ein Konzert

Parallel subordinate clauses linked by *und* or *oder* do not have a comma between them:

Er sagte, dass ich sofort kommen müsste und dass er mir etwas sehr Wichtiges zu berichten hätte

Sie wird nicht kommen, weil sie nicht kann oder weil sie einfach keine Lust hat

A comma can be used if the writer feels the need to make the sentence clearer or avoid ambiguity:

Sie begegnete ihrem Trainer, und dessen Mannschaft musste lange auf ihn warten

No comma is necessary before conjunctions with a similar meaning to *oder* and *und*, i.e. *beziehungsweise*, *sowie*, *weder* . . . *noch*, etc. (see 19.1.3 and 19.1.4).

# b. A comma is used before the conjunctions aber, denn, doch, jedoch and sondern

Er runzelte die Stirn, aber sie sagte nichts

Ich machte Licht, denn es war inzwischen dunkel geworden

Der Lohn ist karg, doch man genießt die abendlichen Stunden

Das Kleid war nicht grün, sondern hellblau

# c. A comma is used between parallel clauses and phrases which have no linking conjunction

Das Licht geht aus, der Vorhang hebt auf, das Spiel beginnt Berlin, Paris, London, Madrid sind europäische Hauptstädte

#### 23.6.2 The use of Commas with Subordinate Clauses

In principle, all subordinate clauses are separated from the rest of the sentence by commas, whether they are introduced by a conjunction or not:

Er fragte, ob ich morgen nach Halberstadt fahren wollte

Weil ich morgen arbeiten muss, werde ich keine Zeit haben

Sie sagte, sie habe diesen Mann nie vorher gesehen

Unsere Lage wäre unmöglich gewesen, hätte er diesen Plan nicht ausgedacht

# 23.6.3 The use of Commas with Participial Clauses and Infinitive Clauses with zu

#### a. In general, such clauses do not need to be separated by commas from the rest of the sentence

Sie beschloss den Betrag möglichst bald zu überweisen

Ich hoffte in der nächsten Runde zu gewinnen

Diesen Vorgang wollen wir zu erklären versuchen

Ich brauche heute nicht ins Geschäft zu gehen

Aus vollem Halse lachend kam er auf mich zu

Er sank zu Tode getroffen zu Boden.

However, a comma can be used if the writer feels the need to make the sense clear or avoid ambiguities, as with the following example, where the comma shows which part of the sentence *heute* belongs to:

Das Kind versprach heute, nichts mehr von dem Kuchen zu essen

Das Kind versprach, heute nichts mehr von dem Kuchen zu essen

# b. However, a comma is used before an infinitive clause in the following cases

i. if the infinitive clause is introduced by (an)statt, außer, ohne or um (see 13.2.7):

Ich konnte nichts tun, um ihn zu beruhigen

Er verließ das Haus, ohne gesehen zu werden

ii. if the infinitive clause depends on a noun:

Umsonst machte er einen letzten Versuch, seine Frau zu retten

Ich habe nicht die geringste Absicht, ihr 1000 Euro zu leihen

iii. if the infinitive clause depends on an anticipatory *es* (see 3.6.2e and 3.6.3), or a prepositional adverb (see 13.2.4e)

Ich konnte es kaum ertragen, ihn so leiden zu sehen

Sie hat ihn daran erinnert, Blumen für seine Mutter zu kaufen

# 23.6.4 Interjections, Exclamations, Explanatory Phrases, Phrases in Apposition and Parenthetical words and Phrases

If these are seen as separate elements they are normally separated from the rest of the sentence by commas, e.g.:

**Ach**, kannst du morgen wirklich nicht zu uns kommen?

Kurz und gut, die Lage ist kritisch

Wissen Sie, ich kann Ihnen da leider nicht mehr helfen

Das macht, **grob gerechnet**, vierzig Prozent von unserem Absatz aus

Ich habe jetzt, wie gesagt, keine Zeit dazu

Wir wurden durch Herrn Meiring, den Direktor des Instituts, aufs Herzlichste empfangen

Comparative phrases introduced by als or wie are not normally separated by commas, e.g.:

Sie ist jetzt wohl größer als ihre ältere Schwester

Dieser Mann sah aus wie ein Schornsteinfeger

# 23.6.5 Two or More Adjectives Qualifying a Noun are Divided by Commas if they are of Equal Importance

i.e. if they could be linked by und, e.g.:

gute, billige Äpfel (the apples are good and cheap)

No comma is used if the second adjective forms a single idea with the noun:

gute englische Äpfel (i.e. English apples which are good)

In practice, this rule is not always followed consistently (any more than the similar rule in English is), and many German writers use no commas in any series of adjectives.

#### 23.7 Other Punctuation Marks

German usage differs from English in respect of the use of some other punctuation marks.

# 23.7.1 The Semi- Colon is little used in German

In principle, the semi- colon is used as in English. However, a comma or full stop, as appropriate, tends to be preferred in German. In particular, it is much more common in German than in English to have main clauses not linked by a conjunction, and these are commonly separated by commas:

Geh in die Stadt und kaufe Mehl, unterdessen heize ich schon den Ofen an

# 23.7.2 A Colon, not a Comma, is used when Direct Speech is Introduced by a verb of Saying

Dann sagte sie: "Ich kann es nicht"

Similarly with reported phrases and the like:

Das Sprichwort heißt: Der Apfel fällt nicht weit vom Stamm

When a colon introduces a full sentence, it is usually followed by a capital letter, but a small letter is now permitted: Das Sprichwort heißt: der Apfel fällt nicht weit vom Stamm.

#### 23.7.3 The first of a set of Inverted Commas is Placed on the line

i.e. **not** above it as in English. This applies equally to single and double inverted commas:

Dann sagte sie: "Ich kann ihn überhaupt nicht verstehen".

Er fragte mich: "Kennen Sie Brechts Stück, "Mutter Courage und ihre Kinder"?"

#### 23.7.4 The Exclamation Mark

# a. The exclamation mark is used after interjections and exclamations

Ach! Donnerwetter! Pfui Teufel! Guten Tag!

#### b. Commands are followed by an exclamation mark:

Komm sofort zurück! Hören Sie sofort auf!

Seid doch vorsichtig. Kinder! Einsteigen und die Türen schließen!

Standard usage traditionally required the use of the exclamation mark with commands in German, but this rule is not always followed nowadays. Many Germans prefer to use a full stop, especially if the command is not felt to be particularly forceful.

### c. An exclamation mark can be used after the words of address at the beginning of a letter

Sehr geehrter Herr Dr. Fleischmann!

Liebe Petra!

This traditional usage has now largely been replaced by the use of the comma, as in English. However, if a comma is used, a capital letter should not be used for the first word of the letter proper, as, strictly speaking, it is not the beginning of a sentence, e.g.:

Lieber Martin,

es hat uns sehr gefreut, wieder mal von dir zu hören . . .

## **List of Sources**

The examples illustrating points of grammar and usage have been drawn from a wide range of sources and registers, spoken as well as written. Many of the unattributed examples which are new to this revised edition have been simplified or amended from modern texts, from phrases and sentences heard in conversation or on radio and television, etc. and in large number from the computerised corpus of modern spoken and written German set up by the Institut für deutsche Sprache in Mannheim. Longer examples quoted verbatim or with minor simplifications have been attributed wherever possible. The following sources have provided such material:

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### **Newspapers**

The following newspapers or periodicals have provided material. Some titles have been abbreviated as indicated:

BILD BILD- Zeitung
BZ Berliner Zeitung

FAZ Frankfurter Allgemeine Zeitung

FR Frankfurter Rundschau
HA Hamburger Abendblatt
HMP Hamburger Morgenpost

HAZ Hannoversche Allgemeine Zeitung

Horizont Kurier

LV Leipziger Volkszeitung
MM Mannheimer Morgen
ND Neues Deutschland
NZZ Neue Zürcher Zeitung
NUZ Nürnberger Zeitung

OH Odenwälder Heimatzeitung

(Die) Presse

Quick

SGT Sankt Galler Tagblatt

(Der) Spiegel

Stern

SZ Süddeutsche Zeitung

(Die) Welt (Die) Zeit

In addition, the Baedeker series of travel guides, Knaur's encyclopedia, and Innsbruck university *Vorlesungsverzeichnis* provided examples, as did the following radio and television stations: ARD, NDR, SWF, WDR, ZDF.

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In principle, the references are limited to major reference books on each topic; users requiring more detailed bibliographical information are referred to: Helmut Frosch, Roman Schneider, Bruno Strecker & Peter Eisenberg, *Bibliographie zur deutschen Grammatik 1994–2002*. Tübingen: Stauffenburg Verlag, 2003 and Helmut Frosch, Roman Schneider and Bruno Strecker, *Bibliographie zur deutschen Grammatik 2003–2007*. Tübingen: Stauffenburg Verlag, 2008 Details of these and more recent work is accessible through the *grammis* website of the *Institut für Deutsche Sprache* (http://hypermedia.ids-mannheim.de/pls/public/bib.ansicht)

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# **Glossary**

The explanations include references to sections or chapters where more detail is given. Words in small capitals are themselves explained in the glossary.

accusative

accusative object

adjective

adverb

adverbial

agreement

apposition

article

auxiliary verb

bracket

cardinal number

case

clause

comparative

complement

complement clause

a CASE (2.2) which indicates the DIRECT OBJECT of TRANSITIVE verbs (18.3): *Ich sehe den Hund*. It is also used after some PREPOSITIONS (20.1, 20.3): *Ich gehe durch den Wald*, as well as in some ADVERBIAL constructions (11.4.1): *Sie kommt jeden Tag*.

the DIRECT OBJECT of the verb, in the ACCUSATIVE case (18.3): Der Wolf fraß den Esel.

a word which modifies, or describes a noun (Chapter 6). Attributive adjectives are used before a noun: die schöne Stadt; predicative adjectives are used after a COPULAR VERB: die Stadt ist schön.

a word which modifies a VERB, an ADJECTIVE or a whole CLAUSE, often giving extra information on how, when, where or why (Chapter 7): Sie singt gut; Sie war sehr freundlich.

any part of a SENTENCE which has the **function** of an ADVERB (18.1.4). It can be a single word (an ADVERB), or a phrase, or a whole CLAUSE: Sie sang gut; Sie sang mit einer hellen Stimme; Sie sang, als sie ins Zimmer kam.

copying a grammatical feature from one word to another, so that certain words have ENDINGS according to the words they are used with or refer to. In German, DETERMINERS and ADJECTIVES 'agree' with the NOUN (4.1, 6.1): *dieses Buch*; *mit meinem neuen Auto*, and VERBS 'agree' with their SUBJECT (12.1.4): *ich singe*, *du singst*.

a phrase used to modify a NOUN PHRASE without a connecting PREPOSITION is 'in apposition' to it (2.6): Wilhelm, der letzte deutsche Kaiser, starb im Exil.

the most important of the DETERMINERS (Chapter 4). German has a definite article der, die, das, etc. (= English the) and an indefinite article ein, eine, etc. (= English a).

a VERB used in combination with the INFINITIVE or PAST PARTICIPLE of another verb to form a COMPOUND TENSE or the passive (12.3–4): *Karin hat einen Hund gekauft*, or, in the case of the MODAL AUXILIARIES (Chapter 17), to indicate the attitude of the speaker with regard to what is being said: *Sie muss sofort kommen*.

the 'bracket' construction is typical of German CLAUSES, with most words and phrases in a CLAUSE bracketed between two parts of the verb (21.1): Wir [kommen um 17 Uhr in Innsbruck an].

the numerals used in counting (9.1): eins, zwei, ... hundert.

indicates the function of a NOUN PHRASE in the CLAUSE (Chapter 2). German has four cases: NOMINATIVE *der Igel*; ACCUSATIVE *den Igel*; GENITIVE *des Igels* and DATIVE *dem Igel*.

a part of a SENTENCE with a VERB and its COMPLEMENTS (18.1). A **main clause** can stand on its own: **Dein Vater komm** t. A **subordinate clause** (Chapter 19) is dependent on another clause in the sentence and is usually introduced by a CONJUNCTION: *Ich weiß*, **dass dein Vater kommt**.

the form of an ADJECTIVE or ADVERB used to express a comparison (Chapter 8): schneller, höher, weiter.

an element in a CLAUSE which is closely linked to the verb and completes its meaning (18.1). The most important complements of the verb are its SUBJECT and OBJECTS.

a subordinate CLAUSE which has the same role as a verb COMPLEMENT (19.2): **Dass sie** gekommen war, hat mich erstaunt (the clause is the SUBJECT of the verb); Ich wusste, dass sie gekommen war (the clause is the DIRECT OBJECT of the verb).

compound tense

a TENSE formed by using an AUXILIARY VERB with the INFINITIVE or PAST PARTICIPLE of another **verb** (12.3), e.g. the PERFECT tense: *Sie hat geschlafen*, or the FUTURE tense: *Sie wird kommen*.

compound word

a word formed by joining two or more words (22.1): *Kindergarten*, *dunkelrot*.

conditional

a compound form of Konjunktiv II formed from the **past subjunctive** form of the Auxiliary verb *wirde*, i.e. *würde*, and the infinitive of another verb (12.5.2, 16.4–5): *Ich würde gehen*.

conditional sentence

a SENTENCE which expresses a condition, i.e. 'If X, then Y' (16.5). The SUBJUNCTIVE mood is often used in conditional sentences in German.

conjugation

the forms of a VERB, in particular the pattern of ENDINGS and/or **vowel changes** which show AGREEMENT with the SUBJECT and indicate the various TENSES or the mood, etc., (Chapter 12): *ich komme*, *du kommst*, *wir kamen*, etc.

conjunction

a word used to link CLAUSES within a SENTENCE (Chapter 19). Coordinating conjunctions link main clauses (e.g. *und*, *aber*), and subordinating conjunctions introduce subordinate clauses (e.g. *dass*, *obwohl*, w*eil*, wenn).

copular verb

a **linking** VERB, which typically links the SUBJECT with a PREDICATE COMPLEMENT, i.e. an ADJECTIVE or a NOUN PHRASE in the NOMINATIVE case (18.6). The most frequent **copular verbs** in German are **sein**, **werden** and **scheinen**: Er **ist** ein guter Lehrer; Die alte Frau **wurde** blass.

count noun

a NOUN referring to a thing or object which can be counted. Count nouns, unlike MASS NOUNS, can be used in the PLURAL and with the **indefinite** ARTICLE.

dative

a CASE (2.5) used to mark some OBJECTS of the VERB: Sie hat meiner Schwester die CD gegeben, Ich helfe meinem Bruder. It can also indicate possession: Sie zog dem Kind die Jacke aus, it is used after some ADJECTIVES (6.5): Er sieht meinem Vater ähnlich, and after many PREPOSITIONS (20.2–3): Er hat mit den Kindern gespielt.

dative object

a COMPLEMENT of the VERB in the DATIVE case (18.4). With some verbs it is the only object: Sie wollte dem kleinen Mädchen helfen, with verbs which also have an ACCUSATIVE (DIRECT) object, it is the INDIRECT OBJECT: Sie hat dem kleinen Mädchen das Heft gegeben.

declension

the pattern of ENDINGS on a NOUN (1.3), an ADJECTIVE (6.1–2), or a DETERMINER (4.1, Chapter 5) which show case, number and GENDER: *der gute Hund*, *des guten Hundes*, *den guten Hunden*.

demonstrative

a DETERMINER or PRONOUN (5.1) which points to something specific, e.g. *dieser*, *jener*.

derivation

forming words from other words, typically by using SUFFIXES and/or PREFIXES (Chapter 22): **be**glaub**igen** (< Glaube), Gesund**heit** (< gesund).

determiner

a function word used with nouns (Chapters 4 and 5). They include the ARTICLES (*der*, *ein*), the DEMONSTRATIVES (*dieser*, etc.), the POSSESSIVES, (*mein*, etc.) and INDEFINITES (*einige*, *viele*, etc.). They typically come before ADJECTIVES in the NOUN PHRASE.

direct object

a verb complement, typically a person or thing directly affected by the action (18.3). It is in the ACCUSATIVE case. *Der Löwe fraß den Esel*; *Die böse Frau schlug den Hund*.

direction complement

a COMPLEMENT used with verbs of **motion**, indicating **where** the SUBJECT is going or where the DIRECT OBJECT is being put (18.8): Sie fuhr nach Ulm; Er stellt den Besen in die Ecke.

ending

a suffix which gives grammatical information, e.g. about CASE, NUMBER OR TENSE. All the **endings** of a NOUN, ADJECTIVE or DETERMINER make up its DECLENSION; all the endings of a VERB make up its CONJUGATION.

fe minine

one of the three GENDERS into which nouns are classified (1.1).

finite verb

a form of the VERB which has an ENDING in agreement with the SUBJECT (12.1): Ich komme; Wir haben geschlafen; Sie wurden betrogen; Ihr könnt gehen.

future tense

future perfect

gender

genitive

imperative

inde finite

indicative

indirect object

indirect speech

infinitive

infinitive clause

inflection

interrogative

irregular verb

Konjunktiv

masculine

mass noun

a TENSE formed with the auxiliary VERB werden and an INFINITIVE (12.3), and used to refer to future time (14.4): Ich werde das Buch nicht lesen.

a tense formed with the AUXILIARY VERB werden and a compound INFINITIVE (12.3), used to refer to an action or event which will occur before another in the future: Sie wird das Buch gelesen haben (14.4).

the division of nouns into three classes in German, called MASCULINE, FEMININE and NEUTER (1.1). The **gender** of a noun is shown by the ENDINGS of the DETERMINER or ADJECTIVE in the NOUN PHRASE: der Mann, diese Frau, klares Wasser.

a CASE which is mainly used to show possession or to link NOUNS together (2.3): das Buch meines Vaters; die Geschichte dieser Stadt. A few verbs have a genitive OBJECT (18.7), and it is used after a few PREPOSITIONS (see 20.4): trotz des Wetters.

a MOOD of the VERB used to give commands or instructions, or to make a request (16.2): Komm hierher! Seid vorsichtig! Steigen Sie bitte ein!

an indefinite PRONOUN or DETERMINER is one which does not refer to a specific person or thing (5.5): *etwas*, *jemand*, *irgendwelcher*.

the most usual MOOD of the VERB, used to make statements and ask questions (Chapter 16): Sie kam gestern. Siehst du das Licht?

a verb COMPLEMENT, typically a person indirectly affected by the action expressed by the VERB, especially someone who is being given something or benefiting from the action (18.4.2). It is in the DATIVE case: Sie gab ihrem Vater das Geld.

a construction by which what was said is incorporated into a sentence rather than given in the speaker's original words (16.6). Compare 'direct speech' Er sagte: "Ich bin heute krank." with the corresponding 'indirect speech': Er sagte, dass er heute krank

the basic form of a VERB, ending in - en or - n (12.1-2, 13.1-4): kommen, betteln, tun. It is the form of the **verb** given in dictionaries.

a subordinate CLAUSE containing an INFINITIVE, typically with the particle zu (13.2): Sie hat mir geraten nach Hause zu gehen.

changing the form of words, most often by ENDINGS, to indicate some grammatical idea, like CASE or TENSE. The inflection of NOUNS, ADJECTIVES and DETERMINERS is called declension, while the **inflection** of VERBS is called CONJUGATION.

a prefixed VERB whose PREFIX is not stressed and always remains attached to the verb (12.2.1, 22.4): besuchen, erwarten, verstehen.

interrogative DETERMINERS, ADVERBS or PRONOUNS (5.3, 7.5) are used to ask a question: Welches Hemd kaufst du? Warum geht er nicht? Wem sagst du das?

a VERB is intransitive if it does not have an ACCUSATIVE (DIRECT) OBJECT (18.3): Wir schwimmen; Dort stand er und wartete auf Luise; Meine Schwester hilft mir.

a VERB with a CONJUGATION which does not follow the pattern of the WEAK VERBS or the STRONG VERBS (12.1.3, 12.2.2): wissen – ich weiß – ich wusste – gewusst.

The German term for the SUBJUNCTIVE **mood** (12.5, 16.3–7). There are two main forms: Konjunktiv I, used mainly in INDIRECT SPEECH (16.6): Sie sagte, er sei nicht gekommen, and Konjunktiv II, which indicates unreal conditions (16.5): Ich würde lachen, wenn sie **käme**.

one of the three GENDERS into which NOUNS are divided (1.1).

a NOUN referring to an indivisible entity, typically a substance or an abstract idea: das Gold, der Frieden. Mass nouns, unlike COUNT NOUNS, are not normally used with the indefinite article or in the plural.

the VERBS dürfen, können, mögen, müssen, sollen and wollen, which indicate the attitude of the speaker with regard to what is being said (Chapter 17). They are highly

inseparable verb

intransitive verb

modal auxiliaries

IRREGULAR (12.2.2), and as AUXILIARY VERBS they are normally only used with the INFINITIVE of another verb (13.3.1): Sie darf spielen; Ich musste gehen; Du sollst das Fenster aufmachen.

modal particle

a small word which indicates the speaker's attitude to what is being said (Chapter 10): Es gibt **ja** hier nur zwei gute Restaurants, Das Bier ist **aber** kalt! (surprise).

mood

forms of the VERB which indicate the speaker's attitude (Chapter 16). German has three **moods**: INDICATIVE (neutral, factual): *Er geht nach Hause*; IMPERATIVE (commands, requests): *Geh nach Hause!* and SUBJUNCTIVE (possibly not factual): *Wenn er nach Hause ginge*,...

neuter

one of the three GENDERS into which German NOUNS are divided (1.1).

nominative

a CASE (2.1) which most often indicates the SUBJECT of a VERB (18.2): **Du** lügst; **Der Hund** bellt. It is also used in the PREDICATE COMPLEMENT of COPULAR VERBS (18.8): *Ich* bin der neue Lehrer, or when a word occurs in isolation (i.e. not as part of a full SENTENCE).

non- finite

a form of the VERB which does not have an ENDING in AGREEMENT with the SUBJECT (12.1–2), i.e. the INFINITIVE and the PARTICIPLES.

noun

a type of word which typically refers to a person, a living being, a thing, a place or an idea and can normally be used with a **definite article**: *der Tisch*, *die Idee*, *das Pferd*. German nouns are all classified into one of three GENDERS.

noun phrase

A set of words which consists of at least one NOUN or PRONOUN and any other words accompanying it, i.e. a DETERMINER and/or an ADJECTIVE: **Brot**, **weißes Brot**, **das weiße Brot**.

number

the grammatical distinction between SINGULAR and PLURAL.

object

certain complements of the verb are known as its **objects** (Chapter 18), i.e. the DIRECT OBJECT, the INDIRECT OBJECT and the prepositional OBJECT.

ordinal number

a form of a numeral used as an ADJECTIVE: sein zwanzigster Geburtstag. (9.2)

participle

NON-FINITE forms of the VERB (12.1–2, 13.5–7) which can be used as ADJECTIVES. German has two **participles**: the PRESENT PARTICIPLE, e.g. *spielend*, and the PAST PARTICIPLE, e.g. *gespielt*.

passive voice

a form of a VERB where the doer of the action is not necessarily mentioned and the SUBJECT is typically a person or thing to which something happens (12.4, Chapter 15): German has two passive constructions, using the AUXILIARY VERBS werden or sein and the PAST PARTICIPLE: Die Schlange wurde (von dem Jäger) getötet; Die Stadt war zerstört. The passive voice contrasts with the (more frequent) active voice: Der Jäger tötet die Schlange.

past tense

the **simple** (i.e. one- word) TENSE (12.2) used to relate an action, state or event in the **past** (14.3): *Ich kam an*; *Sie sah mich*.

past participle

a NON-FINITE form of the VERB, typically with the prefix **ge-** and the ENDING - **t** with WEAK VERBS or - **en** with STRONG VERBS (12.1–2): **gekauft**; **gekommen**. It is most often used to form COMPOUND TENSES (12.3), or as an ADJECTIVE (13.5).

perfect tense

a COMPOUND TENSE formed with the PRESENT TENSE of the AUXILIARY VERBS *haben* or *sein* and the PAST PARTICIPLE (12.3), used to relate an action, state or event in the **past** (14.3): *Ich habe sie gesehen*; *Sie sind gekommen*.

person

a grammatical category indicating the person speaking, i.e. the 'first' person: *ich*, *wir*; the person addressed, i.e. the 'second' person: *du*, *ihr*, *Sie*; or other persons or things, i.e. the 'third' person: *er*, *sie*, *es* (3.1). The FINITE VERB has ENDINGS in AGREEMENT with the person and NUMBER of its SUBJECT (12.1).

personal pronoun

simple words standing for the various PERSONS or referring to a NOUN PHRASE (Chapter 3): *ich*, *mich*, *mir*, *du*, *sie*, etc.

a typical COMPLEMENT with verbs that indicate **position**, indicating where something is place complement situated (18.8): Die Flasche steht auf dem Tisch; Ich wohne in Berlin. a COMPOUND TENSE formed with the PAST TENSE forms of the AUXILIARY VERBS *haben* or sein and the PAST PARTICIPLE (12.3), and used to relate actions or events further back in pluperfect tense the past than the context (14.5): *Ich hatte sie gesehen*; *Sie waren gekommen*. a grammatical term referring to more than one person or thing, whereas SINGULAR refers plural to just one. German nouns have special ENDINGS to show the plural (1.2). a word used to indicate **possession** (5.2), either as a DETERMINER: sein Fahrrad, or as a possessive pronoun: das ist meines. the typical VERB COMPLEMENT with a COPULAR VERB, normally an ADJECTIVE or a NOUN PHRASE in the NOMINATIVE CASE which describes the SUBJECT (18.8): Mein neuer BMW predicate complement

prefix ist rot; Er wird bestimmt ein guter Tennisspieler.
an element added to the beginning of a word to form another word (Chapter 22):
Urwald, unglücklich, verbessern, weggehen.

a word used to introduce a NOUN PHRASE and typically indicating position, direction, time, etc. (Chapter 20): an, auf, aus, neben, ohne, etc. All German prepositions are followed by a NOUN PHRASE in a particular CASE: Er kam ohne seinen Hund (acc.); Er kam mit seinem Hund (dat.); Er kam wegen seines Hundes (gen.).

a compound of da(r)- with a PREPOSITION, typically used as a pronoun referring to things (3.5, 18.6.14): darauf 'on it', 'on them', damit 'with it', 'with them'.

a COMPLEMENT of the VERB introduced by a PREPOSITION (18.5). Typically, the **preposition** does not have its usual full meaning, and the choice of **preposition** depends on the individual **verb**: Wir warten auf meine Mutter; Sie warnte mich vor dem großen Hund.

the combination of a NOUN PHRASE with a PREPOSITION: an diesem Tag, aus dem Haus, zwischen den Häusern.

a NON-FINITE form of the VERB, formed by adding the suffix - d to the infinitive (12.1–2): **leidend**, **schlafend**. It is used most often as an ADJECTIVE (13.5): das **schlafende** Kind.

the simple TENSE (12.2) used to relate something going on at the moment of speaking, or which takes place regularly or repeatedly (14.2): *Jetzt kommt sie*; *In Irland regnet es viel*.

the three main forms in the CONJUGATION of a VERB, i.e. the INFINITIVE, the PAST TENSE and the PAST PARTICIPLE (12.1–2): machen - machte - gemacht (WEAK VERB); kommen - kam - kommen (STRONG VERB). The other forms of most verbs are constructed on the basis of these three forms.

typically a little word which stands for a whole NOUN PHRASE, e.g. PERSONAL PRONOUNS (Chapter 3), e.g. *ich*, *mich*, *sie*; DEMONSTRATIVE **pronouns** (5.1), e.g. *dieser*, POSSESSIVE **pronouns** (5.2), e.g. *meiner*, *seines*; INDEFINITE **pronouns** (5.5), e.g. *man*, *niemand*.

a PRONOUN in the ACCUSATIVE or DATIVE CASE referring back to the SUBJECT of the VERB (3.2): Sie wäscht sich; Ich habe es mir so vorgestellt.

a VERB used in combination with a REFLEXIVE PRONOUN (18.3.6): **sich erinnern** (remember), **sich weigern** (refuse).

differences of usage linked to different **situations** and **addressees**, typically associated with degrees of formality/informality, as found, for example, in differences between **spoken** and **written** language.

a **subordinate** CLAUSE used in the function of an ADJECTIVE to describe a NOUN: *der Mann*, *der dort spielt*. **Relative clauses** are introduced by a RELATIVE PRONOUN (5.4).

a PRONOUN which, like English 'who', 'which' or 'that', is used to introduce a RELATIVE CLAUSE: (5.4): der Mann, den ich gegrüßt hatte, die Männer, denen ich helfen konnte.

preposition

prepositional adverb

prepositional object

prepositional phrase

present participle

present tense

principal parts

pronoun

reflexive pronoun

reflexive verb

register

relative clause

relative pronoun

root

the base form of a word, without ENDINGS, PREFIXES or SUFFIXES: wiederkommen, arbeiten, uninteressant.

sentence

the longest unit of grammar, ending with a full stop in writing. It must have at least one **main** CLAUSE: *Else hat mir geantwortet*, and the main clause(s) can have one or more dependent **subordinate clauses**: *Else hat mir geantwortet, dass Sie nicht nach New York gehen wollte*.

sentence pattern

A limited number of combinations of COMPLEMENTS occur commonly with German verbs, since many verbs have the same VALENCY. Such combinations are known as sentence patterns (18.1.3).

separable verb

a verb with a **stressed** PREFIX which detaches from the FINITE VERB in MAIN CLAUSES and is placed at the **end** of the CLAUSE (12.2.1, 22.5), e.g. **ankommen**: **Wir kommen** morgen um zwei Uhr in Dresden **an**.

singular

a grammatical term referring to **one** person or thing, whereas PLURAL refers to more than one. The PRONOUNS *ich*, *du*, *es* and the NOUNS *der kleine Hund* or *das Kind* are **singular**.

stress

Like in English, one syllable in all German words of more than one syllable is pronounced with rather more force than the others, and this syllable is said to be stressed or bear the **stress** (23.1.6)

strong adjective declension

a set of ENDINGS used with ADJECTIVES which are like the **endings** of the **definite** ARTICLE or **dieser** (6.1–2). They are used when there is no DETERMINER in the noun phrase, or when the **determiner** has no **ending** of its own: **starkes** Bier, mein alter Freund.

strong verb

a VERB which changes its vowel in the PAST TENSE (and often in the PAST PARTICIPLE, too), and has the ENDING - en in the past participle (12.1.2, 12.2): bitten - bat - gebeten.

subject

the NOUN PHRASE in the NOMINATIVE CASE with which the FINITE VERB **agrees** for PERSON and NUMBER (12.1.4, 18.2): *Du kommst morgen*; *Die Leute beschwerten sich über die Preise*. Typically it is the person or thing carrying out the action expressed by the verb.

subjunctive mood

a MOOD of the VERB typically used to indicate that an action, event or state may not be factual (16.3–7). There are two forms of the **subjunctive** in German (12.5): KONJUNKTIV I is used most often to mark INDIRECT SPEECH (16.6): *Sie sagte, er sei nicht gekommen* and KONJUNKTIV II indicates **unreal conditions** (16.5): *Ich würde lachen, wenn sie käme*.

suffix

an element added to the end of a word or root to form a new word by DERIVATION (Chapter 22): *freundlich*, *Freundlichkeit* or, as an INFLECTION in the form of an ENDING, to give grammatical information: *Kinder*, *machte*.

superlative

the form of an ADJECTIVE or ADVERB which expresses the highest degree of comparison (Chapter 8): *der höchste Baum*, *das Auto fährt am schnellsten*.

tense

a form of the VERB which indicates the **time** of an action, event or state in relation to the moment of speaking (Chapter 14). German has **simple tenses**, of one word (12.2): PRESENT *ich warte*; past *ich wartete* and COMPOUND TENSES (12.3): FUTURE *ich werde warten*; PERFECT *ich habe gewartet*; PLUPERFECT *ich hatte gewartet*; FUTURE PERFECT *ich werde gewartet haben*.

topic

the **first element** in a **main** CLAUSE, before the FINITE VERB (21.2): **Max** ist gestern nach Rom gefahren; **Gestern** ist Max nach Rom gefahren; **Nach** Rom ist Max gestern gefahren. It is typically something we are emphasising because we want to say something about it.

transitive verb

a VERB is **transitive** if it can have a DIRECT OBJECT in the ACCUSATIVE CASE (18.3): Sie sah mich; Ich grüsste meinen Freund; Meine Schwester kauft die Bücher.

the construction used with a particular VERB, i.e. the number and type of COMPLEMENTS

verb

weak adjective declension

weak masculine noun

weak verb

which it requires to form a fully grammatical CLAUSE or SENTENCE (Chapter 18).

a type of word which refers to an action, event, process or state: schlagen, passieren, recyceln, schlafen.

a set of ENDINGS used with adjectives when there is a DETERMINER with its own ENDING preceding it in the NOUN PHRASE (6.1–2): das starke Bier, die jungen Frauen.

one of a small set of MASCULINE NOUNS which have the ENDING - (e) n in the ACCUSATIVE, genitive and DATIVE CASES in the SINGULAR as well as in the PLURAL (1.3.2): der Affe, den Affen, des Affen, dem Affen, die Affen, etc.

the mainly regular VERBS of German, which form their PAST TENSE with the ENDING - te and their PAST PARTICIPLE with the ending - t (12.1.2, 12.2): machen - machte - gemacht.

## Index

The index lists all the German and English words and the grammatical topics about which specific information is given in this book. However, individual words in lists illustrating points of grammar are not included. To facilitate finding particular entries, German words are given in regular type, English words in *italics* and grammatical topics in SMALL CAPITALS (with any German terms *ITALICISED*)

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à 20.1.7a
ab 4.9.3c, 11.5.1, 20.2.10a
ab- 22.5.1a
ABBREVIATIONS:
  gender 1.1.9b; genitive
  singular 1.3.7d; plural 1.2.5c
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